

**OFFICE OF CITY CONTROLLER**

**CITY OF HOUSTON**  
**INTER OFFICE CORRESPONDENCE**

<b>To</b>	Mayor Lee P. Brown City Council Members	<b>From</b>	Sylvia R. Garcia City Controller
		<b>Date</b>	October 25, 2002
		<b>Subject</b>	<b>September 2002 Financial Report</b>

Attached is the Monthly Financial and Operations Report for the period ending September 30, 2002.

**GENERAL FUND – FY 2002**

- Our estimates include an upward revision in the fiscal year 2002 ending Undesignated Fund Balance of \$1.0 million to \$83.3 million. This will complete the fiscal year at 6.93 % of expenditures less debt service. The largest single change since last month is an upward revision in Municipal courts fines and forfeitures of \$581,000.

**GENERAL FUND – FY 2003**

**Revenues**

- Sales tax allocation amounts received for the first two months of the fiscal year are virtually flat with FY 02. Dr. Barton Smith's September 21, 2002 report suggests a 3.17 % increase in FY 03 over FY 02. Our projection incorporates Dr Smith's report, adjusted for two months of actual collections.
- Gas Franchise fee revenue projections increased by \$3.0 million. This is based on correspondence from Centerpoint (formerly Reliant Energy) personnel and mirrors their anticipated payments to the City.
- Municipal court fines and forfeits are also flat with FY 02. We have not changed our estimate for FY03, because we are still assuming that the new traffic enforcement group will result in a positive impact on this revenue source before the end of the fiscal year.

**Expenditures**

- Expenditure projections remain unchanged from the August report.

The projected net activity for fiscal year 2003, allowing for the budgeted Any Lawful Purpose Fund transfer of \$4.1 million, is a \$19.6 million shortfall, \$3.0 million less than the of \$22.8 million August projection. The change is attributable to the Gas Franchise fee discussed above.

We believe a strategy must be implemented soon to cover this anticipated negative activity.

**Mayor Lee P. Brown  
City Council Members  
September 2002 Monthly Financial and Operations Report**

**HIGHLIGHTS OF THE BOND STATUS REPORT**

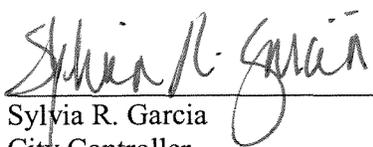
<u>COMMERCIAL PAPER</u>	<u>Drawdowns FY 03</u> (in millions)	<u>Drawdowns In Sept.</u> (in millions)	<u>Amount Available to be Drawn</u> (in millions)	<u>Amount Outstanding</u> (in millions)
<b>General Obligation</b>				
<i>(Series A &amp; B)</i>				
<i>Public Improvement Bond</i>	\$ 47.0	\$ 15.0	\$ 218.0	\$ 301.3
<i>(Series D)</i>	\$ 0.0	\$ 0.0	\$ 165.0	\$ 0.0
<i>(Series C)</i>				
<i>Equipment</i>	\$ 10.0	\$ 0.0	\$ 15.9	\$ 65.0
<i>Storm Overlay</i>	\$ 0.0	\$ 0.0	\$ 9.1	\$ 10.0
<b>Water and Sewer</b>	\$ 110.0	\$ 50.0	\$ 470.0	\$ 230.0
<i>(Series A &amp; B)</i>				
<b>Aviation</b>	\$ 10.0	\$ 0.0	\$ 300.0	\$ 0.0
<i>(Series A, B, &amp; C)</i>				

The City's practice has been to maintain no more than 20% of the total outstanding debt for each type of debt issued in a variable rate structure.

As of September 30, 2002, the ratio for each type of outstanding debt was:

<b>General Obligation</b>	19.0%
<b>Water and Sewer</b>	6.0%
<b>Aviation</b>	15.8%
<b>Convention &amp; Entertainment</b>	24.1%

Respectfully submitted,

  
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Sylvia R. Garcia  
City Controller



# CITY OF HOUSTON

Finance and Administration  
Department

## Interoffice

Correspondence

**To:** Lee P. Brown, Mayor  
Members of the City Council

**From:** Philip B. Scheps  
Director

**Date:** October 25, 2002

**Subject: SEPTEMBER MONTHLY FINANCIAL  
AND OPERATIONS REPORT**

### **Background**

The purpose of this memorandum is to discuss the City's interim financial results as of September 30, 2002, representing three months of FY03. Preliminary FY02 results have been shown in the column headed "Preliminary FY02 CAFR". The table on page 7 summarizes the full year projections as compared to the current budget for the General Fund.

### **Beginning Balance**

The Controller's FY2002 Preliminary CAFR includes an undesignated ending balance of \$83.3 million, an increase of \$1million from last month. The primary reason for this increase is an adjustment in Municipal Courts revenues and a decrease in Building Services expenditures.

### **General Fund Revenue**

**Property Tax** - The revenue shortfall (relative to the adopted budget) remains as reported last month at \$6.7 million. The primary reason for the shortfall is the certified tax roll which was approximately \$1.5 billion below the budgetary assumption, plus other adjustments related to updated TIRZ contributions and delinquent tax collections.

**Sales Tax** - The sales tax revenue projection remains as reported last month. No additional information has been received that would prompt a change at this time.

**Interfund Transfers** - The reduction in direct interfund transfers from Aviation remains as reported last month at \$6 million. While a reduction in this "pass through" revenue and expense in the General Fund will have no economic effect, it will make both revenue and expense appear to be overstated relative to budget. The Administration has reduced the HPD budget appropriation by \$6 million initially, transferring the appropriation to General Government. This change appears in the current budget column. An additional reduction of \$2 million will be reported next month based on the most recent estimates from Aviation. This amount could be further refined in either direction (i.e. more or less in HPD's budget).

**Municipal Courts Revenue** - The year end projection for Fines and Forfeitures revenue has been reduced by \$5 million. Although traffic ticket issuance continues to outpace last year's level primarily due to the new traffic enforcement division (refer to page 64), revenue on a per ticket basis has declined. As discussed at the Fiscal Affairs and Management Initiatives Committee

Meeting on October 1<sup>st</sup> and again on October 15<sup>th</sup>, a combination of factors have contributed to this decline including lagging parking violations and lagging collections compared to an aggressive budget. The renegotiated contract with the delinquent collections contractor and the state program to connect delinquents to drivers license renewal is scheduled to come to City Council next week.

### **General Fund Expenditures**

All departments continue to be projected at budget. The \$6 million adjustment to the HPD budget is shown as a difference between the adopted budget column and the current budget column. As discussed above, an additional \$2 million adjustment associated with airport security will be reported in the next report.

The General Government account is projected to spend \$639,000 less than the adopted budget, due primarily to an adjustment in interest cost as a result of the favorable TRANS borrowing.

### **General Fund Ending Balance**

The projected ending balance is \$95.1 million. This includes the assumption that insurance proceeds will be added to the Rainy Day Fund by year-end. The undesignated fund balance is projected to be \$72.3 million, which is a decrease of \$11 million from the undesignated beginning balance.

### **Enterprise Funds**

Aviation – Revenues for the Houston Aviation System are expected to be slightly below the FY03 Budget as a result of passing on declining security costs to the airlines in the form of reduced landing fees. Based on the FY03 rates and charges, landing fees are projected to fall approximately \$6.1 million less than budget. Miscellaneous income is projected to increase \$2 million due to a grant from the Transportation Safety Administration. Expenses are projected to be lower because police overtime is being reduced another \$2 million, now resulting in a total reduction of \$8 million less than budget. Contract security services are being reduced by \$1 million, resulting in a total reduction of \$9 million in Service expenses compared to the adopted budget.

Convention and Entertainment – Under the Current Budget column, Interfund Transfers increased by \$3 million, however, a corresponding decrease of \$3 million in Services did not properly post but will be corrected in October. The Controller and F&A projections reflect the proper changes.

Water and Wastewater – For the first three months of the year, water and sewer revenues are 9.5% below budget. As a result, projected revenues for both water and sewer have been reduced by \$2 million each.

### **Other Items of Interest**

Cash Management - As reported last month, a short-term secondary TRANS borrowing of \$15 million occurred on October 24<sup>th</sup>. A second borrowing is anticipated October 31<sup>st</sup> for \$15 million and another on November 7<sup>th</sup> for \$10 million. If you recall, the short-term secondary borrowing last year was \$30 million in October and an additional \$8.8 million in November. The borrowings will occur only if needed and could be less than planned depending on the timing of actual receipts and disbursements.

Performance Measures - The trend section of the monthly report has been reorganized to add additional detail in areas which have been historically problematical. In particular, additional detail on municipal courts revenue, ambulance revenue, interfund billings, CIP cash flow, and fire staffing has been added.

Please contact me for additional information.



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Philip B. Scheps  
Director

c: Sylvia R. Garcia, City Controller  
Albert E. Haines, Chief Administrative Officer

**ATTACHMENT A**  
**FY2003 FINANCING SCHEDULE**

<b>Amount (\$ million)</b>	<b>Issue</b>	<b>Sale Date</b>
<i>Completed Transactions</i>		
250	Airport System - Auction Rate Securities Series 2002 C Series 2002 D	12-Aug-02
12.4	Certificates of Obligation, Series 2002A	28-Aug-02
75	Tax and Revenue Anticipation Notes	23-Oct-02
<i>Pending Transactions</i>		
250	Public Improvement Refunding Bonds /Commercial Paper Take-out	20-Nov-02
175	Tax and Revenue Anticipation Notes	18-Jun-03
270-300	Water and Sewer Commercial Paper Takeout	28-Jul-03
200-300	Airport System New Money / Refunding Bonds	01-Dec-03
300-400	Water and Sewer System Interest Rate Swap	TBA

Note: Periodic issuance of Commercial Paper as needed not shown.

**DISASTER RECOVERY FUND 98 D**Sources and Uses of Recovery Funds  
(amounts expressed in thousands)

SOURCES OF FUNDS	Commitments	
	To Date	Projection
Commercial Property Insurance	23,751 (3)	38,512 (1) (3)
FEMA Reimbursement Zone A	3,287	9,249
FEMA Reimbursement Zone B	396	500
FEMA Reimbursement Other	7,267	12,805 (2)
Subtotal Insured	34,701	61,066
City Funds:		
ALP	25,000	8,265
Convention and Entertainment	10,866	3,276
Water/Waste Water		28
Parks Special Fund		74
Cable TV		3
Building Inspection		11
Interest Income	1,534	1,750
Subtotal Uninsured	37,400	13,407
Total Sources of Funds	<u>72,101</u>	<u>74,473</u>
USES OF FUNDS		
<b>General Fund</b>		
Finance & Administration	991	991
Fire	1,209	1,459
General Government	33	33
Health and Human Services	1,056	1,666
Human Resources	17	20
Library	299	340
Municipal Courts - Administration	495	1,408
Parks and Recreation	380	742
Police	1,225	3,070
Public Works and Engineering	673	1,525
Solid Waste Management	15,724	15,792
Vehicles	1,064	2,500
Subtotal - General Fund	23,166	29,546
<b>Water and Wastewater Fund 701</b>	1,425	10,338
<b>Stormwater Utility Fund 227</b>	283	283
<b>Convention and Entertainment Fund</b>		
Wortham Theater	1,481	1,539
City Hall Annex Parking Garage	950	950
Civic Center/Tranquility Garages	16,197	17,757 (4)
Parks	210	210
Taliento Biligüe de Houston	0	69
Jones Hall	12,739	11,718 (4)
Subtotal - C and E	31,577	32,243
<b>Building Inspector Fund</b>	631	824
<b>F&amp;A - Cable TV Fund</b>	161	791
<b>Aviation Fund</b>	0	51
<b>Parks Special Revenue Funds</b>	397	397
Total Uses of Funds	<u>57,640</u>	<u>74,473</u>
Excess of Sources over Uses	<u>14,461</u>	<u>0</u>

(1) Assumes \$1,200,000 of expediting charges related to C&amp;E facilities is ultimately disallowed by commercial insurance carriers.

(2) Assumes \$4,689,000 of debris removal expense currently under appeal is ultimately disallowed by FEMA.

(3) Commercial Property Insurance does not include \$1.249 million received for Business Interruption Claims.

(4) Projection does not include \$2.547 million of Business Interruption Claims.

General Fund  
Reconciliation of Controller's Office and  
Finance and Administration Projections \*  
For the period ended September 30, 2002

**Revenues**

**Electric Franchise**

Finance and Administration's projection of \$78.2 million is at budget. Controller's Office projection is based on Controller's Office Trends Report dated June 17, 2002.

**Intergovernmental**

Finance and Administration's projection of \$10.5 million is at budget. Controller's Office projection is based on Controller's Office Trends Report dated June 17, 2002.

**Direct Interfund Services**

Finance and Administration's projection decreased \$6.0 million due to a reorganized staffing plan for police at the airports. Controller's Office projection is based on Controller's Trends Report dated June 17, 2002.

**Municipal Courts Fines and Forfeits**

Finance and Administration's projection decreased \$5.0 million due to a lower than projected per-ticket revenue. Controller's Office projection is based on Controller's Trends Report dated June 17, 2002.

**Miscellaneous/Other**

Finance and Administration's projection of \$20.9 million is at budget. Controller's Office projection is based on Controller's Office Trends Report dated June 17, 2002.

**Expenditures**

Currently there are no projections that differ by \$1 million or more.

\*Where projections differ by \$1M or more.

General Fund  
Comparative Projections  
Controller's Office and Finance and Administration  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003				Controller's Projection	F & A Projection	Variance between Controller and F&A
	Preliminary CAFR	Adopted Budget	Current Budget	% of Budget				
<b>Revenues</b>								
General Property Taxes	\$ 623,101	\$ 654,070	\$ 654,070	46%	\$ 646,567	\$ 647,411	844	
Industrial Assessments	15,642	16,000	16,000	1%	16,000	16,000	0	
Sales Tax	341,952	361,087	361,087	25%	360,600	361,087	487	
Electric Franchise	91,906	78,200	78,200	5%	76,000	78,200	2,200	
Telephone Franchise	58,903	58,458	58,458	4%	58,300	58,458	158	
Gas Franchise	13,584	13,550	13,550	1%	13,000	13,550	550	
Other Franchise	12,393	9,812	9,812	1%	10,000	9,812	(188)	
Licenses and Permits	12,559	13,106	13,106	1%	13,000	13,106	106	
Intergovernmental	20,028	10,468	10,468	1%	19,000	10,468	(8,532)	
Charges for Services	31,560	33,532	33,532	2%	34,000	33,532	(468)	
Direct Interfund Services	62,592	84,457	84,457	6%	67,692	78,457	10,765	
Indirect Interfund Services	15,095	15,373	15,373	1%	15,500	15,373	(127)	
Municipal Courts Fines and Forfeits	35,208	44,940	44,940	3%	38,000	39,940	1,940	
Other Fines and Forfeits	2,379	2,397	2,397	0%	2,700	2,397	(303)	
Interest	8,394	8,500	8,500	1%	8,000	8,500	500	
Miscellaneous/Other *	10,994	20,863	20,863	1%	19,700	20,863	1,163	
<b>Total Revenues</b>	<b>1,356,290</b>	<b>1,424,813</b>	<b>1,424,813</b>	<b>100%</b>	<b>1,398,059</b>	<b>1,407,154</b>	<b>9,095</b>	
<b>Expenditures</b>								
Affirmative Action	1,712	1,822	1,822	0%	1,822	1,822	0	
Building Services	29,481	30,549	30,549	2%	30,549	30,549	0	
City Council	4,220	4,135	4,135	0%	4,135	4,135	0	
City Secretary	695	765	765	0%	765	765	0	
Controller	6,214	6,170	6,170	0%	6,170	6,170	0	
Finance and Administration	31,240	18,513	18,513	1%	18,513	18,513	0	
Fire	271,597	283,148	283,148	20%	283,148	283,148	0	
Health and Human Services	55,076	56,018	56,018	4%	56,018	56,018	0	
Housing and Community Dev.	206	-	-	0%	-	-	0	
Human Resources	2,872	2,890	2,890	0%	2,890	2,890	0	
Information Technology	-	10,584	10,584	1%	10,584	10,584	0	
Legal	10,911	10,765	10,765	1%	10,765	10,765	0	
Library	35,263	34,913	34,913	2%	34,913	34,913	0	
Mayor's Office	1,924	1,822	1,822	0%	1,822	1,822	0	
Municipal Courts - Administration	15,994	16,620	16,620	1%	16,620	16,620	0	
Municipal Courts - Justice	3,743	3,903	3,903	0%	3,903	3,903	0	
Parks and Recreation	55,999	55,337	55,337	4%	55,337	55,337	0	
Planning and Development	8,223	15,937	15,937	1%	15,937	15,937	0	
Police	443,683	462,348	456,348	32%	456,348	456,348	0	
Public Works and Engineering	102,403	91,061	91,061	6%	91,061	91,061	0	
Solid Waste Management	60,812	61,051	61,051	4%	61,051	61,051	0	
<b>Total Departmental Expenditures</b>	<b>1,142,268</b>	<b>1,168,351</b>	<b>1,162,351</b>	<b>81%</b>	<b>1,162,351</b>	<b>1,162,351</b>	<b>0</b>	
<b>Non-Departmental Expenditures and Other Uses</b>								
General Government	60,273	82,511	88,511	33%	81,372	81,872	(500)	
Debt Service Transfer	169,000	178,000	178,000	67%	178,000	178,000	0	
<b>Total Non-Dept. Exp. and Other Uses</b>	<b>229,273</b>	<b>260,511</b>	<b>266,511</b>	<b>100%</b>	<b>259,372</b>	<b>259,872</b>	<b>(500)</b>	
<b>Total Expenditures and Other Uses</b>	<b>1,371,541</b>	<b>1,428,863</b>	<b>1,428,863</b>	<b>100%</b>	<b>1,421,723</b>	<b>1,422,223</b>	<b>(500)</b>	
<b>Net Current Activity</b>	<b>(15,251)</b>	<b>(4,050)</b>	<b>(4,050)</b>		<b>(23,664)</b>	<b>(15,069)</b>	<b>8,595</b>	
Amount needed to balance the budget	-	-	-		19,564	-		
Transfer from Any Lawful Purpose Fund	24,100	4,100	4,100		4,100	4,100		
Disaster Recovery Fund Transfer	-	14,712	14,712		15,735	15,735		
<b>Fund Balance, Beginning of Year</b>	<b>81,505</b>	<b>85,184</b>	<b>85,184</b>		<b>90,354</b>	<b>90,354</b>		
<b>Fund Balance, End of Year</b>	<b>\$ 90,354</b>	<b>\$ 99,946</b>	<b>\$ 99,946</b>		<b>\$ 106,089</b>	<b>\$ 95,120</b>		
Designated for Sign Abatement	(2,073)	(2,073)	(2,073)		(2,073)	(2,073)		
Designated for Rainy Day Fund **	(5,000)	(19,712)	(19,712)		(20,735)	(20,735)		
<b>Undesignated Fund Balance, End of Year</b>	<b>\$ 83,281</b>	<b>\$ 78,161</b>	<b>\$ 78,161</b>		<b>\$ 83,281</b>	<b>\$ 72,312</b>		

\* The FY2003 Adopted and Current Budget includes the reclassification of \$4.1 million to the Transfer from Any Lawful Purpose Fund.

\*\* Transfer of excess Disaster Recovery Funds to the "Rainy Day" Cash Reserve.

General Fund  
Comparative Projections  
Controller's Office and Finance and Administration  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003				Controller's Projection	F & A Projection	Variance between Controller and F&A
	Preliminary CAFR	Adopted Budget	Current Budget	% of Budget				
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Telephone Franchise	58,903	58,458	58,458	4%	58,300	58,458	158	
Gas Franchise	13,584	13,550	13,550	1%	13,000	13,550	550	
Other Franchise	12,393	9,812	9,812	1%	10,000	9,812	(188)	
Licenses and Permits	12,559	13,106	13,106	1%	13,000	13,106	106	
Intergovernmental	20,028	10,468	10,468	1%	19,000	10,468	(8,532)	
Charges for Services	31,560	33,532	33,532	2%	34,000	33,532	(468)	
Direct Interfund Services	62,592	84,457	84,457	6%	67,692	78,457	10,765	
Indirect Interfund Services	15,095	15,373	15,373	1%	15,500	15,373	(127)	
Municipal Courts Fines and Forfeits	35,208	44,940	44,940	3%	38,000	39,940	1,940	
Other Fines and Forfeits	2,379	2,397	2,397	0%	2,700	2,397	(303)	
Interest	8,394	8,500	8,500	1%	8,000	8,500	500	
Miscellaneous/Other *	10,994	20,863	20,863	1%	19,700	20,863	1,163	
<b>Total Revenues</b>	<b>1,356,290</b>	<b>1,424,813</b>	<b>1,424,813</b>	<b>100%</b>	<b>1,398,059</b>	<b>1,407,154</b>	<b>9,095</b>	
<b>Expenditures</b>								
Affirmative Action	1,712	1,822	1,822	0%	1,822	1,822	0	
Building Services	29,481	30,549	30,549	2%	30,549	30,549	0	
City Council	4,220	4,135	4,135	0%	4,135	4,135	0	
City Secretary	695	765	765	0%	765	765	0	
Controller	6,214	6,170	6,170	0%	6,170	6,170	0	
Finance and Administration	31,240	18,513	18,513	1%	18,513	18,513	0	
Fire	271,597	283,148	283,148	20%	283,148	283,148	0	
Health and Human Services	55,076	56,018	56,018	4%	56,018	56,018	0	
Housing and Community Dev.	206	-	-	0%	-	-	0	
Human Resources	2,872	2,890	2,890	0%	2,890	2,890	0	
Information Technology	-	10,584	10,584	1%	10,584	10,584	0	
Legal	10,911	10,765	10,765	1%	10,765	10,765	0	
Library	35,263	34,913	34,913	2%	34,913	34,913	0	
Mayor's Office	1,924	1,822	1,822	0%	1,822	1,822	0	
Municipal Courts - Administration	15,994	16,620	16,620	1%	16,620	16,620	0	
Municipal Courts - Justice	3,743	3,903	3,903	0%	3,903	3,903	0	
Parks and Recreation	55,999	55,337	55,337	4%	55,337	55,337	0	
Planning and Development	8,223	15,937	15,937	1%	15,937	15,937	0	
Police	443,683	462,348	456,348	32%	456,348	456,348	0	
Public Works and Engineering	102,403	91,061	91,061	6%	91,061	91,061	0	
Solid Waste Management	60,812	61,051	61,051	4%	61,051	61,051	0	
<b>Total Departmental Expenditures</b>	<b>1,142,268</b>	<b>1,168,351</b>	<b>1,162,351</b>	<b>81%</b>	<b>1,162,351</b>	<b>1,162,351</b>	<b>0</b>	
<b>Non-Departmental Expenditures and Other Uses</b>								
General Government	60,273	82,511	88,511	33%	81,372	81,872	(500)	
Debt Service Transfer	169,000	178,000	178,000	67%	178,000	178,000	0	
<b>Total Non-Dept. Exp. and Other Uses</b>	<b>229,273</b>	<b>260,511</b>	<b>266,511</b>	<b>100%</b>	<b>259,372</b>	<b>259,872</b>	<b>(500)</b>	
<b>Total Expenditures and Other Uses</b>	<b>1,371,541</b>	<b>1,428,863</b>	<b>1,428,863</b>	<b>100%</b>	<b>1,421,723</b>	<b>1,422,223</b>	<b>(500)</b>	
<b>Net Current Activity</b>	<b>(15,251)</b>	<b>(4,050)</b>	<b>(4,050)</b>		<b>(23,664)</b>	<b>(15,069)</b>	<b>8,595</b>	
Amount needed to balance the budget	-	-	-		19,564	-		
Transfer from Any Lawful Purpose Fund	24,100	4,100	4,100		4,100	4,100		
Disaster Recovery Fund Transfer	-	14,712	14,712		15,735	15,735		
<b>Fund Balance, Beginning of Year</b>	<b>81,505</b>	<b>85,184</b>	<b>85,184</b>		<b>90,354</b>	<b>90,354</b>		
<b>Fund Balance, End of Year</b>	<b>\$ 90,354</b>	<b>\$ 99,946</b>	<b>\$ 99,946</b>		<b>\$ 106,089</b>	<b>\$ 95,120</b>		
Designated for Sign Abatement	(2,073)	(2,073)	(2,073)		(2,073)	(2,073)		
Designated for Rainy Day Fund **	(5,000)	(19,712)	(19,712)		(20,735)	(20,735)		
<b>Undesignated Fund Balance, End of Year</b>	<b>\$ 83,281</b>	<b>\$ 78,161</b>	<b>\$ 78,161</b>		<b>\$ 83,281</b>	<b>\$ 72,312</b>		

\* The FY2003 Adopted and Current Budget includes the reclassification of \$4.1 million to the Transfer from Any Lawful Purpose Fund.

\*\* Transfer of excess Disaster Recovery Funds to the "Rainy Day" Cash Reserve.

General Fund  
 Controller's Office  
 Highlights  
 For the period ended September 30, 2002

**Explanation of projected variances from FY2003 Budget of \$1M or more**

**Revenues**

<b>General Property Taxes</b>	(\$7.5M)	Projection is based on the Controller's Office memorandum to the Mayor and City Council dated September 10, 2002.
<b>Electric Franchise</b>	(\$2.2M)	Projection is based on Controller's Office Trends Report dated June 17, 2002.
<b>Intergovernmental</b>	\$8.5M	Projection is based on Controller's Office Trends Report dated June 17, 2002.
<b>Direct Interfund Services</b>	(\$16.8M)	Projection is based on Controller's Office Trends Report dated June 17, 2002.
<b>Municipal Courts Fines and Forfeits</b>	(\$6.9M)	Projection is based on Controller's Office Trends Report dated June 17, 2002.
<b>Miscellaneous/Other</b>	(\$1.2M)	Projection is based on Controller's Office Trends Report dated June 17, 2002.

**Expenditures**

<b>General Government</b>	\$7.1M	Projection is based on savings expected by the Police Department and TRANS interest expense reduction.
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General Fund  
 Controller's Office  
 For the period ended September 30, 2002  
 (amounts expressed in thousands)

	FY2002	FY2003						
	Preliminary CAFR	Adopted Budget	Current Budget	Current Month	YTD	Controller's Projection	Variance from Current Budget	% Variance
<b>Revenues</b>								
General Property Taxes	\$ 623,101	\$ 654,070	\$ 654,070	\$ 2,151	\$ 12,545	\$ 646,567	\$ (7,503)	-1.1%
Industrial Assessments	15,642	16,000	16,000	1,333	4,000	16,000	0	0.0%
Sales Tax	341,952	361,087	361,087	37,032	90,952	360,600	(487)	-0.1%
Electric Franchise	91,906	78,200	78,200	7,011	20,906	76,000	(2,200)	-2.8%
Telephone Franchise	58,903	58,458	58,458	5,045	14,759	58,300	(158)	-0.3%
Gas Franchise	13,584	13,550	13,550	654	1,964	13,000	(550)	-4.1%
Other Franchise	12,393	9,812	9,812	902	2,414	10,000	188	1.9%
Licenses and Permits	12,559	13,106	13,106	1,057	3,157	13,000	(106)	-0.8%
Intergovernmental	20,028	10,468	10,468	118	669	19,000	8,532	81.5%
Charges for Services	31,560	33,532	33,532	2,857	8,514	34,000	468	1.4%
Direct Interfund Services	62,592	84,457	84,457	2,660	7,864	67,692	(16,765)	-19.9%
Indirect Interfund Services	15,095	15,373	15,373	585	2,376	15,500	127	0.8%
Municipal Courts Fines and Forfeits	35,208	44,940	44,940	2,851	8,788	38,000	(6,940)	-15.4%
Other Fines and Forfeits	2,379	2,397	2,397	170	617	2,700	303	12.6%
Interest	8,394	8,500	8,500	398	1,474	8,000	(500)	-5.9%
Miscellaneous/Other *	10,994	20,863	20,863	1,051	2,104	19,700	(1,163)	-5.6%
<b>Total Revenues</b>	<b>1,356,290</b>	<b>1,424,813</b>	<b>1,424,813</b>	<b>65,875</b>	<b>183,103</b>	<b>1,398,059</b>	<b>(26,754)</b>	<b>-1.9%</b>
<b>Expenditures</b>								
<b>Departmental</b>								
Affirmative Action	1,712	1,822	1,822	150	455	1,822	0	0.0%
Building Services	29,481	30,549	30,549	2,490	6,017	30,549	0	0.0%
City Council	4,220	4,135	4,135	345	1,049	4,135	0	0.0%
City Secretary	695	765	765	53	172	765	0	0.0%
Controller	6,214	6,170	6,170	411	1,348	6,170	0	0.0%
Finance and Administration	31,240	18,513	18,513	1,539	4,398	18,513	0	0.0%
Fire	271,597	283,148	283,148	21,999	68,203	283,148	0	0.0%
Health and Human Services	55,076	56,018	56,018	4,965	12,218	56,018	0	0.0%
Housing and Community Dev.	206	-	-	-	-	-	0	0.0%
Human Resources	2,872	2,890	2,890	190	630	2,890	0	0.0%
Information Technology	-	10,584	10,584	758	2,051	10,584	0	0.0%
Legal	10,911	10,765	10,765	802	2,574	10,765	0	0.0%
Library	35,263	34,913	34,913	2,930	7,483	34,913	0	0.0%
Mayor's Office	1,924	1,822	1,822	323	689	1,822	0	0.0%
Municipal Courts - Administration	15,994	16,620	16,620	1,276	3,972	16,620	0	0.0%
Municipal Courts - Justice	3,743	3,903	3,903	328	1,002	3,903	0	0.0%
Parks and Recreation	55,999	55,337	55,337	4,315	13,404	55,337	0	0.0%
Planning and Development	8,223	15,937	15,937	1,210	3,925	15,937	0	0.0%
Police	443,683	462,348	456,348	37,434	114,814	456,348	0	0.0%
Public Works and Engineering	102,403	91,061	91,061	4,972	14,341	91,061	0	0.0%
Solid Waste Management	60,812	61,051	61,051	4,196	15,202	61,051	0	0.0%
<b>Total Departmental Expenditures</b>	<b>1,142,268</b>	<b>1,168,351</b>	<b>1,162,351</b>	<b>90,686</b>	<b>273,947</b>	<b>1,162,351</b>	<b>0</b>	<b>0.0%</b>
<b>Non-Departmental Expenditures and Other Uses</b>								
General Government	60,273	82,511	88,511	3,651	10,727	81,372	7,139	8.7%
Debt Service Transfer	169,000	178,000	178,000	0	0	178,000	0	0.0%
<b>Total Non-Dept. Exp. And Other Uses</b>	<b>229,273</b>	<b>260,511</b>	<b>266,511</b>	<b>3,651</b>	<b>10,727</b>	<b>259,372</b>	<b>7,139</b>	<b>2.7%</b>
<b>Total Expenditures and Other Uses</b>	<b>1,371,541</b>	<b>1,428,863</b>	<b>1,428,863</b>	<b>94,337</b>	<b>284,674</b>	<b>1,421,723</b>	<b>7,139</b>	<b>0.5%</b>
<b>Net Current Activity</b>	<b>(15,251)</b>	<b>(4,050)</b>	<b>(4,050)</b>	<b>(28,462)</b>	<b>(101,571)</b>	<b>(23,664)</b>	<b>19,614</b>	
Amount needed to balance the budget	-	-	-	-	-	19,564	(19,564)	
Transfer from Any Lawful Purpose Fund	24,100	4,100	4,100	-	-	4,100	0	
Disaster Recovery Fund Transfer	-	14,712	14,712	-	-	15,735	-	
<b>Fund Balance, Beginning of Year</b>	<b>81,505</b>	<b>85,184</b>	<b>85,184</b>	<b>90,354</b>	<b>90,354</b>	<b>90,354</b>	<b>5,170</b>	
<b>Fund Balance, End of Year</b>	<b>90,354</b>	<b>99,946</b>	<b>99,946</b>	<b>61,892</b>	<b>(11,217)</b>	<b>106,089</b>	<b>5,220</b>	
Designated for Sign Abatement	(2,073)	(2,073)	(2,073)	-	-	(2,073)	0	
Designated for Rainy Day Fund **	(5,000)	(19,712)	(19,712)	-	-	(20,735)	(1,023)	
<b>Undesignated Fund Balance, End of Year</b>	<b>\$ 83,281</b>	<b>\$ 78,161</b>	<b>\$ 78,161</b>	<b>\$ 61,892</b>	<b>\$ (11,217)</b>	<b>\$ 83,281</b>	<b>\$ 5,120</b>	

\* The FY2003 Adopted and Current Budget includes the reclassification of \$4.1 million to the Transfer from Any Lawful Purpose Fund.

\*\* Transfer of excess Disaster Recovery Funds to the "Rainy Day" Cash Reserve.

General Fund  
Finance and Administration  
Highlights \*  
For the period ended September 30, 2002

**Revenues**

**General Property Taxes**

Projection decreased \$6.7 million primarily due to the certified appraisal roll of \$99.7 billion from the Harris County Appraisal District.

**Direct Interfund Services**

Projection decreased \$6.0 million due to a reorganized staffing plan for police at the airports.  
(This is a passthrough. See General Government expenditures.)

**Municipal Courts Fines and Forfeits**

Projection decreased \$5.0 million due to a lower than projected per-ticket revenue.

**Expenditures**

**General Government**

Projected savings is due to a reduction in police overtime at George Bush Intercontinental Airport, and interest expense due to lower than anticipated interest rates.

\* Where projections differ by \$1 million or more.

General Fund  
Finance and Administration  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003					Variance from Current Budget % Variance	
	Preliminary CAFR	Adopted Budget	Current Budget	Current Month	YTD	F & A Projection		
<b>Revenues</b>								
General Property Taxes	\$ 623,101	\$ 654,070	654,070	\$ 2,151	\$ 12,545	\$ 647,411	(6,659)	-1.0%
Industrial Assessments	15,642	16,000	16,000	1,333	4,000	16,000	0	0.0%
Sales Tax	341,952	361,087	361,087	37,032	90,952	361,087	0	0.0%
Electric Franchise	91,906	78,200	78,200	7,011	20,906	78,200	0	0.0%
Telephone Franchise	58,903	58,458	58,458	5,045	14,759	58,458	0	0.0%
Gas Franchise	13,584	13,550	13,550	654	1,964	13,550	0	0.0%
Other Franchise	12,393	9,812	9,812	902	2,414	9,812	0	0.0%
Licenses and Permits	12,559	13,106	13,106	1,057	3,157	13,106	0	0.0%
Intergovernmental	20,028	10,468	10,468	118	669	10,468	0	0.0%
Charges for Services	31,560	33,532	33,532	2,857	8,514	33,532	0	0.0%
Direct Interfund Services	62,592	84,457	84,457	2,660	7,864	78,457	(6,000)	-7.1%
Indirect Interfund Services	15,095	15,373	15,373	585	2,376	15,373	0	0.0%
Municipal Courts Fines and Forfeits	35,208	44,940	44,940	2,851	8,788	39,940	(5,000)	-11.1%
Other Fines and Forfeits	2,379	2,397	2,397	170	617	2,397	0	0.0%
Interest	8,394	8,500	8,500	398	1,474	8,500	0	0.0%
Miscellaneous/Other *	10,994	20,863	20,863	1,051	2,104	20,863	0	0.0%
Total Revenues	1,356,290	1,424,813	1,424,813	65,875	183,103	1,407,154	(17,659)	-1.2%
<b>Expenditures</b>								
<b>Departmental</b>								
Affirmative Action	1,712	1,822	1,822	150	455	1,822	0	0.0%
Building Services	29,481	30,549	30,549	2,490	6,017	30,549	0	0.0%
City Council	4,220	4,135	4,135	345	1,049	4,135	0	0.0%
City Secretary	695	765	765	53	172	765	0	0.0%
Controller	6,214	6,170	6,170	411	1,348	6,170	0	0.0%
Finance and Administration	31,240	18,513	18,513	1,539	4,398	18,513	0	0.0%
Fire	271,597	283,148	283,148	21,999	68,203	283,148	0	0.0%
Health and Human Services	55,076	56,018	56,018	4,965	12,218	56,018	0	0.0%
Housing and Community Dev.	206	-	-	-	-	-	0	0.0%
Human Resources	2,872	2,890	2,890	190	630	2,890	0	0.0%
Information Technology	-	10,584	10,584	758	2,051	10,584	0	0.0%
Legal	10,911	10,765	10,765	802	2,574	10,765	0	0.0%
Library	35,263	34,913	34,913	2,930	7,483	34,913	0	0.0%
Mayor's Office	1,924	1,822	1,822	323	689	1,822	0	0.0%
Municipal Courts - Administration	15,994	16,620	16,620	1,276	3,972	16,620	0	0.0%
Municipal Courts - Justice	3,743	3,903	3,903	328	1,002	3,903	0	0.0%
Parks and Recreation	55,999	55,337	55,337	4,315	13,404	55,337	0	0.0%
Planning and Development	8,223	15,937	15,937	1,210	3,925	15,937	0	0.0%
Police	443,683	462,348	456,348	37,434	114,814	456,348	0	0.0%
Public Works and Engineering	102,403	91,061	91,061	4,972	14,341	91,061	0	0.0%
Solid Waste Management	60,812	61,051	61,051	4,196	15,202	61,051	0	0.0%
Total Departmental Expenditures	1,142,268	1,168,351	1,162,351	90,686	273,947	1,162,351	0	0.0%
<b>Non-Departmental Expenditures and Other Uses</b>								
General Government	60,273	82,511	88,511	3,651	10,727	81,872	6,639	8.0%
Debt Service Transfer	169,000	178,000	178,000	0	0	178,000	0	0.0%
Total Non-Dept. Exp. and Other Uses	229,273	260,511	266,511	3,651	10,727	259,872	6,639	2.5%
Total Expenditures and Other Uses	1,371,541	1,428,863	1,428,863	94,337	284,674	1,422,223	6,639	0.5%
Net Current Activity	(15,251)	(4,050)	(4,050)	(28,462)	(101,571)	(15,069)	(11,019)	
Transfer from Any Lawful Purpose Fund	24,100	4,100	4,100	-	-	4,100	-	
Disaster Recovery Fund Transfer	-	14,712	14,712	-	-	15,735	(1,023)	
Fund Balance, Beginning of Year	81,505	85,184	85,184	90,354	90,354	90,354	5,170	
Fund Balance, End of Year	90,354	99,946	99,946	61,892	(11,217)	95,120	(4,826)	
Designated for Sign Abatement	(2,073)	(2,073)	(2,073)	-	-	(2,073)	-	
Designated for Rainy Day Fund **	(5,000)	(19,712)	(19,712)	-	-	(20,735)	1,023	
Undesignated Fund Balance, End of Year	\$ 83,281	\$ 78,161	\$ 78,161	\$ 61,892	\$ (11,217)	\$ 72,312	\$ (5,849)	

\* The FY2003 Adopted and Current Budget includes the reclassification of \$4.1 million to the Transfer from Any Lawful Purpose Fund.

\*\* Transfer of excess Disaster Recovery Funds to the "Rainy Day" Cash Reserve.

General Fund  
General Government  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003					Controller's Projection	F&A Projection
	Preliminary CAFR	Adopted Budget	Current Budget	Current Month	YTD	YTD as % of Current Budget		
Non-Dept. Exp. and Other Uses								
General Government								
Insurance-Civilian (Active)	(\$51)	\$0	\$0	\$0	\$0	0.0%	\$0	\$0
Insurance-Civilian (Retirees)	7,901	9,181	9,181	796	2,374	25.9%	9,181	9,535
Insurance-Classified (Retirees)	9,949	11,694	11,694	968	2,905	24.8%	11,695	11,676
Long Term Disability	(12)	0	0	0	0	0.0%	0	0
Compensation Contingency	0	10,000	10,000	0	0	0.0%	10,000	9,575
Total Personnel Services	<u>17,787</u>	<u>30,875</u>	<u>30,875</u>	<u>1,764</u>	<u>5,279</u>	17.1%	<u>30,876</u>	<u>30,786</u>
Insurance Fees	1,221	1,000	1,000	4	16	1.6%	1,000	1,221
Accounting and Auditing Svcs	660	705	705	68	246	34.9%	705	705
Advertising Svcs	174	200	200	13	80	40.0%	200	200
Computer Info/Contracting Svcs	44	0	0	0	0	0.0%	0	0
Legal Services	1,023	915	915	24	144	15.7%	915	915
Management Consulting Svcs	660	1,056	1,056	12	35	3.3%	1,056	1,056
Misc Support Svcs	272	300	300	(6)	(31)	-10.3%	300	300
Real Estate Lease	5,385	8,806	8,806	0	0	0.0%	8,806	8,806
METRO Commuter Passes	605	600	600	5	361	60.2%	600	600
Electricity	0	0	0	0	0	0.0%	0	0
Infrastructure Maintenance	828	5,788	5,788	0	(14)	0.0%	5,788	5,788
Other Interfund Services	0	0	0	0	0	0.0%	0	0
Tax Appraisal Fees	4,591	4,932	4,932	1,205	1,205	24.4%	4,932	4,932
Tax Refunds	10,267	6,100	6,100	106	1,306	21.4%	6,100	6,100
Billing and Collection Svcs	750	750	750	0	750	100.0%	750	750
Elections	2,092	0	0	0	34	0.0%	0	34
Claims and Judgments	6,859	7,000	7,000	279	279	4.0%	7,000	7,000
Contingency / Reserve	0	500	6,500	0	500	0.0%	500	500
Misc Other Services and Charges	1,326	3,623	3,623	8	68	1.9%	4,223	4,223
Membership and Professional Fees	1,256	748	748	169	169	22.6%	748	773
Travel-Non-Training Related	7	0	0	0	0	0.0%	0	0
Mgmt Initiative Savings	0	(3,900)	(3,900)	0	0	0.0%	(3,900)	(3,900)
Total Other Services and Charges	<u>38,020</u>	<u>39,123</u>	<u>45,123</u>	<u>1,887</u>	<u>5,148</u>	11.4%	<u>39,723</u>	<u>40,003</u>
Other Financing Uses								
Debt Service-Interest	4,366	4,650	4,650	0	200	4.3%	2,910	3,220
Transfers to General Fund	100	100	100	0	100	0.0%	100	100
Transfers to Special Revenues	0	7,763	7,763	0	0	0.0%	7,763	7,763
Transfers to Expendable Trusts	0	0	0	0	0	0.0%	0	0
Total Other Financing Uses	<u>4,466</u>	<u>12,513</u>	<u>12,513</u>	<u>0</u>	<u>300</u>	2.4%	<u>10,773</u>	<u>11,083</u>
Total General Government	<u>60,273</u>	<u>82,511</u>	<u>88,511</u>	<u>3,651</u>	<u>10,727</u>	12.1%	<u>81,372</u>	<u>81,872</u>
Debt Service Transfers								
Transfers to PIB Debt Svc	151,850	160,850	160,850	0	0	0.0%	160,850	160,850
Transfers to CO Debt Svc	17,150	17,150	17,150	0	0	0.0%	17,150	17,150
Total Debt Service Transfers	<u>169,000</u>	<u>178,000</u>	<u>178,000</u>	<u>0</u>	<u>0</u>	0.0%	<u>178,000</u>	<u>178,000</u>
Total Non-Dept. Exp and Other Uses	<u>\$ 229,273</u>	<u>\$ 260,511</u>	<u>\$ 266,511</u>	<u>\$ 3,651</u>	<u>\$ 10,727</u>	4.0%	<u>\$ 259,372</u>	<u>\$ 259,872</u>

**Special Non-Recurring Projects Fund**  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	Prior Year Appropriations	Prior Year Expenditures	Current Year Appropriations	Current Year Expenditures	Unexpended Balance
Departmental Expenditures					
Fire	\$ 566	\$ 447	\$ -	\$ -	\$ 119
Human Resources	900	897	-	-	3
Municipal Courts - Administration	2,000	1,977	-	-	23
Police	819	574	-	-	245
Total Departmental Expenditures	<u>\$ 4,285</u>	<u>\$ 3,895</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 390</u>

**About the Fund:**

The Special Non-Recurring Projects Fund is a Type 2 companion fund to the General Fund used to account for non-lapsing appropriations for the General Fund. Type 2 funds are multi-year project funds for which the appropriation is available until completion of the project. The non-recurring projects designated for this fund are as follows: Rain Gear (Fire Department), Classification Study (Human Resources), Strategic Information Plan - computer system (Municipal Courts Admin.), and City Match FY98 COPS MORE program. On May 12, 1999, Council approved \$3.9M from General Fund excess for these projects (Ordinance No. 99-463). Additionally, on November 15, 2000, City Council approved \$350 thousand of Convention and Entertainment Funds (Ordinance No. 2000-996) for the Fire Department. These funds are designated for the purchase of telecommunication equipment, software and services.

**Disaster Recovery Fund**

	Inception To Date	F & A Projection
<b>Revenues</b>		
Recoveries and Refund		
Insurance	\$ 25,000	\$ 38,512
FEMA	11,128	22,554
Interest Income	1,534	1,750
Total Revenues	<u>37,662</u>	<u>62,816</u>
<b>Expenditures</b>		
Personnel	1,986	2,000
Supplies	1,012	1,100
Contracts	48,697	69,623
Equipment	1,453	1,750
Total Expenditures	<u>53,148</u>	<u>74,473</u>
Net Current Activity	<u>(15,486)</u>	<u>(11,657)</u>
<b>Other financing sources (uses)</b>		
Advances:		
ALP Fund	25,000	8,265
Convention and Entertainment	13,665	3,276
Other Funds	-	116
Total other financing sources (uses)	<u>38,665</u>	<u>11,657</u>
Amount Available for Future Expenditures	<u>\$ 23,179</u>	<u>\$ -</u>

**About the Fund:**

The Disaster Recovery Fund was established to separately account for all cost associated with flood damage and recovery resulting from Tropical Storm Allison.

**Rainy Day Fund**

	Current Month	Inception To Date	Controller's Projection	F & A Projection
Fund Balance, Beginning of Year	\$ 5,000	\$ 5,000	\$ 20,735	\$ 20,735
Unappropriated Fund Balance	\$ 5,000	\$ 5,000	\$ 20,735	\$ 20,735

**About the Fund:**

The Rainy Day Fund was established in FY2002 as an all - cash reserve that is available upon approval and appropriation by City Council for emergency purposes. These funds are transferred from the General Fund Balance.

General Fund  
Statement of Cash Transactions  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	Month Ended	YTD
Cash Balance, Beginning of Month	\$ 109,806	\$ 6,413
RECEIPTS:		
Balance Sheet Transactions	2,545	19,981
Note Proceeds	0	175,611
Short-Term Borrowing	0	0
Ad Valorem Tax	2,120	10,886
Sales Tax	25,908	82,350
Mix Beverage Tax	0	1,954
Intergovernmental	120	579
Franchise Fees	2,715	36,808
Industrial Assessments	0	34
Licenses and Permits	1,005	3,047
Municipal Courts Fines	4,016	11,696
Interfund - Any Lawful Purpose	0	0
Interfund - Disaster Recovery Fund	0	0
Interfund - all other funds	7,520	15,527
Interest Appointment	514	1,757
Other	5,508	30,195
Total Receipts	51,971	390,425
DISBURSEMENTS:		
Balance Sheet Transactions	(1,060)	(19,198)
Payroll	(65,865)	(224,181)
Workers Compensation	(1,412)	(3,057)
Operating Transfer Out	0	(100)
Supplies	(2,761)	(8,370)
Contract Services	(7,587)	(21,154)
Rental & Leasings	(276)	(763)
Utilities	(2,323)	(5,213)
TRANS Repayment	0	0
TIRZ Payment	0	(600)
Interfund - Transfer to Rainy Day Fund	0	0
Interfund - Transfer to Debt Service	0	0
Interfund - all other funds	(345)	(7,151)
Capital Outlay	(604)	(1,520)
Other	(5,841)	(31,828)
Total Disbursements	(88,074)	(323,135)
Net Increase (Decrease) in Cash	(36,103)	67,290
Cash Balance, End of Month	\$ 73,703	\$ 73,703

Notes: Totals may not add up exactly due to rounding.

**General Fund**  
**Five Year History and Current Year Projection**  
(amounts expressed in thousands)

	FY1998		FY1999		FY2000	
	Actual	% of Total	Actual	% of Total	Actual	% of Total
<b>Revenues</b>	<b>\$</b>		<b>\$</b>		<b>\$</b>	
General Property Taxes	470,389	42.9%	503,925	43.6%	542,777	45.3%
Industrial Assessments	23,050	2.1%	18,317	1.6%	17,614	1.5%
Sales Tax	291,899	26.6%	305,472	26.4%	313,864	26.2%
Electric Franchise	69,945	6.4%	73,077	6.3%	73,734	6.2%
Telephone Franchise	34,736	3.2%	46,480	4.0%	53,393	4.5%
Gas Franchise	11,329	1.0%	9,282	0.8%	9,481	0.8%
Other Franchise	10,190	0.9%	10,636	0.9%	10,742	0.9%
License and Permits	13,278	1.2%	12,851	1.1%	13,122	1.1%
Intergovernmental	18,417	1.7%	14,404	1.2%	14,702	1.2%
Charges for Services	25,918	2.4%	27,034	2.3%	26,353	2.2%
Direct Interfund Services	43,300	3.9%	46,143	4.0%	44,559	3.7%
Indirect Interfund Services	17,765	1.6%	16,903	1.5%	16,631	1.4%
Muni Courts Fines and Forfeits	45,604	4.2%	50,716	4.4%	41,708	3.5%
Other Fines and Forfeits	2,577	0.2%	2,604	0.2%	2,269	0.2%
Interest	8,239	0.8%	8,057	0.7%	7,636	0.6%
Miscellaneous/Other	10,780	1.0%	9,365	0.8%	8,794	0.7%
<b>Total Revenues</b>	<b>1,097,416</b>	<b>100.0%</b>	<b>1,155,266</b>	<b>100.0%</b>	<b>1,197,379</b>	<b>100.0%</b>
<b>Expenditures</b>						
Affirmative Action	1,565	0.1%	1,652	0.1%	1,795	0.1%
Building Services	0	0.0%	0	0.0%	9,815	0.8%
City Council	3,632	0.3%	4,083	0.3%	4,357	0.4%
City Secretary	759	0.1%	806	0.1%	761	0.1%
Controller	4,840	0.4%	6,025	0.5%	6,255	0.5%
Finance and Administration	26,281	2.4%	27,727	2.4%	30,409	2.5%
Fire	210,108	19.4%	220,400	18.8%	229,366	18.9%
Health and Human Services	54,228	5.0%	55,814	4.8%	56,548	4.6%
Housing and Community Dev.	213	0.0%	214	0.0%	238	0.0%
Human Resources	2,586	0.2%	3,362	0.3%	3,180	0.3%
Legal	9,883	0.9%	9,784	0.8%	10,632	0.9%
Library	31,056	2.9%	33,877	2.9%	35,758	2.9%
Mayor's Office	1,826	0.2%	1,895	0.2%	1,920	0.2%
Municipal Courts - Admin	15,179	1.4%	15,984	1.4%	15,756	1.3%
Municipal Courts - Justice	3,175	0.3%	3,518	0.3%	3,768	0.3%
Parks and Recreation	49,356	4.6%	50,370	4.3%	53,418	4.4%
Planning and Development	7,616	0.7%	8,209	0.7%	9,114	0.7%
Police	383,402	35.4%	408,163	34.8%	422,049	34.7%
Public Works and Engineering	56,241	5.2%	61,015	5.2%	53,114	4.4%
Solid Waste Management	52,358	4.8%	52,533	4.5%	52,966	4.4%
<b>Total Departmental</b>	<b>914,304</b>	<b>84.5%</b>	<b>965,431</b>	<b>82.4%</b>	<b>1,001,219</b>	<b>82.3%</b>
General Government	38,578	3.6%	40,312	3.4%	46,741	3.8%
Debt Service Transfer	125,492	11.6%	142,000	12.1%	152,000	12.5%
Operating Transfer	4,011	0.4%	24,492	2.1%	16,200	1.3%
<b>Total Expenditures</b>	<b>1,082,385</b>	<b>100.0%</b>	<b>1,172,235</b>	<b>100.0%</b>	<b>1,216,160</b>	<b>100.0%</b>
<b>Net Current Activity</b>	<b>15,031</b>		<b>(16,969)</b>		<b>(18,781)</b>	
<b>Change in Reserve for Working Capital</b>	<b>(13)</b>		<b>(400)</b>		<b>(40)</b>	
<b>Residual Equity Transfers</b>	<b>7,733</b>		<b>0</b>		<b>0</b>	
<b>Misc. Reserves</b>	<b>0</b>		<b>0</b>		<b>0</b>	
<b>Fund Balance, Beginning of Year</b>	<b>84,105</b>		<b>106,856</b>		<b>89,487</b>	
<b>Fund Balance, End of Year</b>	<b>106,856</b>		<b>89,487</b>		<b>70,666</b>	
<b>Available for Non-Recurring Items</b>	<b>0</b>		<b>0</b>		<b>0</b>	
<b>Designated for Capital Projects</b>	<b>0</b>		<b>(4,079)</b>		<b>0</b>	
<b>Designated for PIP</b>	<b>0</b>		<b>(5,000)</b>		<b>0</b>	
<b>Undesignated Fund Balance, End of Year</b>	<b>\$106,856</b>		<b>\$80,408</b>		<b>\$70,666</b>	

**General Fund**  
**Five Year History and Current Year Projection (cont'd)**  
(amounts expressed in thousands)

	FY2001		FY2002		FY2003	
	Actual	% of Total	Preliminary	% of Total	Projection	% of Total
			CAFR			
	\$		\$		\$	
<b>Revenues</b>						
General Property Taxes	572,432	45.0%	623,101	45.9%	647,411	46.0%
Industrial Assessments	16,906	1.3%	15,642	1.2%	16,000	1.1%
Sales Tax	329,705	25.9%	341,952	25.2%	361,087	25.7%
Electric Franchise	87,324	6.9%	91,906	6.8%	78,200	5.6%
Telephone Franchise	58,290	4.6%	58,903	4.3%	58,458	4.2%
Gas Franchise	17,672	1.4%	13,584	1.0%	13,550	1.0%
Other Franchise	12,473	1.0%	12,393	0.9%	9,812	0.7%
License and Permits	12,580	1.0%	12,559	0.9%	13,106	0.9%
Intergovernmental	8,074	0.6%	20,028	1.5%	10,468	0.7%
Charges for Services	31,020	2.4%	31,560	2.3%	33,532	2.4%
Direct Interfund Services	46,015	3.6%	62,592	4.6%	78,457	5.6%
Indirect Interfund Services	16,961	1.3%	15,095	1.1%	15,373	1.1%
Muni Courts Fines and Forfeits	40,236	3.2%	35,208	2.6%	39,940	2.8%
Other Fines and Forfeits	2,800	0.2%	2,379	0.2%	2,397	0.2%
Interest	11,108	0.9%	8,394	0.6%	8,500	0.6%
Miscellaneous/Other *	9,053	0.7%	10,994	0.8%	20,863	1.5%
<b>Total Revenues</b>	<b>1,272,649</b>	<b>100.0%</b>	<b>1,356,290</b>	<b>100.0%</b>	<b>1,407,154</b>	<b>100.0%</b>
<b>Expenditures</b>						
Affirmative Action	1,806	0.1%	1,712	0.1%	1,822	0.1%
Building Services	25,562	2.0%	29,481	2.1%	30,549	2.1%
City Council	4,101	0.3%	4,220	0.3%	4,135	0.3%
City Secretary	808	0.1%	695	0.1%	765	0.1%
Controller	6,243	0.5%	6,214	0.5%	6,170	0.4%
Finance and Administration	29,358	2.3%	31,240	2.3%	18,513	1.3%
Fire	235,392	18.6%	271,597	19.8%	283,148	19.9%
Health and Human Services	55,793	4.4%	55,076	4.0%	56,018	3.9%
Housing and Community Dev.	232	0.0%	206	0.0%	-	0.0%
Human Resources	3,380	0.3%	2,872	0.2%	2,890	0.2%
Information Technology	-	0.0%	-	0.0%	10,584	0.7%
Legal	11,121	0.9%	10,911	0.8%	10,765	0.8%
Library	36,240	2.9%	35,263	2.6%	34,913	2.5%
Mayor's Office	2,299	0.2%	1,924	0.1%	1,822	0.1%
Municipal Courts - Admin	15,257	1.2%	15,994	1.2%	16,620	1.2%
Municipal Courts - Justice	3,866	0.3%	3,743	0.3%	3,903	0.3%
Parks and Recreation	55,196	4.4%	55,999	4.1%	55,337	3.9%
Planning and Development	9,059	0.7%	8,223	0.6%	15,937	1.1%
Police	416,470	32.9%	443,683	32.3%	456,348	32.1%
Public Works and Engineering	55,288	4.4%	102,403	7.5%	91,061	6.4%
Solid Waste Management	60,123	4.7%	60,812	4.4%	61,051	4.3%
<b>Total Departmental</b>	<b>1,027,594</b>	<b>81.1%</b>	<b>1,142,268</b>	<b>83.3%</b>	<b>1,162,351</b>	<b>81.7%</b>
General Government	51,271	4.0%	60,273	4.4%	81,872	5.8%
Debt Service Transfer	162,000	12.8%	169,000	12.3%	178,000	12.5%
Operating Transfer	26,543	2.1%	0	0.0%	0	0.0%
<b>Total Expenditures</b>	<b>1,267,408</b>	<b>100.0%</b>	<b>1,371,541</b>	<b>100.0%</b>	<b>1,422,223</b>	<b>100.0%</b>
<b>Net Current Activity</b>	<b>5,241</b>		<b>(15,251)</b>		<b>(15,069)</b>	
<b>Change in Reserve for Working Capital</b>	<b>0</b>		<b>0</b>		<b>0</b>	
<b>Transfer from Any Lawful Purpose Fund</b>			<b>24,100</b>		<b>4,100</b>	
<b>Residual Equity Transfer</b>	<b>5,598</b>		<b>0</b>		<b>0</b>	
<b>Disaster Recovery Fund Transfer</b>	<b>0</b>		<b>0</b>		<b>15,735</b>	
<b>Fund Balance, Beginning of Year</b>	<b>70,666</b>		<b>81,505</b>		<b>90,354</b>	
<b>Fund Balance, End of Year</b>	<b>81,505</b>		<b>90,354</b>		<b>95,120</b>	
<b>Designated for Sign Abatement</b>	<b>(2,073)</b>		<b>(2,073)</b>		<b>(2,073)</b>	
<b>Designated for Rainy Day Fund **</b>	<b>0</b>		<b>(5,000)</b>		<b>(20,735)</b>	
<b>Designated for Capital Projects</b>	<b>0</b>		<b>0</b>		<b>0</b>	
<b>Designated for PIP</b>	<b>0</b>		<b>0</b>		<b>0</b>	
<b>Undesignated Fund Balance, End of Year</b>	<b>\$79,432</b>		<b>\$83,281</b>		<b>\$72,312</b>	

\* The FY2003 Adopted and Current Budget includes the reclassification of \$4.1 million to the Transfer from Any Lawful Purpose Fund.

\*\* Transfer of excess Disaster Recovery Funds to the "Rainy Day" Cash Reserve.

Aviation Operating Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Operating Revenues</b>					
Landing Area	\$ 49,985	\$ 74,845	\$ 14,593	\$ 68,745	\$ 68,745
Bldg and Ground Area	84,406	95,086	22,043	93,486	93,486
Parking and Concession	89,438	98,840	23,385	98,837	98,837
Other	2,327	1,608	639	3,600	3,600
Total Operating Revenues	<u>226,156</u>	<u>270,379</u>	<u>60,660</u>	<u>264,668</u>	<u>264,668</u>
<b>Operating Expenses</b>					
Personnel	47,057	51,286	12,563	51,286	51,286
Supplies	3,816	5,064	968	5,064	5,064
Services	85,919	108,399	18,687	99,399	99,399
Non-Capital Outlay	623	1,310	63	1,310	1,310
Total Operating Expenses	<u>137,415</u>	<u>166,059</u>	<u>32,281</u>	<u>157,059</u>	<u>157,059</u>
Operating Income (Loss)	<u>88,741</u>	<u>104,320</u>	<u>28,379</u>	<u>107,609</u>	<u>107,609</u>
<b>Nonoperating Revenues (Expenses)</b>					
Interest Income	12,816	11,664	2,544	11,664	11,664
Other	3,920	0	11	14	14
Total Nonoperating Rev (Exp)	<u>16,736</u>	<u>11,664</u>	<u>2,555</u>	<u>11,678</u>	<u>11,678</u>
Income (Loss) Before Operating Transfers	<u>105,477</u>	<u>115,984</u>	<u>30,934</u>	<u>119,287</u>	<u>119,287</u>
<b>Operating Transfers</b>					
Interfund Transfer	4,506	0	0	0	0
Debt Service Principal	11,352	30,132	1,613	30,132	30,132
Debt Service Interest	2,375	45,196	2,436	45,196	45,196
Renewal and Replacement	0	5,000	0	5,000	5,000
Capital Improvement	66,301	35,656	7,989	38,959	38,959
Total Operating Transfers	<u>84,534</u>	<u>115,984</u>	<u>12,038</u>	<u>119,287</u>	<u>119,287</u>
Net Income (Loss)					
Operating Fund Only	<u>\$ 20,943</u>	<u>\$ 0</u>	<u>\$ 18,896</u>	<u>\$ 0</u>	<u>\$ 0</u>

**About the Fund:**

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport, the Central Business District Heliport, and Ellington Field. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies, the airlines, and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003				
	Preliminary CAFR	Adopted Budget	Current Budget	YTD	Controllers Projection	F & A Projection
<b>Operating Revenues</b>						
Facility Rentals	\$ 5,107	\$ 4,662	\$ 4,662	\$ 1,108	\$ 4,662	\$ 4,662
Parking	7,882	9,978	9,978	1,385	9,978	9,978
Food and Beverage Concessions	2,350	2,320	2,320	345	2,320	2,320
Contract Cleaning	230	237	237	(15)	237	237
Total Operating Revenues	<u>15,569</u>	<u>17,197</u>	<u>17,197</u>	<u>2,823</u>	<u>17,197</u>	<u>17,197</u>
<b>Operating Expenses</b>						
Personnel	4,769	5,057	5,057	1,259	5,057	5,057
Supplies	390	464	520	97	476	464
Services	16,062	30,779	27,724	2,833	27,765	27,779
Total Operating Expenses	<u>21,221</u>	<u>36,300</u>	<u>33,301</u>	<u>4,189</u>	<u>33,298</u>	<u>33,300</u>
Operating Income (Loss)	<u>(5,652)</u>	<u>(19,103)</u>	<u>(16,104)</u>	<u>(1,366)</u>	<u>(16,101)</u>	<u>(16,103)</u>
<b>Nonoperating Revenues (Expenses)</b>						
Hotel Occupancy Tax						
Current	45,495	46,000	46,000	10,479	44,000	44,000
Delinquent	700	750	750	(56)	750	750
Advertising Services	(10,904)	(10,580)	(10,580)	0	(10,120)	(10,120)
Promotion Contracts	(8,454)	(8,740)	(8,740)	0	(8,360)	(8,360)
Contracts/Sponsorships	(1,238)	(2,160)	(2,160)	(313)	(2,160)	(2,160)
Net Hotel Occupancy Tax	<u>25,599</u>	<u>25,270</u>	<u>25,270</u>	<u>10,110</u>	<u>24,110</u>	<u>24,110</u>
Interest Income	1,788	1,850	1,850	352	1,850	1,850
Capital Outlay	(427)	(4,231)	(4,245)	(78)	(4,238)	(4,231)
Non-Capital Outlay	(126)	(172)	(157)	(5)	(167)	(172)
Other	611	281	281	63	281	281
Total Nonoperating Rev (Exp)	<u>27,445</u>	<u>22,998</u>	<u>22,999</u>	<u>10,442</u>	<u>21,836</u>	<u>21,838</u>
Income (Loss) Before Operating Transfers	<u>21,793</u>	<u>3,895</u>	<u>6,895</u>	<u>9,076</u>	<u>5,735</u>	<u>5,735</u>
<b>Operating Transfers</b>						
Transfers for Interest	5,223	6,500	6,500	1,567	6,500	6,500
Transfers for Principal	4,963	6,200	6,200	1,489	6,200	6,200
Interfund Transfers	4,293	0	3,000	2,955	3,000	3,000
Transfers to Special	(3,500)	(4,500)	(4,500)	0	(4,500)	(4,500)
Total Operating Transfers	<u>10,979</u>	<u>8,200</u>	<u>11,200</u>	<u>6,011</u>	<u>11,200</u>	<u>11,200</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ 10,814</u>	<u>\$ (4,305)</u>	<u>\$ (4,305)</u>	<u>\$ 3,065</u>	<u>\$ (5,465)</u>	<u>\$ (5,465)</u>

**About the Fund:**

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's six major entertainment centers and City-owned parking garages. These centers include the following: Jesse H. Jones Hall; Bayou Place; Houston Center for The Arts; Gus S. Wortham Center; George R. Brown Convention Center and the Tranquility Park and Civic Center garages.

Convention and Entertainment Facilities Operating Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003				
	Preliminary CAFR	Adopted Budget	Current Budget	YTD	Controllers Projection	F & A Projection
<b>Operating Revenues</b>						
Facility Rentals	\$ 5,107	\$ 4,662	\$ 4,662	\$ 1,108	\$ 4,662	\$ 4,662
Parking	7,882	9,978	9,978	1,385	9,978	9,978
Food and Beverage Concessions	2,350	2,320	2,320	345	2,320	2,320
Contract Cleaning	230	237	237	(15)	237	237
Total Operating Revenues	<u>15,569</u>	<u>17,197</u>	<u>17,197</u>	<u>2,823</u>	<u>17,197</u>	<u>17,197</u>
<b>Operating Expenses</b>						
Personnel	4,769	5,057	5,057	1,259	5,057	5,057
Supplies	390	464	520	97	476	464
Services	16,062	30,779	27,724	2,833	27,765	27,779
Total Operating Expenses	<u>21,221</u>	<u>36,300</u>	<u>33,301</u>	<u>4,189</u>	<u>33,298</u>	<u>33,300</u>
Operating Income (Loss)	<u>(5,652)</u>	<u>(19,103)</u>	<u>(16,104)</u>	<u>(1,366)</u>	<u>(16,101)</u>	<u>(16,103)</u>
<b>Nonoperating Revenues (Expenses)</b>						
Hotel Occupancy Tax						
Current	45,495	46,000	46,000	10,479	44,000	44,000
Delinquent	700	750	750	(56)	750	750
Advertising Services	(10,904)	(10,580)	(10,580)	0	(10,120)	(10,120)
Promotion Contracts	(8,454)	(8,740)	(8,740)	0	(8,360)	(8,360)
Contracts/Sponsorships	(1,238)	(2,160)	(2,160)	(313)	(2,160)	(2,160)
Net Hotel Occupancy Tax	<u>25,599</u>	<u>25,270</u>	<u>25,270</u>	<u>10,110</u>	<u>24,110</u>	<u>24,110</u>
Interest Income	1,788	1,850	1,850	352	1,850	1,850
Capital Outlay	(427)	(4,231)	(4,245)	(78)	(4,238)	(4,231)
Non-Capital Outlay	(126)	(172)	(157)	(5)	(167)	(172)
Other	611	281	281	63	281	281
Total Nonoperating Rev (Exp)	<u>27,445</u>	<u>22,998</u>	<u>22,999</u>	<u>10,442</u>	<u>21,836</u>	<u>21,838</u>
Income (Loss) Before Operating Transfers	<u>21,793</u>	<u>3,895</u>	<u>6,895</u>	<u>9,076</u>	<u>5,735</u>	<u>5,735</u>
<b>Operating Transfers</b>						
Transfers for Interest	5,223	6,500	6,500	1,567	6,500	6,500
Transfers for Principal	4,963	6,200	6,200	1,489	6,200	6,200
Interfund Transfers	4,293	0	3,000	2,955	3,000	3,000
Transfers to Special	(3,500)	(4,500)	(4,500)	0	(4,500)	(4,500)
Total Operating Transfers	<u>10,979</u>	<u>8,200</u>	<u>11,200</u>	<u>6,011</u>	<u>11,200</u>	<u>11,200</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ 10,814</u>	<u>\$ (4,305)</u>	<u>\$ (4,305)</u>	<u>\$ 3,065</u>	<u>\$ (5,465)</u>	<u>\$ (5,465)</u>

**About the Fund:**

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's six major entertainment centers and City-owned parking garages. These centers include the following: Jesse H. Jones Hall; Bayou Place; Houston Center for The Arts; Gus S. Wortham Center; George R. Brown Convention Center and the Tranquility Park and Civic Center garages.

Water and Sewer Operating Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003				
	Preliminary CAFR	Adopted Budget	Current Budget	YTD	Controller's Projection	F&A Projection
<b>Operating Revenues</b>						
Water Sales	\$ 269,348	\$ 272,886	\$ 272,886	\$ 74,591	\$ 270,886	\$ 270,886
Sewer Sales	264,390	270,704	270,704	70,440	268,704	268,704
Penalties	4,214	3,741	3,741	1,171	3,741	3,741
Other	3,037	2,766	2,766	787	2,766	2,766
Total Operating Revenues	<u>540,989</u>	<u>550,097</u>	<u>550,097</u>	<u>146,989</u>	<u>546,097</u>	<u>546,097</u>
<b>Operating Expenses</b>						
Personnel	103,104	107,673	107,673	26,521	107,673	107,673
Supplies	23,114	22,792	22,792	4,979	22,792	22,792
Sale of Inventory (Intrafund)	(3,274)	0	0	0	0	0
Service Contracts & Utilities	118,667	125,143	125,143	15,922	125,143	125,143
Uncollectibles	0	1,000	1,000	0	1,000	1,000
Total Operating Expenses	<u>241,611</u>	<u>256,608</u>	<u>256,608</u>	<u>47,422</u>	<u>256,608</u>	<u>256,608</u>
Operating Income (Loss)	<u>299,378</u>	<u>293,489</u>	<u>293,489</u>	<u>99,567</u>	<u>289,489</u>	<u>289,489</u>
<b>Nonoperating Revenues (Expenses)</b>						
Interest Income	29,104	23,000	23,000	5,124	23,000	23,000
Sale of Property, Mains and Scrap	1,428	1,477	1,477	303	1,477	1,477
Other	5,132	7,898	7,898	431	7,898	7,898
CWA & TRA Contracts (P & I)	<u>(31,278)</u>	<u>(32,189)</u>	<u>(32,189)</u>	<u>(12,000)</u>	<u>(32,189)</u>	<u>(32,189)</u>
Total Nonoperating Rev (Exp)	<u>4,386</u>	<u>186</u>	<u>186</u>	<u>(6,142)</u>	<u>186</u>	<u>186</u>
Income (Loss) Before Operating Transfers	<u>303,764</u>	<u>293,675</u>	<u>293,675</u>	<u>93,425</u>	<u>289,675</u>	<u>289,675</u>
<b>Operating Transfers</b>						
Debt Service Principal	58,376	48,262	48,262	13,844	48,262	48,262
Debt Service Interest	144,115	179,595	179,595	42,900	179,595	179,595
Discretionary Debt	36,479	35,947	35,947	7,780	35,947	35,947
Equipment Acquisition	13,021	15,976	15,976	3,994	15,976	15,976
Renewal and Replacement*	0	2,433	2,433	0	2,433	2,433
Accumulated Unexpended (ALP)	14,600	0	0	0	0	0
Transfer for Street and Drainage	21,267	11,462	11,462	0	11,462	11,462
Total Operating Transfers	<u>287,858</u>	<u>293,675</u>	<u>293,675</u>	<u>68,518</u>	<u>293,675</u>	<u>293,675</u>
Net Current Activity						
Operating Fund Only	\$ <u>15,906</u>	\$ <u>0</u>	\$ <u>0</u>	\$ <u>24,907</u>	\$ <u>(4,000)</u>	\$ <u>(4,000)</u>

\*Please refer to Page 31 for the current status of the Renewal and Replacement Fund No. 751.

**About the Fund:**

Public Utilities - Water and Sewer is an enterprise fund which accounts for operation of the City's water and wastewater facilities. The fund provides for the operation of the City's treated and untreated water, as well as, receive and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers.

Health Benefits Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F & A Projection
<b>Operating Revenues</b>					
City Medical Plans	\$ 128,265	\$ 145,419	\$ 36,303	\$ 145,419	\$ 145,419
City Dental Plans	6,837	7,551	1,820	7,551	7,551
City Life Insurance Plans	5,596	5,682	1,429	5,682	5,682
Dependent Care Reimbursement	144	150	38	150	150
Total Operating Revenues	<u>140,842</u>	<u>158,802</u>	<u>39,590</u>	<u>158,802</u>	<u>158,802</u>
<b>Operating Expenses</b>					
City Medical Plan Claims	128,262	142,722	34,523	142,722	142,722
City Dental Plan Claims	6,833	7,551	1,818	7,551	7,551
City Life Insurance Plans	5,596	5,682	1,428	5,682	5,682
Administrative Costs	2,627	3,257	561	3,257	3,257
Dependent Care	144	150	38	150	150
Total Operating Expenses	<u>143,462</u>	<u>159,362</u>	<u>38,368</u>	<u>159,362</u>	<u>159,362</u>
Operating Income (Loss)	(2,620)	(560)	1,222	(560)	(560)
<b>Nonoperating Revenues (Expenses)</b>					
Interest Income	904	510	118	510	510
Prior Year Expense Recovery	1,204	50	172	172	172
Total Nonoperating Rev (Exp)	<u>2,108</u>	<u>560</u>	<u>290</u>	<u>682</u>	<u>682</u>
Net Income (Loss)	(512)	0	1,512	122	122
Retained Earnings, Beginning of Year	<u>1,525</u>	<u>1,000</u>	<u>1,013</u>	<u>1,013</u>	<u>1,013</u>
Retained Earnings, End of Year	<u>\$ 1,013</u>	<u>\$ 1,000</u>	<u>\$ 2,525</u>	<u>\$ 1,135</u>	<u>\$ 1,135</u>

**About the Fund:**

The Health Benefits Fund is an Internal Service Fund administered by the Human Resources Department. The Fund was established in 1984 to centralize the financial transactions for the City's benefit plans. In May 1994, the entire health benefits delivery system was revamped with a new system that employs aggressive managed care features. HMOBlueTexas (HMOBTX) provides Health Maintenance Organization (HMO) and Point of Service (POS) plan, with limits on annual increases. These plans are supported by contributions from the City and participants. The Fund also includes two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. Both plans are supported exclusively by participants.

Long-Term Disability Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary <u>CAFR</u>	Adopted <u>Budget</u>	<u>YTD</u>	Controller's <u>Projection</u>	F & A <u>Projection</u>
<b>Operating Revenues</b>					
Contributions	\$ 818	\$ 1,123	\$ 281	\$ 1,123	\$ 1,123
GASB 10 Operating Transfer	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Operating Revenues	<u>818</u>	<u>1,123</u>	<u>281</u>	<u>1,123</u>	<u>1,123</u>
<b>Operating Expenses</b>					
Management Consulting Services	9	10	0	10	10
Claims Payment Services	103	109	27	109	109
Employee Medical Claims	<u>1,018</u>	<u>1,260</u>	<u>286</u>	<u>1,260</u>	<u>1,260</u>
Total Operating Expenses	<u>1,130</u>	<u>1,379</u>	<u>313</u>	<u>1,379</u>	<u>1,379</u>
Operating Income (Loss)	(312)	(256)	(32)	(256)	(256)
<b>Nonoperating Revenues (Expenses)</b>					
Interest Income	237	256	42	256	256
Prior Year Expense Recovery	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Nonoperating Rev (Exp)	<u>237</u>	<u>256</u>	<u>42</u>	<u>256</u>	<u>256</u>
Net Income (Loss)	(75)	0	10	0	0
Retained Earnings, Beginning of Year	<u>148</u>	<u>20</u>	<u>73</u>	<u>73</u>	<u>73</u>
Retained Earnings, End of Year	<u>\$ 73</u>	<u>\$ 20</u>	<u>\$ 83</u>	<u>\$ 73</u>	<u>\$ 73</u>

**About the Fund:**

The Long Term Disability (LTD) Plan is a self-insured program accounted for as an internal service fund. Established in 1985 and revised in 1996 as part of the Income Protection Plan (IPP) (renamed the Compensable Sick Leave Plan (CSL) in October 1996), the plan provides paid long-term sick leave for City employees.

Property and Casualty Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F & A Projection
<b>Operating Revenues</b>					
Interfund Legal Services	\$ 17,089	\$ 27,096	\$ 472	\$ 27,096	\$ 27,096
Recoveries, Prior and Misc.	18	0	0	0	0
Total Operating Revenues	<u>17,107</u>	<u>27,096</u>	<u>472</u>	<u>27,096</u>	<u>27,096</u>
<b>Operating Expenses</b>					
Personnel	2,183	2,208	553	2,208	2,208
Supplies	28	57	5	57	57
Services:					
Insurance Fees/Adm.	6,266	11,472	35	11,472	11,472
Claims and Judgments	7,268	11,013	260	11,013	11,013
Other Services	1,357	2,346	626	2,346	2,346
Capital Outlay	0	0	0	0	0
Total Operating Expenses	<u>17,102</u>	<u>27,096</u>	<u>1,479</u>	<u>27,096</u>	<u>27,096</u>
Operating Income (Loss)	5	0	(1,007)	0	0
<b>Nonoperating Revenues (Expenses)</b>					
Interest Income	0	0	0	0	0
Total Nonoperating Rev (Exp)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Income (Loss)	5	0	(1,007)	0	0
Retained Earnings, Beginning of Year	<u>57</u>	<u>0</u>	<u>62</u>	<u>62</u>	<u>62</u>
Retained Earnings, End of Year	<u>\$ 62</u>	<u>\$ 0</u>	<u>\$ (945)</u>	<u>\$ 62</u>	<u>\$ 62</u>

**About the Fund:**

The Property and Casualty Fund was established to monitor the financial requirements of the Property and Risk Management Programs. This activity is primarily self-funded. The revenue is generated from assessed premiums to the other funds. These premiums are based upon projected expenditures. All risk to the City's assets (except Workers Compensation, Life, Health Benefits, and Long Term Disability) fall within the scope of this fund.

Workers' Compensation Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F & A Projection
<b>Operating Revenues</b>					
Contributions	\$ 26,474	\$ 31,232	\$ 7,050	\$ 31,232	\$ 31,232
Total Operating Revenues	<u>26,474</u>	<u>31,232</u>	<u>7,050</u>	<u>31,232</u>	<u>31,232</u>
<b>Operating Expenses</b>					
Personnel	1,708	2,000	423	2,000	2,000
Supplies	46	55	3	55	55
Current Year Claims	24,391	28,710	6,274	28,710	28,710
Services	407	588	37	588	588
Capital Outlay	38	0	0	0	0
Non-Capital Outlay	34	18	0	18	18
Total Operating Expenses	<u>26,624</u>	<u>31,371</u>	<u>6,737</u>	<u>31,371</u>	<u>31,371</u>
Operating Income (Loss)	(150)	(139)	313	(139)	(139)
<b>Nonoperating Revenues (Expenses)</b>					
Interest Income	124	135	17	113	113
Prior Year Recoveries	0	0	0	0	0
Other	26	4	21	26	26
Total Nonoperating Rev (Exp)	<u>150</u>	<u>139</u>	<u>38</u>	<u>139</u>	<u>139</u>
Net Income (Loss)	0	0	351	0	0
Retained Earnings, Beginning of Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Retained Earnings, End of Year	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 351</u>	<u>\$ 0</u>	<u>\$ 0</u>

**About the Fund:**

The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Unemployment Compensation, Accident Prevention, and Loss Control.

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### **Houston Emergency Center (Fund 218)**

The City of Houston's Neutral Public Safety Answering Point is one of the thirty-seven answering stations in the Greater Harris County Houston Emergency Center. Emergency calls from Houston citizens are referred to the appropriate emergency agency, such as police, fire, and ambulance services. Calls are also referred to Harris county agencies such as Juvenile Crisis Hotline, Poison Control and Emergency Management Operations. The City of Houston is reimbursed from the special district for salaries, fringe benefits and other operating expenses for the answering station.

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### **Cable TV (Fund 208)**

Operation of the Municipal Access Channel and its facilities is the responsibility of the Cable Communications Division of the Finance and Administration Department. The mission of the division is to effectively communicate to the public relevant information concerning municipal and related governmental and community services. The Cable Television Fund is supported through contributions of the cable television companies in Houston. The contributions are based upon a rate per subscriber and support public, educational, and municipal programming in Houston.

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### **Parks Special Revenue Fund (Fund 206)**

The Parks and Recreation Department administers the Parks Special Revenue Fund. The Fund receives revenue from the following activities: Seven municipal golf courses, Houston Zoological Gardens, rental of park facilities, three tennis centers, "FUNDAY! In the Park" concessions, and sponsorships.

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### **Building Inspection (Fund 214)**

The Planning and Development Department administers the Building Inspection Special Revenue Fund, which ensures that buildings and structures constructed and maintained within the City adhere to the standards set by the City of Houston's Building Code. Permits and Licenses revenue make up approximately 75% of the revenue in this fund. This revenue is derived from the issuance of electrical, plumbing, AC and Boiler and construction permits.

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### **Sign Administration (Fund 210)**

The Planning and Development Department administers the Sign Administration Special Revenue Fund. The Fund oversees sign codes within both the Houston city limits and the City's extra-territorial jurisdiction (ETJ). The code requires the licensing of sign contractors, construction permits for new signs including examination/plan approval, operating permits for new and existing signs, and the impoundment and confiscation of signs found located on the public rights-of-way.

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### **Asset Forfeiture (Fund 212)**

The Houston Police Department receives asset forfeiture proceeds under guidelines set forth by the U.S. Department of Justice, the U.S. Treasury Department, and the State of Texas. These forfeiture proceeds are generated as a result of law enforcement efforts against illegal and criminal activity. Proceeds received under the various guidelines must be used for law enforcement purposes.

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### **Auto Dealers (Fund 204)**

The Auto Dealers Division of the Houston Police Department issues licenses to automotive businesses and vehicle/used parts salesmen. The Auto Dealers Division also monitors private storage facilities/salvage yards, auctions abandoned motor vehicles, and investigates complaints against automotive businesses/salesmen. The revenues generated from the fees are placed in the Auto Dealers Fund.

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### **Child Safety Fund (Fund 948)**

The Child Safety Fund was established to account for monies collected for public, parochial, and private school crossing guard programs. Revenues to the fund come from an assessment of a Municipal Court fee of \$5.00 on non-criminal municipal violations and an additional \$1.50 fee for each vehicle registration authorized by Harris County. If there is a surplus of funds, the City is allowed to keep 10% of the funds received for administrative fees. If a surplus exists after the payment of all covered contract expenses, the excess can be used to pay for programs designed to enhance child safety, health, or nutrition and administration costs of these programs.

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### **Police Special Services Fund (Fund 205)**

The Police Special Services Fund was created to properly account for funds received from other agencies or organizations to reimburse HPD for cost of services for specific law enforcement purposes. These special services include HPD participation in joint police operations, security, traffic control for activities such as "fun runs", parade and festivals.

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### **Houston Transtar Center (Fund 221)**

Houston TranStar Center formerly known as the Greater Houston Transportation & Emergency Management Center. The Houston TranStar Center was built through a cooperative effort among the City of Houston, Harris County, Metropolitan Transit Authority (METRO) and the Texas Department of Transportation (TxDOT). The center houses personnel responsible for and/or involved with transportation and emergency management planning and operations in the Houston/Harris County area. Funding for the center is received from each member agency and is prorated based on occupancy and use of center facilities.

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### **Stormwater Utility Special Revenue Fund (Fund 227)**

The Stormwater Utility Special Revenue Fund provides for the maintenance and repair of streets, rights-of-way and drainage infrastructure, such as storm sewers and roadside ditches. The source of funding for these activities is a Street/Drainage Maintenance charge. This service charge is 4 percent of the net water and sewer revenues generated annually by the Public Utilities-Water and Sewer Fund. The purpose of the charge is to compensate for the "wear and tear" on the City's street and drainage systems as a result of the construction and maintenance of the water and wastewater systems.

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### **TxDOT Signal Maintenance Fund (Fund 234)**

In FY1996, the City entered into an agreement with the Texas Department of Transportation (TxDOT) to operate and maintain TxDOT traffic signals on selected state owned roadways located within the City. Funding is electrical power costs and emergency replacement costs of traffic signals covered under the agreement. The fund is administered by the Maintenance and Right-of-Way group of the Public Works and Engineering Department.

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### **Technology Fee Fund (Fund 261)**

The Technology Fee Fund was established in FY2001 to account for revenue generated from a \$4.00 technology fee for convictions on all traffic and non-traffic misdemeanor offenses occurring on or after June 26, 2000. The purpose of the fund is to finance technological enhancements for the Municipal Courts including computer systems, networks hardware, software, imaging systems, electronic ticket-writers and docket management systems.

Houston Emergency Center  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Current Revenues	\$ 3,531	\$ 14,291	\$ 334	\$ 14,291	\$ 14,291
Total Revenues	<u>3,531</u>	<u>14,291</u>	<u>334</u>	<u>14,291</u>	<u>14,291</u>
<b>Expenditures</b>					
Maintenance and Operations	3,217	14,291	3,385	14,291	14,291
Total Expenditures	<u>3,217</u>	<u>14,291</u>	<u>3,385</u>	<u>14,291</u>	<u>14,291</u>
Net Current Activity	314	0	(3,051)	0	0
Fund Balance, Beginning of Year	<u>0</u>	<u>314</u>	<u>314</u>	<u>314</u>	<u>314</u>
Fund Balance, End of Year	\$ <u>314</u>	\$ <u>314</u>	\$ <u>(2,737)</u>	\$ <u>314</u>	\$ <u>314</u>

Cable TV  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Current Revenues	\$ 1,776	\$ 1,511	\$ 369	\$ 1,511	\$ 1,511
Total Revenues	<u>1,776</u>	<u>1,511</u>	<u>369</u>	<u>1,511</u>	<u>1,511</u>
<b>Expenditures</b>					
Maintenance and Operations	1,203	1,499	544	1,499	1,499
Total Expenditures	<u>1,203</u>	<u>1,499</u>	<u>544</u>	<u>1,499</u>	<u>1,499</u>
Net Current Activity	573	12	(175)	12	12
Fund Balance, Beginning of Year	<u>36</u>	<u>609</u>	<u>609</u>	<u>609</u>	<u>609</u>
Fund Balance, End of Year	\$ <u>609</u>	\$ <u>621</u>	\$ <u>434</u>	\$ <u>621</u>	\$ <u>621</u>

Parks Special Revenue Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Concessions	\$ 1,557	\$ 1,704	\$ 374	\$ 1,704	\$ 1,704
Zoo/Facility Admissions	2,015	1,914	54	85	85
Program Fees	294	285	47	285	285
Rental of Property	963	1,193	238	1,193	1,193
Licenses and Permits	116	118	22	118	118
Interest Income	198	189	25	189	189
Golf and Tennis	2,204	2,761	585	2,761	2,761
Other	192	139	35	139	139
Total Revenues	<u>7,539</u>	<u>8,302</u>	<u>1,380</u>	<u>6,473</u>	<u>6,473</u>
<b>Expenditures</b>					
Personnel	6,138	5,739	1,190	3,566	3,566
Supplies	738	993	144	993	993
Other Services	694	1,599	78	1,599	1,599
Capital Outlay	247	701	62	701	701
Non-Capital Outlay	0	0	0	0	0
Total Expenditures	<u>7,817</u>	<u>9,032</u>	<u>1,473</u>	<u>6,859</u>	<u>6,859</u>
<b>Operating Transfers</b>					
Operating Transfers Out	1,500	0	0	0	0
Total Operating Transfers Out	<u>1,500</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	(1,778)	(730)	(93)	(386)	(386)
Fund Balance, Beginning of Year	<u>4,169</u>	<u>2,342</u>	<u>2,391</u>	<u>2,391</u>	<u>2,391</u>
Fund Balance, End of Year	<u>\$ 2,391</u>	<u>\$ 1,612</u>	<u>\$ 2,298</u>	<u>\$ 2,005</u>	<u>\$ 2,005</u>

Building Inspection Special Revenue Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Permits and Licenses	\$ 17,812	\$ 15,338	\$ 3,718	\$ 15,338	\$ 15,338
Charges for Services	3,189	2,586	766	2,586	2,586
Other	246	624	35	624	624
Interest Income	307	329	63	329	329
Total Revenues	<u>21,554</u>	<u>18,877</u>	<u>4,582</u>	<u>18,877</u>	<u>18,877</u>
<b>Expenditures</b>					
Personnel	15,008	15,281	3,776	15,281	15,281
Supplies	416	383	84	383	383
Other Services	3,647	5,279	372	5,279	5,279
Capital Outlay	566	1,134	3	1,134	1,134
Non-Capital Outlay	34	64	0	64	64
Total Expenditures	<u>19,671</u>	<u>22,141</u>	<u>4,235</u>	<u>22,141</u>	<u>22,141</u>
Net Current Activity	<u>1,883</u>	<u>(3,264)</u>	<u>347</u>	<u>(3,264)</u>	<u>(3,264)</u>
<b>Other financing sources (uses)</b>					
Operating Transfers Out	0	0	0	0	0
Total other financing sources (uses)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Excess (deficiency) of revenues and other financing sources over expenditures and other financing (uses)	1,883	(3,264)	347	(3,264)	(3,264)
Fund Balance, Beginning of Year	<u>3,959</u>	<u>3,764</u>	<u>5,842</u>	<u>5,842</u>	<u>5,842</u>
Fund Balance, End of Year	<u>\$ 5,842</u>	<u>\$ 500</u>	<u>\$ 6,189</u>	<u>\$ 2,578</u>	<u>\$ 2,578</u>

Sign Administration  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Sign and Permit Fees	\$ 1,740	\$ 1,624	\$ 315	\$ 1,624	\$ 1,624
Interest Income	85	54	12	54	54
Miscellaneous	0	0	2	0	0
Total Revenues	<u>1,825</u>	<u>1,678</u>	<u>329</u>	<u>1,678</u>	<u>1,678</u>
<b>Expenditures</b>					
Maintenance and Operations	1,708	2,286	425	2,286	2,286
Total Expenditures	<u>1,708</u>	<u>2,286</u>	<u>425</u>	<u>2,286</u>	<u>2,286</u>
Net Current Activity	<u>117</u>	<u>(608)</u>	<u>(96)</u>	<u>(608)</u>	<u>(608)</u>
<b>Other financing sources (uses)</b>					
Operating Transfers Out	0	12	0	12	12
<b>Total other financing sources (uses)</b>	<u>0</u>	<u>12</u>	<u>0</u>	<u>12</u>	<u>12</u>
Excess (deficiency) of revenues and other financing sources over expenditures and other financing (uses)					
	117	(596)	(96)	(596)	(596)
Fund Balance, Beginning of Year	<u>946</u>	<u>1,063</u>	<u>1,063</u>	<u>1,063</u>	<u>1,063</u>
Fund Balance, End of Year	<u>\$ 1,063</u>	<u>\$ 467</u>	<u>\$ 967</u>	<u>\$ 467</u>	<u>\$ 467</u>

Asset Forfeiture Special Revenue Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Confiscations	\$ 6,257	\$ 7,100	\$ 2,239	\$ 7,100	\$ 7,100
Interest Income	220	260	29	260	260
Other	0	70	0	70	70
Total Revenues	<u>6,478</u>	<u>7,430</u>	<u>2,268</u>	<u>7,430</u>	<u>7,430</u>
<b>Expenditures</b>					
Personnel	4,457	5,701	0	5,701	5,701
Supplies	2,231	1,852	32	1,852	1,852
Other Services	767	1,000	73	1,000	1,000
Capital Outlay	35	140	4	140	140
Non-Capital Outlay	220	257	0	257	257
Total Expenditures	<u>7,711</u>	<u>8,950</u>	<u>109</u>	<u>8,950</u>	<u>8,950</u>
Net Current Activity	(1,233)	(1,520)	2,159	(1,520)	(1,520)
Fund Balance, Beginning of Year	<u>3,417</u>	<u>1,520</u>	<u>2,184</u>	<u>2,184</u>	<u>2,184</u>
Fund Balance, End of Year	<u>\$ 2,184</u>	<u>\$ 0</u>	<u>\$ 4,343</u>	<u>\$ 664</u>	<u>\$ 664</u>

Auto Dealers  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Auto Dealers Licenses	\$ 877	\$ 900	\$ 339	\$ 900	\$ 900
Vehicle Storage Notification	223	233	53	233	233
Vehicle Auction Fees	349	335	73	335	335
Other	727	525	15	525	525
Total Revenues	<u>2,175</u>	<u>1,992</u>	<u>481</u>	<u>1,992</u>	<u>1,992</u>
<b>Expenditures</b>					
Personnel	1,178	1,417	279	1,417	1,417
Supplies	163	175	45	175	175
Other Services	265	639	15	639	639
Capital Outlay	182	123	0	123	123
Non-Capital Outlay	0	0	0	0	0
Total Expenditures	<u>1,789</u>	<u>2,354</u>	<u>339</u>	<u>2,354</u>	<u>2,354</u>
Net Current Activity	387	(361)	142	(361)	(361)
Fund Balance, Beginning of Year	<u>1,153</u>	<u>888</u>	<u>1,540</u>	<u>1,540</u>	<u>1,540</u>
Fund Balance, End of Year	\$ <u>1,540</u>	\$ <u>527</u>	\$ <u>1,681</u>	\$ <u>1,178</u>	\$ <u>1,178</u>

Child Safety Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002	FY2003			
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Interest on Investments	\$ 89	\$ 105	\$ 10	\$ 105	\$ 105
Municipal Courts Collections	880	1,115	227	1,115	1,115
Harris County Collections	2,079	1,974	514	1,974	1,974
Total Revenues	<u>3,048</u>	<u>3,194</u>	<u>750</u>	<u>3,194</u>	<u>3,194</u>
<b>Expenditures</b>					
School Crossing Guard Program	3,186	3,192	(412)	3,192	3,192
Mayor's After School Program	2	0	0	0	0
Accounting and Auditing	0	0	0	0	0
Miscellaneous Parts and Supplies	0	3	0	3	3
Total Expenditures	<u>3,188</u>	<u>3,194</u>	<u>(412)</u>	<u>3,194</u>	<u>3,194</u>
Net Current Activity	(139)	0	1,162	0	0
Fund Balance, Beginning of Year	<u>544</u>	<u>0</u>	<u>405</u>	<u>405</u>	<u>405</u>
Fund Balance, End of Year	\$ <u>405</u>	\$ <u>0</u>	\$ <u>1,567</u>	\$ <u>405</u>	\$ <u>405</u>

Police Special Services Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Police Fees	\$ 2,116	\$ 3,104	\$ 550	\$ 3,104	\$ 3,104
Interest Income	297	230	77	230	230
Other	810	214	46	214	214
Total Revenues	<u>3,223</u>	<u>3,548</u>	<u>673</u>	<u>3,548</u>	<u>3,548</u>
<b>Expenditures</b>					
Personnel	1,177	2,221	577	2,221	2,221
Supplies	68	258	0	258	258
Other Services	200	655	34	655	655
Equipment	206	1,418	115	1,418	1,418
Interfund Transfers	291	400	0	400	400
Total Expenditures	<u>1,941</u>	<u>4,952</u>	<u>727</u>	<u>4,952</u>	<u>4,952</u>
Net Current Activity	1,282	(1,404)	(54)	(1,404)	(1,404)
Fund Balance, Beginning of Year	<u>3,814</u>	<u>4,085</u>	<u>5,096</u>	<u>5,096</u>	<u>5,096</u>
Fund Balance, End of Year	\$ <u>5,096</u>	\$ <u>2,681</u>	\$ <u>5,042</u>	\$ <u>3,692</u>	\$ <u>3,692</u>

Houston Transtar Center  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Other Grant Awards	\$ 1,019	\$ 1,035	\$ 188	\$ 1,035	\$ 1,035
Other Service Charges	622	630	158	630	630
Misc. Revenue	3	0	0	0	0
Interest Income	22	20	3	20	20
Total Revenues	<u>1,666</u>	<u>1,686</u>	<u>348</u>	<u>1,686</u>	<u>1,686</u>
<b>Expenditures</b>					
Maintenance and Operations	<u>1,783</u>	<u>1,715</u>	<u>141</u>	<u>1,715</u>	<u>1,715</u>
Total Expenditures	<u>1,783</u>	<u>1,715</u>	<u>141</u>	<u>1,715</u>	<u>1,715</u>
Net Current Activity	(117)	(29)	207	(29)	(29)
Fund Balance, Beginning of Year	<u>114</u>	<u>(7)</u>	<u>(3)</u>	<u>(3)</u>	<u>(3)</u>
Fund Balance, End of Year	\$ <u>(3)</u>	\$ <u>(36)</u>	\$ <u>204</u>	\$ <u>(32)</u>	\$ <u>(32)</u>

Stormwater Utility Special Revenue Fund  
For the period ending September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Miscellaneous	\$ 296	\$ 49	\$ 42	\$ 49	\$ 49
Total Revenues	<u>296</u>	<u>49</u>	<u>42</u>	<u>49</u>	<u>49</u>
<b>Expenditures</b>					
Personnel	10,347	14,934	3,552	14,934	14,562
Supplies	1,021	1,980	252	1,980	2,022
Other Services	9,240	15,716	2,478	15,716	15,774
Capital Outlay	1,732	3,438	855	3,438	3,710
Debt Service	1,534	400	0	400	400
Total Expenditures	<u>23,874</u>	<u>36,468</u>	<u>7,137</u>	<u>36,468</u>	<u>36,468</u>
<b>Other Financing Sources (Uses)</b>					
Interest Income	530	400	85	400	400
Operating Transfers In	26,357	27,180	3,919	27,180	27,180
Total Other Financing Sources (Uses)	<u>26,887</u>	<u>27,580</u>	<u>4,004</u>	<u>27,580</u>	<u>27,580</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)					
	3,309	(8,839)	(3,091)	(8,839)	(8,839)
Fund Balance, Beginning of Year	<u>6,660</u>	<u>8,839</u>	<u>9,969</u>	<u>9,969</u>	<u>9,969</u>
Fund Balance, End of Year	<u>\$ 9,969</u>	<u>\$ 0</u>	<u>\$ 6,878</u>	<u>\$ 1,130</u>	<u>\$ 1,130</u>

TxDOT Signal Maintenance Fund  
For the period ending September 30, 2002  
(amounts expressed in thousands)

	FY2002		FY2003		
	Preliminary CAFR	Adopted Budget	YTD	Controller's Projection	F&A Projection
<b>Revenues</b>					
Current Revenues	\$ 760	\$ 736	\$ 197	\$ 736	\$ 736
Total Revenues	<u>760</u>	<u>736</u>	<u>197</u>	<u>736</u>	<u>736</u>
<b>Expenditures</b>					
Maintenance and Operations	712	653	197	653	653
Interfund Transfers	48	84	0	84	84
Total Expenditures	<u>760</u>	<u>736</u>	<u>197</u>	<u>736</u>	<u>736</u>
Net Current Activity	0	0	0	0	0
Fund Balance, Beginning of Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Fund Balance, End of Year	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

Technology Fee Fund  
For the period ended September 30, 2002  
(amounts expressed in thousands)

	FY2002 Preliminary CAFR	Inception To Date	Controller's Projection	F& A Projection
Revenues				
Current Revenues	\$ 2,338	\$ 2,711	\$ 3,782	\$ 3,782
Total Revenues	<u>2,338</u>	<u>2,711</u>	<u>3,782</u>	<u>3,782</u>
Expenditures				
Supplies and Services	70	279	3,121	3,121
Total Expenditures	<u>70</u>	<u>279</u>	<u>3,121</u>	<u>3,121</u>
Net Current Activity	<u>2,268</u>	<u>2,432</u>	<u>661</u>	<u>661</u>
Projected/Unappropriated	-	-	-	-
Unappropriated Fund Balance	<u>\$ 2,268</u>	<u>\$ 2,432</u>	<u>\$ 661</u>	<u>\$ 661</u>

City of Houston, Texas  
Construction/Bond Fund Status Report  
For the period ended September 30, 2002  
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available	Unexpended (a) Appropriation	Available for Appropriation
<b>Equipment and Miscellaneous</b>							
12F	Dangerous Building Demolition Series 1997B	3,966	(24) (e)	0	0	0	0
12G	Dangerous Building Demolition Series 1999B	3,500	868	0	0	0	0
12T	Dangerous Building Demolition Series 2001C	4,000	4,000	0	0	0	0
12A	Dangerous Bldg. Consolidations	n/a	0	n/a	4,847	4,686	161
	<b>Total Dangerous Building Funds</b>	<b>15,442</b>	<b>4,844</b>	<b>0</b>	<b>4,847</b>	<b>4,686</b>	<b>161</b>
109	Equipment Acquisition-1995B	28,600	32	0	5	0	5
123	Equipment Acquisition Series 1993A	41,000	7	0	1	0	1
115	Equipment Acquisition Series 1996	80,900	4,680	15,900	31	0	31
12H	Fire Special Acquisition Fund	3,000	24	0	24	24	0
113	Equipment Acquisition Consolidated Fund	n/a	0	n/a	20,179	20,224	(45) (e)
	<b>Total Equipment Acquisition Funds</b>	<b>153,500</b>	<b>4,743</b>	<b>15,900</b>	<b>20,240</b>	<b>20,248</b>	<b>(8)</b>
404	Certificates of Obligation Lamar Terrace 2000A	5,298	617	0	524	203	321
	<b>Total Equipment and Miscellaneous</b>	<b>174,240</b>	<b>10,204</b>	<b>15,900</b>	<b>25,611</b>	<b>25,137</b>	<b>474</b>
<b>Public Improvement</b>							
48A	Fire Dept. Capital Projects	755	5,176	0	5,176	2,177	2,999
46C	Fire Dept CP Series A (99)	7,000	0	3,515	0	0	0
47C	Fire Dept CP Series A (00)	6,000	0	6,000	0	0	0
44C	Fire Dept CP Series B (01)	7,710	0	7,710	0	0	0
4BC	Fire Dept CP Series D (02)	5,000	0	5,000	0	0	0
413	Fire Bond Consolidation	n/a	0	n/a	22,210	6,739	15,471
	<b>Total Fire Department</b>	<b>28,465</b>	<b>5,176</b>	<b>22,225</b>	<b>27,386</b>	<b>8,916</b>	<b>18,470</b>
45K	Housing CP Series A (99)	5,000	0	1,251	0	0	0
46K	Housing CP Series A (99)	3,000	0	3,000	0	0	0
47K	Housing CP Series A (00)	2,000	0	2,000	0	0	0
4BK	Housing CP Series D (02)	5,000	0	5,000	0	0	0
415	Housing Consolidated Fund	n/a	0	n/a	11,090	6,992	4,098
	<b>Total Housing</b>	<b>35,300</b>	<b>0</b>	<b>11,251</b>	<b>11,090</b>	<b>6,992</b>	<b>4,098</b>
45F	Perm. & Gen. Imprv. CP Series A (99)	10,000	0	1,659	0	0	0
46F	Perm. & Gen. Imprv. CP Series A (99)	3,000	0	3,000	0	0	0
47F	Perm. & Gen. Imprv. CP Series A (00)	10,000	0	10,000	0	0	0
4AF	Perm. & Gen. Imprv. CP Series B (01)	2,840	0	2,840	0	0	0
4BF	Perm. & Gen. Imprv. CP Series D (02)	10,000	0	10,000	0	0	0
49F	Certificates of Obligation Series 2001 A	12,025	3,469	0	3,469	2,830	639
49J	MUD Series 2001A	9,492	5,183	0	5,183	4,829	354
49K	Certificates of Obligation Series 2002A	12,400	12,207	0	12,207	0	12,207
441	General Improvement Consolidated Fund	n/a	20	n/a	27,180	11,051	16,129
	<b>Total General Improvement</b>	<b>81,757</b>	<b>20,879</b>	<b>27,499</b>	<b>48,039</b>	<b>18,710</b>	<b>29,329</b>
43H	Public Health CP Series A (97)	881	0	0	0	0	0
44H	Public Health CP Series A (98)	4,000	0	3,667	0	0	0
46H	Public Health CP Series A (98)	1,000	0	1,000	0	0	0
47H	Public Health CP Series A (00)	6,600	0	6,600	0	0	0
4AH	Public Health CP Series B (01)	3,100	0	3,100	0	0	0
4BH	Public Health CP Series D (02)	5,000	0	5,000	0	0	0
440	Public Health Consolidated Fund	n/a	0	n/a	19,347	2,304	17,043
	<b>Total Public Health &amp; Welfare</b>	<b>23,300</b>	<b>0</b>	<b>19,367</b>	<b>19,347</b>	<b>2,304</b>	<b>17,043</b>
49A	Library Capital Projects Fund	3,256	1,567	0	1,567	434	1,133
44E	Public Library CP Series A (98)	5,500	0	0	0	0	0
45E	Public Library CP Series A (99)	2,000	0	2,000	0	0	0
46E	Public Library CP Series A (99)	4,000	0	4,000	0	0	0
47E	Public Library CP Series A (00)	3,000	0	3,000	0	0	0
4AE	Public Library CP Series B (01)	12,600	0	12,600	0	0	0
439	Public Library Consolidated Fund	n/a	(207)	n/a	21,323	5,560	15,763
	<b>Total Public Library</b>	<b>30,356</b>	<b>1,360</b>	<b>21,600</b>	<b>22,890</b>	<b>5,994</b>	<b>16,896</b>
465	Parks Capital Project Fund	n/a	1,269	0	1,217	903	314
491	Parks Special Fund	n/a	3,384	0	3,283	2,367	916
47B	Parks & Recreation CP Series A (00)	10,200	0	0	0	0	0
4AB	Parks & Recreation CP Series B (01)	3,800	0	1,122	0	0	0
4BB	Parks & Recreation CP Series D (02)	20,000	0	20,000	0	0	0
421	Parks Consolidated Fund	n/a	0	n/a	20,678	16,205	4,473
	<b>Total Parks and Recreation</b>	<b>44,000</b>	<b>4,653</b>	<b>21,122</b>	<b>25,178</b>	<b>19,475</b>	<b>5,703</b>

City of Houston, Texas  
Construction/Bond Fund Status Report  
For the period ended September 30, 2002  
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available	Unexpended (a) Appropriation	Available for Appropriation
42A	Police & Law CP Series B	25,591	0	7,167	0	0	0
44A	Police & Law CP Series A (98)	5,000	0	5,000	0	0	0
47A	Police CP Series A (00)	9,700	0	9,700	0	0	0
4AA	Police CP Series B (01)	8,750	0	8,750	0	0	0
435	Police Consolidated Fund	n/a	0	n/a	30,405	2,889	27,516
	<b>Total Police Department</b>	<b>95,101</b>	<b>0</b>	<b>30,617</b>	<b>30,405</b>	<b>2,889</b>	<b>27,516</b>
233	Solid Waste Special Revenue Fund	n/a	309	0	309	33	276
45D	Solid Waste Mgt. CP Series A (99)	8,000	0	272	0	0	0
46D	Solid Waste Mgt. CP Series A (99)	2,000	0	2,000	0	0	0
47D	Solid Waste Mgt. CP Series A (00)	200	0	200	0	0	0
48D	Solid Waste Mgt. CP Series D (02)	5,000	0	5,000	0	0	0
427	Solid Waste Consolidated Fund	n/a	0	n/a	7,467	859	6,608
	<b>Total Solid Waste</b>	<b>15,200</b>	<b>309</b>	<b>7,472</b>	<b>7,776</b>	<b>892</b>	<b>6,884</b>
47J	Storm Sewer CP Series A (00)	15,000	0	3,698	0	0	0
4AJ	Storm Sewer CP Series B (01)	7,500	0	7,500	0	0	0
4BJ	Storm Sewer CP Series D (02)	40,000	0	40,000	0	0	0
49G	Commercial Paper Storm & Overlay Fund	19,100	(1,437)	9,100	7,114	6,954	160
436	Storm Sewer Consolidated Fund	n/a	0	n/a	50,207	27,429	22,778
	<b>Total Storm Sewer</b>	<b>122,090</b>	<b>(1,437)</b>	<b>60,298</b>	<b>57,321</b>	<b>34,383</b>	<b>22,938</b>
43G	St., Bridges & Traf. CP Series A (97)	135,659	0	0	0	14	(14) (e)
47G	St., Bridges & Traf. CP Series A (00)	70,300	0	52,562	0	0	0
4AG	St., Bridges & Traf. CP Series B (01)	57,000	0	57,000	0	0	0
4BG	St., Bridges & Traf. CP Series D (02)	75,000	0	75,000	0	0	0
405	Street & Bridge Construction Fund	62,695	10,671	0	10,236	6,355	3,881
437	Street & Bridge Consolidated Fund	n/a	1,145	n/a	181,737	153,005	28,732
	<b>Total Street &amp; Bridge</b>	<b>636,764</b>	<b>11,816</b>	<b>184,562</b>	<b>191,973</b>	<b>159,374</b>	<b>32,599</b>
	<b>Total Public Improvement</b>	<b>1,112,985</b>	<b>42,779</b>	<b>406,013</b>	<b>441,410</b>	<b>259,929</b>	<b>181,481</b>
	<b>Airport</b>						
54A	Airport System Commercial Paper 2001 (AMT)	200,000	5,137	200,000	16	0	16
54B	Airport System Commercial Paper 2001 (Non-AMT)	200,000	2,139	100,000	10	0	10
548	Airport System Consolidated 2001 (AMT)	200,000	0	0	372,099	228,306	143,793
549	Airport System Consolidated 2001 (Non-AMT)	100,000	0	0	212,062	153,467	58,595
537	Airport System CP Series A,B,&C(AMT)	300,000	0	0	(447) (e)	0	(447) (e)
540	Airport System RevBd 2000A (AMT)	327,225	115,931	0	387	0	387
530	Airport System Construction 2000 (AMT)	n/a	0	0	109,501	107,434	2,067
	<b>Total Airport Consolidated Funds</b>	<b>1,027,225</b>	<b>115,931</b>	<b>300,000</b>	<b>693,602</b>	<b>489,207</b>	<b>204,395</b>
541	Airport System Rev Bd Fund	n/a	0	0	0	2	(2) (e)
542	Airport System Rev Bd Fd - 1988	85,210	0	0	0	25	(25) (e)
543	Airport System Construction-91 Series	66,395	0	0	0	108	(108) (e)
544	Airport System Rev Bd Fd - 1991B Series	29,840	0	0	0	194	(194) (e)
535	Airport System Rev Bd fund - 1998B (AMT)	395,643	97,208	0	88,202	86,988	1,214
536	Airport System Rev Bd fund - 1998C (Non-AMT)	99,028	10,395	0	7,783	7,549	234
553	Airport System R & R Fund	n/a	13,506	0	13,418	1,283	12,135
561	Airport System Improvement Fund	n/a	192,276	0	178,965	68,128	110,837
538	Airport System RevBd 2000B (Non-AMT) Const.	269,240	84,298	0	77,406	71,570	5,836
54C	Airport System Construction 2002A (AMT)	129,120	129,188	0	68	0	68
54D	Airport System 2002B (Non-AMT) Const.	213,347	210,538	0	113	0	113
54E	Airport System 2002C - D2 (AMT) Const.	239,216	241,166	0	2,794	0	2,794
	<b>Total Other Funds</b>	<b>1,527,039</b>	<b>978,575</b>	<b>0</b>	<b>368,749</b>	<b>235,847</b>	<b>132,902</b>
	<b>Total Airport</b>	<b>2,554,264</b>	<b>1,094,506</b>	<b>300,000</b>	<b>1,062,351</b>	<b>725,054</b>	<b>337,297</b>
	<b>Convention &amp; Entertainment Fac.</b>						
603	Convention & Ent. Commercial Paper-Ser A	37,500	(3)	0	(3)	0	(3) (e)
652	GRB Construction Fund Ser. 2001C ARCS	28,451	0	0	0	0	0
651	GRB Construction Fund Ser. 2001A&B	137,516	117,803	0	3,321	0	3,321
650	GRB Consolidated Construction Fund	n/a	0	n/a	119,200	120,037	(837) (e)
	<b>Total GRB Construction Funds</b>	<b>203,467</b>	<b>117,800</b>	<b>0</b>	<b>122,518</b>	<b>120,037</b>	<b>2,481</b>
604	Convention & Ent. Commercial Paper-Ser B	37,500	0	0	0	13,079	(13,079) (e)
662	Hotel Construction Fund 2001C ARCS	93,490	42,000	0	1,178	0	1,178
661	Hotel Construction Fund 2001C A&B	150,112	159,514	0	8,350	0	8,350
660	Hotel Consolidated Construction Fund	n/a	n/a	n/a	181,985	0	181,985
	<b>Total Hotel Construction Funds</b>	<b>281,102</b>	<b>201,514</b>	<b>0</b>	<b>191,513</b>	<b>13,079</b>	<b>178,434</b>
605	Theater District R&R	n/a	216	0	216	162	54
614	Civic Center Construction Fund - 1995	5,738	491	0	419	294	125
616	George R. Brown Construction Fund - 1995	n/a	872	0	872	279	593
618	C & E Construction Fund	n/a	12,429	0	12,009	8,084	3,925
620	Convention & Entertainment Expansion	n/a	0	0	0	(1,000) (e)	1,000
	<b>Total Civic Center</b>	<b>484,569</b>	<b>333,322</b>	<b>0</b>	<b>327,547</b>	<b>140,935</b>	<b>186,612</b>

City of Houston, Texas  
Construction/Bond Fund Status Report  
For the period ended September 30, 2002  
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available	Unexpended (a) Appropriation	Available for Appropriation
<b>Water and Sewer</b>							
75A	W&S CP Ser A Constr. Fund	500,000	48,099	320,000	40,711	0	40,711
75B	W&S CP Ser B Constr. Fund	200,000	0	200,000	0	0	0
751	W&S R & R Fund	n/a	362,536	0	26,900	900	26,000
755	W&S Consolidated Construction	n/a	0	n/a	849,760	629,916	219,844
Total Water & Sewer Consolidated Funds		700,000	410,635	520,000	917,371	630,816	286,555
757	Harris County MUD #254	4,100	864	0	1,024	835	189 (b)
758	Harris County MUD #159	1,100	330	0	327	0	327
76A	Harris County MUD #107	n/a	65	0	60	42	18
76C	Harris County MUD #48	n/a	372	0	394	0	394
76D	Harris County MUD #58	n/a	252	0	252	0	252
Total MUDs		5,200	1,883	0	2,057	877	1,180
726	Water & Sewer Revenue Bonds, Series 1992A	998	102	0	102	0	102
733	Water Contributed Capital Fund	n/a	29,442	0	29,055	12,795	16,260
742	Sewer Reg Cap Recovery Fd	n/a	4,378	0	4,378	0	4,378
744	Impact Fees	n/a	12,972	0	12,972	6,686	6,286
754	Accumulated Unexpended Funds	n/a	13,733	0	27,266	27,430	(164) (e)
Total Water And Sewer		706,198	473,145	520,000	993,201	678,604	314,597
419	MTA Construction Fund	n/a	4,298	0	3,728	2,958	770
Total All Funds		\$ 5,032,256	\$ 1,958,254	\$ 1,241,913	\$ 2,853,848	\$ 1,832,617	\$ 1,021,231

- (a) Net Resources Available is equal to Current Assets less Current Liabilities.
- (b) These construction funds have been added as a result of the FY95 annexation program.
- (c) These funds may be used for R & R of the Theater District Facilities only.
- (d) These construction funds have been added as a result of the FY96 annexation program.
- (e) This balance is being researched by the Financial Reporting division of the Controllers Office.
- (f) The balance in this fund is less than \$500.
- (g) Transactions affecting this fund have not been posted.

City of Houston, Texas  
Commercial Paper (CP) Notes Status Report  
For the period ended September 30, 2002  
(amounts expressed in thousands)

Fund No.	Fund Name	Authorized Amount	CP Notes Issued	Authorized But Unissued	Available For Appropriation	Combined Available For Appropriation
<b>General Obligation</b>						
42A	Police & Law CP Series B	25,591	18,424	7,167	4,066	
44A	Police & Law CP Series A (98)	5,000	0	5,000	5,000	
47A	Police & Law CP Series A (00)	9,700	0	9,700	9,700	
4AA	Police & Law CP Series B (01)	8,750	0	8,750	8,750	27,516
47B	Parks & Recreation CP Series A (00)	10,200	10,200	0	0	
4AB	Parks & Recreation CP Series B (01)	3,800	2,678	1,122	0	
4BB	Parks & Recreation CP Series D (02)	20,000	0	20,000	4,473	4,473
46C	Fire Dept CP Series A (99)	7,000	3,485	3,515	0	
47C	Fire Dept CP Series A (00)	6,000	0	6,000	2,761	
4AC	Fire Dept CP Series B (01)	7,710	0	7,710	7,710	
4BC	Fire Dept CP Series D (02)	5,000	0	5,000	5,000	15,471
45D	Solid Waste Mgt. CP Series A (99)	8,000	7,728	272	0	
46D	Solid Waste Mgt. CP Series A (99)	2,000	0	2,000	1,408	
47D	Solid Waste Mgt. CP Series A (00)	200	0	200	200	
4BD	Solid Waste Mgt. CP Series D (02)	5,000	0	5,000	5,000	6,608
44E	Public Library CP Series A (98)	5,500	5,500	0	0	
45E	Public Library CP Series A (99)	2,000	0	2,000	0	
46E	Public Library CP Series A (99)	4,000	0	4,000	163	
47E	Public Library CP Series A (00)	3,000	0	3,000	3,000	
4AE	Public Library CP Series B (01)	12,600	0	12,600	12,600	15,763
45F	Perm. & Gen. Imprv. CP Series A (99)	10,000	8,341	1,659	0	
46F	Perm. & Gen. Imprv. CP Series A (99)	3,000	0	3,000	0	
47F	Perm. & Gen. Imprv. CP Series A (00)	10,000	0	10,000	3,289	
4AF	Perm. & Gen. Imprv. CP Series B (01)	2,840	0	2,840	2,840	
4BF	Perm. & Gen. Imprv. CP Series D (02)	10,000	0	10,000	10,000	16,129
47G	St., Bridges & Traf. CP Series A (00)	70,300	17,738	52,562	0	
4AG	St., Bridges & Traf. CP Series B (01)	57,000	0	57,000	0	
4BG	St., Bridges & Traf. CP Series D (02)	75,000	0	75,000	28,732	28,732
43H	Public Health CP Series A (97)	881	881	0	0	
44H	Public Health CP Series A (98)	4,000	333	3,667	1,343	
46H	Public Health CP Series A (99)	1,000	0	1,000	1,000	
47H	Public Health CP Series A (00)	6,600	0	6,600	6,600	
4AH	Public Health CP Series B (01)	3,100	0	3,100	3,100	
4BH	Public Health CP Series D (02)	5,000	0	5,000	5,000	17,043
47J	Storm Sewer CP Series A (00)	15,000	11,302	3,698	0.00	
4AJ	Storm Sewer CP Series B (01)	7,500	0	7,500	0	
4BJ	Storm Sewer CP Series D (02)	40,000	0	40,000	22,778	22,778
45K	Homeless & Housing CP Series A (99)	5,000	3,749	1,251	0	
46K	Homeless & Housing CP Series A (99)	3,000	0	3,000	0	
47K	Homeless & Housing CP Series A (00)	2,000	0	2,000	0	
4BK	Homeless & Housing CP Series D (02)	5,000	0	5,000	4,098	4,098
42L	G.O.C.P. Rounding Fund Series B	n/a	1,373 (a)	(1,373)	n/a	n/a
43L	G.O.C.P. Rounding Fund Series A (97)	n/a	0 (a)	0	n/a	n/a
44L	G.O.C.P. Rounding Fund Series A (98)	n/a	3,494 (a)	(3,494)	n/a	n/a
45L	G.O.C.P. Rounding Fund Series A (99)	n/a	(1,235) (a)	1,235	n/a	n/a
46L	G.O.C.P. Rounding Fund Series A (99)	n/a	3,234 (a)	(3,234)	n/a	n/a
47L	G.O.C.P. Rounding Fund Series A (00)	n/a	5,760 (a)	(5,760)	n/a	n/a
4AL	G.O.C.P. Rounding Fund Series B (01)	n/a	7,322 (a)	(7,322)	n/a	n/a
4BL	G.O.C.P. Rounding Fund Series D (02)	n/a	0 (a)	0	n/a	n/a
	Total General Obligation CP Notes	917,760	540,326 (b,c)	376,965	158,611	158,611
115	Equipment Acquisition, Series 1996	80,900	65,000	15,900	31	31
49G	Series C Commercial Paper Storm & Overlay	19,100	10,000	9,100	160	160
<b>Airport</b>						
54A	Airport System 2001 (AMT)	200,000	0	200,000	143,793	143,793
54B	Airport System 2001 (Non-AMT)	100,000	0	100,000	58,595	58,595
537	Airport System CP Series A, B & C	0	0	0	(447) (d)	(447)
		300,000	0	300,000	(447)	(447)

City of Houston, Texas  
Commercial Paper (CP) Notes Status Report  
For the period ended September 30, 2002  
(amounts expressed in thousands)

**Convention and Entertainment**

**Water and Sewer**

75A	Water & Sewer CP Series A Constr. Fund (Series A)	500,000	180,000	320,000	40,711	40,711
75B	Water & Sewer CP Series A Constr. Fund (Series B)	200,000	0	200,000	0	0
		<u>700,000</u>	<u>180,000</u>	<u>520,000</u>	<u>40,711</u>	<u>40,711</u>
	<b>Total All Commercial Paper</b>	<u>\$ 2,017,760</u>	<u>\$ 795,326</u>	<u>\$ 1,221,965</u>	<u>\$ 199,066</u>	<u>\$ 199,066</u>

- (a) Amounts in the Rounding Funds will be reallocated to one of the voter authorized categories within its series on an as-needed basis.
- (b) May not foot due to rounding.
- (c) As of September 30, 2002 the General Obligation Commercial Paper Programs Series A had issued \$660 million, of which \$440.7 million had been refunded leaving \$234.3 million outstanding. Series B had issued \$204 million of which 137 million had been refunded leaving 67 million outstanding, and Series C had issued \$240 million, of which \$165 million had been refunded leaving \$75 million outstanding.
- (d) Transactions affecting this fund have not been posted.

City of Houston, Texas  
Total Outstanding Debt  
September 30, 2002 and September 30, 2001  
(amounts expressed in thousands)

	September 30, 2002	September 30, 2001
<b>Payable from Ad Valorem Taxes</b>		
Tax Bonds (a)		
PIB	\$ 1,467,340	\$ 1,556,445
GO Commercial Paper Notes (b)	376,300	204,300
Judgment Bonds	2,365	3,365
Certificates of Obligations	46,928	46,668
Assumed Bonds	96,321	105,201
Subtotal	\$ 1,989,254	\$ 1,915,979
<b>Payable from Sources Other Than Ad Valorem Taxes</b>		
Water and Sewer System Bonds		
Water and Sewer System Revenue Bonds	\$ 3,483,599	\$ 3,121,085
Water and Sewer System Commercial Paper Notes (c)	230,000	360,000
Airport System Bonds		
Airport System Revenue Bonds	2,209,365	1,490,160
Airport System Commercial Paper Notes (d)	0	0
Airport Special Facilities Revenue Bonds	683,365	686,330
Sports Arena Revenue Bonds	2,640	3,855
Hotel Occupancy Tax and Civic Parking		
Facilities Revenue Bonds	620,584	626,540
Hotel Occupancy Tax Commercial Paper	0	0
Contract Revenue Obligations	383,176	267,187
Subtotal	\$ 7,612,729	\$ 6,555,157
<b>Total Debt Payable by the City</b>	<b>\$ 9,601,983</b>	<b>\$ 8,471,136</b>

(a) As of September 30, 2002, the amount of Tax bonds authorized by voters in 1991 and 1997 but unissued totals \$218 million of which \$218 million is authorized commercial paper that has not been drawn. In November 2001 the voters authorized \$776 million in tax bonds of which 165.0 is authorized commercial paper that has not been drawn.

(b) The City authorized a maximum issuance of General Obligation Commercial Paper Programs Series A \$348,000,000, Series B \$166,300,000, Series C \$100,000,000 and Series D \$165,000,000. As of September 30, 2002, Commercial Paper Programs Series A had \$234.3 million outstanding, Series B had \$67 million outstanding, and Series C had \$75 million outstanding.

(c) The City authorized issuance of a \$500 million Water and Sewer Commercial Paper Program on September 22, 1993 (\$300,000,000 Series A) March 1, 1995 (\$200,000,000 Series B) and in July 2001 an additional 200,000,000 Series A was authorized. As of September 30, 2002 \$2,333.4 million had been issued and \$2,103.4 million has been converted to long term debt leaving \$230.0 million outstanding for Series A. As of September 30, 2002 Series B had \$0.00 outstanding.

(d) The City authorized issuance of a \$100 million Airport System Commercial Paper Program, Series A & B on October 21, 1993. On October 1, 1998, the City authorized issuance of a \$50 million Airport System Inferior Line Commercial Paper, Series C. On December 28, 1999 the City authorized an additional \$100 million of the Airport System Inferior Lien Commercial Paper, Series C. On January 19, 2000 the City authorized an additional \$50 million of the Airport System Senior Lien Commercial Paper, Series A & B. As of September 30, 2002 Series A and Series B had no Commercial Paper outstanding.

**GRANT FUNDING STATUS REPORT**  
**GRANT EXPENDITURES – as of September 30, 2002**

Department	FY2002 Preliminary CAFR	FY2003 Planned	FY2003 Y-T-D	%Plnd Expnd	FY2003 Projected
Aviation	\$ 44,894,708	\$ 48,815,000	9,431,548	19.32%	\$ 48,815,000
Finance & Administration	2,156,725	419,000	8,152	1.95%	419,000
Fire Department	35,559	50,000	3,462	6.92%	50,000
Health & Human Services	47,044,399	46,759,000	8,243,786	17.63%	46,759,000
Housing & Community Dev.	45,163,225	46,967,000	7,199,524	15.33%	46,967,000
Human Resources	0	300,000	52,669	17.56%	300,000
Legal	741,522	805,000	46,304	5.75%	805,000
Library	3,827,961	3,496,000	1,425,960	40.79%	3,496,000
Mayor's Office	4,442,599	6,449,000	762,588	11.82%	6,449,000
Parks & Recreation	4,521,129	9,231,000	2,303,334	24.95%	9,231,000
Police Department	8,230,607	9,514,000	1,114,721	11.72%	9,514,000
Planning and Development	1,312,186	4,495,000	40,767	0.91%	4,495,000
Public Works & Engineering	6,620,087	3,050,000	0	0.00%	3,050,000
Solid Waste Management	264,563	185,000	26,154	14.14%	185,000
<b>Totals</b>	<b>\$169,255,270</b>	<b>\$180,535,000</b>	<b>\$30,658,969</b>	<b>16.98%</b>	<b>\$180,535,000</b>

**Grant Activity Overview**

Year to date expenditures of \$30.6 million is 16.9% of the FY2003 planned expenditures. U.S. Department of Housing & Urban Development program funds for FY2003 have been released. Increased HUD program expenditures are expected shortly. Texas Department of Health program funds are also expected shortly. Year to date expenditures do not include reimbursements from FEMA for Tropical Storm Allison.

**Grant Acquisition Requests**

Department/Program	Agency	Amount	Match
<b>Health &amp; Human Services Department</b>			
HIV Prevention Cooperative Agreement	Centers for Disease Control	\$5,836,387	None
HIV/AIDS Surveillance Cooperative Agreement	Centers for Disease Control	\$1,347,782	None
Tuberculosis Prevention Cooperative Agreement	Centers for Disease Control	\$2,702,888	None
Sexually Transmitted Disease	Centers for Disease Control	\$1,445,997	None
Greater Houston Environmental Public Health Tracking Program	Centers for Disease Control	\$383,231	None
Food Surveillance and Bioterrorism Preparedness	UT-School of Public Health/ National Institutes of Health	\$48,100	None
Integration of Viral Hepatitis Prevention Services	Centers for Disease Control	\$87,712	None
Lead-Based Paint Hazard Control Program – Round Eight	US Department of Housing and Urban Development	\$2,500,000	None

**Parks & Recreation Department**

Migratory Birding Project	National Fish and Wildlife Foundation	\$80,000	None
Crestmont Park Indoor Recreation Project	Texas Parks & Wildlife Department	\$750,000	None
Houston Outdoor Heritage Program	Texas Parks and Wildlife	\$30,000	None

**Police Department**

No Suspect Casework DNA Backlog Reduction Program/1	National Institute of Justice and Texas Dept of Public Safety	\$1,111,524	None
Creating a Culture of Integrity/1	Department of Justice, Office of Community Oriented Services (COPS)	\$75,000	Cash & In-Kind
Crime Analysis Geographic Information System/1 (CAGIS)	National Institute of Justice	\$457,692	None
Crime Laboratory Improvement/1	National Institute of Justice	\$165,000	None
Local Law Enforcement Block	U.S. Department of Justice, Bureau of Justice Assistance	\$3,726,633	None
New Approach Anti-Drug Program – Coppertree 4	Housing & Urban Development	\$196,000	None
New Approach Anti-Drug Program – Coolwood 4	Housing & Urban Development	\$160,000	None
Texas Police Corps/1	TCLEOSE	\$70,000	None
Department of Public Safety Video Grant	Department of Public Safety	\$7,421,023	None
STEP Occupant Protection '03	Texas Dept of Public Safety	\$300,000	Cash
STEP Safe Trucks Driven Safely '03	Texas Dept of Public Safety	\$311,812	In-Kind

**FY2003 FULL TIME EQUIVALENT (FTE) REPORT  
(1 FTE equals 2,088 Hours per year)**

DEPARTMENT / FUND	FY2002 Actual	FY2003 Budget	FY2003 (1) Current Month	FY2003 (1) YTD Avg.	Overtime FY2002 Actual	Overtime FY2003 Budget	Overtime(1) FY2003 YTD	Temp Svcs (1) FY2003 YTD
<b>ENTERPRISE FUNDS</b>								
Aviation	1,061.6	1,182.2	1,083.2	1,075.2	41.4	33.9	42.7	4.7
Convention and Entertainment Facilities	81.2	88.2	82.4	82.0	1.0	2.4	0.9	0.6
Public Works and Engineering	2,020.1	2,334.6	2,024.9	2,013.0	226.5	141.4	255.8	61.0
<b>TOTAL ENTERPRISE FUNDS</b>	<b>3,162.9</b>	<b>3,605.0</b>	<b>3,190.5</b>	<b>3,170.2</b>	<b>268.8</b>	<b>177.7</b>	<b>299.4</b>	<b>66.3</b>
<b>GENERAL FUND MUNICIPAL</b>								
Building Services	302.6	324.1	303.4	302.8	10.5	6.3	14.6	0.0
City Secretary	14.3	16.7	13.9	14.1	1.5	0.1	0.6	0.0
Controller's Office	84.3	85.6	81.2	81.7	0.0	0.0	0.0	0.7
Council Office	78.9	83.3	77.1	78.6	0.0	0.0	0.0	0.0
Finance & Administration	460.8	322.6	311.1	312.6	2.5	1.3	1.6	4.9
Fire Department	295.8	323.7	290.7	290.1	22.6	31.4	23.9	1.1
Health & Human Services	883.1	927.5	796.6	804.5	14.9	18.2	20.2	37.3
Human Resources	52.8	54.0	45.5	47.5	0.1	0.0	0.1	0.0
Information Technology (3)	0.0	132.5	134.6	133.9	0.0	0.0	0.2	0.4
Legal	163.4	160.5	157.5	157.0	0.2	0.0	0.2	0.0
Library	597.6	584.4	557.4	558.7	7.2	0.0	2.5	0.3
Mayor's Affirmative Action (4)	28.4	31.2	32.0	31.7	0.0	0.0	0.0	0.2
Mayor's Office	25.0	23.1	26.3	26.6	0.0	0.0	0.0	0.7
Municipal Courts - Administration	386.7	400.6	375.3	370.8	1.8	1.4	6.0	0.0
Municipal Courts - Justice	45.2	50.9	49.2	48.5	0.0	0.0	0.0	0.0
Parks & Recreation	1,081.0	1,131.3	972.4	999.3	13.8	14.3	12.6	0.8
Planning & Development (5)	125.5	236.4	220.1	219.3	0.0	0.0	0.7	0.0
Police Department (6)	1,716.8	1,564.1	1,431.7	1,462.0	54.4	48.8	45.5	7.5
Public Works and Engineering (5,6)	1,055.6	955.0	808.5	810.0	93.6	47.4	57.5	17.7
Solid Waste Management	548.1	575.2	530.7	529.4	69.0	55.0	59.7	13.7
<b>SUBTOTAL MUNICIPAL</b>	<b>7,945.8</b>	<b>7,982.7</b>	<b>7,215.2</b>	<b>7,279.1</b>	<b>292.3</b>	<b>224.2</b>	<b>245.7</b>	<b>85.2</b>
<b>GENERAL FUND CADETS</b>								
Fire Department	162.5	184.3	254.3	245.3	0.0	0.0	0.0	0.0
Police Department	87.3	91.5	69.5	70.9	0.0	0.0	0.0	0.0
<b>SUBTOTAL CADETS</b>	<b>249.7</b>	<b>275.8</b>	<b>323.9</b>	<b>316.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**FY2003 FULL TIME EQUIVALENT (FTE) REPORT  
(1 FTE equals 2,088 Hours per year)**

DEPARTMENT / FUND	FY2002 Actual	FY2003 Budget	FY2003 (1) Current Month	FY2003 (1) YTD Avg.	Overtime FY2002 Actual	Overtime FY2003 Budget	Overtime(1) FY2003 YTD	Temp Svcs (1) FY2003 YTD
<b>GENERAL FUND CLASSIFIED</b>								
Fire Department	3,277.5	3,558.7	3,316.4	3,339.4	402.4	322.8	461.4	0.0
Police Department	5,293.4	5,357.8	5,292.0	5,294.7	390.5(2)	296.3	358.9(2)	0.0
<b>SUBTOTAL CLASSIFIED</b>	<b>8,571.0</b>	<b>8,916.5</b>	<b>8,608.4</b>	<b>8,634.1</b>	<b>792.9</b>	<b>619.1</b>	<b>820.3</b>	<b>0.0</b>
<b>TOTAL GENERAL FUND</b>	<b>16,766.5</b>	<b>17,175.0</b>	<b>16,147.5</b>	<b>16,229.4</b>	<b>1,085.2</b>	<b>843.3</b>	<b>1,066.0</b>	<b>85.2</b>
<b>GRANTS &amp; SPECIAL FUNDS</b>								
Building Services	17.6	22.6	17.7	17.7	0.4	1.1	0.2	6.5
Finance & Administration	96.6	29.1	29.0	30.8	3.6	0.3	0.4	4.6
Health & Human Services	249.0	388.0	291.2	352.7	5.8	0.0	12.0	41.6
Housing & Community Development	109.6	116.0	106.0	106.2	0.1	0.0	0.2	3.8
Houston Emergency Center (7)	0.0	278.8	271.4	260.8	0.0	0.0	16.3	1.0
Human Resources	72.1	82.3	74.0	73.3	0.4	0.1	1.3	3.3
Legal	50.8	55.0	48.9	49.8	0.0	0.0	0.0	0.0
Library	11.5	22.0	2.7	10.7	0.0	0.0	0.0	0.0
Mayor's Office	23.2	37.0	12.7	14.1	0.0	0.0	0.0	14.6
Parks & Recreation	179.1	203.4	94.2	137.3	4.8	5.9	6.1	0.0
Planning & Development	290.8	382.0	294.7	293.6	11.3	6.2	10.0	1.5
Police Department - Classified	12.5	14.0	12.0	12.0	1.7	1.5	1.5	0.0
Police Department - Municipal	5.9	7.0	5.0	5.0	0.2	0.3	0.3	0.0
Public Works and Engineering (8)	380.5	503.6	493.2	485.4	62.5	52.1	88.8	3.3
<b>TOTAL SPECIAL FUNDS</b>	<b>1,499.2</b>	<b>2,140.8</b>	<b>1,752.7</b>	<b>1,849.5</b>	<b>90.9</b>	<b>67.5</b>	<b>137.3</b>	<b>80.0</b>
<b>CITY-WIDE TOTAL</b>	<b>21,428.6</b>	<b>22,920.8</b>	<b>21,090.6</b>	<b>21,249.1</b>	<b>1,444.9</b>	<b>1,088.5</b>	<b>1,502.8</b>	<b>231.6</b>

(1) FY2003 Current Month begins 8/31/2002; YTD begins 6/29/2002 and both end 9/27/2002.

(2) Includes overtime hours from the Asset Forfeiture and Police Special Funds.

(3) The newly created Information Technology Department was extracted from the Finance and Administration Department in FY2003.

(4) Housing and Community Development - General Fund moved to Mayor's Affirmative Action - General Fund.

(5) The Code Enforcement Division has been transferred from Public Works - General Fund to Planning and Development - General Fund.

(6) The Rat-On-A-Rat Division has been transferred from Public Works - General Fund to the Police Department - General Fund.

(7) The Houston Emergency Center Fund, formerly the 9-1-1 Emergency Network includes additional personnel from the Fire and Police Departments.

(8) Roadside Ditch and Storm Sewer Maintenance Division have been transferred from Public Works - General Fund to the Stormwater Utility Special Revenue Fund, formerly known as the Street and Drainage Maintenance Fund.

**City of Houston**  
**Department of Planning & Development**  
**Tax Abatement Status Report - September 2002**

**CURRENT ECONOMIC DEVELOPMENT ABATEMENTS**

Company	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J) (K) (L)			(M)	(N) (O)		(P)	
	Passed by Council	Dis.	Total Proposed Investment	Total Proposed Abatable Investment	Investment Required by Agreement	2002 Investment Appraised Value	2002 Tax Revenue Abated	Total Proposed Jobs	Jobs in Houston at Time of Approval	Agreement Requirements			Current Jobs Retained/ Created	Taxes on other Pers./Real Property	Sales Taxes	Tax Abatement Granted by:	
			(1)			(2)				Jobs Retained	Jobs Created	Total Jobs Retained/ Created	(3)	(4)	(5)	ISD	Harris County
1 Michelin N. America (6)	01/19/94	B	\$68,038,000	\$15,171,000	\$3,500,000	\$14,678,710	\$19,229	55	0	0	55	55	65	\$60,735	\$21,970	Yes	Yes
2 Lyondell-CITGO Refining (7)	06/01/94	I	\$260,000,000	\$260,000,000	\$3,500,000	\$53,024,080	\$0	1,470	1,400	1,400	70	1,470	1,040	N/A	\$351,520	Yes	Yes
3 H.E.B. Grocery Co.	07/27/94	A	\$45,216,491	\$5,090,610	\$3,500,000	\$3,961,620	\$5,190	800	0	0	25	25	456	\$21,620	\$154,128	No	Yes
4 The Men's Wearhouse	09/14/94	F	\$3,404,200	\$3,404,200	\$1,000,000	\$1,458,390	\$955	201	176	176	25	201	310	\$8,597	\$104,780	No	Yes
5 Apollo Paper Co. (8)	09/14/94	A	\$2,509,220	\$2,030,060	\$1,000,000	\$2,301,780	\$0	60	60	25	25	25	0	\$13,569	\$0	No	Yes
6 Amerada Hess Corp. (9)	11/08/94	I	\$49,260,000	\$34,260,000	\$3,500,000	\$1,997,510	\$13,084	800	300	300	500	250	501	\$0	\$169,338	No	Yes
7 Houston Processing/Int'l Trading	12/14/94	B	\$19,300,000	\$9,400,000	\$3,500,000	\$6,434,730	\$8,429	625	500	500	125	625	1,252	\$35,229	\$423,176	No	Yes
8 Triple-S Steel Supply Co.	12/21/94	H	\$3,556,852	\$1,392,500	\$1,000,000	\$1,173,420	\$769	110	85	85	25	110	187	\$6,835	\$63,206	No	No
9 Dreyer's Grand Ice Cream (10)	12/06/95	A	\$21,464,000	\$11,161,000	\$9,486,000	\$7,527,580	\$9,861	200	90	125	75	200	249	\$39,358	\$84,162	No	Yes
10 Tanox BioSystems, Inc. (11)	12/20/95	D	\$6,359,970	\$5,300,000	\$4,770,000	\$4,522,980	\$11,850	59	39	39	20	59	81	\$12,652	\$27,378	No	Yes
11 J.H. Walker Inc.	01/29/96	H	\$2,160,250	\$1,210,530	\$1,030,000	\$964,260	\$632	69	60	60	25	85	130	\$4,356	\$43,940	No	Yes
12 Novo Industries Inc. (12)	02/21/96	A	\$10,326,185	\$8,441,005	\$6,680,000	\$5,035,810	\$6,597	400	0	320	80	400	1,045	\$39,156	\$353,210	No	Yes
13 Distribution International	03/27/96	I	\$4,017,097	\$3,957,097	\$3,600,000	\$3,419,490	\$8,959	134	134	115	0	115	115	\$8,944	\$38,870	No	Yes

**Notes & Comments**

- (1) Column (C) represents total private investment in each company's project, including land costs, unabatable improvements and inventory value where applicable.
- (2) Column (F) represents uncertified appraised values for Tax Year 2002.
- (3) Column (M) represents jobs retained/created as of 1/1/02, based on company reports to the City of Houston and HCAD (Form 11.28).
- (4) Column (N) represents year 2001 certified taxes on all non-abated real and personal property, excluding taxes on land.
- (5) Estimated yearly sales taxes per job (\$338) x current jobs retained/created.
- (6) Although Michelin committed itself to create 55 jobs by 1999, its abatement agreement indicates only the minimum required of 25 jobs.
- (7) Lyondell will not receive an abatement for Tax Year 2002 as the value of its improvements is less than the base value under the agreement.
- (8) Apollo Paper has defaulted on their agreement, and a Notice of Default has been sent to the company
- (9) The Agreement with Amerada obligates the company to 250 full-time jobs after 01-01-98.
- (10) Dreyer's Agreement was amended by City Council on 3/6/02, increasing their employment requirement from 165 to 200 retained/created jobs.
- (11) Tanox BioSystems' tax abatement became effective on 1/1/97.
- (12) Includes Novo Industries' original agreement approved on 4/29/92.

**City of Houston**  
**Department of Planning & Development**  
**Tax Abatement Status Report - September 2002**

Company	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)	(M)	(N)		(O)		(P)	
	Passed by Council	Dis.	Total Proposed Investment (1)	Total Proposed Abatable Investment	Investment Required by Agreement	2002 Investment Appraised Value (2)	2002 Tax Revenue Abated	Total Proposed Jobs	Jobs in Houston at Time of Approval	Agreement Requirements			Current Jobs Retained/ Created (3)	City Revenues		Tax Abatement Granted by:			
										Jobs Retained	Jobs Created	Total Jobs Retained/ Created		Taxes on other Pers./Real Property (4)	Sales Taxes (5)				
ISD	Harris County																		
14 PrimeCo Personal Comm.	08/28/96	F	\$3,972,000	\$3,229,000	\$3,229,000	\$1,307,450	\$1,713	200	0	0	200	200	406	\$5,995	\$137,228	No	Yes		
15 Office Depot of Texas, LP	12/04/96	A	\$7,100,000	\$5,850,000	\$5,218,750	\$5,235,770	\$13,718	270	170	170	100	270	396	\$14,478	\$133,848	No	Yes		
16 GTE Mobilnet	12/11/96	F	\$8,722,900	\$5,522,900	\$5,522,900	\$3,009,960	\$7,886	575	166	166	409	575	654	\$7,886	\$221,052	No	Yes		
17 BMC Software, Inc.	03/12/97	G	\$27,500,000	\$27,500,000	\$27,500,000	\$19,908,700	\$78,241	1,333	847	847	486	1,333	1,942	\$24,499	\$656,396	No	Yes		
18 Continental Airlines (6)	02/26/97	B	\$100,500,000	\$100,500,000	\$100,500,000	\$154,716,210	\$1,013,391	230	130	100	130	230	230	\$0	\$77,740	No	Yes		
19 Dynegy Inc. (NGC Corp.)	04/02/97	I	\$17,000,000	\$10,000,000	\$10,000,000	\$13,731,460	\$89,941	603	403	403	200	603	1,765	\$0	\$596,570	No	Yes		
20 Corporate Express	04/02/97	A	\$11,000,000	\$7,400,000	\$7,400,000	\$6,863,050	\$26,972	310	262	262	48	310	351	\$10,204	\$118,638	No	Yes		
21 Van Leer Flexibles (Valeron) (7)	07/01/97	A	\$11,350,000	\$11,350,000	\$11,350,000	\$10,677,880	\$41,964	224	186	186	38	224	198	\$14,646	\$66,924	No	Yes		
22 Long Reach Mfg. Co. (8)	07/30/97	D	\$4,400,000	\$3,300,000	\$4,400,000	\$869,550	\$0	173	115	115	58	173	97	\$5,042	\$32,786	No	No		
23 Syndex Corp. (9)	09/03/97	E	\$8,000,000	\$7,500,000	\$7,500,000	\$6,829,560	\$26,840	150	250	150	0	150	323	\$9,170	\$109,174	No	Yes		
24 Continental Airlines, Inc.	11/12/97	I	\$36,060,000	\$13,060,000	\$13,060,000	\$36,015,030	\$209,950	94	3,094	3,094	94	3,188	3,058	\$25,949	\$1,033,604	No	Yes		
25 Lamons Metal Gasket (10)	12/17/97	E	\$9,500,000	\$8,500,000	\$8,500,000	\$8,228,370	\$53,896	25	260	260	25	285	291	\$0	\$98,358	No	Yes		

**Notes & Comments**

- (1) Column (C) represents total private investment in each company's project, including land costs, unabatable improvements and inventory value where applicable.
- (2) Column (F) represents uncertified appraised values for Tax Year 2002.
- (3) Column (M) represents jobs retained/created as of 1/1/02, based on company reports to the City of Houston and HCAD (Form 11.28).
- (4) Column (N) represents year 2001 certified taxes on all non-abated real and personal property, excluding taxes on land.
- (5) Estimated yearly sales taxes per job (\$338) x current jobs retained/created.
- (6) The tax abatement applies to the aircraft parts to be maintained in the facility. The tax abatement became effective on 1/1/00.
- (7) VanLeer had a deadline of 1/1/02 to meet the job requirements. The F&A Dept. will conduct a compliance audit to determine compliance with the Agreement.
- (8) Long Reach has defaulted on their agreement, and has repaid the City all previously abated taxes. An administrative termination is being drafted.
- (9) Due to trends toward automation in the industry, Syndex contractually committed to retain a minimum of 150 jobs.
- (10) Lamons' tax abatement began 1/1/99.

**City of Houston**  
**Department of Planning & Development**  
**Tax Abatement Status Report - September 2002**

Company	(A) Passed by Council	(B) Dis.	(C) Total Proposed Investment  (1)	(D) Total Proposed Abatable Investment	(E) Investment Required by Agreement	(F) 2002 Investment Appraised Value  (2)	(G) 2002 Tax Revenue Abated	(H) Total Proposed Jobs	(I) Jobs in Houston at Time of Approval	(J) (K) (L) Agreement Requirements			(M) Current Jobs Retained/ Created  (3)	(N) (O) City Revenues		(P) Tax Abatement Granted by:		
										Jobs Retained	Jobs Created	Total Jobs Retained/ Created		Taxes on other Pers./Real Property  (4)	Sales Taxes  (5)	ISD	Harris County	
26 Thiel Mfg. & Supply Co.	(6)	02/04/98	E	\$3,951,520	\$1,145,000	\$1,145,000	\$445,000	\$0	110	0	0	110	110	30	\$1,457	\$10,140	No	Yes
27 Solar Turbines	(7)	05/15/98	ETJ	\$10,350,000	\$9,700,000	\$9,700,000	\$16,796,520	\$0	100	258	258	100	358	258	N/A	N/A	No	Yes
28 Cabot Oil	(8)	06/24/98	G	\$14,000,000	\$9,700,000	\$9,700,000	\$15,839,300	\$82,998	30	142	142	30	172	180	\$0	\$60,840	No	Yes
29 ABB, Inc.	(9)	06/24/98	G	\$58,360,000	\$53,360,000	\$53,360,000	\$57,037,600	\$373,596	225	1,004	1,332	300	1,632	1,575	\$0	\$532,350	No	Yes
30 Alliant Foodservice	(10)	09/02/98	B	\$15,000,000	\$12,807,000	\$12,807,000	\$12,285,390	\$0	107	168	168	107	275	199	N/A	\$67,262	No	Yes
31 United Stationers	(11)	09/02/98	A	\$9,100,000	\$9,100,000	\$9,100,000	\$8,746,360	\$35,351	25	95	95	25	120	100	\$0	\$33,800	No	Yes
32 Glass Wholesalers, Inc.	(12)	10/28/98	A	\$8,716,000	\$7,900,000	\$7,900,000	\$7,576,180	\$39,699	30	47	47	30	77	203	\$0	\$68,614	No	Yes
33 Metalplate Galvanizing	(13)	10/28/98	I	\$5,000,000	\$4,825,446	\$4,825,446	\$4,554,060	\$29,829	50	77	0	50	50	54	\$0	\$18,252	No	Yes
34 HHA (Whitehall Hotel)	(14)	12/16/98	I	\$27,000,000	\$15,060,680	\$15,060,680	\$15,260,690	\$99,958	240	0	0	240	240	155	N/A	\$52,390	No	Yes
35 Nextlink Texas, Inc. (XO Comm.)		07/14/99	C	\$8,227,000	\$7,897,000	\$7,897,000	\$9,814,490	\$64,285	85	0	0	85	85	52	\$0	\$17,576	No	Yes
36 Action Box	(15)	07/27/99	A	\$7,525,000	\$7,525,000	\$7,525,000	\$7,100,640	\$46,509	25	98	98	25	123	98	N/A	\$33,124	No	Yes
37 Halliburton Energy Serv.		07/27/99	B	\$8,239,000	\$5,030,000	\$8,239,000	\$11,086,280	\$72,615	295	2,150	380	295	675	1,097	\$0	\$370,786	No	Yes
38 Introgen Therapeutics, Inc.		10/20/99	D	\$8,400,000	\$7,600,000	\$7,600,000	\$7,921,450	\$51,885	112	46	46	66	112	43	\$0	\$14,534	No	Yes

**Notes & Comments**

- (1) Column (C) represents total private investment in each company's project, including land costs, unabatable improvements and inventory value where applicable.
- (2) Column (F) represents uncertified appraised values for Tax Year 2002.
- (3) Column (M) represents jobs retained/created as of 1/1/02, based on company reports to the City of Houston and HCAD (Form 11.28).
- (4) Column (N) represents year 2001 certified taxes on all non-abated real and personal property, excluding taxes on land.
- (5) Estimated yearly sales taxes per job (\$338) x current jobs retained/created.
- (6) Theil has defaulted on their agreement and a Notice of Default letter has been sent to the company.
- (7) Solar Turbine is operating in the City's Extra Territorial Jurisdiction. The tax abatement will go into effect only if the area is annexed into the City of Houston.
- (8) According to its Agreement, Cabot Oil would retain at least 127 permanent employees and create jobs for 30 new permanent employees, as well as retain at least 15 contract employees by Jan. 1, 2002.
- (9) According to its Agreement, ABB will retain at least 1,004 permanent employees and create jobs for 225 new permanent employees, as well as retain at least 328 contract employees and create jobs for 75 new contract employees by Jan. 1, 2003.
- (10) Alliant Foodservice's tax abatement period began on January 1, 2000.
- (11) United Stationers' Abatement Agreement was assigned to Spiegel Family Venture 8 and Active West, Inc. on 12/8/99 following an acquisition. Also, the total current jobs listed in column (m) does not include 25 contract employees currently employed in the reinvestment zone. The F&A Dept. will conduct a compliance audit to determine compliance with the Agreement.
- (12) The Finance & Administration Department has scheduled an audit to determine compliance with the Tax Abatement Agreement.
- (13) Metalplate's tax abatement was amended on 12/15/99 to allow for a full-scale abatement, up from half, and a 61% increase in the company's committed investment. Employment requirements have not changed. Tax abatement period started on Jan. 1, 2000. The Finance & Administration Department will conduct a compliance audit to determine compliance with the Agreement.
- (14) The Whitehall Hotel has a deadline of 1/1/03 to make the job requirements.
- (15) The Finance & Administration Department will conduct a compliance audit to determine compliance with the Tax Abatement Agreement.

**City of Houston**  
**Department of Planning & Development**  
**Tax Abatement Status Report - September 2002**

Company	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J) (K) (L)			(M)	(N) (O)		(P)	
	Passed by Council	Dis.	Total Proposed Investment (1)	Total Proposed Abatable Investment	Investment Required by Agreement	2002 Investment Appraised Value (2)	2002 Tax Revenue Abated	Total Proposed Jobs	Jobs in Houston at Time of Approval	Agreement Requirements			Current Jobs Retained/ Created (3)	City Revenues		Tax Abatement Granted by:	
										Jobs Retained	Jobs Created	Total Jobs Retained/ Created		Taxes on other Pers./Real Property (4)	Sales Taxes (5)	ISD	Harris County
39 BMC Software, Inc. - Phase I (6)	10/20/99	G	\$100,000,000	\$100,000,000	\$100,000,000	\$903,313,400	\$5,916,703	3,495	1,745	1,745	1,750	3,495	1,942	N/A	\$656,396	No	No
40 BMC Software, Inc. - Phase II.A (6)	10/20/99	G	\$50,000,000	\$50,000,000	\$50,000,000	\$0	\$0	1,000	3,495	3,495	1,000	4,495	0	N/A	\$0	No	No
41 BMC Software, Inc. - Phase II.B (6)	10/20/99	G	\$50,000,000	\$50,000,000	\$50,000,000	\$0	\$0	1,000	4,495	4,495	1,000	5,495	0	N/A	\$0	No	No
42 BMC Software, Inc. - Phase II.C (6)	10/20/99	G	\$50,000,000	\$50,000,000	\$50,000,000	\$0	\$0	1,000	5,495	5,495	1,000	6,495	0	N/A	\$0	No	No
43 The Men's Wearhouse (7)	12/15/99	C	\$10,342,025	\$7,342,000	\$7,017,125	\$13,699,930	\$89,735	72	713	0	72	72	157	N/A	\$53,066	No	Yes
44 Extrusion Technology (RTI) (8)	06/07/00	E	\$9,525,000	\$9,300,000	\$9,300,000	\$8,757,880	\$57,364	55	0	0	55	55	46	N/A	\$15,548	No	Yes
45 Novo Industries, Inc. #2	06/28/00	A	\$14,287,573	\$14,181,593	\$14,181,593	\$0	\$0	500	1,074	1,074	500	1,574	1,039	N/A	\$351,182	No	Yes
46 Liebherr-America, Inc.	02/20/02	B	\$6,200,000	\$6,200,000	\$6,200,000	\$0	\$0	30	5	5	25	30	0	N/A	N/A	No	Yes
47 R&L Carriers, Inc.	03/27/02	I	\$1,700,000	\$1,700,000	\$1,700,000	\$0	\$0	250	100	100	150	250	0	N/A	N/A	No	Yes
48 Del Monte (9)	06/12/02	A	\$6,900,000	\$6,700,000	\$6,700,000	\$0	\$0	125	0	0	125	125	0	N/A	N/A	No	No
49 Landry's Management LP	07/31/02	I	\$37,000,000	\$21,442,000	\$21,442,000	\$0	\$0	125	0	0	125	125	0	N/A	N/A	No	No
50 Kerr-McGee (10)	08/21/02	B	\$12,286,500	\$11,886,500	\$11,886,500	\$0	\$0	50	0	0	50	50	0	N/A	N/A	No	Yes
<b>Totals</b>			\$1,260,159,263	\$1,035,887,121	\$724,487,994	\$1,464,107,340	\$8,570,894	18,166	29,887	27,826	10,013	37,264	22,137	\$368,921	\$7,463,716		

**Notes & Comments**

- (1) Column (C) represents total private investment in each company's project, including land costs, unabatable improvements and inventory value where applicable.
- (2) Column (F) represents uncertified appraised values for Tax Year 2002.
- (3) Column (M) represents jobs retained/created as of 1/1/02, based on company reports to the City of Houston and HCAD (Form 11.28).
- (4) Column (N) represents year 2001 certified taxes on all non-abated real and personal property, excluding taxes on land.
- (5) Estimated yearly sales taxes per job (\$338) x current jobs retained/created.
- (6) BMC's project consists of two phases, each of which is under a separate agreement: Phase One (row 39) involves the construction of 2 buildings (abatement period starts on 1/1/01); Phase Two (rows 40-42) involves the construction of 3 buildings (abatement periods starting in 2002, 2004 and 2006). BMC will retain its current 1,745 jobs and will create 1,750 new ones under Phase I and additional 3,000 under Phase II (1,000 jobs added under each of the 3 sub-phases: II.A, II.B, II.C). Figures in Column (I), rows 40-42, reflect jobs to be retained by BMC at the beginning of each phase. Adjustments were made to eliminate overlapping count of jobs in columns (I), (J) and (L) totals.
- (7) The Men's Wearhouse tax abatement began on 1/1/01.
- (8) Extrusion Technology's abatement was assigned to RTI Fabricators on 9/26/01.
- (9) City Council approved this tax abatement agreement subsequent to Del Monte's request for cancellation of their application. The agreement will be terminated.
- (10) Kerr-McGee is to create 50 jobs comprising of 25 permanent, full-time employees and 25 permanent or contract employees.

**City of Houston**  
**Department of Planning & Development**  
**Tax Abatement Status Report - September 2002**

**CURRENT REDEVELOPMENT ABATEMENT PROJECTS**

Company	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J) (K) (L)			(M)	(N) (O)		(P)	
	Passed by Council	Dis.	Total Proposed Investment (1)	Total Proposed Abatable Investment	Investment Required by Agreement	2002 Investment Appraised Value (2)	2002 Tax Revenue Abated	Total Proposed Jobs (3)	Jobs in Houston at Time of Approval	Agreement Requirements			Current Jobs Retained/ Created (4)	City Revenues		Tax Abatement Granted by: (7)	
										Jobs Retained	Jobs Created	Total Jobs Retained/ Created		Taxes on other Pers./Real Property (5)	Sales Taxes (6)	ISD	Harris County
1 Weingarten Realty Invst. (8)	07/08/98	I	\$6,100,000	\$3,100,000	\$3,100,000	\$3,158,500	\$20,688	33	206	0	0	0	106	\$0	\$35,828	No	No
<b>Totals</b>			\$6,100,000	\$3,100,000	\$3,100,000	\$3,158,500	\$20,688	33	206	0	0	0	106	\$0	\$35,828		

**Notes & Comments**

- (1) Column (C) represents total private investment in each company's project, including land costs, unabatable improvements and inventory value where applicable.
- (2) Column (F) represents uncertified appraised values for Tax Year 2002.
- (3) Column (H) represents the number of jobs estimated to be created by the project. Redevelopment abatements do not require job creation.
- (4) Column (M) represents jobs retained/created as of 1/1/02.
- (5) Column (N) represents year 2001 certified taxes on all non-abated real and personal property, excluding taxes on the land.
- (6) Estimated yearly sales taxes per job (\$338) x current jobs retained/created.
- (7) Harris County and HISD have not adopted guidelines and criteria to enable their consideration of Redevelopment Abatements.
- (8) Weingarten's tax abatement agreement does not contain a job creation requirement.

**ECONOMIC DEVELOPMENT AND REDEVELOPMENT SUMMARY**

TOTALS	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J) (K) (L)			(M)	(N) (O)	
	Total Proposed Investment	Total Proposed Abatable Investment	Investment Required by Agreement	2001 Investment Appraised Value (2)	2001 Tax Revenue Abated (2)	Total Proposed Jobs	Jobs in Houston at Time of Approval	Jobs Retained	Jobs Created	Total Jobs Retained/ Created	Current Jobs Retained/ Created	Other Pers./Real Property Taxes	Sales/ Franchise Taxes
Economic Development Abatements	\$1,260,159,263	\$1,035,887,121	\$724,487,994	\$1,464,107,340	\$8,570,894	18,166	29,887	27,826	10,013	37,264	22,137	\$368,921	\$7,463,716
Economic Redevelopment Abatements	\$6,100,000	\$3,100,000	\$3,100,000	\$3,158,500	\$20,688	33	206	0	0	0	106	\$0	\$35,828
<b>Grand Totals</b>	\$1,266,259,263	\$1,038,987,121	\$727,587,994	\$1,467,265,840	\$8,591,582	18,199	30,093	27,826	10,013	37,264	22,243	\$368,921	\$7,499,544

**City of Houston  
Department of Planning & Development  
Tax Increment Reinvestment Zones (TIRZs) Status  
September 2002**

Date Prepared: October 18, 2002

TIRZ No.	TIRZ Name	TIRZ Creation		Life of TIRZ (Yrs.)	Appraised Base Year Value	Estimated Base Value after Life of TIRZ	County's Tax Rate Participation	ISD's Tax Rate Participation	Portion of ISD Tax Rate To Zone (inc. housing set aside)	ISD's Set-Aside for Educational Facilities	Tax Year 2001 Taxable Value	Tax Year 2001 Increment	Cumulative Total Tax Increment (thru Tx Yr 2001)	Cumulative 1/3 Set-Aside for Affordable Housing	Projected Revenues for Educational Facilities	Total Projected all Developers' Investments in TIRZ	Projected Developers' Reimbursements
		Approved by Council	Base Year														
1	<b>Lamar Terrace / St. George Place</b>																
	a. Original Zone (East)	12/12/90	1991	40	\$8,154,050	\$120,000,000	\$0.38393	\$1.58000 (7)	\$0.93290	\$0.64710	\$61,245,260	\$328,850	\$3,715,132	\$ 1,009,776 (8)	\$18,771,100	N/A	\$2,507,699
	b. Annexed Zone (West)	12/22/92	1993		\$18,996,290		\$0.38393	\$1.58000 (7)	\$0.93290	\$0.64710	\$29,865,980	\$1,024,988					
2	<b>Midtown</b>																
	a. Original Zone	12/14/94	1995	30	\$157,081,540	\$1,420,424,000	\$0.43152	\$1.58000	\$0.81900	\$0.64000	\$425,700,100	\$5,700,309	\$10,868,939	\$ 1,199,999 (9)	\$77,770,000	\$1,264,166,000	\$231,851,087
	b. Annexed Zone	08/11/99	1999		\$53,518,920	\$209,799,640	\$0.43152	\$0.96000	\$0.64000	\$0.32000	\$81,295,450	\$171,687	\$994,263	\$0	\$6,000,000	\$164,525,000	\$36,716,324
3	<b>Market Square</b>																
	a. Original Zone	12/13/95	1995	30	\$22,231,380	\$86,201,140	\$0.63998	\$1.58000 (10)	\$0.64000	\$0.94000	\$64,029,530	\$1,190,697	\$3,394,290	N/A	N/A	\$63,350,000	\$34,000,000
	b. Annexed Zone	12/16/98	1998		\$186,236,320	\$584,944,310		\$1.58000 (10)	\$0.64000	\$0.94000	\$397,010,380	\$2,784,283	\$3,791,772	N/A	\$82,541,800	\$397,175,000	\$99,857,660
4	<b>Village Enclaves</b>																
	a. Original Zone	09/25/96	1996	20	\$1,055,050	\$75,600,000	N/A	\$1.38400	\$1.38400	\$0.00000	\$23,787,680	\$2,125,131	\$3,635,804	\$1,215,248 (8)	N/A	\$73,823,610	\$2,391,133
	b. Annexed Zone	05/07/97	1997		\$55,947,650	\$549,800,000	N/A	\$1.38400	\$1.38400	\$0.00000	\$180,171,600	\$746,179	\$1,828,151	\$349,063	N/A	\$488,792,970	\$45,957,500
5	<b>Memorial-Heights</b>	12/18/96	1996	20	\$26,633,950	\$156,617,264	until 2016 \$0.38393	\$1.58000	\$0.64000	\$0.94000	\$76,404,010	\$1,248,149	\$3,124,115	N/A	\$10,800,000	\$76,000,000	\$16,706,921
6	<b>Eastside</b>	01/15/97	1997	30	\$391,540,600	\$594,175,274	N/A	\$1.38400	\$1.38400	\$0.00000	\$265,539,150	\$0	\$0	N/A	N/A	\$103,000,000	\$72,410,692
7	<b>OST/Alameda</b>																
	a. Original Zone	05/07/97	1997	30	\$89,520,330	\$165,252,120	until 2017 \$0.38393	\$1.58000	\$0.96000 \$0.64000	until 2007 \$0.62000 from 2008 \$0.94000	\$145,803,830	\$2,119,263	\$3,196,899	N/A	\$14,117,000	\$83,270,220	\$17,931,370
	b. Annexed Zone	12/09/98	1998		\$87,871,980	\$150,101,620	\$0.38393	\$1.58000	\$0.96000 \$0.64000	until 2007 \$0.62000 from 2008 \$0.94000	\$164,600,090	\$419,131	\$620,322	N/A	\$12,143,400	\$73,951,000	\$10,852,310
8	<b>Gulfgate</b>																
	a. Original Zone	12/10/97	1997	30	\$9,728,120	\$79,444,172	until 2020 \$0.38393	\$1.58000	\$0.64000	\$0.94000	\$11,426,050	\$318,020	\$393,157	N/A	\$12,000,000	\$35,300,000	\$14,751,900
	b. Annexed Zone	07/07/99	1999		\$14,372,950	\$61,229,120		\$0.96000	\$0.64000	\$0.32000	\$35,092,680	\$133,345	\$183,036	N/A	\$3,823,400	\$49,310,790	\$10,800,000
9	<b>South Post Oak</b>	12/17/97	1997	25	\$13,580	\$61,558,600	until 2020 \$0.38393	\$1.58000	\$0.80600	\$0.77400	\$10,178,510	\$272,742	\$290,915	\$0 (14)	\$4,100,000	\$60,540,000	\$6,000,000
10	<b>Lake Houston</b>																
	a. Original Zone	12/17/97	1997	30	\$7,721,300	\$319,660,000	until 2006 \$0.19197	\$1.74000	\$0.21000	\$1.53000	\$121,897,470	\$3,096,760	\$4,385,278	N/A	\$60,000,000	\$206,080,000	\$32,325,000
	b. Annexed Zone	08/11/99	1999		\$1,237,780	\$299,177,000		\$0.86000	\$0.21000	\$0.65000	\$5,456,800	\$27,714	\$307,428	N/A	\$80,000,000	N/A	

**City of Houston  
Department of Planning & Development  
Tax Increment Reinvestment Zones (TIRZs) Status  
September 2002**

TIRZ No.	TIRZ Name	TIRZ Creation		Life of TIRZ (Yrs.)	Appraised Base Year Value	Estimated Base Value after Life of TIRZ	County's Tax Rate Participation (1)	ISD's Tax Rate Participation (1)	ISD's Set-Aside for Educational Facilities (2)	Tax Year 2001 Taxable Value (3)	Tax Year 2001 Increment (4)	Cumulative Total Tax Increment (thru TaxYr 2001) (5)	Cumulative 1/3 Set-Aside for Affordable Housing (6)	Projected Revenues for Educational Facilities	Total Projected all Developers' Investments in TIRZ	Projected Developers' Reimbursements
		Approved by Council	Base Year													
11	Greater Greenspoint	08/26/98	1998	30	\$533,228,330	\$1,035,488,871	\$0.19197 (15)	\$0.86000 (11) \$0.86000 (11) \$0.11000 (11)	\$0.00000 \$0.30000 (12) \$0.00000	\$769,507,400	\$4,109,923	\$8,000,258	N/A	\$127,300,000	\$972,691,050	\$123,000,000
12	City Park	12/02/98	1998	30	\$2,491,350	\$33,072,948	N/A	\$1.58000	\$0.94000	\$10,222,570	\$94,210	\$95,286	N/A	\$7,996,400	\$35,264,980	\$5,774,938
13	Old Sixth Ward	12/22/98	1998	30	\$34,345,500	\$78,802,000	\$0.28795 (16)	\$1.58000	\$0.77400	\$66,401,340	\$474,032	\$742,649	\$163,834 (8)	\$4,426,700	\$24,600,000	\$5,106,000
14	Fourth Ward	06/09/99	1999	30	\$34,467,120	\$273,668,390	N/A	\$0.96000	\$0.32000	\$92,987,300	\$995,732	\$1,162,447	\$268,078 (8)	\$15,300,000	\$256,000,000	\$21,900,000
15	East Downtown	07/07/99	1999	30	\$32,192,750	\$189,853,710	N/A	\$0.96000	\$0.32000	\$40,275,650	\$51,988	\$88,674	N/A	\$13,201,600	\$158,800,000	\$71,336,349
16	Uptown	07/07/99	1999	30	\$1,911,338,660	\$4,132,484,824	N/A	\$0.96000	\$0.32000	\$2,255,327,050	\$4,613,169	\$8,995,051	\$7,361,855 (13)	\$129,377,300	\$1,128,000,000	\$235,000,000
17	Memorial City	07/21/99	1999	30	\$453,286,690	\$1,455,420,000	N/A	N/A	N/A	\$466,822,920	\$81,141	\$154,487	N/A	N/A	\$1,010,115,700	\$97,444,000
18	Fifth Ward	07/21/99	1999	30	\$23,592,290	\$57,685,060	N/A	\$0.96000	\$0.32000	\$33,619,300	\$40,385	\$54,909	N/A	\$2,952,500	\$36,600,000	\$6,880,000
19	Upper Kirby	07/21/99	1999	15	\$551,518,420	\$641,400,000	N/A	\$0.96000	\$0.32000	\$653,610,440	\$1,578,854	\$2,612,973	N/A	\$5,572,800	\$121,400,000	\$10,600,000
20	Southwest Houston	12/15/99	1999	30	\$766,246,620	\$1,021,054,323	N/A	N/A	N/A	\$960,518,870	\$1,251,080	\$1,688,397	N/A	N/A	\$264,600,000	\$10,150,000
					\$5,474,569,520	\$13,852,914,386				\$7,448,797,410	\$34,997,762	\$64,324,632	\$11,567,853	\$608,194,000	\$7,227,356,320	\$1,222,250,883

**NOTES:**

- (1) A Jurisdiction's participatory Tax Rate is applied to \$100 valuation.
- (2) ISD's set-aside amount for Educational facilities is based on a related participatory Tax Rate applied to \$100 valuation.
- (3) Tax Year 2001 Appraised Values are effective as of February 2002.
- (4) This column reflects increment generated year-to-date for Tax Year 2001, including set-asides for educational facilities.
- (5) This column reflects all tax increments generated through Tax Year 2001, including set-asides for educational facilities.
- (6) This column reflects cumulative amounts through Tax Year 2001, and includes Funds #872 (the City's general affordable housing fund), #162 (affordable revenues from the Uptown TIRZ, which include bond revenue and 1/3 increment revenue), and #98E (affordable revenues from the Midtown TIRZ, which include bond revenue and 1/3 increment revenue).
- (7) HISD Board approved participation in the TIRZ effective 1/1/96.
- (8) A petition TIRZ - 1/3 of its Tax Increment Reinvestment Revenue is set aside for affordable housing. Amount reflects total generated to-date.
- (9) Based on the Agreement with the Midtown Redevelopment Authority ("MRA"), MRA receives the 1/3 set-aside for low income housing. The MRA forwarded \$451,367 and \$748,632 in affordable housing revenues to the City for deposit in Fund #98E. This fund is administered by the Housing and Community Development Department.
- (10) A portion of HISD's tax increment equal to the greater of \$0.64 per \$100 valuation or \$410,000 per year for the Market Square's combined zones will be contributed to the TIRZ.
- (11) The Greenspoint TIRZ involves 2 school districts: Spring ISD at a tax rate of \$0.86 and Aldine ISD at a tax rate varying over time (from \$0.86 to \$0.56); and North Harris Montgomery Community College District at a tax rate of \$0.1198 for the life of the zone.
- (12) The \$0.30 set-aside is only for Aldine School District and will begin in year 6 (2005).
- (13) Based on the Agreement with the Uptown Redevelopment Authority (URA), URA receives the 1/3 set-aside for low income housing. URA has forwarded bond proceeds to the City for affordable housing, pursuant to their tri-party agreement, in the following amounts: \$3,737,710 (April '01), \$644,172 (October '01), and \$2,979,973 (May '02). This fund (Fund #162) is administered by the Housing and Community Development Department.
- (14) Based on the Agreement with the South Post Oak Redevelopment Authority ("RA"), RA receives the 1/3 set-aside for low income housing.
- (15) Per the County interlocal agreement, the County will remit 50% of their increment to the TIRZ, up to \$15,000,000.
- (16) Per the County interlocal agreement, the County will remit 75% of their increment to the TIRZ, up to \$1,065,000.

**DEPARTMENTAL PERFORMANCE MEASURES  
FOR THE MONTH ENDING SEPTEMBER 30, 2002 (25.0% OF FISCAL YEAR)**

**AFFIRMATIVE ACTION**

**F&A ANALYST: JANICE ALDERSON**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Applications Processed	1,760	438	24.9%	1,900	466	24.5%
Days to Process New Applicants	27	28	N/A	21	20	N/A
Field Audits	2,160	231	10.7%	1,950	591	30.3%
Payrolls Audited	23,947	5,053	N/A	17,000	5,538	32.6%
SBE/MVDBE Owners Trained	4,098	1,211	29.6%	3,500	720	20.6%
City Employees Trained	4,170	992	23.8%	1,400	668	47.7%
MOPD Citizens Assistance Request	4,667	1,485	31.8%	3,600	1,144	31.8%
OSBC Getting Started Packets Distributed	N/A	N/A	N/A	10,800	3,123	28.9%

**DAYS TO PROCESS NEW APPLICANTS**

The number of days to process new applicants for September is 20, a decrease of 8 over last year's year-to-date due to increased workload with limited staff.

**FIELD AUDITS**

The number of field audits completed year-to-date is 591, an increase of 360 over last year's year-to-date due to additional audits performed by contract employees for the Convention Center expansion and hotel projects.

**PAYROLLS AUDITED**

The number of payrolls audits completed in September is 5,538, an increase of 485 over last year's year-to-date due to the monitoring of larger contracts which increased the number of certified payrolls submitted by construction contractors.

**CITY EMPLOYEES TRAINED**

The number of City employees trained in September is 668, a decrease of 324 over last year's year-to-date. The variance is due to a decrease in the number of classes scheduled by departments, which affected the number of participants. Departments scheduled classes as needed.

**MAYORS OFFICE FOR PEOPLE WITH DISABILITIES (MOPD) CITIZENS ASSISTANCE REQUESTS**

MOPD Citizens assistance requests for September are 1,144, a decrease of 341 over last year's year-to-date. In July 2001, a large number of calls were received regarding Tropical Storm Allison. Because MOPD was a relatively new division, a Town Hall meeting was held the same month to inform citizens of the services that MOPD provides which produced a high volume of calls.

**AVIATION****F&A ANALYST: GEOFFREY LEACH**

Note: All Aviation performance measures will be materially affected by the events of September 11<sup>th</sup>.  
Data presented represents June through August activity.

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Passenger Enplanements	21,124,396	6,252,393	29.6%	21,698,000	5,741,831	26.5%
Cargo Tonnage	704,154,294	180,052,037	25.6%	716,700,000	181,388,826	25.3%
Cost per Enplanement	\$6.59	\$5.53	NA	\$7.65	\$6.81	NA
Complaints per 100,000 Enplanements	0.88	0.61	NA	0.80	0.21	NA

**PASSENGER ENPLANEMENTS**

Passenger enplanements for FY2003 are at 26.5% of the fiscal year objective, down from 29.6% of the objective met for the same time period in FY2002. The actual decrease from FY2003 to FY2002 has been 510,562.

**CARGO TONNAGE**

FY2003 cargo tonnage has increased less than 1% from FY2002 service levels. The actual cargo tonnage for FY2003 YTD is 181,388,826, which is 25.3% of the budgeted objective.

**COST PER ENPLANEMENT**

Cost per enplanement has increased from \$6.72 to \$6.81 from August to September. These costs continue to increase, although passenger enplanements are rising, which will drive the cost per enplanement downward.

**COMPLAINTS PER 100,000 ENPLANEMENTS**

Complaints per 100,000 enplanements increased sharply after the September 11<sup>th</sup> attacks, however as passengers have become more comfortable with new security measures, complaints have been decreasing. Year to date there have been 0.21 complaints per 100,000 as compared to 0.61 for the same time period in FY2002.

**BUILDING SERVICES****F&A ANALYST: CARL MEDLEY**

Department Performance Measure	Actual	FY2002		Objective	FY2003	
		YTD	% Actual		YTD	% Objective
<b>Design &amp; Construction</b>						
Days to issue Notice to Proceed (NTP)	20.4	19.0	93.1%	25	21.2	84.8%
Satisfaction Survey Rating	94.0%	91.6%	97.4%	92.0%	N/A	N/A
<b>Property Mgmt. (Work Orders Compl.)</b>						
Downtown Facilities	1,032	247	23.9%	1,600	165	10.3%
Police Facilities	3,779	664	17.6%	3,500	893	25.5%
Health Facility	600	N/A	0.0%	1,500	516	34.4%
Fire Facilities	2,311	N/A	0.0%	2,500	716	28.6%
<b>Security Management</b>						
Number of Reported Incidents Investigated upon Receipt	249	40	16.1%	190	64	33.7%

**WORK ORDERS COMPLETED****Downtown Facilities**

Completion rate is low due to reduced workforce in the FY2003 Budget. The reduced staff causes slower response time, an accumulation of work orders, and a lower completion rate.

**Health Facility**

Completion rate is high due to various special projects completed in August. Space planning, cubicle furniture installations and relocations required additional workorders to perform electrical and carpentry work at the Stadium Drive location.

**Fire Facilities**

Completion rate is high due to a special project completed in August at various fire stations. Strong boxes were installed at 87 fire stations which required additional work orders.

**SECURITY**

FY2003 YTD is high due to increased awareness resulting from September 11, 2001, and a heightened state of security.

**CONVENTION AND ENTERTAINMENT FACILITIES      F&A ANALYST: JAMES CLAY**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Days Booked-GRB Convention Center	1,695	282	16.6%	1,078	144	13.4%
Days Booked-Wortham Theater Center	525	128	24.4%	485	121	24.9%
Days Booked-Jones Hall	352	62	17.6%	300	32	10.7%
Occupancy Days-GRB Convention Center	1,491	470	31.5%	1,510	334	22.1%
Occupancy Days-Wortham Theatre Center	515	121	23.5%	530	78	14.7%
Occupancy Days-Jones Hall	284	22	7.7%	300	94	31.3%
Occupancy Days-Theatre District Parks Hall	203	10	4.9%	117	32	27.4%
Customer Satisfaction (Periodic)-GRB Convention Center	91.0%	91.2%	N/A	94.0%	93.4%	N/A
Customer Satisfaction (Periodic)-Wortham Theatre Center	89.3%	88.7%	N/A	94.0%	92.9%	N/A
Customer Satisfaction (Periodic)-Jones Hall	100.0%	100.0%	N/A	95.0%	0.0%	N/A
Customer Satisfaction (Periodic)-Houston Center	90.4%	90.0%	N/A	97.0%	0.0%	N/A
Customer Satisfaction (Periodic)- Fannin Garage	N/A	N/A	N/A	76.0%	0.0%	N/A
Customer Satisfaction (Periodic)-Theater District Parking	N/A	N/A	N/A	79.0%	0.0%	N/A

**DAYS BOOKED/OCCUPANCY DAYS**

Occupancy days are down at Jones Hall from last year due to transfer of some shows to the Hobby Center and the fact that the symphony season has not started. Occupancy days are down at the Wortham Theater Center because the Performing Art's Session begins September 2002. Occupancy will quickly recover later.

**FINANCE AND ADMINISTRATION      F&A ANALYST: CARL MEDLEY**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Avg Days to Award Procurement Contracts	138	N/A	N/A	140.0	141	N/A
3-1-1 Avg Time Customer in Queue	21.06	N/A	N/A	< 30 sec	30.44	N/A
Liens Collections	\$2,435,268	\$577,549	23.7%	\$2,225,000	\$878,045	39.5%
Web Page Inquiries	138,320	27,805	20.1%	130,000	33,285	25.6%
Ambulance Collections (Self Pay %)	7.0%	6.5%	N/A	8.6%	5.7%	N/A
Cable Company Complaints	1,236	868	70.2%	792	135	17.0%
Deferred Compensation Participation	71.93%	68.85%	N/A	74.00%	73.53%	N/A
Audits Completed	20	4	20.0%	19	0	0.0%

**Avg Days to Award Procurement Contracts**

The Strategic Purchasing Division is responsible for citywide formal contracts of over \$25K. Such contracts are divided in One-Time Capital purchases, Construction, Supply, Services, and High Technology. The average number of award days represent a total number of calendar days from procurement inception through Council award. FY2003 YTD is at 141 days, which is slightly higher than the objective of 140.

**3-1-1 AVERAGE TIME CUSTOMER IN QUEUE**

The 3-1-1 Houston Service Help Line became fully operational in October 2001. Previously, data was reported for the 3-1-1 telephone number only. It now includes old departmental telephone numbers, which are being forwarded to 3-1-1. Refer to call volume graph on page 69.

**LIENS COLLECTIONS**

There are two types of liens being collected: paving and nuisance abatement. The FY2003 YTD currently is well above last year at 39.5%, due to a large demolition lien collected. This is a timing issue, which will not affect the YTD projection.

**WEB PAGE INQUIRIES**

The City of Houston website was made available in May of 2001. The site is averaging approximately 2,500 hits per week in FY2003.

**AMBULANCE COLLECTIONS (SELF PAY %)**

This measure is of self-pay ambulance fees, which are the most difficult to collect. YTD FY2003 is at 5.7%, which is below last year's YTD percentage at this time. This is mainly due to the rate increase, as the payments being received now are comprised of the old (lower) rates, while the billings being added are using the new rates (higher). This in itself will cause the collection rate to decrease.

**CABLE COMPANY COMPLAINTS**

Complaint objective for FY2003 is 792 complaints and/or 66 complaints/month. The FY2002 actual was significantly higher due to TWC's acquisition of TCI's older cable system in east Houston, which added an additional 56,000 customers, and Tropical Storm Allison, which generated 1,265 complaints.

**DEFERRED COMPENSATION PARTICIPATION**

Participation in the City's deferred compensation program YTD is 73.53%, higher than FY2002's ending participation rate. The participation percentage has been increasing each month, since a low of 67.73% in December 2001.

**AUDITS COMPLETED**

Audits Completed finished FY02 behind schedule due to less staff than in FY2001. Although no audits have been completed, the group expects to achieve the objective in FY2003. The current audits in process are longer duration audits (contracts, internal) as opposed to short duration (hotel and tax abatement) audits.

**FIRE DEPARTMENT****F&A ANALYST: LEVI MCGUIRE**

Department Performance Measure	Actual	FY2002		Objective	FY2003	
		YTD	% Actual		YTD	% Objective
Fire Response Time (Minutes)	8.2	8.3	N/A	8.4	8.2	N/A
First Response Time-EMS (Minutes)	9.0	9.2	N/A	9.0	8.7	N/A
Ambulance Response Time (Minutes)	11.6	11.9	N/A	11.5	11.2	N/A

**RESPONSE TIME**

For September, Fire Response Time is 8.2 minutes, a decrease of two-tenth of a minute from last month's 8.4 minutes, and two-tenth of a minute faster than the objective of 8.4 minutes.

EMS Response Time of 8.7 minutes is one-tenth of a minute faster than last month's response time of 8.8 minutes and approximately three-tenths of a minute faster than the objective of 9.0 minutes. Advanced Life Support (ALS) Ambulance Response Time of 11.2 minutes is one-tenth of a minute faster than last month's response time of 11.3 minutes and approximately three-tenth of a minute faster than the 11.5 minutes objective.

**HEALTH AND HUMAN SERVICES**

**F&A ANALYST: REBA BALL**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Environmental Inspections	81,519	81,056	99.4%	77,640	20,060	25.8%
First Trimester Prenatal Enrollment	37.4%	30.4%	N/A	50.0%	N/A	N/A
WIC Client Satisfaction	94.5%	98.1%	N/A	95.0%	92.9%	N/A
Immunization Compliance (2 Yr. Olds)	73.0%	74.0%	N/A	85.0%	74.0%	N/A
TB Therapy Completed	86.7%	96.8%	N/A	90.0%	86.7%	N/A

**ENVIRONMENTAL INSPECTIONS**

The current year to date measure is consistent with last year's level of activity. It is projected that the FY2003 Target will be met.

**FIRST TRIMESTER PRENATAL ENROLLMENT**

FY2002 actual and FY2003 YTD results are unavailable due to conversion of the clinic data collection and management system. Results to be reported when available.

**WIC CLIENT SATISFACTION (TWO/YEAR)**

This measure is based on a survey conducted the 4<sup>th</sup> quarter of the fiscal year. These figures represents the 4<sup>th</sup> quarter of FY 2002.

**IMMUNIZATION COMPLIANCE (2 YR. OLDS)**

At 74%, this measure is consistent with last year's level of activity. However it is below the FY2003 objective of 85 percent.

**TB THERAPY COMPLETED**

This measure is based on a survey conducted during the 2nd and 4th quarter of the fiscal year. This data is the result of the April-June FY2002 survey. FY2003 objective reflects the Centers for Disease Control objective for TB completed therapy.

**HOUSING**

**F&A ANALYST: WEALTHIA WHITE**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Housing Units Assisted	5,759	2,600	45.1%	5,000	767	15.3%
Council Actions on HUD Projects	66	9	N/A	75	10	13.3%
Annual Spending (Millions)	\$62	\$8	N/A	\$55	\$9	16.4%

**HOUSING UNITS ASSISTED**

Housing & Community Development Department (H&CD) September Year- to-Date activity is 71 percent lower than the FY02 September Year-to-Date, due to the approval of a multi-family unit by Council last

fiscal year for Period 3. Activity through September represents 15.3 percent of the H&CD objective for *Housing Units Assisted*.

**COUNCIL ACTIONS ON HUD PROJECTS**

The September activity is consistent with this year’s objective and last year’s level of activity.

**ANNUAL SPENDING (MILLIONS)**

In FY2003, spending priorities have been set, the grant funds are available. Currently \$9,368,662 has been expended, which represents 16.4 percent of the \$55 million goal.

**HUMAN RESOURCES**

**F&A ANALYST: WADE H. JONES**

Department Performance Measure	Actual	FY2002		Objective	FY2003	
		YTD	% Actual		YTD	% Objective
Total Jobs Filled-(As Vacancies Occur)	3,731	871	23.3%	4,000	898	22.5%
Days to Fill Jobs	60	60	100.0%	60	60	100.0%
Training Courses Conducted	162	15	9.3%	125	44	35.2%
Lost Time Injuries (As They Occur)	315	150	47.6%	350	69	19.7%

**TOTAL JOBS FILLED**

The Total Jobs Filled (As Vacancies Occur) is consistent with the FY2003 objective. This measure addresses all jobs filled in the City of Houston.

**DAYS TO FILL JOBS**

The days-to-fill measure remains at last year’s level of sixty. The department remained at the FY2003 objective level.

**TRAINING COURSES CONDUCTED**

September activity has exceeded the number of training courses conducted at this time last year. The department is on schedule to reach the FY2003 objective.

**LOST TIME INJURIES**

The level of activity is lower overall, due to a decrease in accidents, which can be attributed to increased safety awareness, safety audits, needs assessments and efforts in safety training.

**LEGAL**

**F&A ANALYST: WADE H. JONES**

Department Performance Measure	Actual	FY2002		Objective	FY2003	
		YTD	% Actual		YTD	% Objective
Deed Restriction Complaints Received	402	98	24.4%	442	117	26.5%
Deed Restriction Lawsuits Filed	12	0	0.0%	15	10	66.7%
Deed Restriction Lawsuits Pending	32	28	87.5%	33	38	115.2%

**DEED RESTRICTION COMPLAINTS RECEIVED**

The category of deed restriction complaints received is the number of deed restriction complaints that the Legal Department has actually opened a file. Because the City does not investigate a deed restriction complaint until it receives that complaint in writing, this number does not include deed restriction

complaints handled or discussed over the telephone. The objective for FY2003 is 442. The current year-to-date total represents 26.5% of the objective. The department is on schedule to meet it's objective.

**DEED RESTRICTION LAWSUITS FILED**

These are cases for which the City has petitioned a state district court for temporary or permanent injunctive relief. The objective for FY2003 is 15. The current year-to-date total represents 66.7% of the objective. The department is on schedule to achieve or possibly exceed it's objective.

**DEED RESTRICTION LAWSUITS PENDING**

These cases are presently in litigation, either awaiting a hearing or trial date, or some other disposition action by the court. The FY2003 year-to-date total of 38 has exceeded the objective by 15.2%.

**NOTE:** The Legal Department is currently considering additional performance measures.

**LIBRARY**

**F&A ANALYST: WADE H. JONES**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Total Circulation	5,946,179	1,562,302	26.3%	5,365,713	1,506,210	28.1%
Juvenile Circulation	3,737,316	817,789	21.9%	2,719,838	751,033	27.6%
Customer Satisfaction (Three/Year)	80%	81%	101.3%	81%	80%	98.8%
Reference Questions Answered	2,873,053	773,869	26.9%	2,745,375	739,932	27.0%
In-house Computer Users	1,179,516	257,700	21.8%	1,247,538	289,992	23.2%
Public Computer Training Classes Held	380	133	35.0%	500	167	33.4%
Public Computer Training Attendance	2,808	949	33.8%	4,000	1,312	32.8%

**TOTAL CIRCULATION**

The objective for FY2003 is to reach 5,365,713 books checked out. The current year-to-date total of 1,506,210 represents 28.1% of the objective. The department is on schedule to achieve it's objective.

**JUVENILE CIRCULATION**

The objective for FY2003 is to circulate 2,719,838 youth materials. The current year-to-date total is 751,033, which represents 27.6% of the objective. The department is on schedule to achieve it's objective.

**CUSTOMER SATISFACTION**

The objective for FY2003 is to receive 81% of the responses reporting very or extremely satisfied with library services. The FY2003 YTD is 80%. The department is on target with this objective.

**REFERENCE QUESTIONS ANSWERED**

The objective for FY2003 is to have 2,745,375 reference questions answered. Based on the YTD total of 739,932 reference questions answered is 27.0% of the target. The department is on schedule to achieve this objective.

**NUMBER OF COMPUTER USERS**

The objective for FY2003 is to have 1,247,538 computer users during the fiscal year. The current YTD total of 289,992 computer users represents 23.2% of the objective. The department is on schedule to achieve it's objective.

**PUBLIC COMPUTER TRAINING CLASSES HELD**

The FY2003 objective is to have 500 computer classes. The YTD total number of classes held is 167, which represents 33.4% of the objective. The department is on schedule to achieve it's objective.

**PUBLIC COMPUTER TRAINING ATTENDANCE**

The FY2003 objective is to have 4,000 participants to attend classes. The YTD total in attendance is 1,312, which is 32.8% of the objective. The department is on schedule to achieve it's objective.

**MUNICIPAL COURTS - ADMINISTRATION**

**F&A ANALYST: PRESTON RICE**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Total Case Filings	1,180,582	292,566	24.8%	1,574,961	357,946	22.7%
Total Dispositions	1,006,429	248,428	24.7%	1,105,536	275,481	24.9%
Cost per Disposition	\$15.84	\$16.38	103.4%	\$13.03	\$14.43	NA
Incomplete Docket Reduction (Cases/Day)	9.55 cases/day	3.84 cases/day	N/A	11 cases/day	12.75	NA

**TOTAL CASE FILINGS**

September YTD activity totals 357,946 cases filed, which is 22.7% of the objective, while last year's total was 292,566, which represented 21.7% of the objective. September year-to-date activity is higher due to an increase in the number of non-moving and moving violation tickets issued this fiscal year.

**TOTAL DISPOSITIONS/COST PER DISPOSITION**

The FY2003 year-to-date for disposed cases is 275,481, which is 24.9% of the objective, while the September FY2002 year-to-date was 248,428, which was 19.4% of the objective. This represents an increase of 27,053 in disposed cases. The increase is due to a higher number of moving violations tickets issued as well as parking, non-traffic, and failure to appear violations.

The FY2003 year-to-date cost per disposition is \$14.43. In September of last year, the cost per disposition was \$16.38. The decreased cost in FY2003 is a result of an increase in the number of tickets issued and a decline in postage usage due to a decrease in mailing delinquent notices.

**INCOMPLETE DOCKET/MISSING CASES (CASES/DAY)**

The FY2003 September current month rate is 12.75 cases per day. Last year at this time, the rate was 3.84 cases per day. The increase in missing cases can be contributed to the increase number of tickets issued; however, the department is constantly striving to improve its efficiencies in receiving paperwork submissions in time for the court docket.

**PARKS AND RECREATION**

**F&A ANALYST: JANICE ALDERSON**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Youth Served-Attendance at FACET, Fun Quest, Other Youth Activities and Certain Youth Sports Programs	526,968	165,284	31.4%	530,000	177,447	33.5%
Grounds Maintenance Site Visits Monthly	50,497	13,071	25.9%	50,500	14,188	28.1%
Vehicle Downtime-Days out of Service (avg)	21	16	76.2%	30	21	N/A
Sponsorship and Grants Revenue	\$2,514,225	\$626,822	24.9%	\$1,849,700	\$195,479	10.6%
Golf Rounds Played	273,266	74,051	27.1%	327,000	69,751	21.3%
Work Orders Completed - Parks and Community Center Facilities	18,961	4,499	23.7%	19,000	4,286	22.6%

Overall, year-to-date totals for the majority of the Parks and Recreation measures are consistent with objectives and do not vary significantly from last year's year-to-date numbers. Acquisition of sponsorship revenue is not linear throughout the fiscal year, therefore, year-to-date will vary from last year's year-to-date.

**GOLF ROUNDS PLAYED**

The number of golf rounds played is down slightly primarily due to the construction of a cart path at Sharpstown Golf Course. This construction was completed in July and the golf course is now fully operational.

**PLANNING & DEVELOPMENT**

**F&A ANALYST: CARL MEDLEY**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Subdivision Plats Reviewed	3,355	937	27.9%	2,448	779	31.8%
Super Neighborhood Plans Updated	20	N/A	N/A	40	0	0.0%
DBs Corrected (by Owner/City)	1,494	319	21.4%	600	274	45.7%
Lots Cut	8,968	1,470	16.4%	9,000	2,519	28.0%
Number of Permits Sold	141,355	43,191	30.6%	144,100	32,595	22.6%
No. of Inspections Per Day Per Inspector	21	N/A	N/A	18	20	111.1%
Violation Investigations	12,262	3,272	26.7%	15,000	3,083	20.6%

**NUMBER OF OWNER CORRECTED DANGEROUS BUILDINGS (DBs), CITY-CORRECTED DANGEROUS BUILDINGS, WEEDED LOTS CUT BY CITY CONTRACTORS**

September's YTD activity for dangerous buildings is above the FY2003 objective at 45.7%. The number of weeded lots cut is on target for FY2003.

**SUBDIVISION PLATS REVIEWED, NO. OF PERMITS SOLD**

The FY2003 year-to-date for building permits sold is 32,595, which is 22.6% of the objective. September year-to-date activity for the subdivision plats reviewed is 779, which performed over budget at 31.8%.

**HOUSTON POLICE**

**F&A ANALYST: SHAWNELL HOLMAN-SMITH**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
Response Time (Code 1)-Minutes	4.4	4.4	100.0%	4.9	4.4	89.8%
Violent Crime Clearance Rate	33.1%	35.1%	106.0%	38.8%	32.9%	84.8%
Crime Lab Cases Completed	91.2%	64.9%	71.2%	90.0%	84.6%	94.0%
Call Queue Delay Time-Seconds	67.7	78.0	115.2%	65.0	65.5	100.8%
Fleet Availability	91.1%	92.0%	101.0%	90.0%	94.7%	105.2%
Complaints-total cases	861	134	15.6%	861	195	22.6%
Tot. Cases Reviewed by Citizens Rev. Com	248	45	18.1%	248	96	38.7%
Records Processed	681,389	625,669	91.8%	663,276	737,496	111.2%

**EMERGENCY RESPONSE TIME (CODE 1) MINUTES**

Year-to-date of 4.4 minutes is comparable to last year's actual level of 4.4 minutes, and therefore the department is on target to achieve the FY2003 objective of 4.9 minutes.

**VIOLENT (MAJOR) CRIMES CLEARANCE RATE**

Year-to-date of 32.9% is comparable to last year's level of 35.1%. Department is 5.9% off of its objective.

**CRIME LAB CASES COMPLETED**

The FY2003 year-to-date number of 84.6 % is comparable to last year's actual of 91.2%.

**CALL QUEUE DELAY TIME - SECONDS**

The year-to-date for September 2002 of 65.5 seconds is .5 seconds slower than the objective of 65 seconds.

**FLEET AVAILABILITY**

The FY2003 September YTD of 94.7% is consistent with last year's level of 91.1% actual.

**COMPLAINTS-TOTAL CASES**

The September 2002 YTD number of cases is 22.6% of the FY2003 objective. The department is on schedule to achieve its' objective.

**TOTAL CASES REVIEWED BY CITIZENS REVIEW COMMITTEE**

The Citizens Review Committee is comprised of 21 citizens that review all Internal Affairs investigations involving excessive force, discharge of firearms, and incidents resulting in serious bodily injury. The September 2002 YTD number of cases is 38.7% of the FY2003 objective. The department is on schedule to achieve its' objective.

**RECORDS PROCESSED**

The volume of records processed for September 2002 is 111.2% and is over the objective by 11.2%.

**PUBLIC WORKS AND ENGINEERING****F&A ANALYST: REMICK M. WILLIAMS**

Department Performance Measure	FY2002			FY2003		
	Actual	YTD	% Actual	Objective	YTD	% Objective
<b>Maintenance and Right-of-Way</b>						
In-House Street Overlay (Lane Miles)	206	62	30.1%	285	73	25.7%
Potholes/Skin Patches (Tonnage)	NA	NA	0.0%	25,000	5,704	22.8%
Roadside Ditch Regraded/Cleaned (Miles)	230	45	19.6%	190	71	37.2%
Storm Sewers Cleaned (Miles)	315	120	38.0%	350	78	22.4%
Storm Sewer Inlets/Manholes Cleaned/Inspected	136,605	32,932	24.1%	130,900	32,720	25.0%
<b>ECRE</b>						
PIB Appropriations as % of CIP	127.8%	2.3%	1.8%	100.0%	41.3%	41.3%
W/S Appropriations as % of CIP	110.6%	5.6%	5.1%	100.0%	39.9%	39.9%
Awarded Overlay Under Contract (Lane Miles)	138	58	42.0%	70	0	0.0%
Sidewalk Program (Miles Awarded - Design & Construction)	36	0	0.0%	50	6	11.2%
Street Light Installations Authorized	1,764	515	29.2%	1,700	595	35.0%
<b>Water and Sewer</b>						
No. of Water Repairs Completed	8,926	2,574	28.8%	16,000	2,135	13.3%
No. of Sewer Repairs Completed	3,590	707	19.7%	4,300	925	21.5%

**IN-HOUSE STREET OVERLAY**

This measure consists of resurfacing of streets by City personnel to enhance useful life and rideability. September's YTD activity at 25.7% will meet the FY03 objective if the September activity is maintained throughout the year.

### **POTHoles AND SKIN PATCHES**

This type of activity consists of patching holes and broken edges and leveling uneven areas in concrete and bituminous surfaces with hot mix or premixed bituminous material to eliminate or reduce road surface hazards. This performance indicator has been revised to include other road repairs. Additionally the unit of measure has changed to tonnage and the goal is currently being studied by the Public Works and Engineering Department to verify its efficacy.

### **ROADSIDE DITCH MAINTENANCE**

This activity consists of regrading and removing debris, trash, and vegetation from roadside ditches to improve and facilitate drainage. September's YTD activity will exceed the FY03 objective if the September activity is maintained throughout the year.

### **STORM SEWERS CLEANED**

This activity consists of cleaning sections of the storm sewer system by using a combination cleaning unit to ensure proper drainage. September's YTD activity is slightly below track to achieve the FY03 objective; however, the department intends to achieve the FY03 objective of 350.

### **STORM SEWERS INLETS/MANHOLES CLEANED / INSPECTED**

This activity consists of inspecting and if necessary removing silt, debris, and trash from inlets and manholes to keep the drainage system functioning properly. September's YTD activity is on track to achieve the FY03 objective.

### **PIB APPROPRIATION AS % OF CIP**

PIB Appropriations measure the progress of the Capital Improvement Plan (CIP) for the Street and Traffic Control Facilities as well as Storm Drainage System Improvements. As of September, 41.3% of the PIB CIP has been appropriated. The department will exceed its FY2003 objective if the September level of activity is maintained throughout the year.

### **W/S (WATER & SEWER) APPROPRIATION AS % OF CIP**

W/S Appropriations measure the progress of the CIP for the Wastewater Treatment Facilities Improvements as well as Water System Facilities. As of September 39.9% of the W/S CIP has been appropriated. The department will exceed its FY2003 objective if the September level of activity is maintained throughout the year.

### **CONTRACTED OVERLAY (LANE MILES AWARDED)**

Contracted Overlay resurfaces existing streets to improve the general mobility throughout the City. Contract Overlay includes two important elements.

- a. Neighborhood to Standard Program to revitalize neighborhoods
- b. Citywide Overlay to improve mobility throughout the City

As of September 30<sup>th</sup>, no overlay contracts have been awarded; however, the department intends to reach its FY2003 objective of 70.

### **SIDEWALK PROGRAM (MILES AWARDED FOR CONSTRUCTION)**

The sidewalk program includes four important elements:

- a. Safe School Sidewalks that provide a safe route for our school children
- b. Major Thoroughfare Sidewalk Program that provides sidewalks for pedestrians along busy streets
- c. Neighborhood sidewalk rehabilitation program that upgrades existing sidewalks throughout identified residential neighborhoods
- d. Pedestrian Accessibility that provides wheel chair ramps and sidewalks for people with disabilities

As of September 30<sup>th</sup>, 11.2% of sidewalk contracts have been awarded; the department intends to reach its FY2003 objective of 50.

**NEW STREET LIGHTS AUTHORIZED**

This indicator represents the number of street lights that are authorized for installation by the City and the corresponding work efforts by staff. Street lights are authorized for installation to Reliant Energy who in turn performs the engineering design and actual field installation. Street lights are usually installed within 90 days of authorization and billing occurs within 120 days of authorization. As of September, 595 street light installations have been authorized (35% of the annual goal), which will exceed the FY2003 objective of 1,700 if the September level of activity is maintained throughout the year.

**WATER & SEWER****F&A ANALYST: MICHAEL SHANNON****NUMBER OF WATER REPAIRS COMPLETED**

The FY2003 objective for water repairs is 16,000. For the first three months of the new fiscal year, 2,135 repairs have been completed, representing 13.34% of the full year objective. It is too early to evaluate the full year performance.

**NUMBER OF SEWER REPAIRS COMPLETED**

The FY2003 objective for sewer repairs is 4,300. For the first three months of the new fiscal year, 925 repairs have been completed representing 21.51% of the full year objective. It is too early to evaluate the full year performance.

**SOLID WASTE MANAGEMENT****F&A ANALYST: PRESTON RICE**

Department Performance Measure	Actual	FY2002 YTD	% Actual	Objective	FY2003 YTD	% Objective
Cost per Unit Served-Excludes Recycling and Special Collections Programs	\$13.68	\$14.21	103.9%	\$13.61	\$13.61	100.0%
Units with Recycling	152,000	140,000	92.1%	152,000	152,000	100.0%
Tires Disposed	222,389	51,174	23.0%	210,000	48,279	23.0%

**COST PER UNIT SERVED (EXCLUDES RECYCLING AND SPECIAL COLLECTION PROGRAMS)**

Year-to-date is \$0.60 lower than last year's cost. The Solid Waste Management Department's year-to-date activity is at its FY2003 Objective of \$13.61. The decrease represents approximately \$2.0 million reduction in the FY2003 Budget from the FY2002 Budget primarily due to a reduction in personnel and services.

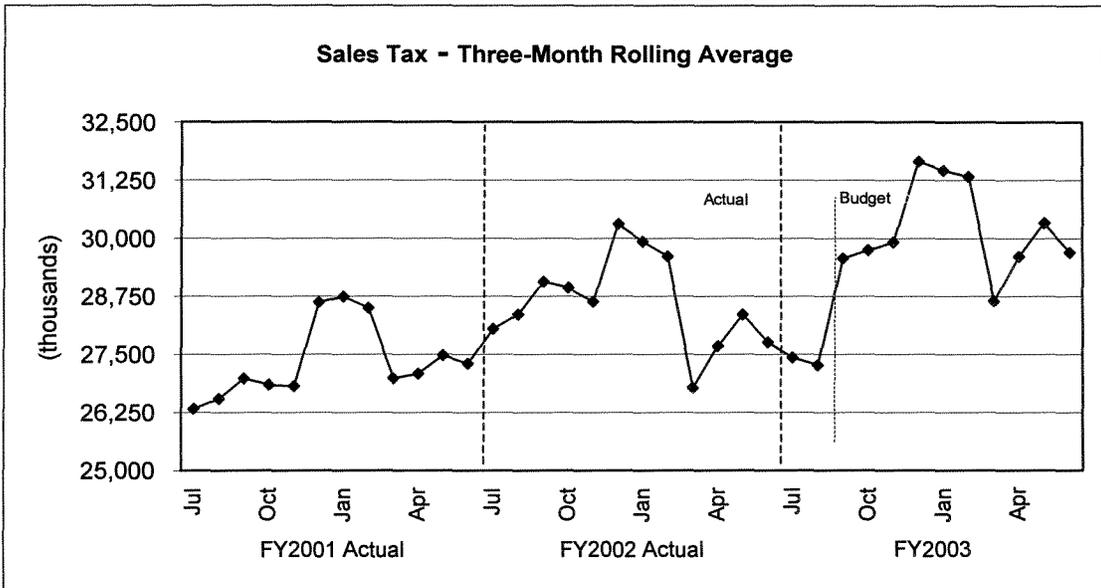
**UNITS WITH RECYCLING**

September year-to-date is at this year's objective and higher than last year's level of activity due to an increase of 12,000 homes receiving curbside recycling with no additional increase in funding or staffing. This increase is being addressed through improved management of available resources.

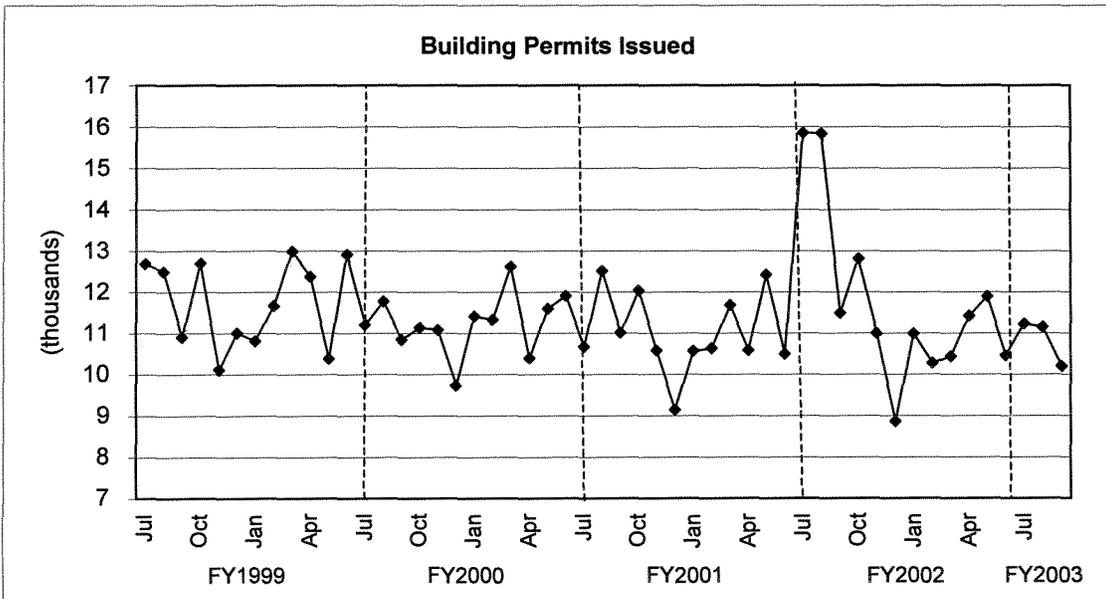
**TIRES DISPOSED**

FY2003 year-to-date for September is 48,279. This is a decrease of 2,895 tires disposed compared to last fiscal year's level of activity. The market for rubber material continues to escalate in an upward direction during this fiscal year; however, no tires were picked up for disposal this month.

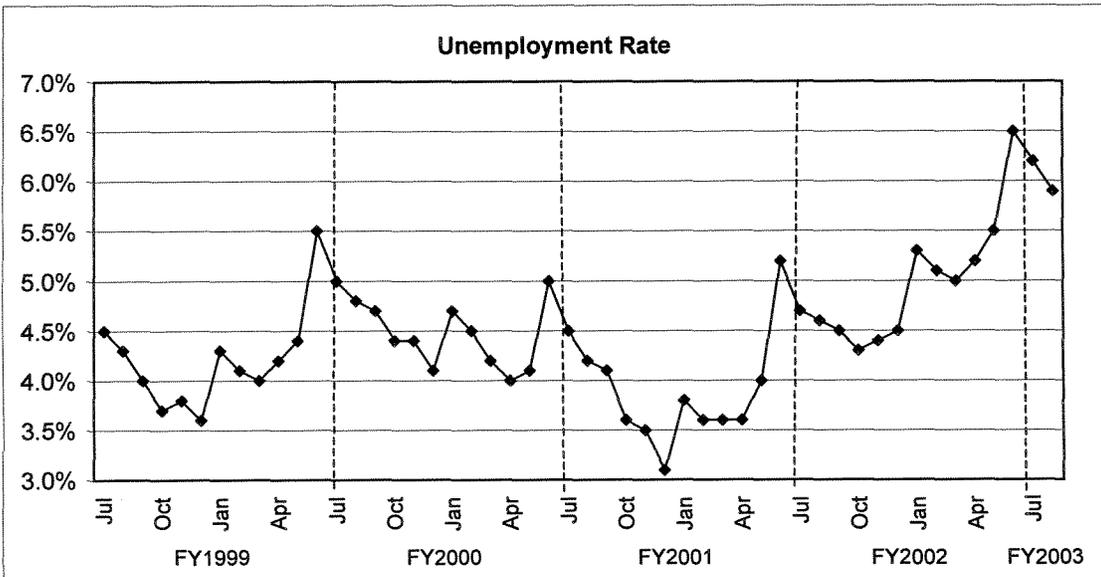
## TREND INDICATORS - LOCAL ECONOMY



Source: Office of State Comptroller

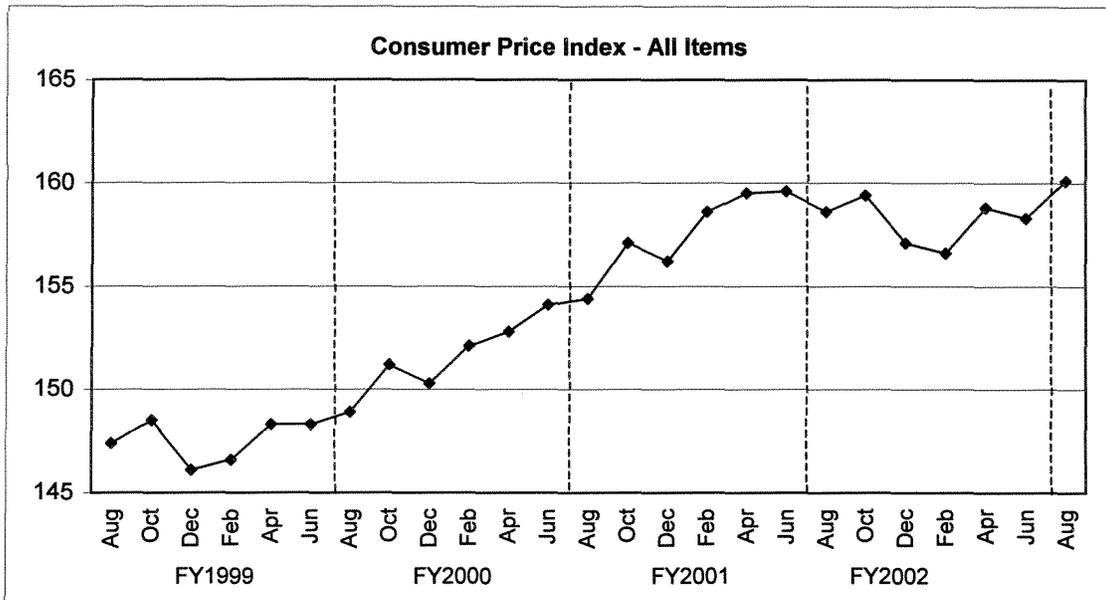


Source: City of Houston Planning and Development Department

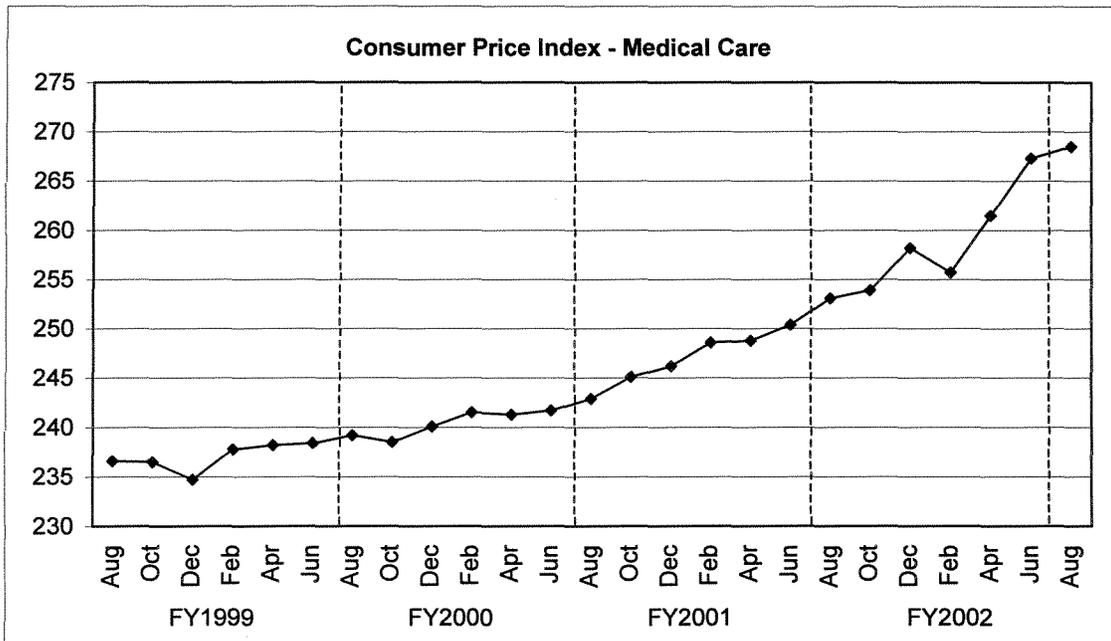


Source: Databook Houston; University of Houston Center for Public Policy; not seasonally adjusted

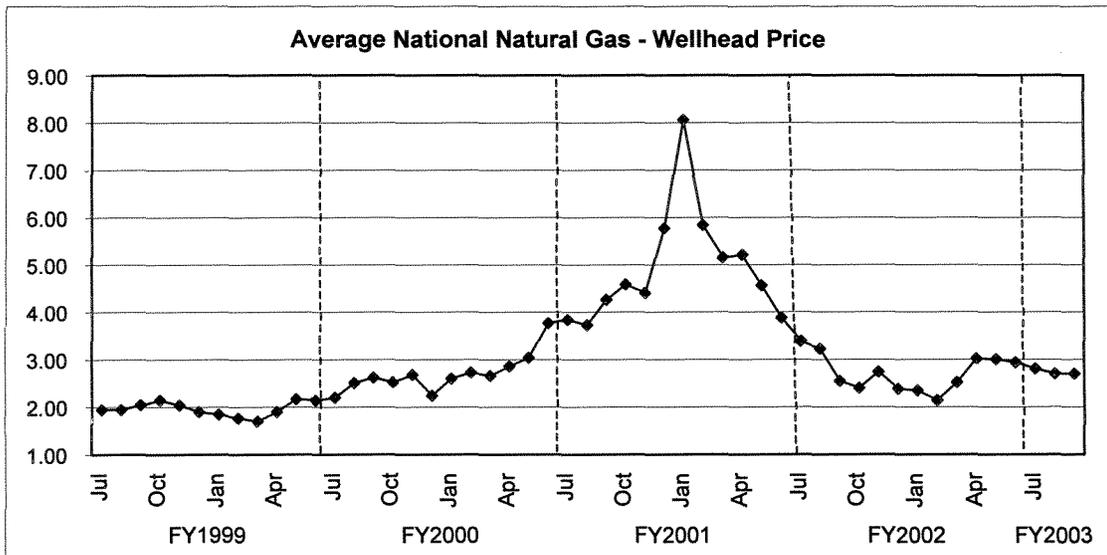
## TREND INDICATORS - LOCAL ECONOMY



Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX

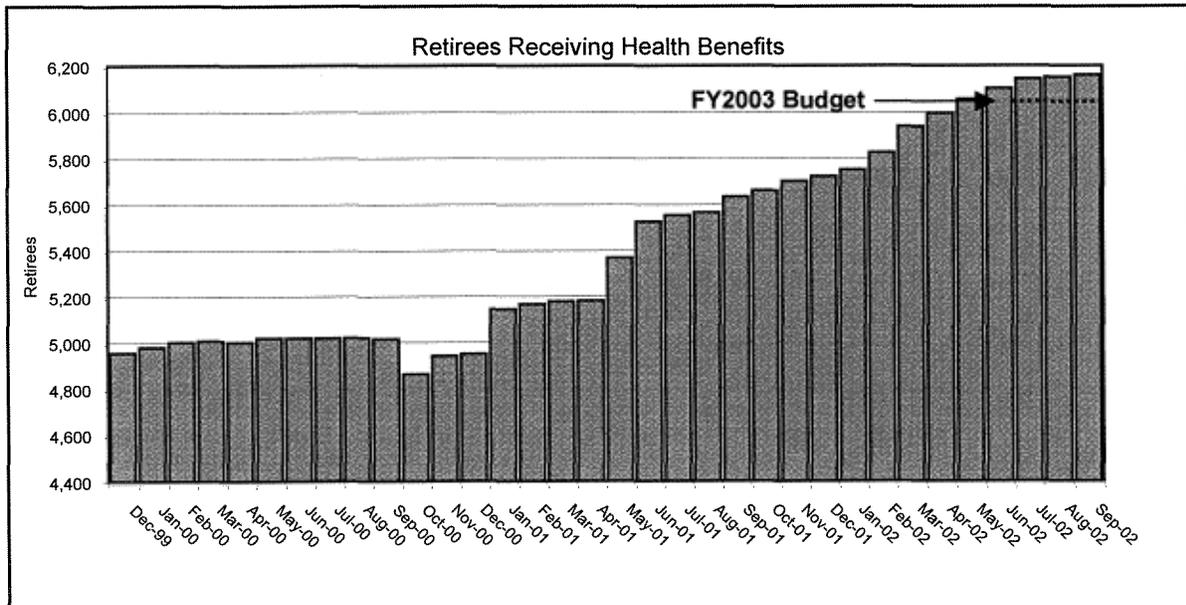
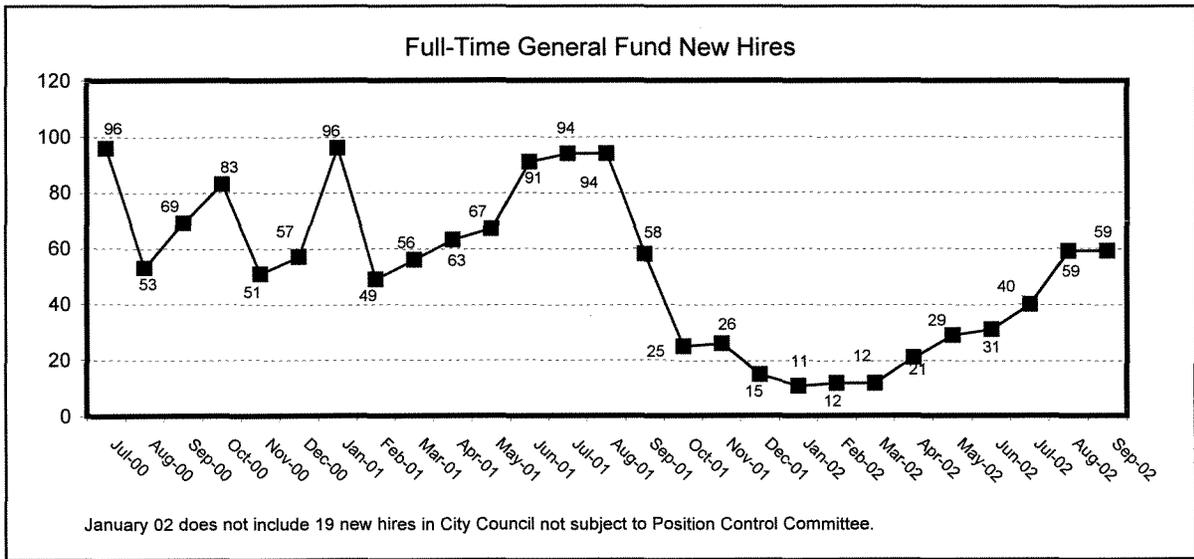
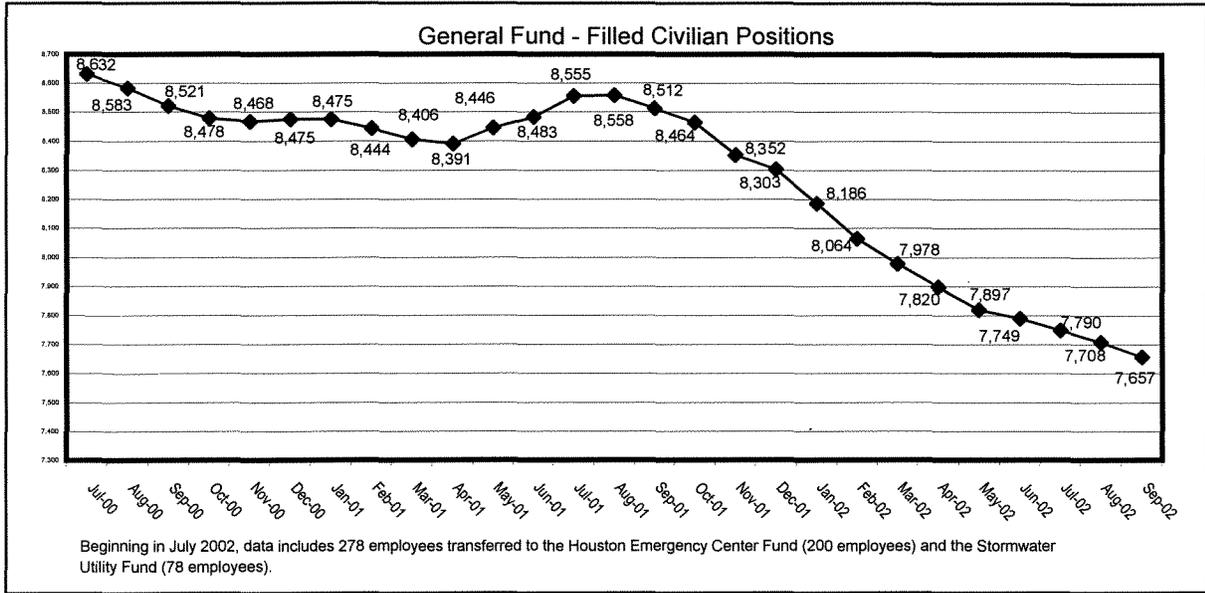


Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX

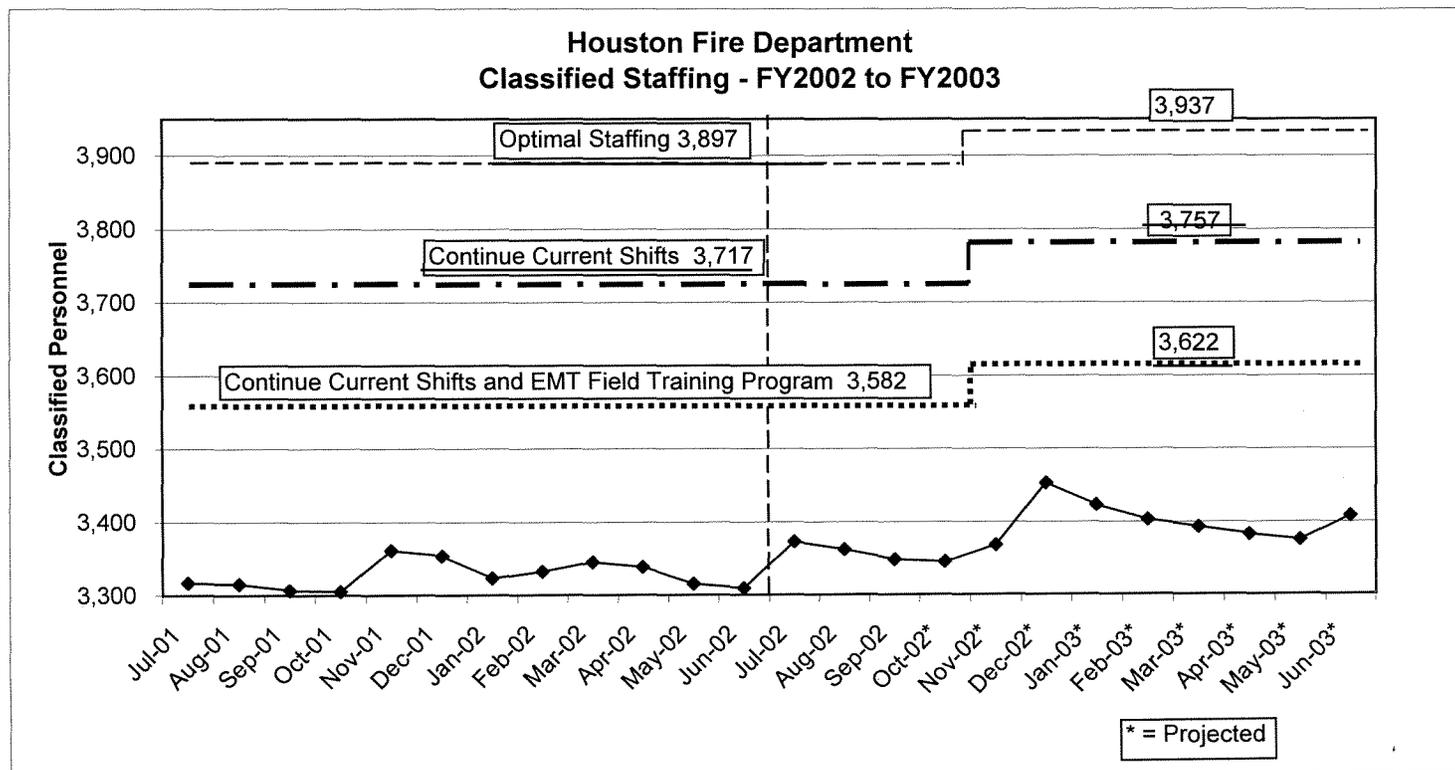
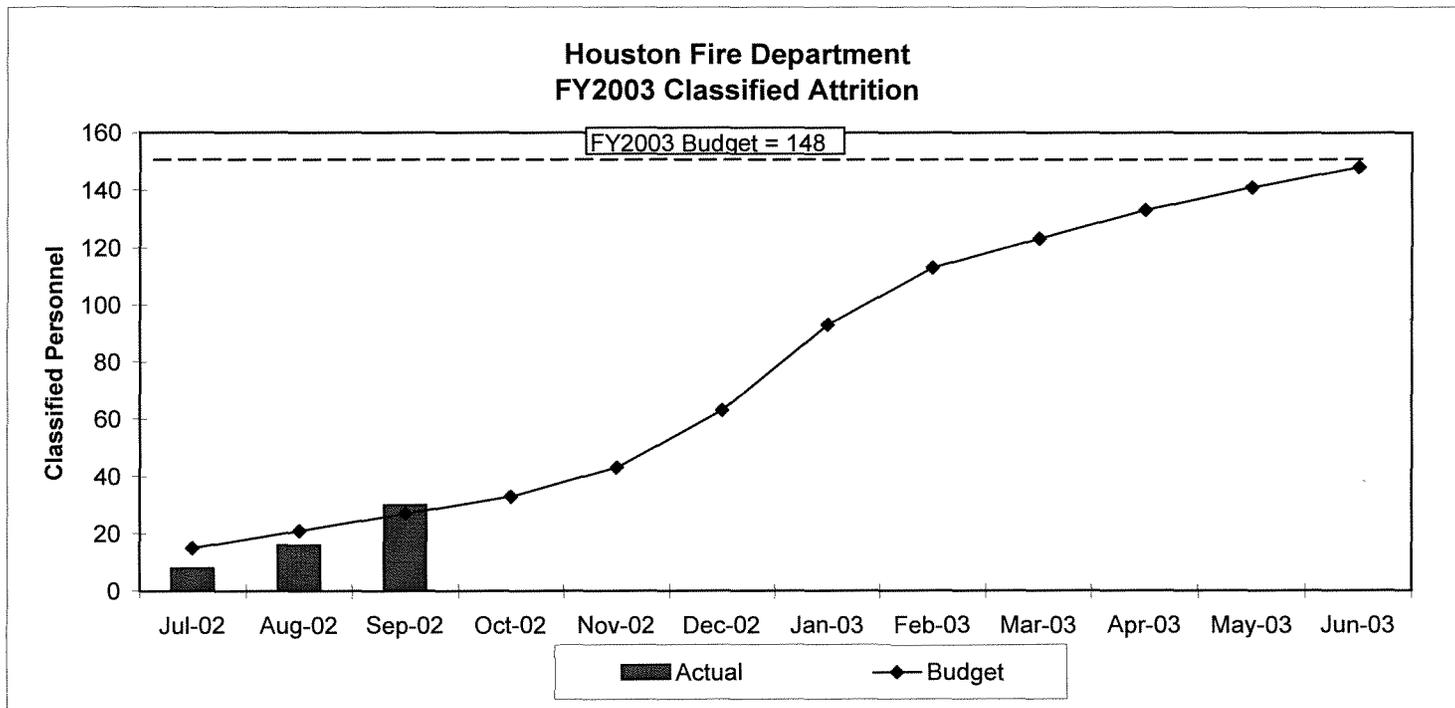


Source: Energy Information Administration/Natural Gas Monthly

# TREND INDICATORS - HIRING AND RETIREMENTS

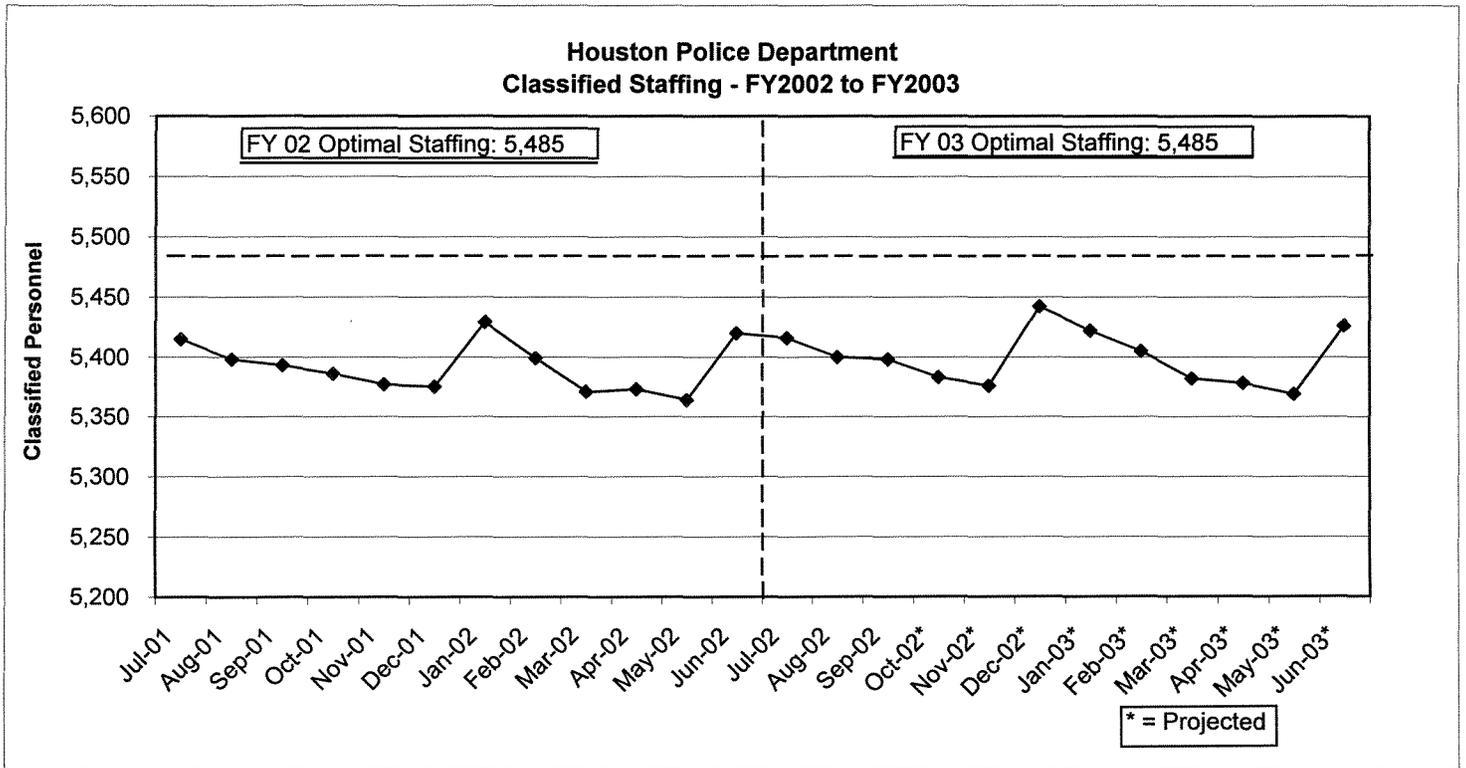
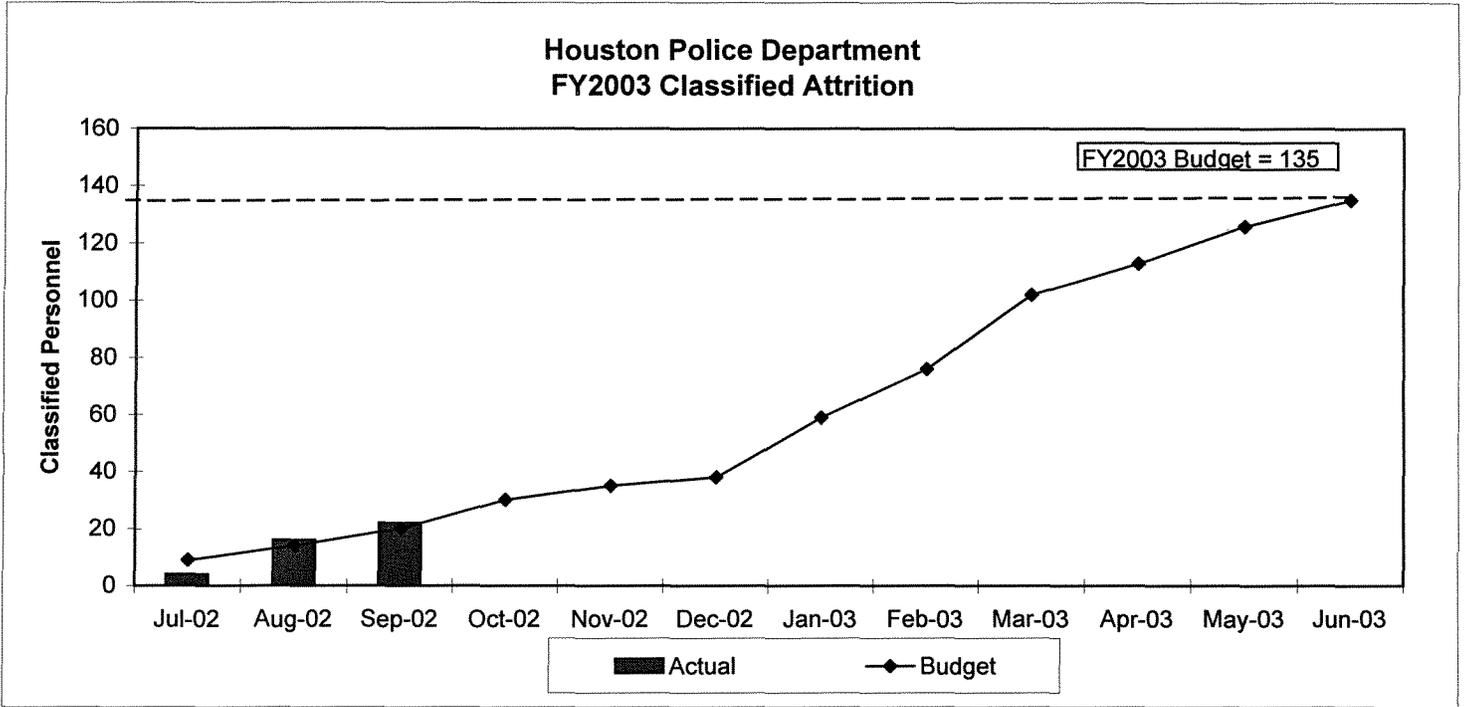


## TREND INDICATORS - HIRING AND RETIREMENTS

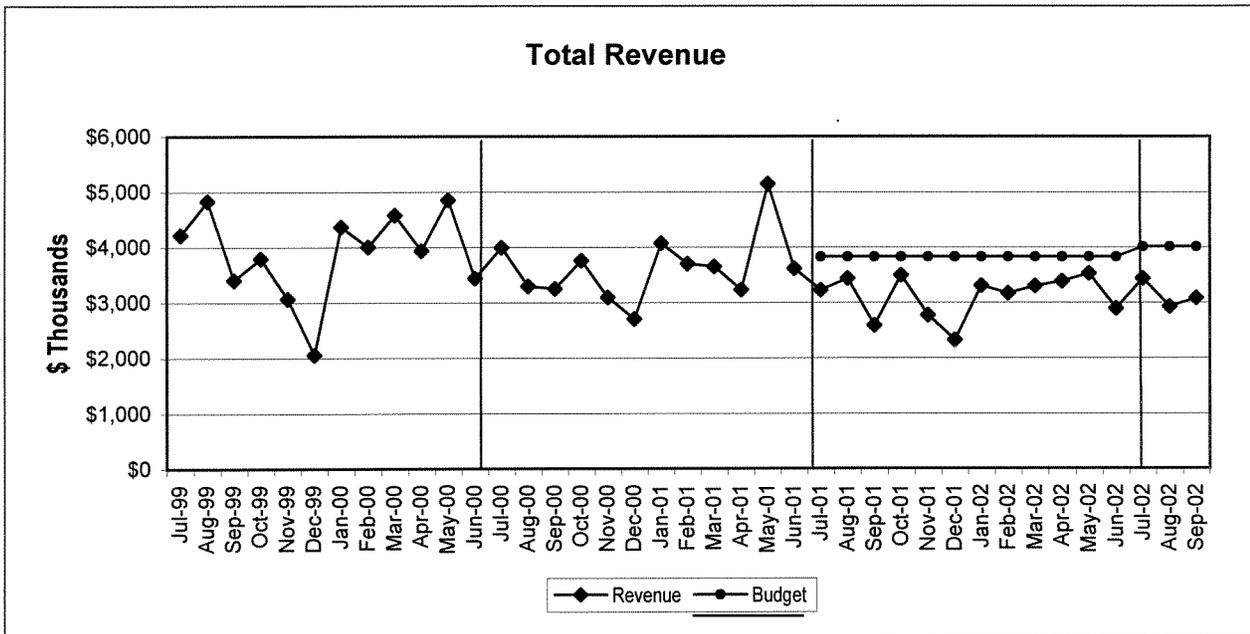
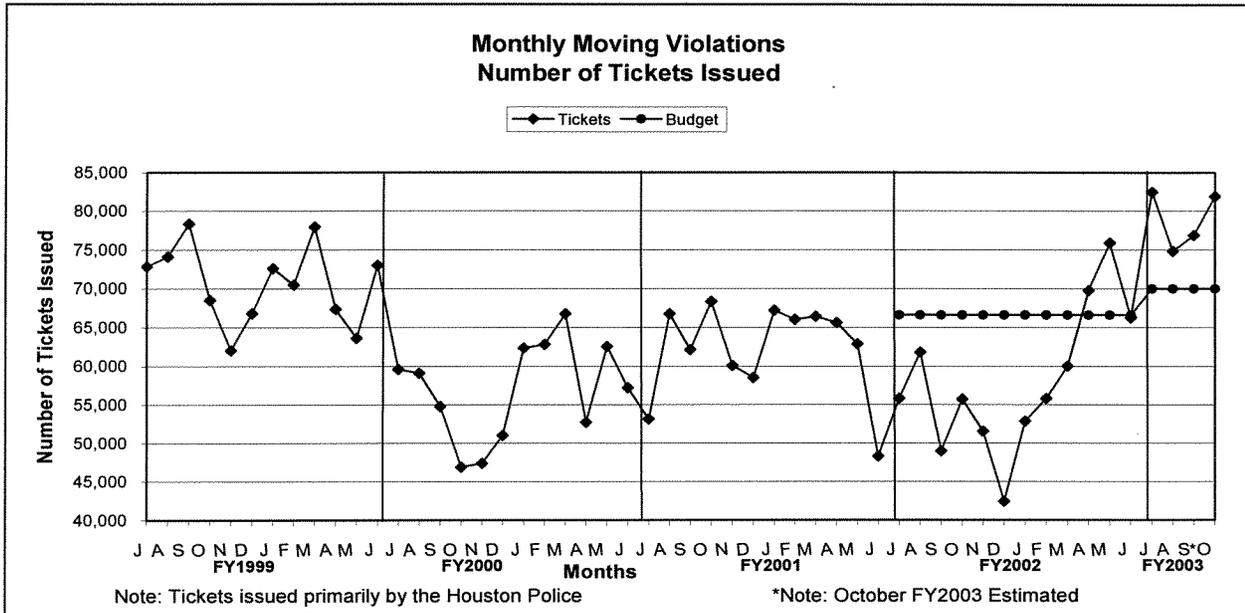


Note : New Fire Station #99 scheduled to open in November, 2002.

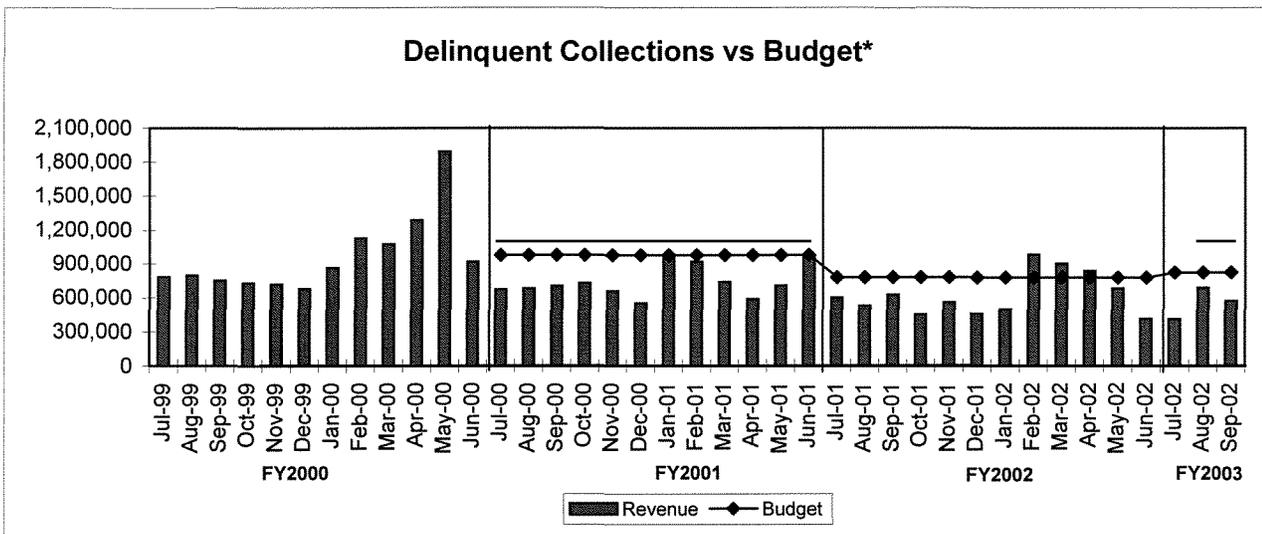
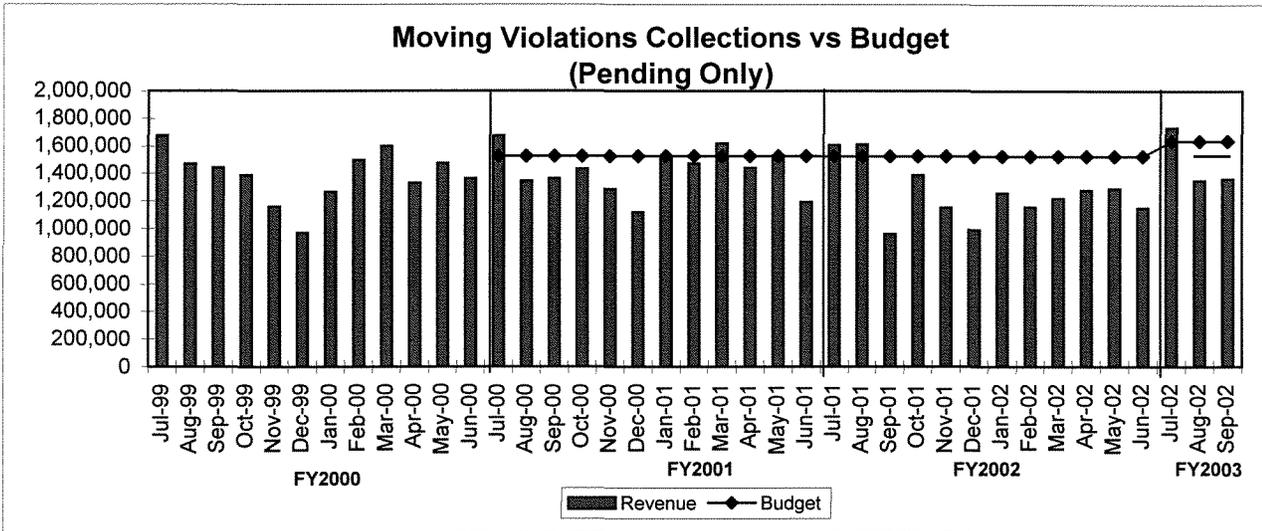
## TREND INDICATORS - HIRING AND RETIREMENTS



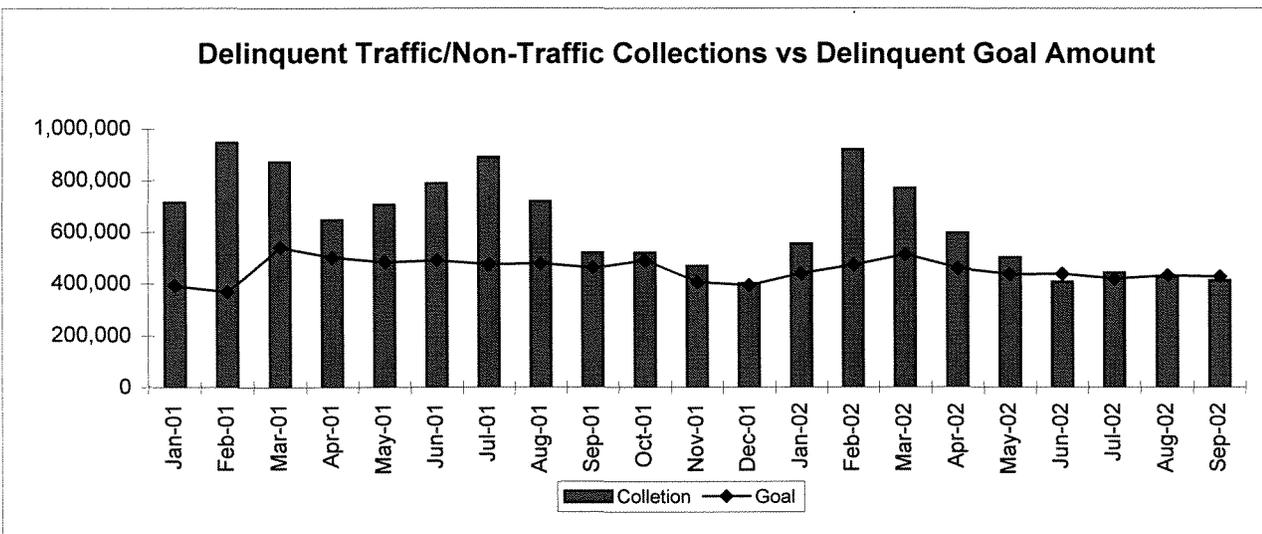
## TREND INDICATORS - MUNICIPAL COURTS



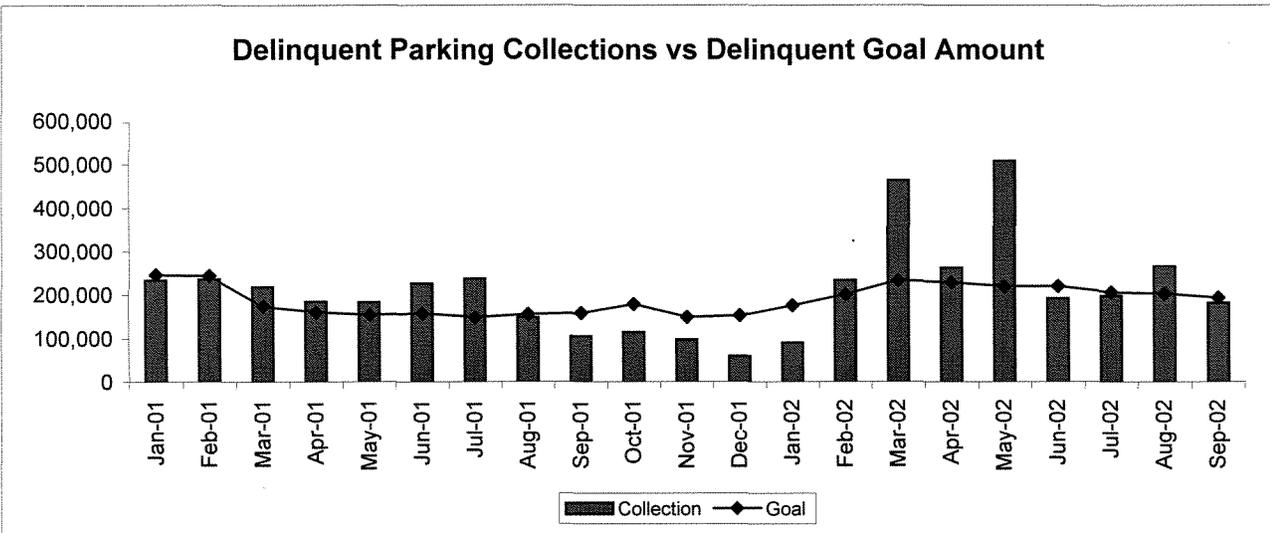
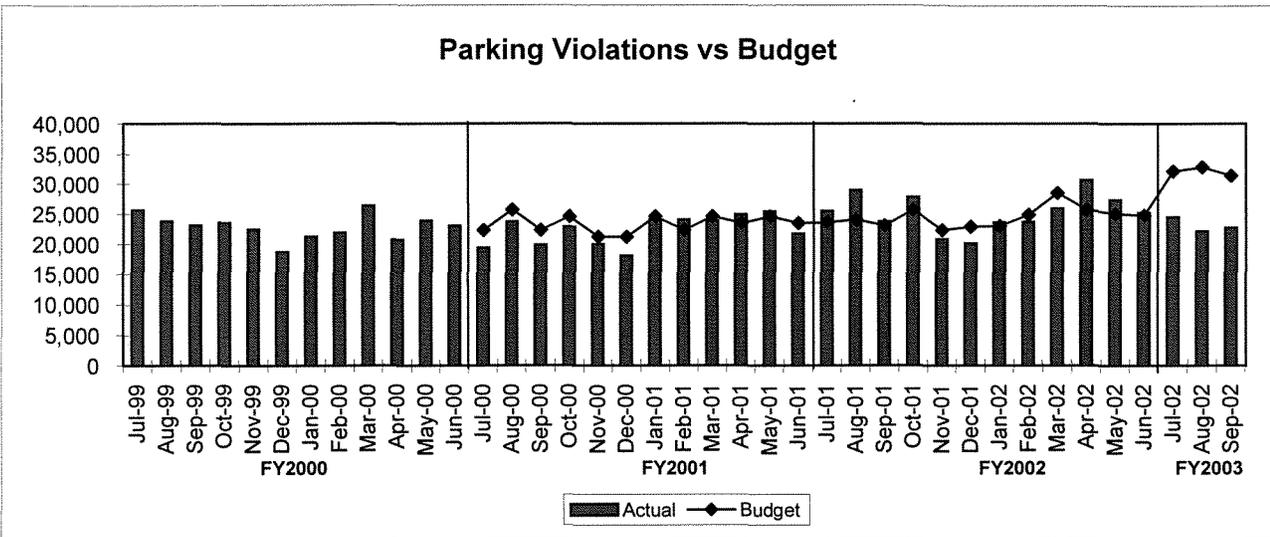
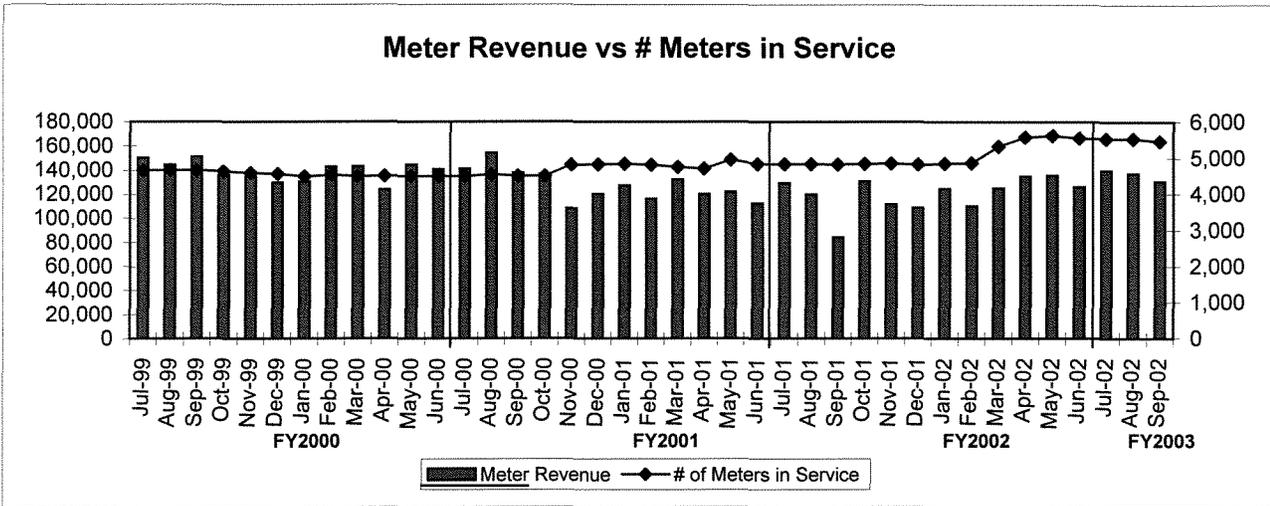
## TREND INDICATORS - MUNICIPAL COURTS



\*Net of fees and expenses paid to Linebarger

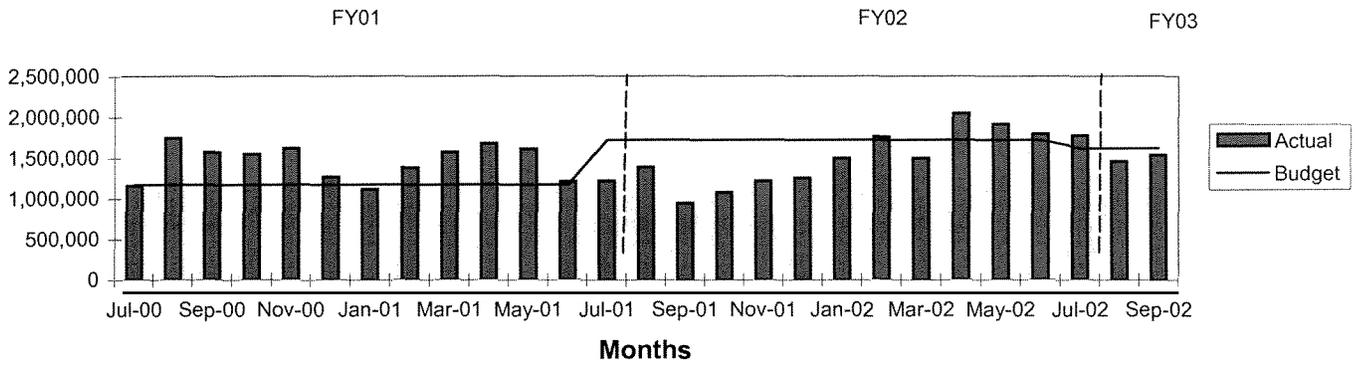


## TREND INDICATORS - MUNICIPAL COURTS

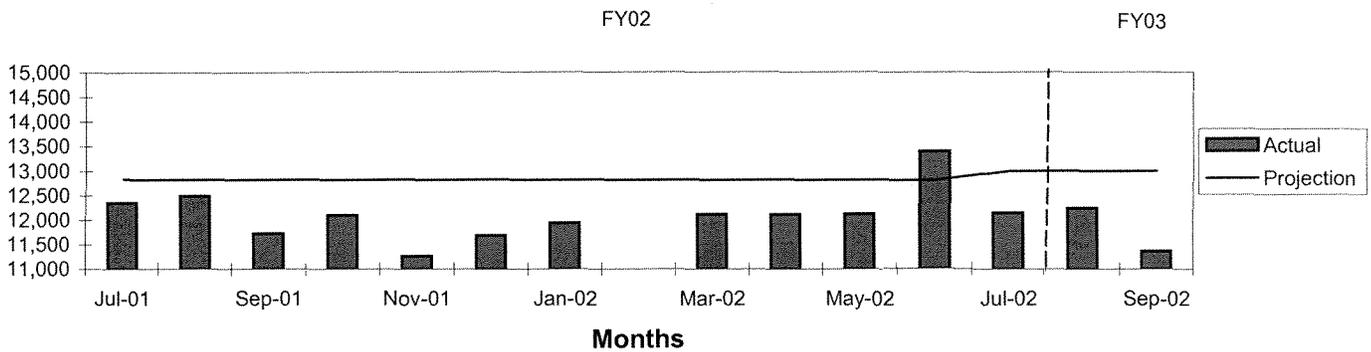


# TREND INDICATORS - AMBULANCE SERVICES

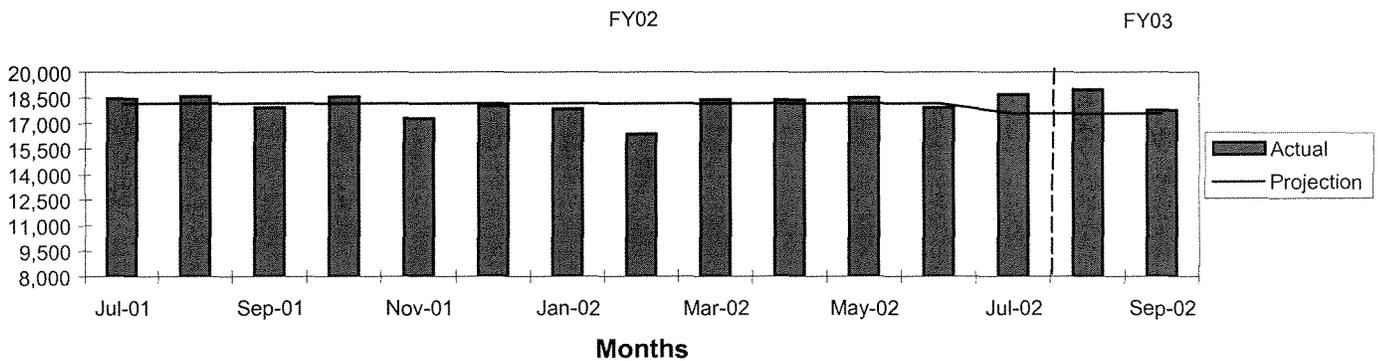
## EMS Revenue (Net Collections)



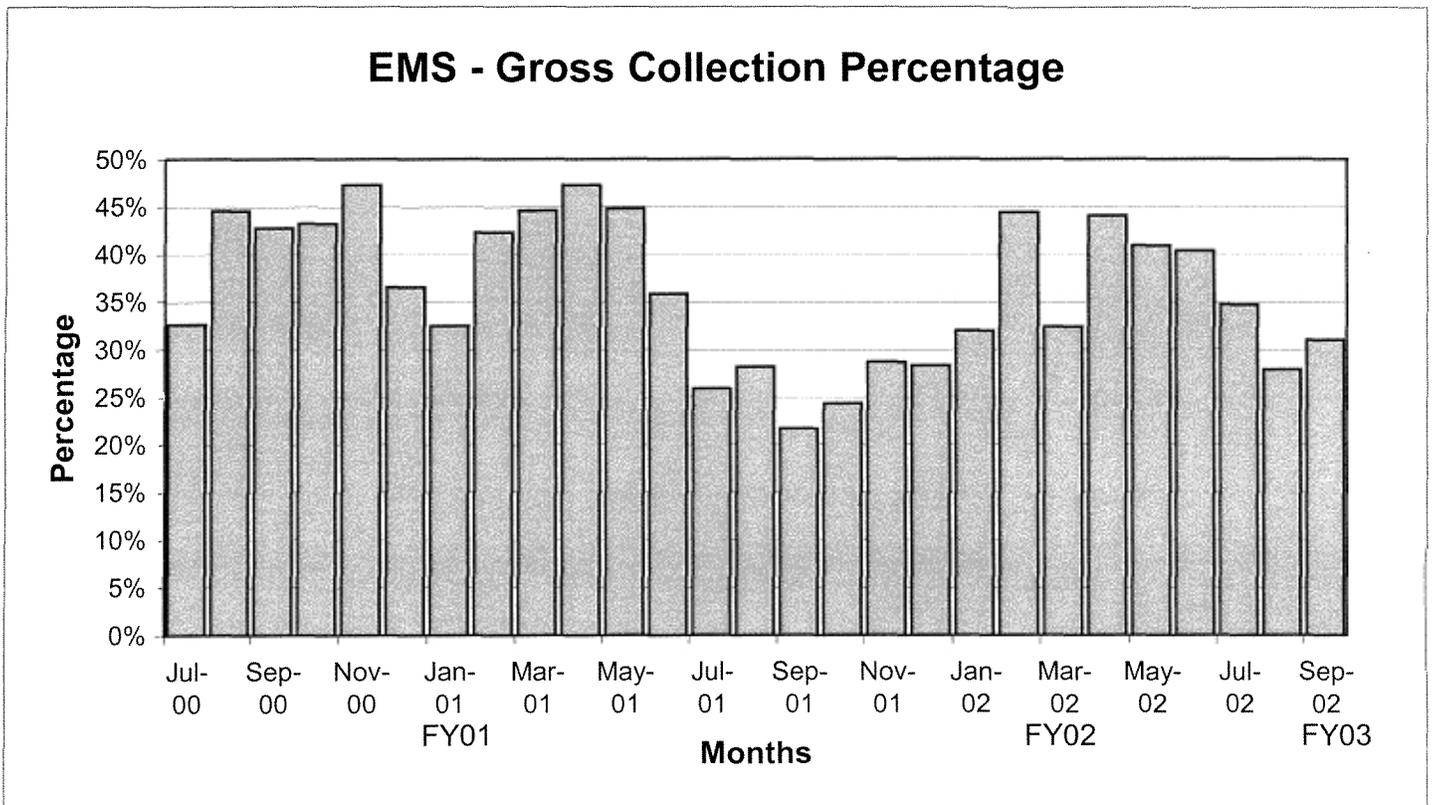
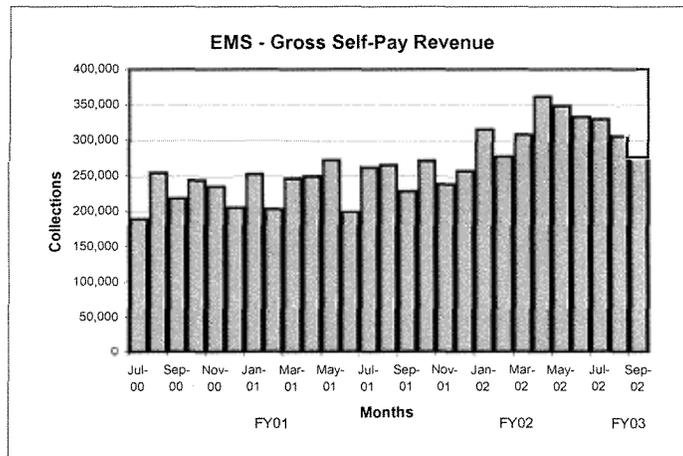
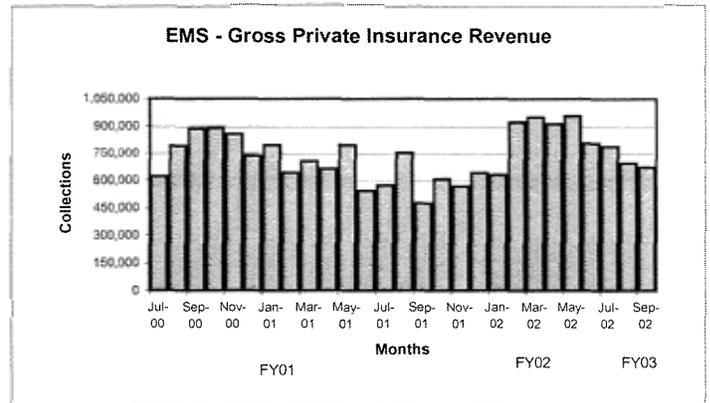
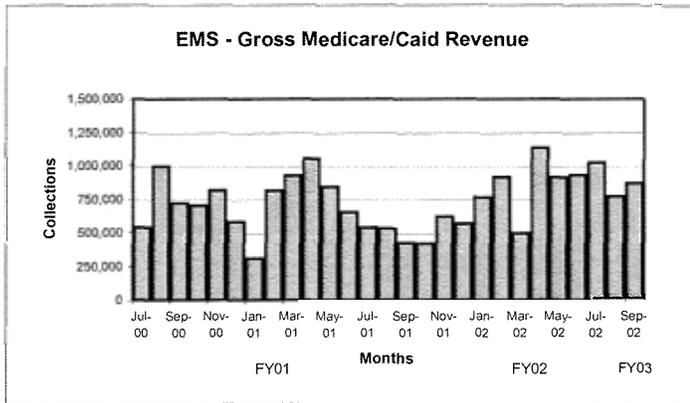
## EMS Transports



## EMS Incidents

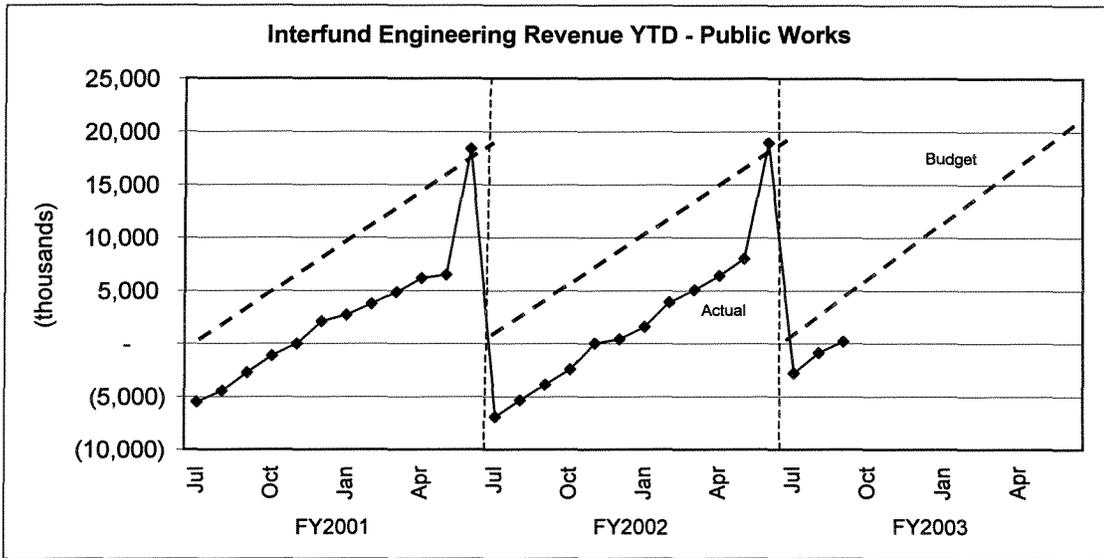


# TREND INDICATORS - AMBULANCE SERVICES

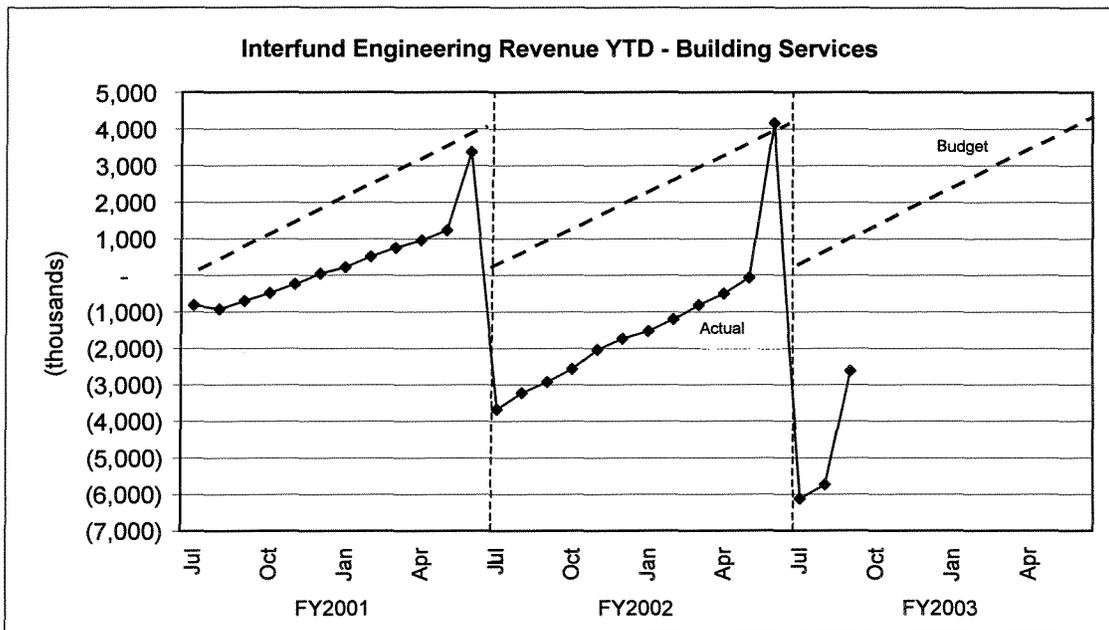




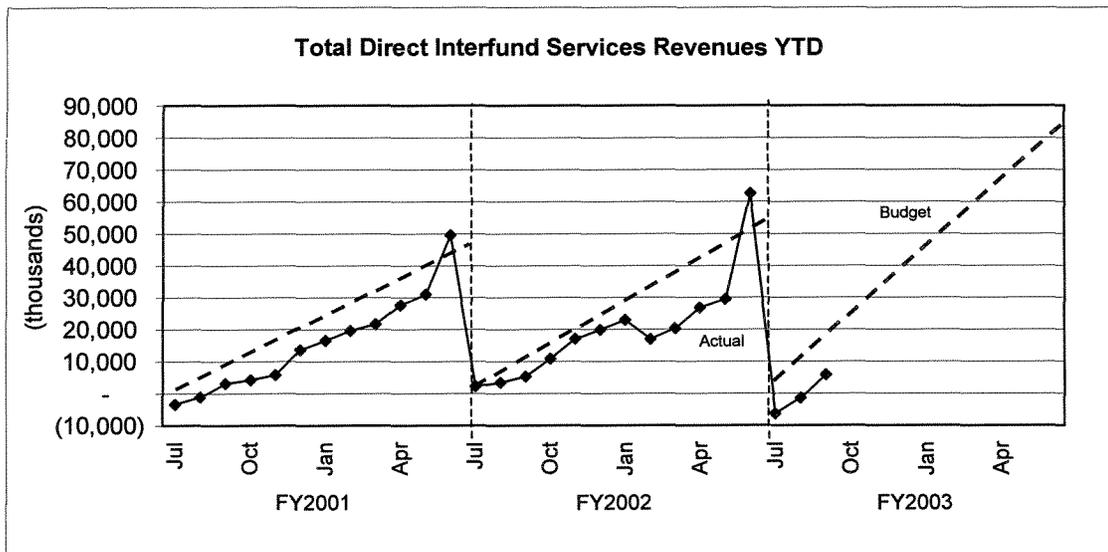
## TREND INDICATORS - MISCELLANEOUS



July/Aug activity shows as a negative due to the reversal of the June accrual.

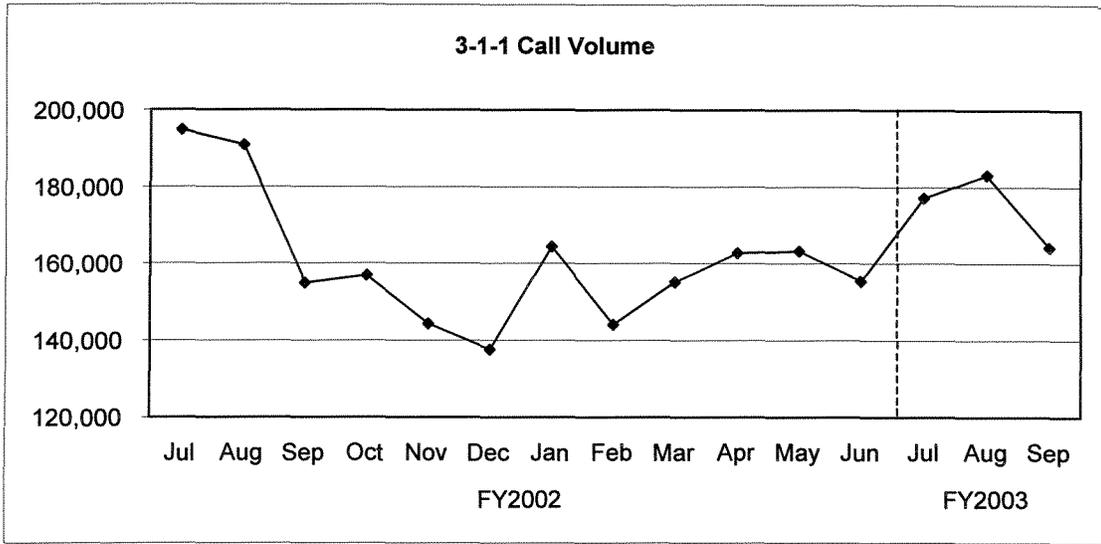


July/Aug activity shows as a negative due to the reversal of the June accrual.

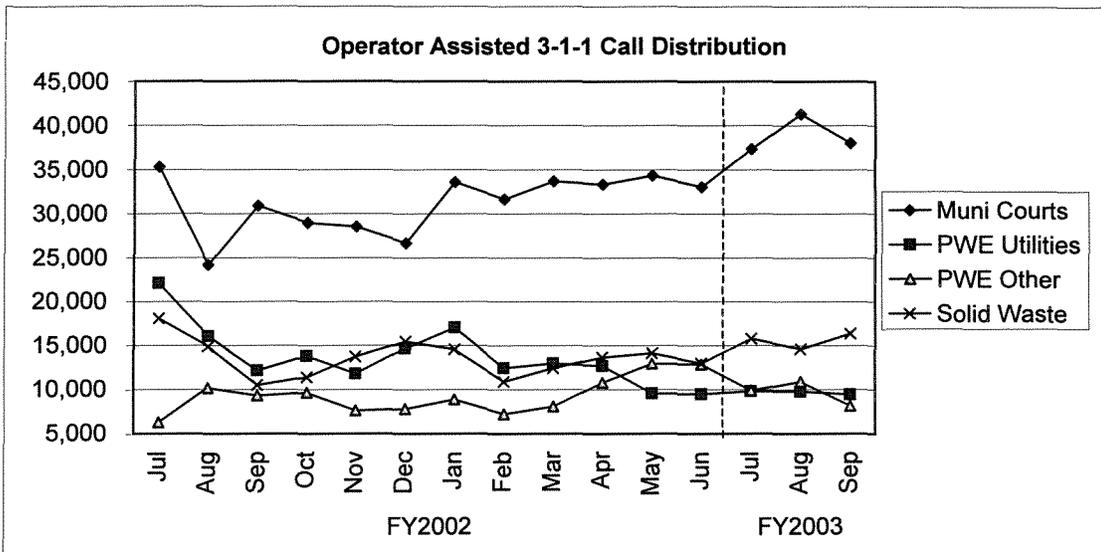


July/Aug activity shows as a negative due to the reversal of the June accrual.

## TREND INDICATORS - MISCELLANEOUS



3-1-1 became fully operational in August 2001



4 largest users of operator assisted 3-1-1 calls.