

Monthly Financial and Operations Report
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OFFICE OF THE CITY CONTROLLER
CITY OF HOUSTON
TEXAS

To: Mayor Annise D. Parker
City Council Members

From: Ronald C. Green
City Controller

Date: November 4, 2011

Subject: September 2011
Financial Report

Attached is the Monthly Financial and Operations Report for the period ending September 30, 2011.

GENERAL FUND

The Controller's office is projecting an ending fund balance of \$116.1 million for FY2012. This is \$23.0 million lower than the projection of the Finance Department. The difference is due to a \$20.1 million higher revenue projection from the Finance Department and a \$2.9 million higher projection for the Sale of Capital Assets from the Finance Department. Based on our current projections, the fund balance will be \$5.0 million below the City's target of holding 7.5% of total expenditures, excluding debt service, in reserve. This amount includes the undesignation of the \$20 million in the Rainy Day Fund.

Our revenue projection increased \$8.8 million. Property Tax was increased \$1.8 million reflecting current property values and a collection rate of 97%. We also increased Sales Tax \$5 million to recognize July and August receipts, which were higher than expected. Indirect Interfund was increased \$314,000 for higher Grant reimbursements. Finally, we increased Transfers from Other Funds \$1.5 million to reflect an additional transfer from Building Inspection of \$2.5 million for a sale of land in July, and a decrease of \$1 million from Parking Management from lower revenues due to a delay in the parking meter rate increase.

The major differences are in six categories: (1) Property Tax revenues are \$5.2 million lower than the Finance Department due to Controllers using a collection rate of 97% versus 97.5% for Finance. (2) Sales Tax revenues are \$4.7 million lower, as the Controller's office is using Barton Smith's latest growth estimate, discounted by his stated margin of error, and recognizing July and August receipt amounts over projection. (3) Finance is reporting Licenses and Permits \$4.3 million higher than the Controller's projection. The Controller's office recognizes the fee increases, but until we see the effect on the number of licenses we will not modify our projection. (4) Finance is reporting Charges for Services \$1.6 million higher than the Controller's projection. Ambulance fees are the largest single revenue in this category. (5) Finance is reporting Miscellaneous/Other revenues \$1.5 million higher than the Controller's projection. Many of these revenue sources are non-recurring. (6) Sale of Capital Assets are \$2.9 million lower than the Finance Department, as the Controller's Office has not recognized all proposed land sales, which have yet to be finalized and approved by Council.

Expenditure projections are \$6.1 million above the Adopted Budget. Our projection for Health increased \$755,000 for higher than budgeted security costs. We also increased Neighborhoods \$259,000 for higher personnel costs, which will be reimbursed. Parks was increased \$4.8 million primarily for tree removal costs and annualization of

**Mayor Annise D. Parker
City Council Members
September 30, 2011 Monthly Financial and Operations Report**

community center staffing. Police was increased \$3.3 million for additional traffic enforcement, and to reduce the backlog at the crime lab. We increased our projection for General Government \$5.7 million. This was an increase of \$9.2 million reclassified from Debt Service Transfer, for Dedicated Drainage & Street Renewal, with a decrease of \$3.5 million for lower than expected termination pay. Therefore, our projection for Debt Service Transfer decreased \$9.2 million.

Please remember that all FY2011 numbers are preliminary estimates and subject to change until the annual audit is completed and the Comprehensive Annual Financial Report is published.

ENTERPRISE FUNDS

In the Aviation Operating Fund, we have decreased our projection for Operating Revenues \$3.1 million for lower than expected landing fees, and building and grounds fees, along with a corresponding decrease of \$3.1 million in Operating Transfers.

Within the Combined Utility System, we have increased our projection for Operating Revenues \$16.9 million to reflect higher revenues related to the drought, and increased Operating Expenses \$11 million for increased costs from water main repairs. Non-Operating Revenues decreased \$1.9 million, for lower interest rates and higher CWA costs. Operating Transfers decreased \$4.1 million related to several refundings completed.

Our projections of expenditures for the Dedicated Drainage & Street Renewal Fund decreased \$948,000 mainly for personnel costs. Other Financing Sources decreased \$9.2 million, which is net of a decrease in Transfers In and Transfers Out reflecting funds going directly to the CIP fund, and the calculated captured revenue of \$9.2 million.

There were no material changes in the Convention & Entertainment Operating Fund and Stormwater Fund.

COMMERCIAL PAPER AND BONDS

The City's practice has been to maintain no more than 20% of the total outstanding debt for each type of debt in a variable rate structure. Convention and Entertainment maintains a higher percentage of variable rate debt due to agreements with the hotel corporation. As of September 30, 2011, the ratio of unhedged variable rate debt for each type of outstanding debt was:

General Obligation	8.8%
Combined Utility System	3.2%
Aviation	17.0%
Convention and Entertainment	18.3%

Respectfully submitted,



Ronald C. Green
City Controller

**Quarterly Swap Agreements Disclosure
September 30, 2011**

I. Combined Utility System Swaps

A. Combined Utility System Synthetic Fixed Rate Swap

On June 10, 2004 the City entered into three pay-fixed, receive-variable rate swap agreements (“the 2004B Swaps”) related to the Combined Utility System 2004B auction rate variable interest bonds (“the 2004B Bonds”). The City pre-qualified six firms to submit competitive bids on the swaps. The three firms selected all matched the lowest fixed rate bid of 3.78%. As of April 14, 2008 the City had converted all of the 2004B bonds from auction rate to variable rate demand bonds.

Objective. The objective of the swaps is to hedge against the potential of rising interest rates associated with the 2004B Bonds and to achieve a lower fixed rate than the market rate for traditional fixed rate debt at time of issuance of the 2004B Bonds. The City’s goal is that its variable receipts under these swaps equal the variable payments made on the bonds, leaving the fixed payment on the swap, plus dealer and liquidity fees, as its net interest cost.

Terms. The notional amounts of the swap agreements total \$653.3 million, the principal amount of the associated 2004B Bonds. The City’s swap agreements contain scheduled reductions to outstanding notional amounts that follow anticipated payments of principal of the 2004B Bonds in varying amounts during the years 2028 to 2034.

Under the terms of the swaps, the City will pay a fixed rate of 3.78% and receive a floating rate equal to 57.6% of One-Month US Dollar LIBOR plus 37 basis points. All agreements were effective June 10, 2004, the date of issuance of the 2004B Bonds. The termination date is May 15, 2034.

Receipts and Payments. For the three months ended September 30, 2011, the City earned \$804,000 in swap revenue for its 2004B swaps and paid \$211,000 of interest on the underlying securities. The contractual rate for the City’s swap payment is 3.78%. The average effective rate for the 2004B bonds, including interest for the Series 2004B bonds, the City’s swap payments, and its dealer and liquidity fees, reduced by swap receipts, was 4.52%. In contrast, the comparable fixed rate the City paid on its Combined Utility System Series 2004A bonds was 5.08%.

Fair value. Because interest rates have changed, the swaps had an estimated negative fair value of \$212.2 million on September 30, 2011. This value was calculated using the zero-coupon method.

Credit risk. As of this date, the City was not exposed to credit risk because the swaps had a negative fair value. However, should interest rates change and the fair value of the swap become positive, the City would be exposed to credit risk on the swap in the amount of its fair value. If a counterparty’s credit rating falls below rating thresholds established by the agreements, collateral must be posted in varying amounts depending on the credit rating and swap fair value. No collateral has been required to date.

<u>Counterparty</u>	<u>Notional Amount</u>	<u>Fair Value</u>	<u>Counterparty Credit Rating (Moody's/S&P/Fitch)</u>
Goldman Sachs Capital Markets Inc.	\$ 353,325,000	\$ (114,778,000)	A1 /A /A+
JP Morgan Chase	150,000,000	(48,728,000)	Aa3/ A+/AA-
UBS AG	150,000,000	(48,728,000)	Aa3 /A+ /A+
	<u>\$ 653,325,000</u>	<u>\$ (212,234,000)</u>	

Basis risk. The City is exposed to basis risk on the swaps because the variable payment received is based on a different taxable index from the tax-exempt rate paid by the City on the bonds. Should the relationship between taxable LIBOR and tax-exempt rates move to convergence (because of reductions in tax rates, for example), the

expected cost savings may not be realized. For the three months ended September 30, 2011, the swap generated positive cash flow with the average variable rate paid on the underlying tax-exempt bonds at 0.13%, or 0.35% lower than the average 0.48% LIBOR-based rate received for the swap. On September 30, 2011, the interest rate in effect for the underlying bonds was 0.49%, 0.35% lower than the 0.14% rate in effect for swap receipts.

Remarketing risk. The City faces a risk that the remarketing agent will not be able to sell the variable rate demand bonds at a competitive rate. Rates may vary considerably as investors shift in and out of the tax-exempt variable rate sector.

Termination risk. The City may terminate for any reason. A counterparty may terminate a swap if the City fails to perform under the terms of the contract. The City's on-going payment obligations under the swap (and to a limited extent, its termination payment obligations) are insured, and counterparties cannot terminate so long as the insurer does not fail to perform. If a swap is terminated, the associated variable-rate bonds would no longer carry synthetic fixed interest rates. Also, if the swap has a negative fair value at termination, the City would be liable to the counterparty for a payment equal to the swap's fair value.

B. Combined Utility System Forward Rate Lock/Synthetic Fixed Rate Swap

On November 1, 2005 the City priced a floating to fixed interest rate exchange agreement swap with Royal Bank of Canada ("RBC") on a forward basis. The City pre-qualified eight firms to submit competitive bids, and RBC submitted the lowest bid of 3.761%.

Objective. The City entered the swap agreement to hedge against the potential of rising interest rates and to achieve a lower fixed rate than the market rate for traditional fixed rate debt. This swap was previously assigned to the 2008A variable rate demand bonds, which were refunded on March 30, 2010 with the 2010B SIFMA Indexed Notes. The addition of the SIFMA-Indexed Notes diversifies the System's variable rate debt portfolio. Rates on the notes are calculated at SIFMA +130 bps, and the notes expire in March 2013.

Terms. The notional amount of the swap is \$249.1 million with the underlying bonds being the Series 2010B Notes. The swap agreement contains scheduled reductions to the outstanding notional amount during the years 2028 to 2034.

Under terms of the swap, the City pays a fixed rate of 3.761% and receives a floating rate equal to 70% of One-Month US Dollar LIBOR. The agreement became effective December 3, 2007 with a termination date of May 15, 2034.

Receipts and Payments. For the three months ended September 30, 2011, the City earned in \$86,300 swap revenue for its 2010B swap and paid \$88,500 on the underlying notes. The contractual rate for the City's swap payment is 3.761%. The average effective rate for the bonds, including the City's swap payments and a fixed component of 1.30%, was 5.06%.

Fair value. Because interest rates have changed, the swap had an estimated negative fair value of \$81.6 million on September 30, 2011. This value was calculated using the zero-coupon method.

Credit risk. The City's swap policy generally requires that swap counterparties be rated double-A or better by at least one nationally recognized rating agency. As of this date, RBC met this requirement with ratings of Aa1/AA-/AA. Also, under the agreement, if RBC's credit rating falls below double-A, collateral must be posted in varying amounts depending on the credit rating and swap fair value. No collateral has been required to date.

Basis risk. The City will be exposed to basis risk on the swap because the variable payment received is based on a taxable index other than the tax-exempt SIFMA based rate paid by the City on the bonds. In the future, if tax-exempt rates move to convergence with the taxable LIBOR index (because of reductions in tax rates, for example), the expected cost savings may not be realized, resulting in a higher synthetic rate. For the three months ended September 30, 2011, the average variable rate paid on the underlying tax-exempt bonds, excluding the fixed credit

spread component, was 0.14%, which was equivalent to the LIBOR-based rate received for the swap. At September 30, 2011, the overall rate in effect for the underlying bonds, excluding the fixed spread component, was 0.29%, 0.14% lower than the 0.15% rate in effect for the swap receipts.

Termination risk. The City may terminate for any reason. RBC may terminate a swap if the City fails to perform under the terms of the contract. The City's on-going payment obligations under the swap (and to a limited extent, its termination payment obligations) are insured, and RBC cannot terminate so long as the insurer does not fail to perform. If a swap is terminated, the associated variable-rate bonds would no longer carry synthetic fixed interest rates. Also, if the swap has a negative fair value at termination, the City would be liable to the counterparty for a payment equal to the swap's fair value.



CITY OF HOUSTON

Finance Department

Annise D. Parker

Mayor

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To: Mayor Annise D. Parker
City Council Members

Date: November 4, 2011

Subject: 3+9 Financial and
Operations Report

Attached is the 3+9 Financial and Operations Report for the period ending September 30, 2011. Fiscal Year 2012 projections are based on three months of actual results and nine months of projections.

General Fund

We are currently projecting ending fund balance of \$139.0 million, which is approximately \$3.3 million higher than last month.

Revenues and Other Sources increased by \$8.7 million due to the following:

- Property Tax increased by \$6.4 million to reflect the 3-year average collection rate
- Other Franchise Fee increased by \$263,000 due to delinquent payments received for Solid Waste Franchise Fees
- Direct Interfund increased by \$209,000 to reflect increased cost recovery for community services from other fund
- Indirect Interfund increased by \$313,000 due to higher than anticipated Indirect Cost Grant reimbursement
- Transfer from Other funds increased by \$1.5 million due to: a transfer from the Building Inspection Fund of \$2.5 million for sale of land realized in July 2011 offset by a decrease in the Parking Management transfer by \$1.0 million as a result of a delay in parking meter rate implementation

Our projection for Expenditures and Other Uses increased by \$5.8 million due to the following:

- \$145,000 increase in Fire due to recruiting and promotional cost
- \$755,000 increase in Health, mainly due to higher security services cost than anticipated

- \$259,000 increase in Department of Neighborhoods to reflect personnel costs in community services for citizens with disabilities, reimbursed from other fund
- \$4.8 million increase in Parks to reflect costs for tree removal and funding for community centers and pools through the end of FY2012
- \$3.3 million increase in Police to fund traffic enforcement and reduce backlog in the crime lab
- \$5.7 million increase in General Government as a net result of: saving from termination pay of \$3.5 million, and a transfer of \$9.2 million to the Dedicated Drainage and Street Renewal Fund to reflect captured ad valorem tax revenue as a result of a higher certified taxable value as well as recent debt refinancing savings. This was previously budgeted in the Debt Service transfer.
- Correspondingly, the Debt Service transfer decreased by \$9.2 million

Enterprise, Special Revenue and Other Funds

We are projecting no change in Enterprise Funds, Special Revenue Funds and all other funds from the 2+10 Report, with the exception of the following:

Aviation

Operating Revenue and Operating Transfer decreased by \$3.1 million mainly due to lower than anticipated landing fees as well as building and ground rental fees.

Combined Utility System

Operating Revenues increased by \$16.9 million due to the continuous dry weather conditions. As a result, Operating Expenditures increased by \$11 million to cover the costs of increased water main breaks.

Non Operating Revenues decreased by \$1.9 million mainly due to lower interest rates offset by increased cost in CWA Debt. Operating Transfers decreased by \$4.1 million due to refunding of Junior Lien Debt.

Dedicated Drainage & Street Renewal Fund

Revenues decreased by \$45 million to reflect: a decrease in all capital funding going directly to the appropriate Dedicated Drainage Street and Renewal Capital Fund (Fund 4040) of \$54 million offset by an increase in captured ad valorem tax revenue from General Fund of \$9.2 million.

Expenditures decreased by \$55 million as a result of the reduction in capital projects being diverted to Fund 4040 in the amount of \$54 million and personnel savings of \$700,000

Building Inspection Fund

Revenues and Transfer-Out increased by \$2.5 million as a result of the land sales realized in July 2011 which will be transferred to General Fund.

Expenditure decreased by \$296,000 due to personnel savings.

Houston TranStar

Revenues increased by \$444,000 to reflect federal funding from Surface Transportation Program (STP).

Mobility Response Team

Revenues increased by \$400,000 as funding being received from Houston Galveston Area Council for the Mobility Phase II study. As a result, expenditures increased by \$329,000 for the project costs included in this study.

Parking Management

Revenues decreased by \$1.7 million mainly due to the delay of the extended hours metered parking enforcement. As a result, transfer to General Fund decreased by \$1.0 million.

Police Special Services

Revenues decreased by \$3.2 million to reflect the funding from METRO and LEOSE (Law Enforcement Officer Standard and Education) grant funding that will not be received this fiscal year. As a result, expenditure projection decreased by \$1.5 million.

If you have any questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Kelly Dowe". The signature is fluid and cursive, with a long horizontal stroke at the end.

Kelly Dowe
Director

General Fund (Fund 1000)
Comparative Projections
Controller's Office and Finance
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012					Variance between Controller and Finance
		Adopted Budget	Current Budget	% of Budget	Controller's Projection	Finance Projection	
Revenues							
General Property Taxes	\$ 859,413	\$ 842,478	\$ 842,478	48%	\$ 847,156	\$ 852,339	5,183
Industrial Assessments	14,458	14,800	14,800	1%	13,850	14,800	950
Sales Tax	493,118	518,912	518,912	29%	514,194	518,912	4,718
Other Taxes	10,547	10,806	10,806	1%	10,760	10,806	46
Electric Franchise	98,107	99,694	99,694	6%	99,694	99,694	0
Telephone Franchise	46,722	44,483	44,483	3%	44,483	44,483	0
Gas Franchise	21,890	22,009	22,009	1%	22,009	22,009	0
Other Franchise	23,844	24,044	24,044	1%	23,437	24,307	870
Licenses and Permits	18,713	22,241	22,241	1%	17,896	22,241	4,345
Intergovernmental	58,894	11,161	11,161	1%	11,161	11,161	0
Charges for Services	38,166	40,365	40,365	2%	38,734	40,357	1,623
Direct Interfund Services	46,034	45,255	45,255	3%	45,464	45,464	0
Indirect Interfund Services	16,328	18,522	18,522	1%	18,836	18,836	0
Municipal Courts Fines and Forfeits	36,319	35,894	35,894	2%	35,000	35,894	894
Other Fines and Forfeits	2,902	2,562	2,562	0%	2,297	2,719	422
Interest	5,788	3,000	3,000	0%	3,500	3,000	(500)
Miscellaneous/Other	11,872	6,740	6,740	0%	5,555	7,090	1,535
Total Revenues	1,803,115	1,762,966	1,762,966	100%	1,754,026	1,774,112	20,086
Expenditures							
Administration & Regulatory Affairs	32,032	37,237	28,092	2%	28,092	28,092	0
City Council	5,007	5,736	5,736	0%	5,736	5,736	0
City Secretary	747	790	790	0%	790	790	0
Controller	7,389	6,843	6,843	0%	6,843	6,843	0
Finance	9,802	22,419	22,419	1%	22,419	22,419	0
Fire	448,175	419,309	419,332	23%	419,477	419,477	0
General Services	46,079	45,981	45,981	3%	45,981	45,981	0
Health and Human Services	45,614	39,551	39,556	2%	40,311	40,311	0
Housing and Community Dev.	860	620	620	0%	620	620	0
Houston Emergency Center	11,172	11,550	11,550	1%	11,550	11,550	0
Human Resources	3,152	3,169	3,169	0%	3,169	3,169	0
Information Technology	19,073	17,112	18,777	1%	18,777	18,777	0
Legal	16,774	13,719	13,719	1%	13,719	13,719	0
Library	35,305	32,440	32,470	2%	32,470	32,470	0
Mayor's Office	2,930	2,310	2,232	0%	2,232	2,232	0
Municipal Courts	22,837	23,412	21,955	1%	21,955	21,955	0
Neighborhoods	0	0	9,015	0%	9,274	9,274	0
Office of Business Opportunity	2,404	2,018	2,018	0%	2,018	2,018	0
Parks and Recreation	63,133	60,711	61,251	3%	66,054	66,054	0
Planning and Development	8,173	7,272	7,272	0%	7,272	7,272	0
Police	663,420	640,741	640,742	35%	644,042	644,042	0
Public Works and Engineering	83,464	37,519	37,519	2%	37,519	37,519	0
Solid Waste Management	65,543	65,543	65,543	4%	65,543	65,543	0
Total Departmental Expenditures	1,593,085	1,496,002	1,496,601	82%	1,505,863	1,505,863	0
General Government	83,878	102,294	110,888	6%	107,738	107,738	0
Total Expenditures Other Than Debt	1,676,963	1,598,296	1,607,489	88%	1,613,601	1,613,601	0
Budgeted Debt Service	232,544	229,700	220,507	12%	220,507	220,507	0
Transfer of Equipment to Departments	(11,707)	0	0	0%	0	0	0
Debt Service Transfer	220,837	229,700	220,507	0	220,507	220,507	0
Total Expenditures and Other Uses	1,897,800	1,827,996	1,827,996	100%	1,834,108	1,834,108	0
Net Current Activity	(94,685)	(65,030)	(65,030)		(80,082)	(59,996)	20,086
Other Financing Sources (Uses)							
Transfers from Other Funds	23,561	51,480	51,480		52,980	52,980	0
Sale of Capital Assets	13,766	13,550	13,550		10,655	13,550	2,895
Total Other Financing Sources (Uses)	37,327	65,030	65,030		63,635	66,530	2,895
Fund Balance							
Fund Balance - Beginning of Year	165,383	132,503	132,503		132,503	132,503	0
Changes to Designated Fund Balance*	20,000	0	0		0	0	0
Budgeted Increase/(Decrease) in Fund Balance	(57,358)	0	0		0	0	0
Change in Inventory/Prepaid Items/Imprest Cash	4,478	0	0		0	0	0
(Budget Gap)/Increase in Fund Balance**	0	0	0		(16,447)	6,534	22,981
Fund Balance, End of Year**	132,503	132,503	132,503		116,056	139,037	22,981

*The 2011 Budget provides for the Rainy Day Fund to be included with the General Fund, resulting in a \$20 million increase in the General Fund's Budget.

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$121,020 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$4,964 below 7.5% based on the Controller's projections for Fiscal Year 2012.

General Fund (Fund 1000)
 Controller's Office
 For the period ended September 30, 2011
 (amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Controller's Projection	Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD			
Revenues								
General Property Taxes	\$ 859,413	\$ 842,478	\$ 842,478	\$ 1,981	\$ 12,156	\$ 847,156	\$ 4,678	0.6%
Industrial Assessments	14,458	14,800	14,800	(22)	37	13,850	(950)	-6.4%
Sales Tax	493,118	518,912	518,912	47,311	125,129	514,194	(4,718)	-0.9%
Other Taxes	10,547	10,806	10,806	0	62	10,760	(46)	-0.4%
Electric Franchise	98,107	99,694	99,694	8,276	24,625	99,694	0	0.0%
Telephone Franchise	46,722	44,483	44,483	3,740	11,154	44,483	0	0.0%
Gas Franchise	21,890	22,009	22,009	1,834	5,502	22,009	0	0.0%
Other Franchise	23,844	24,044	24,044	2,188	6,241	23,437	(607)	-2.5%
Licenses and Permits	18,713	22,241	22,241	1,763	5,437	17,896	(4,345)	-19.5%
Intergovernmental	58,894	11,161	11,161	1,137	362	11,161	0	0.0%
Charges for Services	38,166	40,365	40,365	2,951	9,104	38,734	(1,631)	-4.0%
Direct Interfund Services	46,034	45,255	45,255	3,263	9,838	45,464	209	0.5%
Indirect Interfund Services	16,328	18,522	18,522	2,889	4,326	18,836	314	1.7%
Municipal Courts Fines and Forfeits	36,319	35,894	35,894	2,077	5,460	35,000	(894)	-2.5%
Other Fines and Forfeits	2,902	2,562	2,562	196	660	2,297	(265)	-10.3%
Interest	5,788	3,000	3,000	0	654	3,500	500	16.7%
Miscellaneous/Other	11,872	6,740	6,740	420	1,514	5,555	(1,185)	-17.6%
Total Revenues	1,803,115	1,762,966	1,762,966	80,004	222,261	1,754,026	(8,940)	-0.5%
Expenditures								
Administration & Regulatory Affairs	32,032	37,237	28,092	7,133	11,536	28,092	0	0.0%
City Council	5,007	5,736	5,736	388	1,227	5,736	0	0.0%
City Secretary	747	790	790	59	178	790	0	0.0%
Controller	7,389	6,843	6,843	472	1,710	6,843	0	0.0%
Finance	9,802	22,419	22,419	449	1,661	22,419	0	0.0%
Fire	448,175	419,309	419,332	29,081	98,067	419,477	(145)	0.0%
General Services	46,079	45,981	45,981	3,531	8,934	45,981	0	0.0%
Health and Human Services	45,614	39,551	39,556	2,113	8,216	40,311	(755)	-1.9%
Housing and Community Dev.	860	620	620	201	234	620	0	0.0%
Houston Emergency Center	11,172	11,550	11,550	0	2,887	11,550	0	0.0%
Human Resources	3,152	3,169	3,169	254	735	3,169	0	0.0%
Information Technology	19,073	17,112	18,777	1,504	4,029	18,777	0	0.0%
Legal	16,774	13,719	13,719	891	3,144	13,719	0	0.0%
Library	35,305	32,440	32,470	2,168	7,215	32,470	0	0.0%
Mayor's Office	2,930	2,310	2,232	186	593	2,232	0	0.0%
Municipal Courts	22,837	23,412	21,955	1,997	5,330	21,955	0	0.0%
Neighborhoods	0	0	9,015	(7,608)	1,407	9,274	(259)	0.0%
Office of Business Opportunity	2,404	2,018	2,018	42	393	2,018	0	0.0%
Parks and Recreation	63,133	60,711	61,251	4,018	13,800	66,054	(4,803)	-7.8%
Planning and Development	8,173	7,272	7,272	415	1,641	7,272	0	0.0%
Police	663,420	640,741	640,742	47,612	152,077	644,042	(3,300)	-0.5%
Public Works and Engineering	83,464	37,519	37,519	3,084	9,454	37,519	0	0.0%
Solid Waste Management	65,543	65,543	65,543	4,670	10,510	65,543	0	0.0%
Total Departmental Expenditures	1,593,085	1,496,002	1,496,601	102,660	344,978	1,505,863	(9,262)	-0.6%
General Government	83,878	102,294	110,888	9,652	12,053	107,738	3,150	2.8%
Total Expenditures Other Than Debt	1,676,963	1,598,296	1,607,489	112,312	357,031	1,613,601	(6,112)	-0.4%
Budgeted Debt Service	232,544	229,700	220,507	0	0	220,507	0	0.0%
Transfer of Equipment to Departments	(11,707)	0	0	0	0	0	0	0.0%
Debt Service Transfer	220,837	229,700	220,507	0	0	220,507	0	0.0%
Total Expenditures and Other Uses	1,897,800	1,827,996	1,827,996	112,312	357,031	1,834,108	(6,112)	-0.3%
Net Current Activity	(94,685)	(65,030)	(65,030)	(32,308)	(134,770)	(80,082)	(15,052)	
Other Financing Sources (Uses)								
Transfers from Other Funds	23,561	51,480	51,480	2,124	4,722	52,980	1,500	
Sale of Capital Assets	13,766	13,550	13,550	331	491	10,655	(2,895)	
Total Other Financing Sources (Uses)	37,327	65,030	65,030	2,455	5,213	63,635	(1,395)	
Fund Balance								
Fund Balance - Beginning of Year	165,383	132,503	132,503	132,503	132,503	132,503	0	
Changes to Designated Fund Balance*	20,000	0	0	0	0	0	0	
Budgeted Increase/(Decrease) in Fund Balance	(57,358)	0	0	(29,853)	0	0	0	
Change in Inventory/Prepaid Items/Imprest Cash	4,478	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	(16,447)	(16,447)	
Fund Balance, End of Year**	132,503	132,503	132,503	102,650	2,946	116,056	(16,447)	

*The 2011 Budget provides for the Rainy Day Fund to be included with the General Fund, resulting in a \$20 million increase in the General Fund's Budget.

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$121,020 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$4,964 below 7.5% based on the Controller's projections for Fiscal Year 2012.

General Fund (Fund 1000)
Finance
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection	Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD			
Revenues								
General Property Taxes	\$ 859,413	\$ 842,478	842,478	\$ 1,981	\$ 12,156	\$ 852,339	9,861	1.2%
Industrial Assessments	14,458	14,800	14,800	(22)	37	14,800	0	0.0%
Sales Tax	493,118	518,912	518,912	47,311	125,129	518,912	0	0.0%
Other Taxes	10,547	10,806	10,806	0	62	10,806	0	0.0%
Electric Franchise	98,107	99,694	99,694	8,276	24,625	99,694	0	0.0%
Telephone Franchise	46,722	44,483	44,483	3,740	11,154	44,483	0	0.0%
Gas Franchise	21,890	22,009	22,009	1,834	5,502	22,009	0	0.0%
Other Franchise	23,844	24,044	24,044	2,188	6,241	24,307	263	1.1%
Licenses and Permits	18,713	22,241	22,241	1,763	5,437	22,241	0	0.0%
Intergovernmental	58,894	11,161	11,161	1,137	362	11,161	0	0.0%
Charges for Services	38,166	40,365	40,365	2,951	9,104	40,357	(8)	0.0%
Direct Interfund Services	46,034	45,255	45,255	3,263	9,838	45,464	209	0.5%
Indirect Interfund Services	16,328	18,522	18,522	2,889	4,326	18,836	314	1.7%
Municipal Courts Fines and Forfeits	36,319	35,894	35,894	2,077	5,460	35,894	0	0.0%
Other Fines and Forfeits	2,902	2,562	2,562	196	660	2,719	157	6.1%
Interest	5,788	3,000	3,000	0	654	3,000	0	0.0%
Miscellaneous/Other	11,872	6,740	6,740	420	1,514	7,090	350	5.2%
Total Revenues	1,803,115	1,762,966	1,762,966	80,004	222,261	1,774,112	11,146	0.6%
Expenditures								
Administration & Regulatory Affairs	32,032	37,237	28,092	7,133	11,536	28,092	0	0.0%
City Council	5,007	5,736	5,736	388	1,227	5,736	0	0.0%
City Secretary	747	790	790	59	178	790	0	0.0%
Controller	7,389	6,843	6,843	472	1,710	6,843	0	0.0%
Finance	9,802	22,419	22,419	449	1,661	22,419	0	0.0%
Fire	448,175	419,309	419,332	29,081	98,067	419,477	(145)	0.0%
General Services	46,079	45,981	45,981	3,531	8,934	45,981	0	0.0%
Health and Human Services	45,614	39,551	39,556	2,113	8,216	40,311	(755)	-1.9%
Housing and Community Dev.	860	620	620	201	234	620	0	0.0%
Houston Emergency Center	11,172	11,550	11,550	0	2,887	11,550	0	0.0%
Human Resources	3,152	3,169	3,169	254	735	3,169	0	0.0%
Information Technology	19,073	17,112	18,777	1,504	4,029	18,777	0	0.0%
Legal	16,774	13,719	13,719	891	3,144	13,719	0	0.0%
Library	35,305	32,440	32,470	2,168	7,215	32,470	0	0.0%
Mayor's Office	2,930	2,310	2,232	186	593	2,232	0	0.0%
Municipal Courts	22,837	23,412	21,955	1,997	5,330	21,955	0	0.0%
Neighborhoods	0	0	9,015	(7,608)	1,407	9,274	(259)	0.0%
Office of Business Opportunity	2,404	2,018	2,018	42	393	2,018	0	0.0%
Parks and Recreation	63,133	60,711	61,251	4,018	13,800	66,054	(4,803)	-7.8%
Planning and Development	8,173	7,272	7,272	415	1,641	7,272	0	0.0%
Police	663,420	640,741	640,742	47,612	152,077	644,042	(3,300)	-0.5%
Public Works and Engineering	83,464	37,519	37,519	3,084	9,454	37,519	0	0.0%
Solid Waste Management	65,543	65,543	65,543	4,670	10,510	65,543	0	0.0%
Total Departmental Expenditures	1,593,085	1,496,002	1,496,601	102,660	344,978	1,505,863	(9,262)	-0.6%
General Government	83,878	102,294	110,888	9,652	12,053	107,738	3,150	2.8%
Total Expenditures Other Than Debt	1,676,963	1,598,296	1,607,489	112,312	357,031	1,613,601	(6,112)	-0.4%
Budgeted Debt Service	232,544	229,700	220,507	0	0	220,507	0	0.0%
Transfer of Equipment to Departments	(11,707)	0	0	0	0	0	0	0.0%
Debt Service Transfer	220,837	229,700	220,507	0	0	220,507	0	0.0%
Total Expenditures and Other Uses	1,897,800	1,827,996	1,827,996	112,312	357,031	1,834,108	(6,112)	-0.3%
Net Current Activity	(94,685)	(65,030)	(65,030)	(32,308)	(134,770)	(59,996)	5,034	
Other Financing Sources (Uses)								
Transfers from Other Funds	23,561	51,480	51,480	2,124	4,722	52,980	1,500	
Sale of Capital Assets	13,766	13,550	13,550	331	491	13,550	0	
Total Other Financing Sources (Uses)	37,327	65,030	65,030	2,455	5,213	66,530	1,500	
Fund Balance								
Fund Balance - Beginning of Year	165,383	132,503	132,503	132,503	132,503	132,503	0	
Changes to Designated Fund Balance*	20,000	0	0	0	0	0	0	
Budgeted Increase/(Decrease) in Fund Balance	(57,358)	0	0	(29,853)	0	0	0	
Change in Inventory/Prepaid Items/Imprest Cash	4,478	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	6,534	6,534	
Fund Balance, End of Year	132,503	132,503	132,503	102,650	2,946	139,037	6,534	

*The 2011 Budget provides for the Rainy Day Fund to be included with the General Fund, resulting in a \$20 million increase in the General Fund's Budget.

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

General Fund (Fund 1000)
 General Government
 For the period ended September 30, 2011
 (amounts expressed in thousands)

	FY2012							
	Unaudited Preliminary FY2011	Adopted Budget	Current Budget	Current Month	YTD	YTD as % of Current Budget	Controller's Projection	Finance Projection
Non-Dept. Exp. and Other Uses								
General Government								
Termination Pay - Civilian	0	5,424	5,424	1,072	1,072	19.8%	1,924	1,924
Insurance-Civilian (Retirees)	16,003	9,416	9,416	847	2,388	25.4%	9,416	9,416
Total Personnel Services	<u>16,003</u>	<u>14,840</u>	<u>14,840</u>	<u>1,919</u>	<u>3,460</u>	<u>23.3%</u>	<u>11,340</u>	<u>11,340</u>
Subrecipient Contract Services	150	0	0	0	0	0.0%	0	0
Banking Services	118	128	128	9	29	22.7%	128	128
Advertising Svcs	323	250	250	20	34	13.6%	250	250
Miscellaneous Support Services	0	0	0	(59)	0	0.0%	0	0
Accounting and Auditing Svcs	0	0	0	0	0	0.0%	0	0
Fuel	0	3,597	3,597	0	0	0.0%	3,597	3,597
Interfund Services	0	1,471	1,471	0	0	0.0%	1,471	1,471
Legal Services	2,538	1,485	1,485	213	257	17.3%	1,485	1,485
Management Consulting Svcs.	805	681	681	69	12	1.8%	681	681
Real Estate Lease	4,317	4,650	4,650	289	1,165	25.1%	4,650	4,650
METRO Commuter Passes	696	720	720	115	115	16.0%	720	720
Limited Purpose Annexation Pmts.	32,071	36,300	36,300	3,318	0	0.0%	36,300	36,300
Tax Appraisal Fees	7,779	7,890	7,890	1,901	3,824	48.5%	7,890	7,890
Elections	989	3,000	3,000	19	19	0.6%	3,000	3,000
Claims and Judgments	5,166	10,563	10,563	0	0	0.0%	10,563	10,563
Contingency/Reserve	0	4,100	3,501	0	0	0.0%	3,851	3,851
Misc Other Services and Charges	3,305	1,727	1,727	409	34	2.0%	1,727	1,727
Membership and Professional Fees	773	1,195	1,195	0	87	7.3%	1,195	1,195
Total Other Services and Charges	<u>59,030</u>	<u>77,757</u>	<u>77,158</u>	<u>6,303</u>	<u>5,576</u>	<u>7.2%</u>	<u>77,508</u>	<u>77,508</u>
Other Financing Uses								
Debt Service-Interest	933	3,645	3,645	0	147	4.0%	3,645	3,645
Transfers to Conv & Entertain	391	427	427	23	57	13.3%	427	427
Transfers to CUS	1,896	0	0	0	0	0.0%	0	0
Transfers to Special Revenues	5,625	5,625	14,818	1,407	2,813	19.0%	14,818	14,818
Total Other Financing Uses	<u>8,845</u>	<u>9,697</u>	<u>18,890</u>	<u>1,430</u>	<u>3,017</u>	<u>16.0%</u>	<u>18,890</u>	<u>18,890</u>
Total General Government	<u><u>83,878</u></u>	<u><u>102,294</u></u>	<u><u>110,888</u></u>	<u><u>9,652</u></u>	<u><u>12,053</u></u>	<u><u>10.9%</u></u>	<u><u>107,738</u></u>	<u><u>107,738</u></u>

General Fund
Statement of Cash Transactions
For the period ended September 30, 2011
(amounts expressed in thousands)

	Month Ended	FY2012 YTD
	\$	\$
Cash Balance, Beginning of Month	199,506	75,932
RECEIPTS:		
Balance Sheet Transactions	14,990	39,380
TRANS Proceeds	-	223,399
Short-term Borrowings	-	-
Taxable Note Proceeds	-	-
Ad Valorem Tax	1,971	12,121
Industrial Assessments	87	421
Sales Tax	39,320	128,841
Bingo Tax	-	51
Mixed Beverage Tax	-	2,835
Electric Franchise Fees	16,450	33,119
Telephone Franchise Fees	-	11,488
Natural Gas Franchise Fees	3,668	7,336
Other Franchise Fees	279	6,230
Licenses and Permits	1,763	5,445
Intergovernmental	1,138	13,371
Charge for Services	2,950	9,447
Direct Interfund Services	3,266	11,040
Indirect Interfund Services	2,889	4,269
Municipal Courts Fines	2,077	6,634
Interfund - Pension Bond Proceeds	-	-
Interfund - Disaster Recovery Fund	-	-
Interfund - all other funds	-	-
Other Fines & Forfeitures	209	750
Interest Apportionment	251	905
Other	2,824	6,278
Total Receipts	<u>94,132</u>	<u>523,362</u>
DISBURSEMENTS:		
Balance Sheet Transactions	(10,588)	(27,275)
Vendor Payment	(15,158)	(43,468)
Payroll Expenses	(90,549)	(330,967)
Workers' Compensation	(1,237)	(3,689)
Operating Transfer Out	(8,005)	(14,697)
Supplies	5	(669)
Contract Services	(553)	(959)
Rental & Leasings	(517)	(1,807)
Utilities	(6,166)	(13,886)
TRANS Borrowing / Repayment	-	-
Taxable Note Principal and Interest	-	-
TIRZ Payment	-	-
Interfund - Transfer to Rainy Day Fund	-	-
Interfund - Transfer to Debt Service	-	-
Interfund - all other funds	(81)	(463)
Capital Outlay	-	-
Other	(61)	(685)
Total Disbursements	<u>(132,908)</u>	<u>(438,565)</u>
Net Increase (Decrease) in Cash	(38,777)	84,797
Cash Balance, End of Month	<u>\$ 160,729</u>	<u>\$ 160,729</u>

Note: Totals may not add up exactly due to rounding

General Fund 1000
Five Year History and Current Year Projection
(amounts expressed in thousands)

	FY2007		FY2008		FY2009	
	Actual	% of Total	Actual	% of Total	Actual	% of Total
Revenues						
	\$		\$		\$	
General Property Taxes	748,792	45.2%	830,889	49.0%	890,088	49.0%
Industrial Assessments	15,823	1.0%	17,787	1.1%	19,133	1.1%
Sales Tax	461,417	27.9%	495,173	28.4%	507,103	28.4%
Other Taxes	9,992	0.6%	10,735	0.6%	10,813	0.6%
Electric Franchise	99,534	6.0%	98,141	5.5%	99,612	5.5%
Telephone Franchise	50,434	3.0%	49,566	2.1%	48,229	2.1%
Gas Franchise	20,790	1.3%	21,507	1.2%	21,258	1.2%
Other Franchise	18,793	1.1%	20,981	0.9%	21,223	0.9%
License and Permits	18,637	1.1%	20,889	1.0%	17,511	1.0%
Intergovernmental	41,576	2.5%	32,950	1.8%	33,027	1.8%
Charges for Services	44,844	2.7%	39,836	2.0%	35,743	2.0%
Direct Interfund Services	42,052	2.5%	41,395	2.5%	47,890	2.5%
Indirect Interfund Services	12,712	0.8%	10,950	0.7%	13,190	0.7%
Muni Courts Fines and Forfeits	44,936	2.7%	37,140	2.1%	37,692	2.1%
Other Fines and Forfeits	5,362	0.3%	4,491	0.1%	2,692	0.1%
Interest	15,059	0.9%	16,992	0.5%	8,826	0.5%
Miscellaneous/Other	4,529	0.3%	12,315	0.6%	10,276	0.6%
Total Revenues	1,655,282	100.0%	1,761,737	100.0%	1,824,306	100.0%
Expenditures						
Administration & Regulatory Affairs	18,763	1.1%	19,363	1.2%	22,845	1.2%
City Council	4,084	0.2%	4,981	0.3%	5,097	0.3%
City Secretary	652	0.0%	629	0.0%	667	0.0%
Controller	6,125	0.4%	6,596	0.4%	7,111	0.4%
Convention & Entertainment	5,816	0.3%	1,153	0.1%	1,194	0.1%
Finance	4,771	0.3%	8,171	0.5%	9,044	0.5%
Fire	360,542	21.6%	388,354	22.3%	422,718	22.3%
General Services	41,917	2.5%	45,384	2.6%	50,034	2.6%
Health and Human Services	47,248	2.8%	50,903	3.0%	56,638	3.0%
Housing and Community Dev.	826	0.0%	472	0.0%	779	0.0%
Houston Emergency Center	9,728	0.6%	10,742	0.6%	11,280	0.6%
Human Resources	2,449	0.1%	2,470	0.1%	2,740	0.1%
Information Technology	12,920	0.8%	17,322	0.9%	17,494	0.9%
Legal	12,921	0.8%	13,779	0.8%	15,996	0.8%
Library	32,257	1.9%	34,869	2.0%	37,647	2.0%
Mayor's Office	3,061	0.2%	2,900	0.2%	2,917	0.2%
Municipal Courts	18,751	1.1%	21,185	1.0%	23,516	1.0%
Neighborhoods	0	0.0%	0	0.0%	0	0.0%
Office of Business Opportunity	1,641	0.1%	2,137	0.1%	2,311	0.1%
Parks and Recreation	60,633	3.6%	64,682	3.5%	70,111	3.5%
Planning and Development	7,545	0.5%	7,557	0.4%	8,220	0.4%
Police	581,811	34.9%	618,308	34.7%	657,225	34.7%
Public Works and Engineering	83,914	5.0%	88,431	4.7%	90,321	4.7%
Solid Waste Management	70,702	4.2%	74,083	3.9%	74,419	3.9%
Total Departmental Expenditures	1,389,077	83.3%	1,484,471	83.8%	1,590,324	83.8%
General Government	69,998	4.2%	83,020	3.9%	78,374	3.9%
Debt Service Transfer	209,000	12.5%	222,850	12.3%	232,948	12.3%
Total Expenditures and Other Uses	1,668,075	100.0%	1,790,341	100.0%	1,901,646	100.0%
Net Current Activity	(12,793)		(28,604)		(77,340)	
Other Financing Sources (Uses)						
Transfers from Other Funds	4,542		11,219		35,810	
Pension Bond Proceed	63,000		35,000		20,000	
Sale of Capital Assets	4,757		4,003		4,798	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	72,299		50,222		60,608	
Fund Balance						
Fund Balance - Beginning of Year	173,183		231,888		253,043	
Change in Misc. Other Reserves	(801)		(463)		0	
Changes to Designated Fund Balance	0		0		0	
Change in Inventory, Prepaid Items and Impre	0		0		0	
Fund Balance, End of Year	231,888		253,043		236,311	

General Fund 1000
Five Year History and Current Year Projection (cont'd)
(amounts expressed in thousands)

	FY2010		FY2011		FY2012	
	%	%	Unaudited	%	Projection	%
	Actual	of Total	Preliminary	of Total		of Total
Revenues	\$		\$		\$	
General Property Taxes	892,865	48.9%	859,413	47.7%	852,339	48.0%
Industrial Assessments	15,817	1.1%	14,458	0.8%	14,800	0.8%
Sales Tax	468,965	27.7%	493,118	27.3%	518,912	29.2%
Other Taxes	10,577	0.6%	10,547	0.6%	10,806	0.6%
Electric Franchise	97,248	5.5%	98,107	5.4%	99,694	5.6%
Telephone Franchise	48,263	2.7%	46,722	2.6%	44,483	2.5%
Gas Franchise	21,729	1.2%	21,890	1.2%	22,009	1.2%
Other Franchise	23,628	1.2%	23,844	1.3%	24,307	1.4%
License and Permits	18,636	1.0%	18,713	1.0%	22,241	1.3%
Intergovernmental	32,148	1.8%	58,894	3.3%	11,161	0.6%
Charges for Services	34,156	2.0%	38,166	2.1%	40,357	2.3%
Direct Interfund Services	46,906	2.6%	46,034	2.6%	45,464	2.6%
Indirect Interfund Services	16,012	0.7%	16,328	0.9%	18,836	1.1%
Muni Courts Fines and Forfeits	38,096	2.0%	36,319	2.0%	35,894	2.0%
Other Fines and Forfeits	2,029	0.1%	2,902	0.2%	2,719	0.2%
Interest	6,858	0.5%	5,788	0.3%	3,000	0.2%
Miscellaneous/Other	8,215	0.6%	11,872	0.7%	7,090	0.4%
Total Revenues	1,782,148	100.0%	1,803,115	100.0%	1,774,112	100.0%
Expenditures						
Administration & Regulatory Affairs	30,206	1.2%	32,032	1.7%	28,092	1.5%
City Council	5,094	0.3%	5,007	0.3%	5,736	0.3%
City Secretary	685	0.0%	747	0.0%	790	0.0%
Controller	7,115	0.4%	7,389	0.4%	6,843	0.4%
Convention & Entertainment	1,159	0.1%	0	0.0%	0	0.0%
Finance	9,908	0.5%	9,802	0.5%	22,419	1.2%
Fire	435,852	22.2%	448,175	23.6%	419,477	22.9%
General Services	47,633	2.6%	46,079	2.4%	45,981	2.5%
Health and Human Services	48,541	3.0%	45,614	2.4%	40,311	2.2%
Housing and Community Dev.	832	0.0%	860	0.0%	620	0.0%
Houston Emergency Center	11,193	0.6%	11,172	0.6%	11,550	0.6%
Human Resources	3,181	0.1%	3,152	0.2%	3,169	0.2%
Information Technology	19,065	0.9%	19,073	1.0%	18,777	1.0%
Legal	16,311	0.8%	16,774	0.9%	13,719	0.7%
Library	37,237	2.0%	35,305	1.9%	32,470	1.8%
Mayor's Office	2,879	0.2%	2,930	0.2%	2,232	0.1%
Municipal Courts	22,697	1.0%	22,837	1.2%	21,955	1.2%
Neighborhoods	0	0.0%	0	0.0%	9,274	0.5%
Office of Business Opportunity	2,457	0.1%	2,404	0.1%	2,018	0.1%
Parks and Recreation	67,500	3.7%	63,133	3.3%	66,054	3.6%
Planning and Development	8,985	0.4%	8,173	0.4%	7,272	0.4%
Police	662,765	34.5%	663,420	35.0%	644,042	35.1%
Public Works and Engineering	86,034	4.8%	83,464	4.4%	37,519	2.0%
Solid Waste Management	68,472	3.9%	65,543	3.5%	65,543	3.6%
Total Departmental Expenditures	1,595,801	83.6%	1,593,085	83.9%	1,505,863	82.1%
General Government	80,566	4.2%	83,878	4.4%	107,738	5.9%
Debt Service Transfer	240,020	12.2%	220,837	11.6%	220,507	12.0%
Total Expenditures and Other Uses	1,916,387	100.0%	1,897,800	100.0%	1,834,108	100.0%
Net Current Activity	(134,239)		(94,685)		(59,996)	
Other Financing Sources (Uses)						
Transfers from Other Funds	38,658		23,561		52,980	
Pension Bond Proceed	20,000		0		0	
Sale of Capital Assets	6,548		13,766		13,550	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	65,206		37,327		66,530	
Fund Balance						
Fund Balance - Beginning of Year	236,311		165,383		132,503	
Change in Misc. Other Reserves	0		0		0	
Changes to Designated Fund Balance	0		20,000		0	
Change in Inventory, Prepaid Items and Impre	(1,895)		4,478		0	
Fund Balance, End of Year	165,383		132,503		139,037	

Aviation Operating Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Landing Area	\$ 89,474	\$ 89,315	\$ 89,315	\$ 22,738	\$ 88,448	\$ 88,448
Bldg and Ground Area	183,643	190,123	190,123	47,584	188,407	188,407
Parking and Concession	134,972	134,213	134,213	35,752	134,613	134,613
Other	3,727	3,800	3,800	948	3,726	3,726
Total Operating Revenues	<u>411,816</u>	<u>417,451</u>	<u>417,451</u>	<u>107,022</u>	<u>415,194</u>	<u>415,194</u>
Operating Expenses						
Personnel	98,398	100,735	100,733	24,503	100,382	100,382
Supplies	6,532	7,603	7,628	1,388	7,596	7,596
Services	144,028	146,014	147,700	33,125	148,240	148,240
Non-Capital Outlay	838	1,855	1,896	17	1,892	1,892
Total Operating Expenses	<u>249,796</u>	<u>256,207</u>	<u>257,957</u>	<u>59,033</u>	<u>258,110</u>	<u>258,110</u>
Operating Income (Loss)	<u>162,020</u>	<u>161,244</u>	<u>159,494</u>	<u>47,989</u>	<u>157,084</u>	<u>157,084</u>
Non-Operating Revenues (Expenses)						
Interest Income	12,764	13,200	13,200	2,609	10,000	10,000
Other	2,092	420	420	26	26	26
Total Non-Operating Rev (Exp)	<u>14,856</u>	<u>13,620</u>	<u>13,620</u>	<u>2,635</u>	<u>10,026</u>	<u>10,026</u>
Income (Loss) Before Operating Transfers	<u>176,876</u>	<u>174,864</u>	<u>173,114</u>	<u>50,624</u>	<u>167,110</u>	<u>167,110</u>
Operating Transfers						
Interfund Transfer - Oper Reserve	1,225	2,000	2,000	0	2,000	2,000
Debt Service Principal	42,773	48,000	48,000	11,734	48,000	48,000
Debt Service Interest	60,858	72,675	72,675	15,370	72,675	72,675
Renewal and Replacement	0	0	0	0	0	0
Capital Improvement	4,074	52,189	50,439	2,496	44,435	44,435
Total Operating Transfers	<u>108,930</u>	<u>174,864</u>	<u>173,114</u>	<u>29,600</u>	<u>167,110</u>	<u>167,110</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ 67,945</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>21,024</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport, and Ellington Field. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies, the airlines, and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controllers Projection	Finance Projection
Operating Revenues						
Facility Rentals	\$ 6,726	\$ 1,380	\$ 1,380	1,380	\$ 1,380	\$ 1,380
Parking	9,701	8,574	8,574	1,074	8,574	8,574
Food and Beverage Concessions	6,147	0	0	0	0	0
Contract Cleaning	308	0	0	0	0	0
Total Operating Revenues	<u>22,882</u>	<u>9,954</u>	<u>9,954</u>	<u>2,454</u>	<u>9,954</u>	<u>9,954</u>
Operating Expenses						
Personnel	10,806	666	666	15	666	666
Supplies	645	0	0	0	0	0
Services	27,524	743	743	350	858	858
Total Operating Expenses	<u>38,975</u>	<u>1,409</u>	<u>1,409</u>	<u>365</u>	<u>1,524</u>	<u>1,524</u>
Operating Income (Loss)	<u>(16,093)</u>	<u>8,545</u>	<u>8,545</u>	<u>2,089</u>	<u>8,430</u>	<u>8,430</u>
Non-Operating Revenues (Expenses)						
Hotel Occupancy Tax						
Current	57,188	61,000	61,000	17,413	61,000	61,000
Delinquent	1,614	1,220	1,220	318	1,220	1,220
Advertising Services	(13,153)	0	0	0	0	0
Promotion Contracts	(11,037)	0	0	0	0	0
Contracts/Sponsorships	(2,683)	0	0	0	0	0
Net Hotel Occupancy Tax	<u>31,929</u>	<u>62,220</u>	<u>62,220</u>	<u>17,731</u>	<u>62,220</u>	<u>62,220</u>
Interest Income	1,188	0	0	208	800	800
Capital Outlay	(215)	0	0	0	0	0
Non-Capital Outlay	(112)	0	0	0	0	0
Other Interest	(103)	(575)	(575)	(11)	(575)	(575)
Other	8,228	1,559	1,559	19	1,559	1,559
Total Non-Operating Rev (Exp)	<u>40,915</u>	<u>63,204</u>	<u>63,204</u>	<u>17,947</u>	<u>64,004</u>	<u>64,004</u>
Income (Loss) Before Operating Transfers	<u>24,822</u>	<u>71,749</u>	<u>71,749</u>	<u>20,036</u>	<u>72,434</u>	<u>72,434</u>
Operating Transfers						
Transfers for Interest	4,792	5,850	5,850	834	2,473	2,473
Transfers for Principal	12,736	14,199	14,199	2,311	13,418	13,418
Interfund Transfers Out	1,321	0	0	0	0	0
Transfers to Special Revenue	132	0	0	0	0	0
Transfer to Component Unit	0	66,601	66,601	22,232	66,601	66,601
Transfers to General Fund	508	10,403	10,403	2,500	10,403	10,403
Transfers to Debt Service	0	0	0	2,312	2,312	2,312
Transfers (from) General Fund	(100)	0	0	0	0	0
Total Operating Transfers	<u>19,389</u>	<u>97,053</u>	<u>97,053</u>	<u>30,189</u>	<u>95,207</u>	<u>95,207</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ 5,433</u>	<u>\$ (25,304)</u>	<u>\$ (25,304)</u>	<u>\$ (10,153)</u>	<u>\$ (22,773)</u>	<u>\$ (22,773)</u>

About the Fund:

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's six major entertainment centers and City-owned parking garages: Jesse H. Jones Hall, Bayou Place, Houston Center for The Arts, Gus S. Wortham Center, George R. Brown Convention Center, Tranquility Park garage and Civic Center garage.

Combined Utility System Fund
For the period ending September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Water Sales	\$ 459,261	\$ 451,022	\$ 451,022	\$ 147,219	\$ 460,922	\$ 460,922
Sewer Sales	410,941	412,465	412,465	120,405	419,465	419,465
Penalties	9,871	8,000	8,000	2,875	8,000	8,000
Other	3,949	3,830	3,830	1,088	3,830	3,830
Total Operating Revenues	<u>884,022</u>	<u>875,317</u>	<u>875,317</u>	<u>271,587</u>	<u>892,217</u>	<u>892,217</u>
Operating Expenses						
Personnel	141,322	152,873	152,873	37,494	154,817	154,817
Supplies	39,905	50,837	50,837	10,757	52,394	52,394
Electricity and Gas	58,391	60,521	60,521	17,471	60,521	60,521
Contracts & Other Payments	96,805	133,178	133,178	14,578	140,672	140,672
Non-Capital Equipment	1,627	3,389	3,389	324	3,389	3,389
Total Operating Expenses	<u>338,050</u>	<u>400,798</u>	<u>400,798</u>	<u>80,624</u>	<u>411,793</u>	<u>411,793</u>
Operating Income (Loss)	<u>545,972</u>	<u>474,519</u>	<u>474,519</u>	<u>190,963</u>	<u>480,424</u>	<u>480,424</u>
Non-Operating Revenues (Expenses)						
Interest Income	10,090	11,600	11,600	2,218	10,918	10,918
Sale of Property, Mains and Scrap	850	308	308	53	308	308
Other	15,266	7,920	7,920	(2,771)	8,031	8,031
Impact Fees	9,248	9,100	9,100	0	9,100	9,100
CWA & TRA Contracts (P & I)	(21,285)	(19,131)	(19,131)	(8,333)	(20,414)	(20,414)
Total Non-Operating Rev (Exp)	<u>14,169</u>	<u>9,797</u>	<u>9,797</u>	<u>(8,833)</u>	<u>7,943</u>	<u>7,943</u>
Income (Loss) Before Operating Transfers	<u>560,141</u>	<u>484,316</u>	<u>484,316</u>	<u>182,130</u>	<u>488,367</u>	<u>488,367</u>
Operating Transfers						
Debt Service Transfer	359,115	416,682	416,682	37,168	412,682	412,682
Transfer to PIB - Water & Sewer	21,744	21,163	21,163	2,303	21,163	21,163
Transfer to Capital Project Fund	0	10,000	10,000	0	10,000	10,000
Pension Liability Interest	3,659	3,814	3,814	636	3,814	3,814
Equipment Acquisition	12,273	20,583	20,616	3,211	20,583	20,583
Transfer to Stormwater	54,848	50,116	50,083	12,930	50,066	50,066
Total Operating Transfers	<u>451,639</u>	<u>522,358</u>	<u>522,358</u>	<u>56,248</u>	<u>518,308</u>	<u>518,308</u>
Net Current Activity						
Operating Fund Only	<u>\$ 108,502</u>	<u>\$ (38,042)</u>	<u>\$ (38,042)</u>	<u>\$ 125,882</u>	<u>\$ (29,941)</u>	<u>\$ (29,941)</u>

About the Fund:

The Combined Utility System Fund, which includes Fund 8300, Fund 8301, and Fund 8305, is an enterprise fund which accounts for operation of the City's water and wastewater facilities. The fund provides for the operation of the City's treated and untreated water, as well as, receive and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers.

Dedicated Drainage & Street Renewal Fund - 2310
For the period ending September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	FY2012 YTD	Controller's Projection	Finance Projection
Revenues						
Drainage Charge Revenue	\$ 0	\$ 115,826	\$ 115,826	\$ 29,475	\$ 115,826	\$ 115,826
Interfund Drainage Fee	0	9,174	9,174	0	9,174	9,174
Charges for Services	0	1,909	1,909	324	1,909	1,909
Licenses & Permits	0	996	996	145	996	996
Street Milling and Sales Earnings	0	800	800	270	800	800
Metro Intergovernmental Revenue	0	50,600	50,600	0	50,600	50,600
Miscellaneous/Other	0	59	59	91	98	98
Total Revenues	<u>0</u>	<u>179,364</u>	<u>179,364</u>	<u>30,305</u>	<u>179,403</u>	<u>179,403</u>
Expenditures						
Personnel	0	29,746	29,972	6,816	29,029	29,029
Supplies	0	14,095	14,093	2,562	14,147	14,147
Other Services	0	12,959	13,028	2,092	12,646	12,646
Capital Outlay	0	2,070	2,127	353	2,100	2,100
Total Expenditures	<u>0</u>	<u>58,870</u>	<u>59,220</u>	<u>11,823</u>	<u>57,922</u>	<u>57,922</u>
Net Current Activity	0	120,494	120,144	18,482	121,481	121,481
Other Financing Sources (Uses)						
Interest Income	0	0	0	3	12	12
Transfer In - General Fund ⁽¹⁾	0	0	0	0	9,193	9,193
Transfer In - Metro CIP Projects	0	54,590	54,590	0	2,889	2,889
Transfer In - TXDOT CIP Projects	0	2,000	2,000	0	0	0
Transfer Out - Commercial Paper Agent F	0	(1,800)	(1,800)	0	(1,800)	(1,800)
Transfer Out - Capital Projects	0	(112,909)	(112,559)	0	(59,208)	(59,208)
Transfer Out - Others	0	(2,889)	(2,889)	0	(2,889)	(2,889)
Total Other Financing Sources (Uses)	<u>0</u>	<u>(61,008)</u>	<u>(60,658)</u>	<u>3</u>	<u>(51,803)</u>	<u>(51,803)</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	0	59,486	59,486	18,485	69,678	69,678
Fund Balance, Beginning of Year	0	0	0	0	0	0
Fund Balance, End of Year	<u>\$ 0</u>	<u>\$ 59,486</u>	<u>\$ 59,486</u>	<u>\$ 18,485</u>	<u>\$ 69,678</u>	<u>\$ 69,678</u>

Note:

1. This amount is based on the Captured Ad Valorem Tax Revenue as calculated below.

Dedicated Drainage & Street Renewal Captured Ad Valorem Tax Revenue (amounts expressed in thousands)				
	FY2012			
	Adopted Budget	FY2012 Projection	Year to Date Actual	
Property Tax Revenue (General Fund) (\$0.118 of City's Ad Valorem Tax Levy)	\$ 152,992	\$ 156,570	\$ 0	
Less Street & Drainage Debt Service (General Fund)	<u>(153,336)</u>	<u>(147,377)</u>	<u>0</u>	
Captured Revenues ⁽¹⁾ (to be transferred to Dedicated Drainage & Street Renewal Fund)	<u>0</u>	<u>9,193</u>	<u>0</u>	

Note:
Ordinance 2010-879 requires funding in the amount equivalent to proceeds from \$0.118 of the City's ad valorem tax levy minus an amount equal to debt service for drainage and streets to the Dedicated Drainage & Street Renewal Fund.
Total outstanding debt for drainage and street is currently estimated at \$1.71 billion.

The Dedicated Drainage and Street Renewal Fund is not technically an enterprise fund, but is grouped with the Combined Utility for clarity.

Storm Water Fund
For the period ending September 30, 2011
(amounts expressed in thousands)

	Unaudited		FY2012			
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Miscellaneous	\$ 2	\$ 20	\$ 20	\$ 0	\$ 20	\$ 20
Total Revenues	<u>2</u>	<u>20</u>	<u>20</u>	<u>0</u>	<u>20</u>	<u>20</u>
Expenditures						
Personnel	18,569	20,341	20,341	4,615	19,616	19,616
Supplies	2,575	2,445	2,445	498	2,445	2,445
Other Services	12,907	12,499	13,547	2,289	13,208	13,208
Capital Outlay	906	3,083	3,100	186	3,083	3,083
Total Expenditures	<u>34,957</u>	<u>38,368</u>	<u>39,433</u>	<u>7,588</u>	<u>38,352</u>	<u>38,352</u>
Net Current Activity	(34,955)	(38,348)	(39,413)	(7,588)	(38,332)	(38,332)
Other Financing Sources (Uses)						
Interest Income	2	15	15	77	100	100
Transfers In - CUS	54,848	50,116	50,116	12,930	50,066	50,066
Transfer Out - Pension Liability Interest	(565)	(565)	(565)	(283)	(565)	(565)
Transfer Out - General Fund	(67)	(17,179)	(16,114)	(17)	(17,179)	(17,179)
Discretionary Debt - Drainage	0	(11,150)	(11,150)	(147)	(11,150)	(11,150)
Total Other Financing Sources (Uses)	<u>54,218</u>	<u>21,237</u>	<u>22,302</u>	<u>12,560</u>	<u>21,272</u>	<u>21,272</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	19,263	(17,111)	(17,111)	4,972	(17,060)	(17,060)
Fund Balance, Beginning of Year	<u>(122)</u>	<u>19,141</u>	<u>19,141</u>	<u>19,141</u>	<u>19,141</u>	<u>19,141</u>
Fund Balance, End of Year	<u>\$ 19,141</u>	<u>\$ 2,030</u>	<u>\$ 2,030</u>	<u>\$ 24,113</u>	<u>\$ 2,081</u>	<u>\$ 2,081</u>

Note: The Storm Water Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Health Benefits Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
City Medical Plans	\$ 292,023	\$ 286,945	286,945	\$ 67,731	\$ 286,945	\$ 286,945
City Dental Plans	9,318	9,457	9,457	2,421	9,457	9,457
City Life Insurance Plans	5,779	5,681	5,681	1,422	5,681	5,681
Health Flexible Spending Account	1,229	1,200	1,200	493	1,200	1,200
Dependent Care Reimbursement	227	240	240	61	240	240
Operating Revenues	<u>308,576</u>	<u>303,523</u>	<u>303,523</u>	<u>72,128</u>	<u>303,523</u>	<u>303,523</u>
Operating Expenses						
BCBS and Medicare Advantage	245,830	15,326	15,326	3,922	15,326	15,326
City Medical Plan Claims - Cigna	43,640	267,113	267,113	65,606	267,113	267,113
City Dental Plan Claims	9,325	9,457	9,457	2,421	9,457	9,457
City Life Insurance Plans	5,779	5,681	5,681	1,422	5,681	5,681
Administrative Costs	4,354	4,996	4,996	930	4,996	4,996
Health Flexible Spending Account	1,060	1,200	1,200	405	1,200	1,200
Dependent Care	227	240	240	61	240	240
Operating Expenses	<u>310,215</u>	<u>304,013</u>	<u>304,013</u>	<u>74,767</u>	<u>304,013</u>	<u>304,013</u>
Operating Income (Loss)	(1,639)	(490)	(490)	(2,639)	(490)	(490)
Non-Operating Revenues (Expenses)						
Interest Income	255	180	180	105	180	180
Prior Year Expense Recovery	1	0	0	0	0	0
Miscellaneous Revenues	568	0	0	0	0	0
Medicare Part D - Subsidy	0	0	0	0	0	0
Medicare Part D - Distribution	0	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>824</u>	<u>180</u>	<u>180</u>	<u>105</u>	<u>180</u>	<u>180</u>
Net Income (Loss)	(815)	(310)	(310)	(2,534)	(310)	(310)
Net Assets, Beginning of Year	3,652	2,837	2,837	2,837	2,837	2,837
Net Assets, End of Year	\$ <u>2,837</u>	\$ <u>2,527</u>	\$ <u>2,527</u>	\$ <u>303</u>	\$ <u>2,527</u>	\$ <u>2,527</u>

About the Fund:

The Health Benefits Fund, an Internal Service Fund administered by the Human Resources Department, was established in 1984 to centralize the financial transactions for the City's benefit plans.

Effective May 1, 2011, the City elected to be substantially self-insured and awarded CIGNA a three year contract with two (2) one-year renewal options for 4 new health plans. The new health benefits model is composed of four (4) plans, all of which have heavy emphasis on a wellness component, and includes; 1) a limited network HMO-type plan, 2) an open access PPO-type plan with no out-of-network coverage, 3) a consumer driven high deductible Health Plan (CDHP), partnered with a health reimbursement account, and 4) a specific plan for retirees, mostly those under age 65, who live outside the limited network service area but who live in Texas. Effective 08/01/11 all 65+ Medicare eligible retirees must enroll in the 6 MA plans or opt out.

These plans are supported by contributions from the city and participants. The Fund also includes two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. Both plans are supported exclusively by participants.

Long-Term Disability Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Contributions	\$ 0	\$ 1,111	\$ 1,111	\$ 310	\$ 1,111	\$ 1,111
GASB 10 Operating Transfer	0	0	0	0	0	0
Operating Revenues	<u>0</u>	<u>1,111</u>	<u>1,111</u>	<u>310</u>	<u>1,111</u>	<u>1,111</u>
Operating Expenses						
Management Consulting Services	0	58	58	0	58	58
Claims Payment Services	144	170	170	14	170	170
Employee Medical Claims	781	1,185	1,185	299	1,185	1,185
Maintenance and Operating	519	0	0	0	0	0
Interfund Transfer	0	0	0	0	0	0
Operating Expenses	<u>1,444</u>	<u>1,413</u>	<u>1,413</u>	<u>313</u>	<u>1,413</u>	<u>1,413</u>
Operating Income (Loss)	(1,444)	(302)	(302)	(3)	(302)	(302)
Non-Operating Revenues (Expenses)						
Interest Income	190	180	180	37	180	180
Transfers to General Fund	0	0	0	0	0	0
Prior Year Expense Recovery	0	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>190</u>	<u>180</u>	<u>180</u>	<u>37</u>	<u>180</u>	<u>180</u>
Net Income (Loss)	(1,254)	(122)	(122)	34	(122)	(122)
Net Assets, Beginning of Year	<u>2,223</u>	<u>969</u>	<u>969</u>	<u>969</u>	<u>969</u>	<u>969</u>
Net Assets, End of Year	<u>\$ 969</u>	<u>\$ 847</u>	<u>\$ 847</u>	<u>\$ 1,003</u>	<u>\$ 847</u>	<u>\$ 847</u>

About the Fund:

The Long Term Disability (LTD) Plan is a self-insured program accounted for as an internal service fund. Established in 1985 and revised in 1996 as part of the Income Protection Plan (IPP) (renamed the Compensable Sick Leave Plan (CSL) in October 1996), the plan provides paid long-term sick leave for City employees.

Property and Casualty Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Interfund Legal Services	\$ 15,686	\$ 36,599	\$ 36,599	\$ 402	\$ 36,599	\$ 36,599
Operating Revenues	<u>15,686</u>	<u>36,599</u>	<u>36,599</u>	<u>402</u>	<u>36,599</u>	<u>36,599</u>
Operating Expenses						
Personnel	2,857	5,514	5,514	1,366	5,514	5,514
Supplies	163	160	160	7	160	160
Services:						
Insurance Fees/Adm.	9,575	12,203	12,203	273	12,203	12,203
Claims and Judgments	1,619	15,877	15,877	154	15,877	15,877
Other Services	1,481	2,845	2,845	161	2,845	2,845
Operating Expenses	<u>15,695</u>	<u>36,599</u>	<u>36,599</u>	<u>1,961</u>	<u>36,599</u>	<u>36,599</u>
Operating Income (Loss)	(9)	0	0	(1,559)	0	0
Net Income (Loss)	(9)	0	0	(1,559)	0	0
Net Assets, Beginning of Year	<u>77</u>	<u>68</u>	<u>68</u>	<u>68</u>	<u>68</u>	<u>68</u>
Net Assets, End of Year	<u>\$ 68</u>	<u>\$ 68</u>	<u>\$ 68</u>	<u>\$ (1,491)</u>	<u>\$ 68</u>	<u>\$ 68</u>

About the Fund:

The Property and Casualty Fund was established to monitor the financial requirements of the Property and Risk Management Programs. This activity is primarily self-funded. The revenue is generated from assessed premiums to the other funds. These premiums are based upon projected expenditures. All risk to the City's assets (except Workers Compensation, Life, Health Benefits, and Long Term Disability) fall within the scope of this fund.

Workers' Compensation Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Contributions	\$ 18,590	19,512	\$ 19,512	\$ 4,718	\$ 19,512	\$ 19,512
Operating Revenues	<u>18,590</u>	<u>19,512</u>	<u>19,512</u>	<u>4,718</u>	<u>19,512</u>	<u>19,512</u>
Operating Expenses						
Personnel	2,498	2,560	2,560	626	2,553	2,553
Supplies	37	44	43	1	43	43
Current Year Claims	15,712	16,611	16,611	3,798	16,611	16,611
Services	387	345	345	60	352	352
Capital Outlay	0	0	0	0	0	0
Non-Capital Outlay	7	0	1	0	1	1
Operating Expenses	<u>18,641</u>	<u>19,560</u>	<u>19,560</u>	<u>4,485</u>	<u>19,560</u>	<u>19,560</u>
Operating Income (Loss)	(51)	(48)	(48)	233	(48)	(48)
Non-Operating Revenues (Expenses)						
Interest Income	29	45	45	6	45	45
Prior Year Recoveries	2	0	0	0	0	0
Other	20	3	3	0	3	3
Non-Operating Revenues (Expenses)	<u>51</u>	<u>48</u>	<u>48</u>	<u>6</u>	<u>48</u>	<u>48</u>
Net Income (Loss)	0	0	0	239	0	0
Net Assets, Beginning of Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Assets, End of Year	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 239</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Accident Prevention, Loss Control and Workers' Compensation.

Asset Forfeiture Fund (2202,2203,2204)

The Houston Police Department receives asset forfeiture proceeds under guidelines set forth by the U.S. Department of Justice, the U.S. Treasury Department, and the State of Texas. These forfeiture proceeds are generated as a result of law enforcement efforts against illegal and criminal activity. Proceeds received under the various guidelines must be used for law enforcement purposes.

Auto Dealers Fund (2200)

The Auto Dealers Division of the Houston Police Department issues licenses to automotive businesses and vehicle/used parts salesmen. The Auto Dealers Division also monitors private storage facilities/salvage yards, auctions abandoned motor vehicles, and investigates complaints against automotive businesses/salesmen. The revenues generated from the fees are placed in the Auto Dealers Fund.

BARC Special Revenue Fund (2427)

BARC (Bureau of Animal Regulation and Care) is authorized to receive funds from any source for the purpose of supporting the maintenance and operation of the City's animal shelter facilities and programs, including without limitation, costs of necessary City personnel, equipment, supplies and facilities, education and animal adoption programs, veterinary services and supplies, and other needs of BARC.

Building Inspection Fund (2301)

The Public Works and Engineering Department administers the Building Inspection Special Revenue Fund, which ensures that buildings and structures constructed and maintained within the City adhere to the standards set by the City of Houston's Building Code. Permits and Licenses revenue make up approximately 75% of the revenue in this fund. This revenue is derived from the issuance of electrical, plumbing, AC and Boiler and construction permits.

Building (Court) Security Fund (2206)

The Building Security Fund began in FY1997, generating revenue from a \$3.00 fee charged to defendants convicted of a misdemeanor offense. The purpose of the fund is to aid in ensuring the safety of civilians and civil service employees while conducting business in Municipal Courts buildings.

Cable TV Fund (2401, 2428)

Operation of the Municipal Access Channel and its facilities is the responsibility of the Cable Communications Division of the Mayor's Office. The mission of the division is to effectively communicate to the public relevant information concerning municipal and related governmental and community services. The Cable Television Fund is supported through contributions of the cable television companies in Houston. The contributions are based upon a rate per subscriber and support public, educational, and municipal programming Houston.

Child Safety Fund (2209)

The Child Safety Fund was established to account for monies collected for public, parochial, and private school crossing guard programs. Revenues to the fund come from an assessment of a Municipal Court fee of \$5.00 on non-criminal municipal violations and an additional \$1.50 fee for each vehicle registration authorized by Harris County. If there is a surplus of funds, the City is allowed to keep 10% of the funds received for administrative fees. If a surplus exists after the payment of all covered contract expenses, the excess can be used to pay for programs designed to enhance child safety, health, or nutrition and administration costs of these programs.

Digital Automated Red Light Enforcement Program Fund (2212)

The Digital Automated Red Light Enforcement Program Fund (DARLEP), established in FY2009, tracks the financial and accounting balances from all penalties and fees collected and all costs associated with the operation and enforcement of the photographic traffic monitoring system.

Digital Houston Fund (2422)

The Digital Houston Fund was established to build a citywide wireless broadband Wi-Fi mesh network that will provide affordable, high-speed internet access to residents of and visitors to Houston. The network will enable municipal employees to work in the field continuously, reducing the need to use traditional landlines to complete their tasks. The fund will be necessary to receive payments and to allow the City to fund inclusion programs for low income and other individuals.

Fleet and Equipment Acquisition Fund (9002)

Fleet/Equipment Acquisition Fund was reclassified from an internal service fund to a special revenue fund during FY2009. Over the next few years, the acquisition of equipment will be gradually shifted to the operating budgets in the general fund. Departments will make payments to the Fleet/Equipment Acquisition Fund for the use of specific capital equipment, which will then pay the debt service associated with the equipment. This fund will be administered by the Finance Department.

Historic Preservation Fund (2306)

Historic Preservation, administered by the Planning and Development Department was established in FY2010 to utilize funds set aside from the sale of historic fire stations by the City in FY2009, promoting historic preservation of residential and commercial programs. The program utilizes city funds as seed money to encourage private investment, attract grant funds, and support educational programs that will further preservation efforts in Houston.

Houston Emergency Center Fund (2205)

The City of Houston's Neutral Public Safety Answering Point is one of the thirty-seven answering stations in the appropriate emergency agency, such as police, fire, and ambulance services. Calls are also referred to Harris county agencies such as Juvenile Crisis Hotline, Poison Control and Emergency Management Operations. The City of Houston is reimbursed from the special district for salaries, fringe benefits and other operating expenses for the answering station.

Houston Transtar Center Fund (2402)

Houston TranStar Center formerly known as the Greater Houston Transportation & Emergency Management Center. The Houston TranStar Center was built through a cooperative effort among the City of Houston, Harris County, Metropolitan Transit Authority (METRO) and the Texas Department of Transportation (TxDOT). The center houses personnel responsible for and/or involved with transportation and emergency management planning and operations in the Houston/Harris County area. Funding for the center is received from each member agency and is prorated based on occupancy and use of center facilities.

Juvenile Case Manager Fund (2211)

The Juvenile Case Manager Fund was established under Article 45.056 of the Texas Code of Criminal procedure to assess a juvenile case manager fee for each defendant convicted of a fine-only misdemeanor offense and may only be used to finance the salary and benefits of the juvenile case manager. This is also a new budgeted fund in FY2009.

Mobility Response Team Fund (2304)

The mission of the Mobility Response Team will be responding to and mitigating significant traffic congestion resulting from malfunctioning traffic signals, accidents, and other mobility issues throughout the City. The team is a partnership between the Police and Public Works and Engineering (PWE) Departments. Dedicated resources will be deployed 5:00 AM - 9:00 PM. They will specifically 1) respond to and mitigate acute traffic congestion throughout the City, 2) be dispatched via personnel at TranStar to identified areas, 3) Traffic Engineering staff will diagnose and provide solutions to operational and design problems, and 4) work together with PWE engineers to develop long term traffic management solutions.

Parking Management Fund (8700)

The Parking Management Fund is responsible for managing and providing on-street parking alternatives to the citizens in the greater Houston area. This fund is administered by the Administration and Regulatory Affairs Department.

Parks Golf Special Fund (2104)

The Parks Golf Special Fund was created to receive all City revenues derived from all city-owned golf facilities, whether operated by the City or private entities, including all related concession fees, to be used exclusively for the maintenance, operating and improvements of any or all of such golf courses.

Parks Special Revenue Fund (2100)

The Parks and Recreation Department administers the Parks Special Revenue Fund. The fund receives revenue from the rental of park facilities and three tennis centers. These funds are for repairs, replacement and the renovation of parks revenue producing facilities excluding golf.

Police Special Services Fund (2201)

The Police Special Services Fund was created to properly account for funds received from other agencies or organizations to reimburse HPD for cost of services for specific law enforcement purposes. These special services include HPD participation in joint police operations, security, traffic control for activities such as "fund runs", parade and festivals.

Recycling Expansion Program Fund (2305)

The Expansion of Recycling Program, established in FY2009, was created to allocate dedicated funds to be used for the expansion and implementation of the City's Recycling Programs. Efforts include city-wide tree waste recycling, additional neighborhood depository sites, curbside recycling and increased education and outreach. These efforts, when combined, will allow the City to greatly improve its current landfill diversion rate.

Supplemental Environmental Protection Fund (2404)

Environmental Investigations or Rat-On-a-Rat (ROAR) use Supplemental Environmental Funds for the advancement of the goals of clean air, water, and to enhance the community environment impacted by criminal environmental violators.

Swimming Pool Safety Fund (2009)

The Swimming Pool Safety Fund receives proceeds from enforcing municipal, state and federal pool and spa safety standards. State and federal pool and safety standards apply to all pools and spas serving more than two dwellings; in accordance with these requirements, operators of pool and spas at apartment or condominium projects are required to obtain permits and to comply with the requisite standards. The fees collected in pursuant of swimming pool and spa safety are used for the purposes of activities related to permitting, inspecting, monitoring, abating, controlling, educating and enforcement of municipal, state and federal standards.

Technology Fee Fund (2207)

The Technology Fee Fund was established in FY2001 to account for revenue generated from a \$4.00 technology fee for convictions on all traffic and non-traffic misdemeanor offenses occurring on or after June 26, 2000. The purpose of the fund is to finance technological enhancements for the Municipal Courts including computer systems, networks, hardware, software, imaging systems, electronic ticket-writers and docket management systems.

Asset Forfeiture Special Revenue Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Confiscations	\$ 6,902	\$ 5,873	\$ 5,873	\$ 2,409	\$ 5,873	\$ 5,873
Interest Income	39	34	34	11	34	34
Other	52	0	0	0	0	0
Total Revenues	<u>6,993</u>	<u>5,907</u>	<u>5,907</u>	<u>2,420</u>	<u>5,907</u>	<u>5,907</u>
Expenditures						
Personnel	1,951	2,618	2,618	217	2,618	2,618
Supplies	1,568	2,068	1,875	15	1,890	1,890
Other Services	1,560	2,219	2,484	511	2,398	2,398
Capital Purchases	210	100	97	0	97	97
Non-Capital Purchases	145	195	126	1	197	197
Total Expenditures	<u>5,434</u>	<u>7,200</u>	<u>7,200</u>	<u>744</u>	<u>7,200</u>	<u>7,200</u>
Net Current Activity	1,559	(1,293)	(1,293)	1,676	(1,293)	(1,293)
Fund Balance, Beginning of Year	<u>1,188</u>	<u>2,747</u>	<u>2,747</u>	<u>2,747</u>	<u>2,747</u>	<u>2,747</u>
Fund Balance, End of Year	<u>\$ 2,747</u>	<u>\$ 1,454</u>	<u>\$ 1,454</u>	<u>\$ 4,423</u>	<u>\$ 1,454</u>	<u>\$ 1,454</u>

Auto Dealers
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Auto Dealers Licenses	\$ 2,766	\$ 3,246	\$ 3,246	608	\$ 3,246	\$ 3,246
Vehicle Storage Notification	246	310	310	53	310	310
Vehicle Auction Fees	235	293	293	52	293	293
Interest Income	23	30	30	11	30	30
Other	2,489	2,592	2,592	559	2,592	2,592
Total Revenues	<u>5,759</u>	<u>6,471</u>	<u>6,471</u>	<u>1,283</u>	<u>6,471</u>	<u>6,471</u>
Expenditures						
Personnel	2,545	2,858	2,858	648	2,858	2,858
Supplies	115	218	218	10	218	218
Other Services	878	1,232	1,232	207	1,232	1,232
Capital Purchases	0	856	856	0	856	856
Total Expenditures	<u>3,538</u>	<u>5,164</u>	<u>5,164</u>	<u>865</u>	<u>5,164</u>	<u>5,164</u>
Other Financing Sources (Uses)						
Transfers Out	0	(2,190)	(2,190)	(472)	(2,190)	(2,190)
Total Other Financing Sources (Uses)	<u>0</u>	<u>(2,190)</u>	<u>(2,190)</u>	<u>(472)</u>	<u>(2,190)</u>	<u>(2,190)</u>
Net Current Activity	2,221	(883)	(883)	(54)	(883)	(883)
Fund Balance, Beginning of Year	<u>293</u>	<u>2,514</u>	<u>2,514</u>	<u>2,514</u>	<u>2,514</u>	<u>2,514</u>
Fund Balance, End of Year	<u>\$ 2,514</u>	<u>\$ 1,631</u>	<u>\$ 1,631</u>	<u>\$ 2,460</u>	<u>\$ 1,631</u>	<u>\$ 1,631</u>

BARC Special Revenue Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Licenses & Fees	\$ 806	\$ 822	\$ 822	\$ 275	\$ 822	\$ 822
Interest	32	48	48	4	48	48
Animal Adoption	140	190	190	30	190	190
Contributions	20	20	20	2	20	20
Other Revenue	2	0	0	1	0	0
Total Revenues	<u>1,000</u>	<u>1,080</u>	<u>1,080</u>	<u>312</u>	<u>1,080</u>	<u>1,080</u>
Expenditures						
Personnel	4,069	6,146	6,066	1,197	5,724	5,724
Supplies	628	709	709	107	738	738
Other Services	1,303	1,281	1,360	273	1,567	1,567
Non-Capital Outlay	2	2	5	0	5	5
Total Expenditures	<u>6,002</u>	<u>8,138</u>	<u>8,141</u>	<u>1,577</u>	<u>8,034</u>	<u>8,034</u>
Other Financing Sources (Uses)						
Operating Transfers - In	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>
Total Other Financing Sources (Uses)	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>
Net Current Activity	1,120	(936)	(939)	4,857	(832)	(832)
Fund Balance, Beginning of Year	<u>0</u>	<u>1,120</u>	<u>1,120</u>	<u>1,120</u>	<u>1,120</u>	<u>1,120</u>
Fund Balance, End of Year	<u>\$ 1,120</u>	<u>\$ 184</u>	<u>\$ 181</u>	<u>\$ 5,977</u>	<u>\$ 288</u>	<u>\$ 288</u>

Building Inspection Special Revenue Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Permits and Licenses	\$ 29,234	\$ 31,431	\$ 31,431	\$ 9,090	\$ 31,431	\$ 31,431
Charges for Services	10,003	12,500	12,500	3,703	12,500	12,500
Other	5,655	2,405	2,405	2,639	4,905	4,905
Interest Income	162	180	180	40	180	180
Total Revenues	<u>45,054</u>	<u>46,516</u>	<u>46,516</u>	<u>15,472</u>	<u>49,016</u>	<u>49,016</u>
Expenditures						
Personnel	35,178	37,544	37,544	9,041	37,248	37,248
Supplies	640	726	726	129	726	726
Other Services	5,281	7,504	7,504	1,039	7,504	7,504
Capital Outlay	225	0	0	0	0	0
Non-Capital Outlay	40	94	94	2	94	94
Total Expenditures	<u>41,364</u>	<u>45,868</u>	<u>45,868</u>	<u>10,211</u>	<u>45,572</u>	<u>45,572</u>
Other Financing Sources (Uses)						
Operating Transfers Out	<u>(6,277)</u>	<u>(4,071)</u>	<u>(4,071)</u>	<u>(1,217)</u>	<u>(6,571)</u>	<u>(6,571)</u>
Total Other Financing Sources (Uses)	<u>(6,277)</u>	<u>(4,071)</u>	<u>(4,071)</u>	<u>(1,217)</u>	<u>(6,571)</u>	<u>(6,571)</u>
Net Current Activity	(2,587)	(3,423)	(3,423)	4,044	(3,127)	(3,127)
Fund Balance, Beginning of Year	<u>8,672</u>	<u>6,085</u>	<u>6,085</u>	<u>6,085</u>	<u>6,085</u>	<u>6,085</u>
Fund Balance, End of Year	<u>\$ 6,085</u>	<u>\$ 2,662</u>	<u>\$ 2,662</u>	<u>\$ 10,129</u>	<u>\$ 2,958</u>	<u>\$ 2,958</u>

Building (Court) Security Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 941	\$ 950	\$ 950	\$ 203	\$ 950	\$ 950
Total Revenues	<u>941</u>	<u>950</u>	<u>950</u>	<u>203</u>	<u>950</u>	<u>950</u>
Expenditures						
Personnel	963	1,036	1,036	236	1,036	1,036
Supplies	0	0	0	0	0	0
Other Services	1	2	2	0	2	2
Equipment	0	0	0	0	0	0
Total Expenditures	<u>964</u>	<u>1,038</u>	<u>1,038</u>	<u>236</u>	<u>1,038</u>	<u>1,038</u>
Net Current Activity	(23)	(88)	(88)	(33)	(88)	(88)
Fund Balance, Beginning of Year	<u>124</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>
Fund Balance, End of Year	<u>\$ 101</u>	<u>\$ 13</u>	<u>\$ 13</u>	<u>\$ 68</u>	<u>\$ 13</u>	<u>\$ 13</u>

Cable TV
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 3,586	\$ 3,694	\$ 3,694	\$ 38	\$ 3,694	\$ 3,694
Total Revenues	<u>3,586</u>	<u>3,694</u>	<u>3,694</u>	<u>38</u>	<u>3,694</u>	<u>3,694</u>
Expenditures						
Maintenance and Operations	2,854	3,269	3,269	692	3,269	3,269
Equipment	116	302	302	22	302	302
Total Expenditures	<u>2,970</u>	<u>3,571</u>	<u>3,571</u>	<u>714</u>	<u>3,571</u>	<u>3,571</u>
Net Current Activity	616	123	123	(676)	123	123
Fund Balance, Beginning of Year	<u>1,411</u>	<u>2,027</u>	<u>2,027</u>	<u>2,027</u>	<u>2,027</u>	<u>2,027</u>
Fund Balance, End of Year	<u>\$ 2,027</u>	<u>\$ 2,150</u>	<u>\$ 2,150</u>	<u>\$ 1,351</u>	<u>\$ 2,150</u>	<u>\$ 2,150</u>

Child Safety Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest on Investments	\$ 18	\$ 50	\$ 50	5	\$ 50	\$ 50
Municipal Courts Collections	2,341	2,300	2,300	588	2,300	2,300
Harris County Collections	823	732	732	179	732	732
Total Revenues	<u>3,182</u>	<u>3,082</u>	<u>3,082</u>	<u>773</u>	<u>3,082</u>	<u>3,082</u>
Expenditures						
School Crossing Guard Program	3,103	3,079	3,079	1,009	3,079	3,079
Miscellaneous Parts and Supplies	3	3	3	0	3	3
Total Expenditures	<u>3,106</u>	<u>3,082</u>	<u>3,082</u>	<u>1,009</u>	<u>3,082</u>	<u>3,082</u>
Net Current Activity	76	0	0	(236)	0	0
Fund Balance, Beginning of Year	<u>25</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>
Fund Balance, End of Year	<u>\$ 101</u>	<u>\$ 101</u>	<u>\$ 101</u>	<u>\$ (135)</u>	<u>\$ 101</u>	<u>\$ 101</u>

Digital Automated Red Light Enforcement Program Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Red Light Enforcement Revenue	\$ 6,185	\$ 441	\$ 441	\$ 21	\$ 441	\$ 441
Interest Income	64	8	8	11	18	18
Total Revenues	<u>6,249</u>	<u>449</u>	<u>449</u>	<u>32</u>	<u>459</u>	<u>459</u>
Expenditures						
Personnel	2,236	0	0	11	0	0
Supplies	11	1	1	0	1	1
Other Services	3,257	101	101	14	101	101
Capital Purchases	(56)	0	0	0	0	0
Debt Service	150	0	0	0	0	0
State of Texas' Share	<u>2,079</u>	<u>117</u>	<u>117</u>	<u>0</u>	<u>169</u>	<u>169</u>
Total Expenditures	<u>7,677</u>	<u>219</u>	<u>219</u>	<u>24</u>	<u>271</u>	<u>271</u>
Net Current Activity	(1,428)	230	230	8	188	188
Fund Balance, Beginning of Year	<u>1,908</u>	<u>480</u>	<u>480</u>	<u>480</u>	<u>480</u>	<u>480</u>
Fund Balance, End of Year	<u>\$ 480</u>	<u>\$ 710</u>	<u>\$ 710</u>	<u>\$ 488</u>	<u>\$ 668</u>	<u>\$ 668</u>

Digital Houston Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
WIFI Revenues						
Interest Income	\$ 47	\$ 140	\$ 140	\$ 9	\$ 50	\$ 50
Total Revenues	<u>47</u>	<u>140</u>	<u>140</u>	<u>9</u>	<u>50</u>	<u>50</u>
Expenditures						
Personnel	187	197	197	49	197	197
Supplies	3	5	5	0	5	5
Other Services	139	595	595	11	595	595
Equipment	18	252	282	48	282	282
Capital Purchases	0	208	178	0	178	178
Total Expenditures	<u>347</u>	<u>1,257</u>	<u>1,257</u>	<u>109</u>	<u>1,257</u>	<u>1,257</u>
Net Current Activity	(300)	(1,117)	(1,117)	(100)	(1,207)	(1,207)
Fund Balance, Beginning of Year	<u>2,702</u>	<u>\$ 2,402</u>	<u>\$ 2,402</u>	<u>\$ 2,402</u>	<u>\$ 2,402</u>	<u>\$ 2,402</u>
Fund Balance, End of Year	<u>\$ 2,402</u>	<u>\$ 1,285</u>	<u>\$ 1,285</u>	<u>\$ 2,302</u>	<u>\$ 1,195</u>	<u>\$ 1,195</u>

Fleet and Equipment Acquisition Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Expenditure						
Capital Purchase	\$ 122	\$ 0	\$ 0	\$ 18	\$ 0	\$ 0
Total Operating Expenditure	<u>122</u>	<u>0</u>	<u>0</u>	<u>18</u>	<u>0</u>	<u>0</u>
Non-Operating Transfers Revenues (Expenditures)						
Sale of Property, Mains and Scrap	919	5	0	9	5	5
Interest Income	44	50	50	8	50	50
Inter Fund Billings - Fleet	0	0	0	0	0	0
Transfer from General Fund	11,707	0	0	0	0	0
Transfer to General Fund	(2,600)	0	0	0	0	0
Transfer from Spec. Rev.	0	0	0	0	0	0
Transfer to PIB Debt Service	(11,707)	0	0	0	0	0
Other	0	0	0	0	0	0
Total Non-Operating Transfers Revenues (Expenditures)	<u>(1,637)</u>	<u>55</u>	<u>50</u>	<u>17</u>	<u>55</u>	<u>55</u>
Net Current Activity	(1,609)	55	50	(1)	55	55
Fund Balance, Beginning of Year	<u>2,033</u>	<u>424</u>	<u>424</u>	<u>424</u>	<u>424</u>	<u>424</u>
Fund Balance, End of Year	<u>\$ 424</u>	<u>\$ 479</u>	<u>\$ 474</u>	<u>\$ 423</u>	<u>\$ 479</u>	<u>\$ 479</u>

Historic Preservation Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 15	\$ 20	\$ 20	\$ 2	\$ 20	\$ 20
Other Interfund Services	14	0	0	0	0	0
Total Revenues	<u>29</u>	<u>20</u>	<u>20</u>	<u>2</u>	<u>20</u>	<u>20</u>
Expenditures						
Other Services	18	810	810	1	810	810
Total Expenditures	<u>18</u>	<u>810</u>	<u>810</u>	<u>1</u>	<u>810</u>	<u>810</u>
Net Current Activity	11	(790)	(790)	1	(790)	(790)
Fund Balance, Beginning of Year	<u>842</u>	<u>853</u>	<u>853</u>	<u>853</u>	<u>853</u>	<u>853</u>
Fund Balance, End of Year	<u>\$ 853</u>	<u>\$ 63</u>	<u>\$ 63</u>	<u>\$ 854</u>	<u>\$ 63</u>	<u>\$ 63</u>

Houston Emergency Center
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 21,716	\$ 23,592	\$ 23,592	\$ 4,285	\$ 23,592	\$ 23,592
Total Revenues	<u>21,716</u>	<u>23,592</u>	<u>23,592</u>	<u>4,285</u>	<u>23,592</u>	<u>23,592</u>
Expenditures						
Maintenance and Operations	20,496	23,592	23,592	4,760	23,592	23,592
Total Expenditures	<u>20,496</u>	<u>23,592</u>	<u>23,592</u>	<u>4,760</u>	<u>23,592</u>	<u>23,592</u>
Net Current Activity	1,220	0	0	(475)	0	0
Fund Balance, Beginning of Year	<u>123</u>	<u>1,343</u>	<u>1,343</u>	<u>1,343</u>	<u>1,343</u>	<u>1,343</u>
Fund Balance, End of Year	<u>\$ 1,343</u>	<u>\$ 1,343</u>	<u>\$ 1,343</u>	<u>\$ 868</u>	<u>\$ 1,343</u>	<u>\$ 1,343</u>

Houston Transtar Center
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Other Grant Awards	\$ 1,573	\$ 1,406	\$ 1,406	\$ 565	\$ 1,756	\$ 1,756
Other Service Charges	714	610	610	157	610	610
Misc. Revenue	212	0	0	0	94	94
Interest Income	18	15	15	6	15	15
Total Revenues	<u>2,517</u>	<u>2,031</u>	<u>2,031</u>	<u>728</u>	<u>2,475</u>	<u>2,475</u>
Expenditures						
Maintenance and Operations	1,790	2,309	2,309	323	2,309	2,309
Total Expenditures	<u>1,790</u>	<u>2,309</u>	<u>2,309</u>	<u>323</u>	<u>2,309</u>	<u>2,309</u>
Net Current Activity	727	(278)	(278)	405	166	166
Fund Balance, Beginning of Year	<u>610</u>	<u>1,337</u>	<u>1,337</u>	<u>1,337</u>	<u>1,337</u>	<u>1,337</u>
Fund Balance, End of Year	<u>\$ 1,337</u>	<u>\$ 1,059</u>	<u>\$ 1,059</u>	<u>\$ 1,742</u>	<u>\$ 1,503</u>	<u>\$ 1,503</u>

Juvenile Case Manager
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 887	\$ 870	\$ 870	\$ 203	\$ 870	\$ 870
Total Revenues	<u>887</u>	<u>870</u>	<u>870</u>	<u>203</u>	<u>870</u>	<u>870</u>
Expenditures						
Personnel	665	839	839	192	839	839
Supplies	0	0	0	0	0	0
Other Services and Charges	31	29	29	5	29	29
Total Expenditures	<u>696</u>	<u>868</u>	<u>868</u>	<u>197</u>	<u>868</u>	<u>868</u>
Net Current Activity	191	2	2	6	2	2
Fund Balance, Beginning of Year	<u>1,277</u>	<u>1,468</u>	<u>1,468</u>	<u>1,468</u>	<u>1,468</u>	<u>1,468</u>
Fund Balance, End of Year	<u>\$ 1,468</u>	<u>\$ 1,470</u>	<u>\$ 1,470</u>	<u>\$ 1,474</u>	<u>\$ 1,470</u>	<u>\$ 1,470</u>

Mobility Response Team Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 92	\$ 45	\$ 45	\$ 15	\$ 45	\$ 45
Other Income	92	0	0	6	400	400
Total Revenues	<u>184</u>	<u>45</u>	<u>45</u>	<u>21</u>	<u>445</u>	<u>445</u>
Expenditures						
Personnel	2,063	2,308	2,308	524	2,308	2,308
Supplies	74	113	105	1	105	105
Other Services	48	445	453	10	782	782
Capital Purchases	93	0	0	0	0	0
Total Expenditures	<u>2,278</u>	<u>2,866</u>	<u>2,866</u>	<u>535</u>	<u>3,195</u>	<u>3,195</u>
Other Financing Sources (Uses)						
Transfer In	732	100	100	0	100	100
Total Other Financing Sources (Uses)	<u>732</u>	<u>100</u>	<u>100</u>	<u>0</u>	<u>100</u>	<u>100</u>
Net Current Activity	(1,362)	(2,721)	(2,721)	(514)	(2,650)	(2,650)
Fund Balance, Beginning of Year	<u>5,733</u>	<u>4,371</u>	<u>4,371</u>	<u>4,371</u>	<u>4,371</u>	<u>4,371</u>
Fund Balance, End of Year	<u>\$ 4,371</u>	<u>\$ 1,650</u>	<u>\$ 1,650</u>	<u>\$ 3,857</u>	<u>\$ 1,721</u>	<u>\$ 1,721</u>

Parking Management Special Revenue Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Parking Violations	\$ 7,132	\$ 10,710	\$ 10,710	\$ 2,618	\$ 10,440	\$ 10,440
Parking Fees	7,045	8,897	8,897	793	7,469	7,469
Permit Fees	312	283	283	84	292	292
Other Revenue	1,273	2	2	9	11	11
Interest Income	97	50	50	13	50	50
Total Revenues	<u>15,859</u>	<u>19,942</u>	<u>19,942</u>	<u>3,517</u>	<u>18,262</u>	<u>18,262</u>
Expenses						
Personnel	3,146	3,797	3,797	871	3,797	3,797
Supplies	536	573	538	6	538	538
Other Services	2,614	3,961	3,931	216	3,931	3,931
Capital Outlay	0	0	85	0	85	85
Non-Capital Outlay	47	26	6	(17)	6	6
Total Expenses	<u>6,343</u>	<u>8,357</u>	<u>8,357</u>	<u>1,076</u>	<u>8,357</u>	<u>8,357</u>
Other Financing Sources (Uses)						
Transfers (to) from Special	(94)	0	0	0	0	0
Operating Transfers - In (Out)	(8,166)	(9,117)	(9,117)	(1,750)	(8,117)	(8,117)
Transfers for Interest	(1,278)	(1,513)	(1,513)	0	(1,513)	(1,513)
Total Other Financing Sources (Uses)	<u>(9,538)</u>	<u>(10,630)</u>	<u>(10,630)</u>	<u>(1,750)</u>	<u>(9,630)</u>	<u>(9,630)</u>
Net Current Activity	(22)	955	955	691	275	275
Fund Balance, Beginning of Year	<u>1,656</u>	<u>1,634</u>	<u>1,634</u>	<u>1,634</u>	<u>1,634</u>	<u>1,634</u>
Fund Balance, End of Year	<u>\$ 1,634</u>	<u>\$ 2,589</u>	<u>\$ 2,589</u>	<u>\$ 2,325</u>	<u>\$ 1,909</u>	<u>\$ 1,909</u>

Beginning July 1, 2010, Parking Management has been reclassified to Special Revenue Fund from the Enterprise Fund. The FY2010 actuals are reflected above in the Special Revenue format.

Parks Golf Special Revenue Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited		FY2012			
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 0	\$ 1,313	\$ 1,313	\$ 354	\$ 1,309	\$ 1,309
Rental of Property	0	1,132	1,132	286	1,132	1,132
Interest Income	0	0	0	1	4	4
Golf	0	3,515	3,515	915	3,515	3,515
Other	0	32	32	159	32	32
Total Revenues	<u>0</u>	<u>5,992</u>	<u>5,992</u>	<u>1,715</u>	<u>5,992</u>	<u>5,992</u>
Expenditures						
Personnel	0	4,031	4,031	945	4,031	4,031
Supplies	0	858	858	154	858	858
Other Services	0	890	890	97	890	890
Capital Outlay	0	0	0	0	0	0
Total Expenditures	<u>0</u>	<u>5,779</u>	<u>5,779</u>	<u>1,196</u>	<u>5,779</u>	<u>5,779</u>
Operating Transfers						
Operating Transfers (Out)	0	0	0	0	0	0
Total Operating Transfers	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	0	213	213	519	213	213
Fund Balance, Beginning of Year	0	0	0	0	0	0
Fund Balance, End of Year	<u>\$ 0</u>	<u>\$ 213</u>	<u>\$ 213</u>	<u>\$ 519</u>	<u>\$ 213</u>	<u>\$ 213</u>

Parks Special Revenue Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited		FY2012			
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 1,672	\$ 1,650	\$ 337	\$ 82	\$ 337	\$ 337
Facility/Admissions/User Fees	53	51	51	11	51	51
Program Fees	561	436	436	110	436	436
Rental of Property	1,552	1,603	472	125	472	472
Licenses and Permits	205	177	177	34	177	177
Interest Income	85	100	100	13	100	100
Tennis	3,511	3,680	165	55	165	165
Other	155	85	52	17	52	52
Total Revenues	<u>7,794</u>	<u>7,782</u>	<u>1,790</u>	<u>447</u>	<u>1,790</u>	<u>1,790</u>
Expenditures						
Personnel	4,396	4,464	432	111	432	432
Supplies	1,177	1,467	606	1	606	606
Other Services	1,253	1,602	964	159	964	964
Capital Outlay	15	249	0	0	0	0
Total Expenditures	<u>6,841</u>	<u>7,782</u>	<u>2,002</u>	<u>271</u>	<u>2,002</u>	<u>2,002</u>
Operating Transfers						
Operating Transfers (Out)	(410)	0	0	0	0	0
Total Operating Transfers	<u>(410)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	543	0	(212)	176	(212)	(212)
Fund Balance, Beginning of Year	4,196	4,739	4,739	4,739	4,739	4,739
Fund Balance, End of Year	<u>\$ 4,739</u>	<u>\$ 4,739</u>	<u>\$ 4,527</u>	<u>\$ 4,915</u>	<u>\$ 4,527</u>	<u>\$ 4,527</u>

Police Special Services Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Police Fees	\$ 16,651	\$ 13,675	\$ 13,675	\$ 2,681	\$ 13,228	\$ 13,228
Interest Income	185	200	200	41	200	200
Other	2,517	2,751	2,751	294	2,851	2,851
Interfund Transfers	6,015	8,698	8,698	2,813	5,625	5,625
Total Revenues	<u>25,368</u>	<u>25,324</u>	<u>25,324</u>	<u>5,828</u>	<u>21,904</u>	<u>21,904</u>
Expenditures						
Personnel	18,994	23,337	23,337	3,125	21,837	21,837
Supplies	3,758	2,812	2,812	419	2,819	2,819
Other Services	3,759	2,475	2,475	85	2,461	2,461
Capital Purchases	95	0	0	4	4	4
Non-Capital Purchases	10	100	100	3	103	103
Total Expenditures	<u>26,616</u>	<u>28,724</u>	<u>28,724</u>	<u>3,635</u>	<u>27,224</u>	<u>27,224</u>
Net Current Activity	(1,248)	(3,400)	(3,400)	2,193	(5,320)	(5,320)
Fund Balance, Beginning of Year	<u>8,196</u>	<u>6,948</u>	<u>6,948</u>	<u>6,948</u>	<u>6,948</u>	<u>6,948</u>
Fund Balance, End of Year	<u>\$ 6,948</u>	<u>\$ 3,548</u>	<u>\$ 3,548</u>	<u>\$ 9,141</u>	<u>\$ 1,628</u>	<u>\$ 1,628</u>

Recycling Expansion Program Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 1,278	\$ 1,114	\$ 1,114	\$ 206	\$ 1,114	\$ 1,114
Interest Income	36	46	46	9	46	46
Miscellaneous	39	35	35	16	35	35
Interfund Transfers	0	0	0	\$ 0	\$ 0	\$ 0
Total Revenues	<u>1,353</u>	<u>1,195</u>	<u>1,195</u>	<u>231</u>	<u>1,195</u>	<u>1,195</u>
Expenditures						
Personnel	64	287	287	71	287	287
Supplies	4	6	6	0	6	6
Other Services	338	588	581	135	581	581
Non-Capital Purchases	0	0	7	0	7	7
Total Expenditures	<u>406</u>	<u>881</u>	<u>881</u>	<u>206</u>	<u>881</u>	<u>881</u>
Operating Transfers						
Operating Transfers In	0	0	0	0	0	0
Operating Transfers (Out)	(331)	(467)	(467)	0	(467)	(467)
Total Operating Transfers	<u>(331)</u>	<u>(467)</u>	<u>(467)</u>	<u>0</u>	<u>(467)</u>	<u>(467)</u>
Net Current Activity	616	(153)	(153)	25	(153)	(153)
Fund Balance, Beginning of Year	<u>1,607</u>	<u>2,223</u>	<u>2,223</u>	<u>2,223</u>	<u>2,223</u>	<u>2,223</u>
Fund Balance, End of Year	<u>\$ 2,223</u>	<u>\$ 2,070</u>	<u>\$ 2,070</u>	<u>\$ 2,248</u>	<u>\$ 2,070</u>	<u>\$ 2,070</u>

Supplemental Environmental Protection
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 111	\$ 145	\$ 145	\$ 2	\$ 145	\$ 145
Interest Income	5	5	5	1	5	5
Total Revenues	<u>116</u>	<u>150</u>	<u>150</u>	<u>3</u>	<u>150</u>	<u>150</u>
Expenditures						
Supplies	38	22	22	0	22	22
Other Services	7	85	85	20	85	85
Capital Purchases	112	149	149	27	149	149
Non-Capital Purchases	31	16	16	0	16	16
Total Expenditures	<u>188</u>	<u>272</u>	<u>272</u>	<u>47</u>	<u>272</u>	<u>272</u>
Net Current Activity	(72)	(122)	(122)	(44)	(122)	(122)
Fund Balance, Beginning of Year	<u>272</u>	<u>200</u>	<u>200</u>	<u>200</u>	<u>200</u>	<u>200</u>
Fund Balance, End of Year	<u>\$ 200</u>	<u>\$ 78</u>	<u>\$ 78</u>	<u>\$ 156</u>	<u>\$ 78</u>	<u>\$ 78</u>

Swimming Pool Safety Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 455	\$ 0	\$ 925	\$ 180	\$ 925	\$ 925
Total Revenues	<u>455</u>	<u>0</u>	<u>925</u>	<u>180</u>	<u>925</u>	<u>925</u>
Expenditures						
Personnel	305	0	820	169	820	820
Supplies	13	0	41	3	41	41
Other Services	14	0	63	11	63	63
Non-Capital Purchases	24	0	0	0	0	0
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>356</u>	<u>0</u>	<u>924</u>	<u>183</u>	<u>924</u>	<u>924</u>
Net Current Activity	99	0	1	(3)	1	1
Fund Balance, Beginning of Year	<u>0</u>	<u>99</u>	<u>99</u>	<u>99</u>	<u>99</u>	<u>99</u>
Fund Balance, End of Year	<u>\$ 99</u>	<u>\$ 99</u>	<u>\$ 100</u>	<u>\$ 96</u>	<u>\$ 100</u>	<u>\$ 100</u>

Technology Fee Fund
For the period ended September 30, 2011
(amounts expressed in thousands)

	Unaudited	FY2012				
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Municipal Court Fines	\$ 1,416	\$ 1,423	\$ 1,423	\$ 348	\$ 1,423	\$ 1,423
Interest Income	20	21	21	2	21	21
Total Revenues	<u>1,436</u>	<u>1,444</u>	<u>1,444</u>	<u>350</u>	<u>1,444</u>	<u>1,444</u>
Expenditures						
Personnel	564	0	0	0	0	0
Other Services	820	1,272	1,272	117	1,272	1,272
Debt Service	400	350	350	0	350	350
Total Expenditures	<u>1,784</u>	<u>1,622</u>	<u>1,622</u>	<u>117</u>	<u>1,622</u>	<u>1,622</u>
Net Current Activity	(348)	(178)	(178)	233	(178)	(178)
Fund Balance, Beginning of Year	<u>855</u>	<u>507</u>	<u>507</u>	<u>507</u>	<u>507</u>	<u>507</u>
Fund Balance, End of Year	<u>\$ 507</u>	<u>\$ 329</u>	<u>\$ 329</u>	<u>\$ 740</u>	<u>\$ 329</u>	<u>\$ 329</u>

City of Houston, Texas
Commercial Paper Issued and Available
For the period ended September 30, 2011
(amounts expressed in millions)

COMMERCIAL PAPER	Draws FY12	Draws Month	Refunded FY12	Amount Available to be Drawn	Amount Outstanding
General Obligation					
<i><u>Voter Authorized 2001 & 2006 Election</u></i>					
Series G	0.00	0.00	0.00	215.90	60.10
Series H-1	0.00	0.00	0.00	69.50	30.50
Series H-2	20.00	0.00	0.00	2.25	47.75
Series J	0.00	0.00	0.00	125.00	0.00
<i><u>Non-Voter Authorized</u></i>					
Series E1-Equipment & Capital	10.00	10.00	0.00	26.00	74.00
Series E2- Equipment & Capital	30.00	10.00	0.00	64.05	36.05
Series E2- Metro Street Projects	0.00	0.00	3.55	29.40	20.50
Series H - Drainage	10.00	0.00	0.00	15.45	34.55
Total General Obligation	70.00	20.00	3.55	547.55	303.45
Combined Utility System					
Series A	0.00	0.00	0.00	0.00	0.00
Series B-1	0.00	0.00	0.00	244.60	5.40
Series B-2	0.00	0.00	0.00	75.00	0.00
Series B-3	0.00	0.00	0.00	75.00	0.00
Series B-4	0.00	0.00	0.00	100.00	0.00
Series B-6	0.00	0.00	0.00	100.00	0.00
Total Combined Utility System	0.00	0.00	0.00	594.60	5.40
Airport System					
Series A&B	0.00	0.00	0.00	150.00	0.00
Total Airport System	0.00	0.00	0.00	150.00	0.00
Convention & Entertainment					
Series A	0.00	0.00	0.00	7.00	43.00
Total Convention and Entertainment	0.00	0.00	0.00	7.00	43.00
Totals	\$ 70.00	\$ 20.00	\$ 3.55	\$ 1,299.15	\$ 351.85

City of Houston, Texas
Summarized Construction/Bond Fund Status Report
For the period ended September 30, 2011
(amounts expressed in thousands)

Purpose	Available for Appropriation	Last month Available for Appropriation
Dangerous Buildings		
Total Dangerous Buildings Funds	\$ 3,012	\$ 3,012
Equipment Acquisition and Other Capital		
Total Equipment Acquisition and Other Capital	118,784	129,062
Public Improvement		
Total Fire Department	8,504	8,503
Total Housing	13,693	13,183
Total General Improvement	7,451	7,514
Total Public Health and Welfare	6,887	6,887
Total Public Library	9,249	9,393
Total Parks and Recreation	8,082	8,079
Total Police Department	16,741	17,444
Total Solid Waste	5,593	5,593
Total Storm Sewer	4,245	7,420
Total Street & Bridge except Metro	98,156	92,859
Street & Bridge - Metro Projects	11,461	11,631
Total Public Improvement	190,063	188,506
Airport		
Total Airport	731,448	754,885
Convention and Entertainment Facilities		
Total Convention and Entertainment	31,701	32,867
Combined Utility System		
Total Combined Utility System - Any Purpose	214,558	255,185
Combined Utility System - Restricted Purposes	16,536	16,493
Total Combined Utility System	231,094	271,678
Total All Purposes	\$ 1,306,102	\$ 1,380,009

City of Houston, Texas
Construction & Bond Status Report
For the period ended September 30, 2011
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available (a)	Unexpended Appropriation	Available for Appropriation
Dangerous Buildings							
1801D4	Dangerous Building Demolition Series 2007B	9,000	0	0	0	0	0
1801D5	Dangerous Buildings Series 2010	9,000	4,099	0	0	0	0
1801	Dangerous Bldg. Consolidations	N/A	(27)	N/A	4,527	1,516	3,012
Total Dangerous Building Funds		18,000	4,072	0	4,528	1,516	3,012
Equipment and Other Capital							
1800D1	Series E-1 Equipment & Capital Consolidating	158,382	0	66,222	88,886	0	88,886
1800D3	Series E-2 Equipment & Capital Consolidating	95,100	0	70,100	12,100	0	12,100
4039	Miscellaneous Capital Projects Series E	20,000	8	18,778	17,697	12,950	4,747
1800	Equipment Acquisition Consolidated Fund	N/A	6,389	N/A	32,749	23,391	9,358
1850	Reimbursable of Equipment/Projects to Debt Service	N/A	11,162	0	6,888	3,195	3,693
Total Equipment Acquisition Funds		273,482	17,558	155,100	158,320	39,536	118,784
Public Improvement							
4017	Fire Dept. Emergency Alerting System	N/A	1,455	0	1,455	66	1,390
4804C	Fire CP Series H/J (D) 2006 Election	13,500	2,000	1,500	0	0	0
4805C	Fire CP Series G 2006 Election	10,000	0	10,000	0	0	0
4500	Fire Bond Consolidated	N/A	490	0	13,892	6,778	7,115
Total Fire Department		23,500	3,945	11,500	15,348	6,843	8,504
4801P	Housing CP Series H/J (D) 2001 Election	3,270	0	3,270	0	0	0
4803P	Housing CP Series G 2001 Election	10,610	210	10,400	0	0	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	0	0	0
4501	Housing Consolidated Fund	N/A	313	0	21,238	7,545	13,693
Total Housing		21,255	523	21,045	21,238	7,545	13,693
4803D	General Improvment CP Series G 2001 Election	7,963	0	0	0	0	0
4804D	General Improvment CP Series H/J (D) 2006 Election	13,550	1,000	2,500	0	0	0
4805D	General Improvment CP Series G 2006 Election	13,450	0	9,450	0	0	0
4509	General Improvement Consolidated Fund	N/A	1,112	0	13,448	5,997	7,451
4023	Certificates of Obligation Series 2001A (Cotswold)	12,200	0	0	0	0	0
4025	MUD Series 2001A	9,235	0	0	0	0	0
4026	Certificates of Obligation Series 2002A (Cotswold)	12,400	0	0	0	0	0
4028	MUD PIBS Series 2003A-1	2,100	0	0	0	0	0
Total General Improvement		70,898	2,112	11,950	13,448	5,997	7,451
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	1,000	650	0	0	0
4805H	Public Health CP Series G 2006 Election	8,900	0	8,900	0	0	0
4508	Public Health Consolidated Fund	N/A	430	0	10,963	4,076	6,887
Total Public Health & Welfare		17,000	1,430	9,550	10,963	4,076	6,887
4018	Library Capital Projects Fund	N/A	2,371	0	2,371	0	2,371
4033	Friends of Libraries Series E (06)	0	0	0	0	0	0
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	500	11,675	0	0	0
4805E	Public Library CP Series G 2006 Election	2,000	0	2,000	0	0	0
4507	Public Library Consolidated Fund	N/A	287	0	15,038	8,160	6,878
Total Public Library		32,575	3,158	13,675	17,410	8,160	9,249
4011	Parks Capital Project Fund	N/A	579	0	579	168	411
4012	Parks Special Fund	N/A	1,825	0	1,805	973	831
4038	Land Acquisition - Soccer Series E	0	1	0	1	1	0
4803F	Parks & Recreation CP Series G 2001 Election	0	0	0	0	0	0
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	1,500	12,400	0	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	0	5,000	0	0	0
4502	Parks Consolidated Fund	N/A	3,531	0	20,073	13,234	6,839
Total Parks and Recreation		28,100	7,436	17,400	22,457	14,376	8,082
4041	Fondren Police Station Series E	1,618	1,713	0	1,632	0	1,632
4804G	Police CP Series H/J (D) 2006 Election	40,950	0	20,345	0	0	0
4504	Police Consolidated Fund	N/A	549	0	21,457	6,348	15,110
Total Police Department		42,568	2,262	20,345	23,089	6,348	16,741
4001	Solid Waste Special Revenue Fund	N/A	395	0	395	0	395
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	0	0	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	0	250	0	0	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	0	5,250	0	0	0
4503	Solid Waste Consolidated Fund	N/A	1,138	0	6,631	1,433	5,198
Total Solid Waste		12,322	1,533	5,500	7,026	1,433	5,593
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	0	0
4505	Storm Sewer Consolidated Fund	N/A	574	0	2,712	2,052	660
4030	Series H (F) Drainage Improvement Commercial Paper	101,300	(370)	70,000	67,874	64,705	3,170
4024	Series C Commercial Paper Storm & Overlay Fund	N/A	1,166	0	1,747	1,332	415
Total Storm Sewer		103,450	1,369	72,150	72,333	68,089	4,245
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	0	0	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	4,000	29,280	0	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	0	0	0
4506	Street & Bridge Consolidated Fund	N/A	6,481	0	180,382	96,428	83,954
4006	Street & Bridge Construction Fund	N/A	4,074	0	4,054	(134)	4,189
4034	Limited Use Roadway & Mobility Capital Fund	26,000	575	0	575	324	251
2304	Mobility Response Team	10,000	3,938	0	3,857	191	3,666
4010	MTA Construction Fund	N/A	2,094	0	2,094	497	1,597
4801S	St., Bridges Utility Relocation Set-Aside	7,000	241	5,400	5,641	1,141	4,500
Total Street and Bridge without Metro		370,980	21,404	175,080	196,604	98,447	98,156
4027	Metro Street Fund Series E (04)	49,900	11,524	8,400	18,691	7,231	11,461
Total Public Improvement		772,548	56,696	366,595	418,608	228,546	190,063

City of Houston, Texas
Construction & Bond Status Report
For the period ended September 30, 2011
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available (a)	Unexpended Appropriation	Available for Appropriation
Airport System							
8201A1	Airport System Construction 2002A (AMT)	129,120	0	0	0	0	0
8201	Airport System Consolidated 2001 (AMT)	200,000	2,260	N/A	2,047	1,623	424
	Sub-Total	329,120	2,260	0	2,047	1,623	424
8202A2	Airport System 2002B (Non-AMT) Const.	213,347	0	0	0	0	0
8202	Airport System Consolidated 2001 (Non-AMT)	100,000	3,125	0	3,006	2,267	739
	Sub-Total	313,347	3,126	0	3,006	2,267	739
8200A2	Airport System RevBd 2000A (AMT)	327,225	0	0	0	0	0
8200	Airport System Consolidated Const 2000 (AMT)	N/A	4,812	0	4,594	343	4,251
	Sub-Total	327,225	4,812	0	4,594	0	4,251
8203A1	Airport System Commercial Paper 2004 (AMT)	232,000	0	0	0	0	0
8203A2	Airport System 2009A PAB Construction		44,323	0	53	0	53
8203	Airport System Consolidated Const. 2004 (AMT)	N/A	28,585	0	66,008	17,430	48,577
	Sub-Total	232,000	72,907	0	66,060	17,430	48,630
8204A2	Airport System Commercial Paper 2008 (Non-AMT)	68,000	0	0	0	0	0
8204A3	Airport System 2009A Non-PAB Construction	N/A	5,920	0	7	0	7
8204	Airport System Consolidated Const. 2004 (Non-AMT)	N/A	6,230	0	12,143	1,486	10,657
	Sub-Total	68,000	12,150	0	12,150	1,486	10,664
8205A1	Airport System Commercial Paper Construction (AMT)	232,000,000	0	232,000	0	0	0
8205	Airport System Consolidated Construction 2011 (AMT)	N/A	0	0	232,000	0	232,000
	Sub-Total	232,000,000	0	232,000	232,000	0	232,000
8206A1	Airport System Commercial Paper Construction (Non-AMT)	68,000,000	0	68,000	0	0	0
8206	Airport System Consolidated Construction 2011 (Non-AMT)	N/A	0	0	68,000	0	68,000
	Sub-Total	68,000,000	0	68,000	68,000	0	68,000
	Total Airport Consolidated Funds	301,269,692	95,256	300,000	387,858	22,806	364,709
8006	Airport System Rev Bd fund - 1998B (AMT)	395,643	5,128	0	5,115	1,247	3,868
8008	Airport System RevBd 2000B (Non-AMT) Const.	269,240	116	0	10	9	1
8010	Airport System R & R Fund	N/A	24,099	0	24,050	4,273	19,777
8011	Airport System Improvement Fund	N/A	468,562	0	461,658	118,564	343,094
	Total Other Funds	664,883	497,904	0	490,833	124,094	366,739
	Total Airport	301,934,575	593,160	300,000	878,691	146,900	731,448
Convention & Entertainment Facilities							
8800	GRB Consolidated Construction Fund	N/A	1,648	N/A	1,183	1,113	70
	Total GRB Construction Funds	0	1,648	0	1,183	1,113	70
8614	Convention & Ent. Comm. Paper-Ser A - 2003	53,500	0	31,000	31,000	0	31,000
8632	Convention & Ent. Underground Parking	21,500	0	200	21,500	21,500	0
8611	C & E Construction Fund	N/A	2,489	0	2,488	1,857	632
	Total Civic Center	75,000	4,137	31,200	56,171	24,470	31,701
Combined Utility System - Unrestricted							
8500A2	Water & Sewer TWDB Available Funds	N/A	0	0	0	0	0
8500A1	Combined Utility System CP Fund		0	592,600	0	0	0
8500	W&S Consolidated Construction	N/A	27,292	0	594,893	380,335	214,558
	Funds	0	27,292	592,600	594,893	380,335	214,558
Restricted Bonds and Capital Money							
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	0	2,000
8319	Water Contributed Capital Fund	N/A	34,105	0	28,542	19,857	8,684
8327	Sewer Reg Cap Recovery Fd	N/A	5,848	0	5,848	0	5,848
8340	Water & Sewer Bond Project Trust Account 04 A2	96,705	0	0	0	0	0
8374	Water & Sewer TWDB Bond Trust Account 2006	61,545	0	0	0	0	0
8375	Water & Sewer TWDB Bond Trust Account 2006A	69,595	566	0	3	0	3
8376	Water & Sewer TWDB Bond Trust Account 2008B	45,045	0	0	0	0	0
8377	Water & Sewer TWDB Bond Trust Account 2008C	52,650	59	0	0	0	0
8378	Water & Sewer TWDB Bond Trust Account 2008E	61,545	0	0	0	0	0
	Total Restricted TWDB and Other	389,085	40,579	2,000	36,393	19,857	16,536
	Total Combined Utility System	389,085	67,871	594,600	631,287	400,193	231,094
	Total All Funds	\$ 303,462,690	\$ 743,493	\$ 1,447,495	\$ 2,147,604	\$ 841,159	\$ 1,306,102

(a) Net Resources Available is equal to Current Assets less Current Liabilities.
Negative balances have been referred to departments for corrections

City of Houston, Texas
Commercial Paper (CP) Notes Status Report
For the period ended September 30, 2011
(amounts expressed in thousands)

Fund No.	Fund Name	Authorized Amount	CP Notes Issued	Authorized but Unissued	Available for Appropriation	Combined Available for Appropriation
General Obligation						
4041	Fondren Police Station Series E	1,618	1,618	0	0	0
4804G	Police CP Series H/J (D) 2006 Election	40,950	20,605	20,345	15,110	15,110
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	10,700	12,400	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	0	5,000	5,000	6,839
4804C	Fire CP Series H/J (D) 2006 Election	13,500	12,000	1,500	0	0
4805C	Fire CP Series G 2006 Election	10,000	0	10,000	7,115	7,115
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	4,322	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	2,500	250	(52)	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	0	5,250	5,250	5,198
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	11,000	11,675	0	0
4805E	Public Library CP Series G 2006 Election	2,000	0	2,000	6,878	6,878
4803D	General Improvemt CP Series G 2001 Election	7,963	7,963	0	0	0
4804D	General Improvemt CP Series H/J (D) 2006 Election	13,550	11,050	2,500	0	0
4805D	General Improvemt CP Series G 2006 Election	13,450	4,000	9,450	7,451	7,451
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	20,205	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	38,095	29,280	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	83,954	83,954
4801S	St. Utility Relocation Set-Aside Series D	7,000	1,600	5,400	4,500	4,500
4027	Metro Street Projects, Series E	49,900	39,478	8,400	11,461	11,461
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	7,450	650	0	0
4805H	Public Health CP Series G 2006 Election	8,900	0	8,900	6,887	6,887
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	660
4030	Drainage Projects Series F, Series H-2	101,300	31,300	70,000	3,170	3,170
4801P	Housing CP Series H/J (D) 2001 Election	3,270	0	3,270	0	0
4803P	Housing CP Series G 2001 Election	10,610	210	10,400	6,318	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	7,375	13,693
1800D1	Equipment Acquisition, Series E-1	158,382		66,222	101,937	101,937
1800D3	Equipment & Capital, Series E-2	95,100	25,000	70,100	12,100	12,100
4039	Miscellaneous Capital Projects Series E	20,000	1,222	18,778	4,747	4,747
Total General Obligation CP Notes		974,095	250,318	521,695	289,199	291,699
Airport System						
8203A1	Airport System 2004 (AMT)	232,000	232,000	0	0	0
8204A2	Airport System 2008 (Non-AMT)	68,000	68,000	0	0	0
Total Airport System CP Notes		300,000	300,000	0	0	0
Convention and Entertainment						
8614	Equipment Acquisition, C&E	53,500	22,500	31,000	31,000	31,000
8632	Convention & Ent. Underground Parking	21,500	20,500	1,000	0	0
Total Convention and Entertainment CP Notes		75,000	43,000	32,000	31,000	31,000
Combined Utility & Water Sewer System						
8500A1	Combined Utility System CP	598,000	5,400	592,600	214,558	214,558
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	2,000
Total Combined Utility System CP Notes		600,000	5,400	594,600	216,558	216,558
Total		\$ 1,949,095	\$ 598,718	\$ 1,148,295	\$ 536,758	\$ 539,257

City of Houston, Texas
Total Outstanding Debt
September 30, 2011 and September 30, 2010
(amounts expressed in thousands)

	September 30, 2011	September 30, 2010
Payable from Ad Valorem Taxes		
Public Improvement Bonds ^(a)	\$ 2,468,160	\$ 2,319,930
Commercial Paper Notes ^(b)	303,450	391,500
Pension Obligations	607,625	607,625
Certificates of Obligations	75,990	79,870
Subtotal	3,455,225	3,398,925
Payable from Sources Other Than Ad Valorem Taxes		
<u>Combined Utility System</u>		
Combined Utility System Revenue Bonds	5,457,310	4,827,925
Combined Utility System Commercial Paper Notes ^(c)	5,400	275,500
Water and Sewer System Revenue Bonds ^(d)	396,702	616,700
Contract Revenue Obligations - CWA	139,080	151,665
<u>Airport System</u>		
Airport System Sr. Lien Bonds ^(e)	449,660	449,660
Airport System Subordinate Lien	1,925,530	1,997,755
Airport System Sr. Lien Commercial Paper Notes ^(f)	0	0
Airport System Inferior Lien Contracts ^(g)	32,895	37,430
Airport Special Facilities Revenue Bonds ^(h)	567,435	573,810
<u>Hotel Occupancy Tax and Civic Parking</u>		
Facilities Revenue Bonds ⁽ⁱ⁾	603,467	578,403
Hotel Occupancy Tax Commercial Paper ^(j)	43,000	43,000
Subtotal	9,620,479	9,551,848
Total Debt Payable by the City	\$ 13,075,704	\$ 12,950,773

- (a) In November 2001 the voters authorized \$776 million in tax bonds. In November 2006 voters authorized an additional \$625 million in tax bonds. Includes \$209.3 million bonds that are outstanding for Drainage and \$171.4 million for last year.
- (b) The City has authorized maximum issuance of General Obligation Commercial Paper Programs Series E-1: \$100 million, E-2: \$150 million, G: \$276 million, H-1: \$100 million, H-2: \$100 million and J: \$125 million.
- (c) The City has authorized issuance of \$600 million of Combined Utility System Commercial Paper Notes.
- (d) Includes \$127.1 million accreted value of capital appreciation bonds at this date and \$149.9 million last year.
- (e) The Houston Airport System issued Senior Lien Revenue bonds on August 20, 2009.
- (f) City Council has authorized \$150 million of Airport Senior Lien Commercial Paper Notes Series A and B.
- (g) Under a sublease agreement, the Houston Airport System has agreed to make sublease payments that include the debt service payments on the Series 1997A Special Facilities Bonds that financed the Automated People Mover ("APM") at George Bush Airport. These sublease payments constitute Inferior Lien Obligations under the Airport bond ordinances.
- (h) All Special Facility Revenue Bonds are secured solely from Special Facility Lease Revenues. Does not include \$32.9 million for Series 1997A Special Facilities Bonds. See footnote (g).
- (i) Includes \$91.0 million accreted value of capital appreciation bonds at this date and \$74.4 million last year.
- (j) The City has authorized \$50 million of Subordinate Lien Hotel Occupancy Tax and Parking Revenue Commercial Paper.

FY2012 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2011 Actual	FY2012 Budget	FY2012 (1) September	FY2012 (1) YTD AVG.	Overtime FY2011 Actual	Overtime FY2012 Budget	Overtime (1) FY2012 YTD
ENTERPRISE FUNDS							
Aviation	1,473.7	1,488.0	924.5	1,191.3	54.8	44.3	46.1
Convention and Entertainment Facilities	115.5	0.0	76.4	97.2	2.1	0.0	2.5
PW & E - Combined Utility System	2,085.4	2,207.8	2,069.0	2,068.3	127.6	124.8	219.5
TOTAL ENTERPRISE FUNDS	3,674.6	3,695.8	3,069.9	3,356.8	184.5	169.1	268.1
GENERAL FUND							
GENERAL FUND MUNICIPAL							
Administration and Regulatory Affairs	361.4	342.8	306.8	329.6	1.5	3.5	0.9
City Secretary	11.5	12.2	11.3	11.2	0.4	0.0	0.0
Controller's Office	74.0	65.6	69.1	67.6	0.0	0.0	0.0
Council Office	72.8	79.0	72.2	71.7	0.0	0.0	0.0
Finance Department	70.7	72.6	65.9	65.5	0.0	0.0	0.0
Fire Department	219.3	117.6	117.8	118.5	4.3	0.7	0.3
General Services	206.6	194.2	188.6	189.6	4.0	5.4	3.4
Health & Human Services	571.4	450.6	447.5	453.5	3.4	2.2	1.9
Housing & Community Development	2.9	2.9	2.1	2.1	0.0	0.0	0.0
Human Resources	40.0	37.8	38.3	37.3	0.0	0.0	0.0
Information Technology	157.7	126.8	160.1	154.4	1.1	0.7	2.1
Legal	155.3	118.8	123.1	120.5	0.0	0.0	0.0
Library	456.4	413.7	415.5	417.3	0.0	0.0	0.0
Mayor's Office	35.1	22.4	24.1	23.9	0.0	0.0	0.0
Municipal Courts Department	301.7	284.3	271.1	274.2	0.0	0.0	0.0
Office of Business Opportunity	31.6	24.0	21.3	21.9	0.0	0.0	0.0
Parks & Recreation	771.2	650.3	534.7	661.9	3.0	0.5	4.5
Planning & Development	97.5	75.5	74.5	79.8	0.0	0.0	0.0
Police Department	1,369.5	1,164.4	1,083.6	1,097.3	23.6	29.3	24.2
Public Works and Engineering	469.7	9.0	9.1	9.0	35.9	0.0	0.0
Solid Waste Management	595.7	439.6	440.2	434.9	28.2	28.2	15.5
SUBTOTAL MUNICIPAL	6,072.0	4,704.1	4,476.9	4,641.7	105.4	70.5	52.8
GENERAL FUND CADETS							
Fire Department	45.1	24.0	8.6	8.6	0.0	0.0	0.0
Police Department	70.4	82.7	136.4	136.9	0.0	0.0	0.0
SUBTOTAL CADETS	115.5	106.7	145.0	145.5	0.0	0.0	0.0

FY2012 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2011 Actual	FY2012 Budget	FY2012 (1) September	FY2012 (1) YTD AVG.	Overtime FY2011 Actual	Overtime FY2012 Budget	Overtime (1) FY2012 YTD
GENERAL FUND CLASSIFIED							
Fire Department	3,853.5	3,796.9	3,821.0 (4)	3,828.6 (4)	231.6	195.1	163.7
Police Department	5,266.6	5,041.1	5,191.5	5,202.1	268.7 (2)	81.8 (2)	228.1
SUBTOTAL CLASSIFIED	9,120.1	8,838.0	9,012.5	9,030.7	500.3	276.9	391.8
TOTAL GENERAL FUND	15,307.6	13,648.8	13,634.4	13,817.9	605.7	347.4	444.6
GRANTS & OTHER FUNDS (3)							
Administration and Regulatory Affairs	118.5	178.2	186.4	213.8	0.8	1.5	0.9
Fleet Management	0.0 (5)	273.9	255.0	258.2	0.0 (5)	10.2	10.2
General Services	69.3	61.0	63.6	63.7	0.2	0.1	0.0
Health & Human Services	542.2	11.9	379.7	471.7	2.1	0.0	0.6
Housing & Community Development	129.5	0.0	91.4	112.9	0.0	0.0	0.0
Houston Emergency Center	244.2	266.3	220.2	232.4	3.8	10.4	4.7
Human Resources	124.0	207.6	189.8	188.8	0.6	0.1	0.3
Information Technology	28.2	44.3	34.4	33.8	0.0	0.3	0.2
Legal	39.3	49.5	56.1	61.2	0.0	0.0	0.2
Library	29.5	2.0	18.3	23.5	0.0	0.0	0.0
Mayor's Office	23.6	12.9	18.1	22.7	0.1	0.1	0.0
Municipal Courts Department	39.5	34.8	34.0	32.2	0.0	0.0	0.0
Parks & Recreation	98.1	92.0	87.2	116.7	3.3	4.4	4.3
Planning	8.4	11.5	11.5	10.7	0.0	0.0	0.0
Police Department - Classified	30.1	243.4	22.0	22.1	2.0	139.3	1.5
Police Department - Municipal	124.1	42.0	57.9	61.0	4.3	0.3	3.4
Public Works and Engineering	1,252.0	1,788.4	1,694.1	1,694.4	47.7	83.9	98.9
Solid Waste Management	1.0	4.0	4.1	4.1	0.0	0.0	0.0
TOTAL GRANTS & SPECIAL FUNDS	2,901.5	3,323.7	3,423.8	3,623.9	64.9	250.6	125.2
CITY-WIDE TOTAL	21,883.7	20,668.3	20,128.1	20,798.6	855.1	767.1	837.9

(1) YTD numbers measure the periods 07/01/2011 through 9/30/2011.
(2) Includes overtime hours from the Asset Forfeiture and Police Special Funds.
(3) FY2012 Budget does not include Grant FTEs.
(4) Fire department FTEs do not include classified employees on phasedown.
(5) Fleet was established as a new department in FY2012.

City of Houston
 FY2011 Position Control
 As of September 30, 2011

Benchmark Dates	General Fund			Enterprise Fund			Special & Other Funds			Total All Funds		
	As of June 30, 2011	As of September 30, 2011	Variance	As of June 30, 2011	As of September 30, 2011	Variance	As of June 30, 2011	As of September 30, 2011	Variance	As of June 30, 2011	As of September 30, 2011	Variance
Beginning Number of Employees												
A Number of separations	-	14,125	(58)	-	3,693	(27)	-	3,696	-	-	21,514	(130)
B Number of additions	-	30	30	-	9	9	-	(45)	-	-	39	39
Total Employees	15,195	14,097	(1,098)	3,744	3,675	(69)	3,302	3,651	349	22,241	21,423	(818)
Less: Police - Classified	5,258	5,217	(41)	-	-	-	21	22	-	5,279	5,239	(40)
Fire - Classified	3,840	3,813	(27)	-	-	-	-	-	-	3,840	3,813	(27)
Total Classified Employees	9,098	9,030	(68)	-	-	-	21	22	1	9,119	9,052	(67)
Total Civilian Employees	6,097	5,067	(1,030)	3,744	3,675	(69)	3,281	3,629	348	13,122	12,371	(751)

Notes:

- A Separations include resignations, terminations and transfers out of the department
- B Additions include new hires, rehires and transfers into the department

CITY OF HOUSTON

RETIREE MEDICAL UNFUNDED ACCRUED LIABILITIES

9/30/2011
(amount expressed in millions)

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Present Value of Benefits ⁽²⁾</u>	<u>Unfunded Accrued Liabilities</u>	<u>Annual OPEB Cost ⁽⁴⁾</u>
Entry Age Normal ⁽¹⁾	6/30/2011	\$2,745.8	\$1,984.3 ⁽³⁾	\$225.8 ⁽⁵⁾

Note (1) Entry Age Normal is a cost method used to identify the value of benefits for the fiscal year 2011. Present Value of Benefits is a measure of total liability at the date of valuation. Both medical and life benefits are included.

Note (2) Total present value of all expected future benefits based on actuarial assumptions and reflects new plan provisions effective 05/01/2011.

Note (3) Based on Aon Consulting's updated estimate to reflect new plan provisions effective 05/01/2011.

Note (4) The Annual OPEB (Other Post Employment Benefits) Cost is the actuarial calculated annual amount the City should contribute to fund the unfunded accrued liabilities over 30 years remaining average expected working life. The City currently funds on a "pay as you go" basis. The City has paid \$7.2 million current fiscal year to date. For FY2011 the City paid \$49.5 million for the retiree health insurance costs.

Note (5) Reflects old plan provisions from 07/01/2010 to 04/30/2011 and new plan provisions from 05/01/2011 through 06/30/2011.

CITY OF HOUSTON

PENSION FUND PAYMENTS AND UNFUNDED LIABILITY SUMMARY

9/30/2011

PAYMENTS

(amount expressed in thousands)

	FY2011	FY2012		Adopted Budget	Year to Date Actual
		City Payment Rate	Employee Payment Rate		
Firefighters Plan					
General Fd. & Other Fds.	\$ 76,177	23.9%	9.00%	\$ 59,845	\$ 16,956
Total Firefighters Plan	<u>76,177</u>			<u>59,845</u>	<u>16,956</u>
Police Plan					
General Fd. & Other Fds.	78,000	Note 1	9.00% / 10.25%	83,000	15,231
Pension Bonds	<u>0</u>			<u>0</u>	<u>0</u>
Total Police Plan	<u>78,000</u>			<u>83,000</u>	<u>15,231</u>
Municipal Plan					
General Fund	40,739	Note 2	5% / None	35,956	8,298
Other Funds	47,761	Note 2	5% / None	62,544	14,433
Total Municipal Plan	<u>88,500</u>			<u>98,500</u>	<u>22,731</u>
Total All Three Plans	<u><u>\$242,677</u></u>			<u><u>\$241,345</u></u>	<u><u>\$54,918</u></u>

UNFUNDED ACCRUED LIABILITY AND FUNDED STATUS

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Unfunded Accrued Liability (\$ millions)</u>	<u>Assets as % of Liabilities</u>
Firefighters Plan	7/1/2010	220.6	93%
Police Plan	7/1/2010	706.0	83%
Municipal Plan	7/1/2010	1,359.0	63%

Note 1: City contribution based on Meet and Confer Agreement with Houston Police Officers Pension System (Agreement Between Houston Police Officers' Pension System and City of Houston, Article II - Change in Member Contributions, September 18, 2004). The City committed to pay the flat amount of \$66 million in FY12.

Note 2: City contribution based on Meet and Confer Agreement with Houston Municipal Employees Pension System (Fourth Amendment, June 27, 2007, Change in Member Contributions: effective January 1, 2008, new hires do not contribute). The City committed to pay the flat amount of \$98.5 million in FY12.

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING SEPTEMBER 30, 2011 (25.00% OF FISCAL YEAR)**

Department Performance Measure	FY2011			FY2012		
	Actual	YTD	% Actual	Objective	YTD	% Objective
ADMINISTRATION & REGULATORY AFFAIRS						
Avg Days to Award Procurement Contracts	140.00	119.00	85.0%	140	108.98	77.8%
3-1-1 Avg Time Customer in Queue (seconds)	30.00	29.00	96.7%	90.00	81.70	90.8%
Cable Company Complaints	200	29	14.5%	100	38	38.0%
AVIATION						
FAR 139 standard violations	0	0	0.0%	0	0	0.0%
Achievement of strategic plan objectives	N/A	0	N/A	85%	0%	N/A
Parking revenue per originating passenger	\$5.73	\$5.45	N/A	\$5.30	\$5.81	109.6%
Concessions per enplaned passenger	\$1.17	\$1.09	N/A	\$1.38	\$1.53	110.9%
FAA AIP entitlement grant funding	\$22,500,000	\$1,452,985	6.5%	\$16,000,000	\$0	0%
GENERAL SERVICES						
Design & Construction						
Construction Projects Complete	38.0	38.0	100.0%	42.0	5.0	11.9%
Property Mgmt. (Work Orders Compl.)	30,684	8,378	27.3%	35,000	6,818	19.5%
Security Management						
Number of Reported Incidents Investigated upon Receipts	975	275	28.2%	1,500	257	17.1%
FINANCE						
Liens Collections	\$2,461,447	\$553,324	22.5%	\$2,422,916	\$317,230	13.1%
Deferred Compensation Participation	75.08%	76.81%	102.3%	85.00%	78.67%	92.6%
Audits Completed	31	26	83.9%	18	7	38.9%
FIRE DEPARTMENT						
First Response Time-Fire (Minutes)	7.5	7.5	N/A	7.3	7.5	NA
First Response Time-EMS (Minutes)	8.1	8.0	N/A	7.9	7.6	NA
ALS Ambulance Response Time (Minutes)	9.8	9.7	N/A	9.5	10.0	NA
HEALTH & HUMAN SERVICES						
Complete Network Requests	780	326	41.8%	1,076	111	10.3%
Complete Program Requests	139	51	36.7%	271	6	2.2%
Desktop Support Requests	7,277	1,972	27.1%	7,058	1,018	14.4%
Mayor Customer Service Response	124	52	41.9%	150	29	19.3%
Monthly Financial & Operating Reports	18	3	16.7%	24	6	25.0%
Grant Setups	66	6	9.1%	80	34	42.5%
Contracts and Agreements	77	19	24.7%	70	8	11.4%
Air, Water & Waste Investigation	3,064	815	26.6%	2,000	737	36.9%
Food Establishment Inspections	25,053	5,847	23.3%	24,000	6,000	25.0%
Food Establishment Complaints	2,159	657	30.4%	2,100	630	30.0%
Enforcement Cases - BPCP	61	11	18.0%	40	36	90.0%
Radiation Inspections	88	14	15.9%	150	54	36.0%
Num of Diseases Investigated	14,744	4,012	27.2%	40,000	6,084	15.2%
Num of Outbreaks Investigated	42	9	21.4%	550	12	2.2%
Num of TB Prescriptions	24,865	5,945	23.9%	24,500	4,233	17.3%
Num of Clinic Orders Filled	74,153	16,965	22.9%	54,500	11,946	21.9%
Laboratory Tests Performed	448,480	133,766	29.8%	486,000	120,220	24.7%
HOUSING						
Housing Units Assisted	1,373	325	23.7%	1,500	750	50.0%
Council Actions on HUD Projects	122	20	16.4%	100	18	18.0%
Annual Spending (Millions)	\$43	\$14	32.6%	\$50	\$6	12.0%

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING SEPTEMBER 30, 2011 (25.00% OF FISCAL YEAR)**

Department Performance Measure	FY2011			FY2012		
	Actual	YTD	% Actual	Objective	YTD	% Objective
HUMAN RESOURCES						
Total Jobs Filled - (As Vacancies Occur)	4,114	1,312	31.9%	4,500	1,167	25.9%
Days to Fill Jobs	45	45	100.0%	45	45	100.0%
Training Courses Conducted	123	34	27.6%	135	26	19.3%
Lost Time Injuries (As They Occur)	539	343	63.6%	576	160	27.8%
LEGAL						
Deed Restriction Complaints Received	1,000	282	28.2%	1,000	173	17.3%
Deed Restriction Lawsuits Filed	40	11	27.5%	40	6	15.0%
Deed Restriction Warning Letters Sent	340	74	21.8%	340	37	10.9%
LIBRARY						
Total Circulation	7,344,887	1,995,975	27.2%	6,326,079	1,891,574	29.9%
Juvenile Circulation	3,841,705	1,042,019	27.1%	2,950,173	861,927	29.2%
Customer Satisfaction (Three/Year)	N/A	N/A	0.0%	90%	N/A	N/A
Reference Questions Answered	701,916	203,357	29.0%	456,000	182,507	40.0%
In-House Computer Users	1,272,068	327,537	25.7%	830,000	332,711	40.1%
Public Computer Training Classes Held	1,356	468	34.5%	1,800	267	14.8%
Public Computer Training Attendance	11,109	4,155	37.4%	10,000	1,789	17.9%
MUNICIPAL COURTS						
Average Time Defendant Spends in Court - Trial By Judge	28 minutes	28 minutes	N/A	40 mins <	29 mins	N/A
Average Time Defendant Spends in Court - Trial By Jury	3:24 hours	3:17 hours	N/A	3:30 hrs <	3:09 hrs	N/A
Average Time Officer Spends in Court	2:06 hours	2:11 hours	N/A	3:30 hrs <	2:00 hrs	N/A
OFFICE OF BUSINESS OPPORTUNITY						
Applications Processed	2,052	532	25.9%	2,000	493	24.7%
Days to Process New Applicants	38	27	71.1%	45	24	53.3%
Field Audits	1,630	354	21.7%	1,350	226	16.7%
Payrolls Audited	23,489	4,099	17.5%	18,000	4,083	22.7%
SBE/MWDBE Owners Trained	14,146	5,213	36.9%	4,750	2,765	58.2%
City Employees Trained	5,493	1,472	26.8%	220	0	0.0%
OSBC Getting Started Packets Distributed	9,039	2,281	25.2%	9,000	2,201	24.5%
MWBE Monitoring Correspondence	319,737	50,551	15.8%	200,000	54,827	27.4%
PARKS & RECREATION						
Registrants in Youth Sports Programs	29,201	4,757	16.3%	19,500	1,228	6.3%
Registrants in Adult Fitness & Craft Programs	7,808	1,933	24.8%	7,600	3,282	43.2%
Number of Teams Registered in Adult Sports Programs	1,265	238	18.8%	1,400	208	14.9%
Summer Enrichment Program	10,481	259	2.5%	3,768	404	10.7%
Lee and Joe Jamail Skate Park	4,476	765	17.1%	4,619	204	4.4%
Golf Rounds Played at Privatized Courses	69,557	20,786	29.9%	84,528	20,187	23.9%
Golf Rounds Played at COH - Operated Courses	159,889	37,518	23.5%	166,901	41,818	25.1%
Work Orders Completed-Parks and Comm. Ctr Facilities	22,516	5,431	24.1%	20,000	5,000	25.0%
Grounds Maintenance Cycle-Days:						
Esplanades	9	16	177.8%	16	25	156.3%
Parks & Plazas	9	18	200.0%	14	20	142.9%
Bikes & Hikes Trails	9	16	177.8%	14	19	133.6%
PLANNING & DEVELOPMENT						
Development Plats	744	188	25.3%	763	224	29.4%
Plats Recorded	842	228	27.1%	1,400	225	16.1%
Subdivision Plats Reviewed	2,013	494	24.5%	1,400	409	29.2%
HOUSTON POLICE						
Response Time (Code 1)-Minutes	4.7	4.3	91.5%	4.9	4.6	106.5%
Violent Crime Clearance Rate	46.8%	43.8%	93.6%	38.8%	46.0%	118.6%
Complaints - Total Cases	325	81	24.9%	300	72	24.0%
Total Cases Reviewed by Citizens Review Committee	153	38	24.8%	200	30	15.0%
Records Processed	739,758	185,355	25.1%	663,276	189,695	28.6%

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING SEPTEMBER 30, 2011 (25.00% OF FISCAL YEAR)**

Department Performance Measure	FY2011			FY2012		
	Actual	YTD	% Actual	Objective	YTD	% Objective
PUBLIC WORKS AND ENGINEERING						
Maintenance and Right-of-Way						
Asphalt For Potholes/Skin Patches (Tons)	19,002	4,639	24.4%	16,000	4,612	28.8%
In-House Overlay (Lane Miles)	140	41	29.3%	140	33	23.6%
Roadside Ditch Regrading/Cleaned (Miles)	284	69	24.3%	275	69	25.1%
Storm Sewers Line Inspections	267	57	21.3%	240	59	24.6%
Inlet and Manhole Maintenance Cycles	62,920	19,007	30.2%	60,000	17,518	29.2%
ECRE						
Storm/Street Annual Appropriation as of % of CIP	101.9%	8.5%	8.3%	100.0%	8.9%	8.9%
Waste/Wastewater Annual Appropriation as of % of CIP	37.2%	0.2%	0.5%	100.0%	13.3%	13.3%
Safe Sidewalk Program - PAR -% completed in 180 days	N/A	N/A	0.0%	N/A	N/A	0.0%
Safe Sidewalk Program - Schools/Thoroughfares -% completed in 18 mo	N/A	N/A	0.0%	N/A	N/A	0.0%
Overlay of thoroughfares (Lane miles, by contract)	N/A	N/A	0.0%	N/A	N/A	0.0%
Traffic and Transportation						
Traffic Signal Maintenance Completed within 72 hours	99.7%	99.2%	99.5%	95.0%	100.0%	105.3%
Roadway & Sidewalk Obstruction Permits processed within 10 days	97.6%	97.3%	99.7%	100.0%	99.0%	99.0%
Water and Sewer - Utility Maintenance						
Rehabilitate/renew 600,000 linear feet (1.9%) of collection system annually	628,130	174,869	27.8%	600,000	170,125	28.4%
Rehabilitate or renew 1,300 fire hydrants (2%) annually	928	244	26.3%	1,080	171	15.8%
Water repairs completed within 10 days for calls received from 311	90.0%	93.0%	103.3%	90.0%	66.0%	73.3%
Wastewater repairs completed within 18 days for calls received from 311	94.0%	96.8%	103.0%	90.0%	93.0%	103.3%
Percent of meters read and located monthly	96.7%	96.1%	99.4%	90.0%	97.3%	108.1%
Collection Rate	100.4%	100.7%	100.3%	98.0%	99.6%	101.6%
Planning & Development						
Complete Plan Review on new single family residence in 7 days	97.0%	100.0%	103.1%	100.0%	100.0%	100.0%
Average number of Re-submittals in Plan Review	3.3	3.2	96.7%	3.0	3.6	118.3%
Customer service rating (Scale of 1-5)	N/A	N/A	0.0%	N/A	N/A	0.0%
SOLID WASTE MANAGEMENT						
Customer Service Request	N/A	N/A	0.0%	95,119	21,798	22.9%
Monthly Cost per Unit Serviced (Excludes Recycling Costs and Special Projects)	\$13.83	\$15.22	110.1%	\$15.22	\$14.31	94.0%
Units with Recycling	164,024	185,000	112.8%	219,000	205,739	93.9%
Tires Disposed	98,486	29,388	29.8%	100,000	40,914	40.9%

**HOUSTON POLICE DEPARTMENT
FOR THE MONTH ENDING SEPTEMBER 30, 2011 (25.00% OF FISCAL YEAR)
DIGITAL AUTOMATED RED LIGHT ENFORCEMENT PROGRAM**

PROGRAM MEASUREMENTS

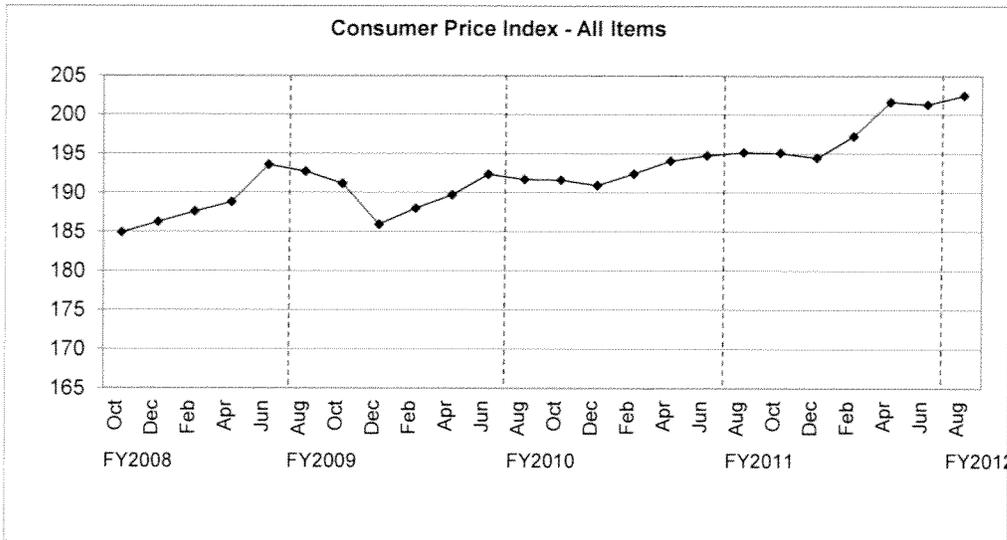
Notice Disposition	September	FY2012
Notices Issued	8,164	23,557
Notices Dismissed / Undeliverable-Admin or Hearing	0	36
Notices Paid	1,509	6,351
Notices Outstanding	6,655	17,170
Percentage of Notices Paid	19%	0%

Funds	September	FY2012
Collections	\$304,792	\$515,727
Expenses paid	\$20,642	\$309,259
FY2012 Program Total	<u>\$284,149</u>	<u>\$206,467</u>
State of Texas' Share	\$142,075	\$103,234
City's Share	\$142,075	\$103,234

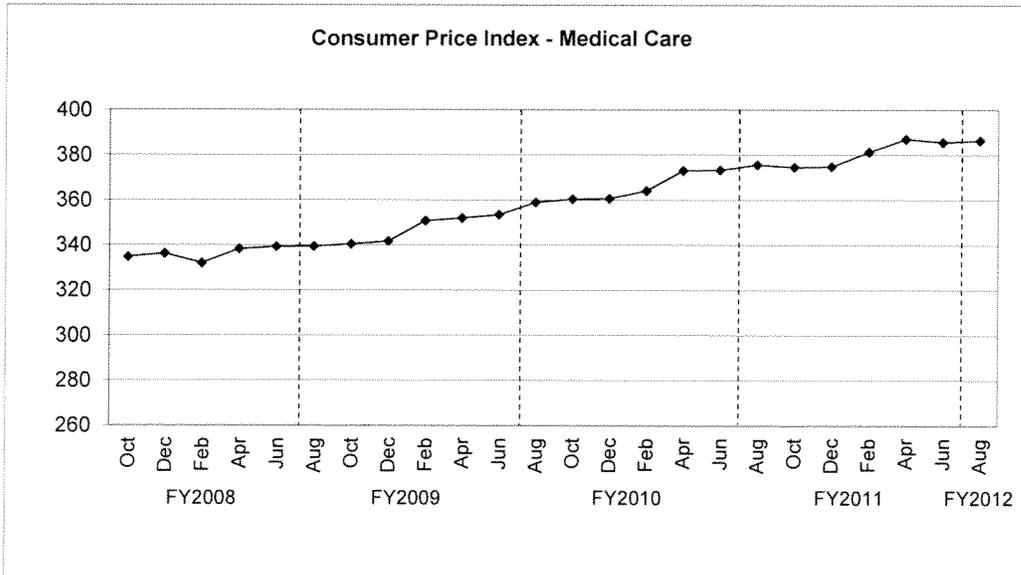
Issuances	September
Average (weighted) events for all individual sites per month	0

Events Per Site	September	FY2012
Highest avg. events per site (year-to-date): S/B SW Frwy W Serv. Rd @ Bellaire	0	3,052
Lowest avg. events per site (year-to-date): W/B Pease @ La Branch	0	17

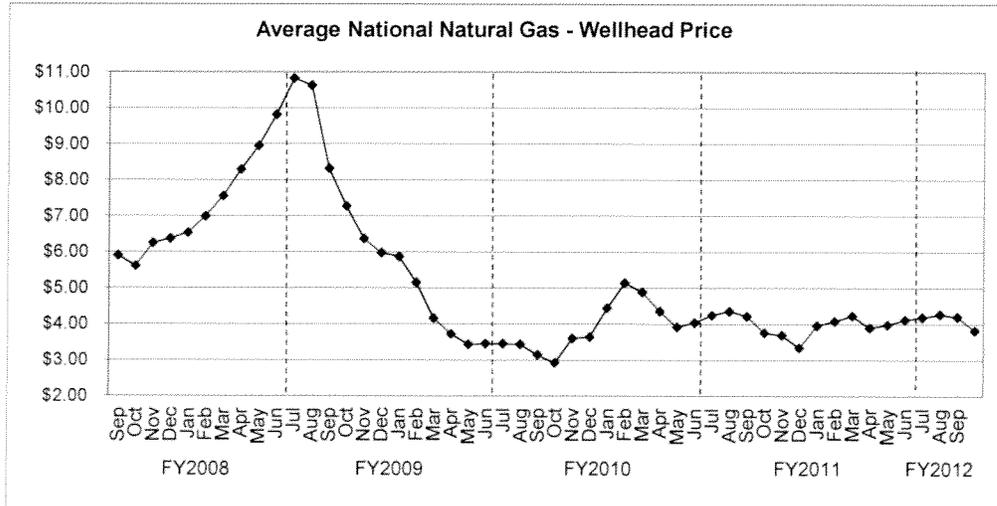
TREND INDICATORS - LOCAL ECONOMY



Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX

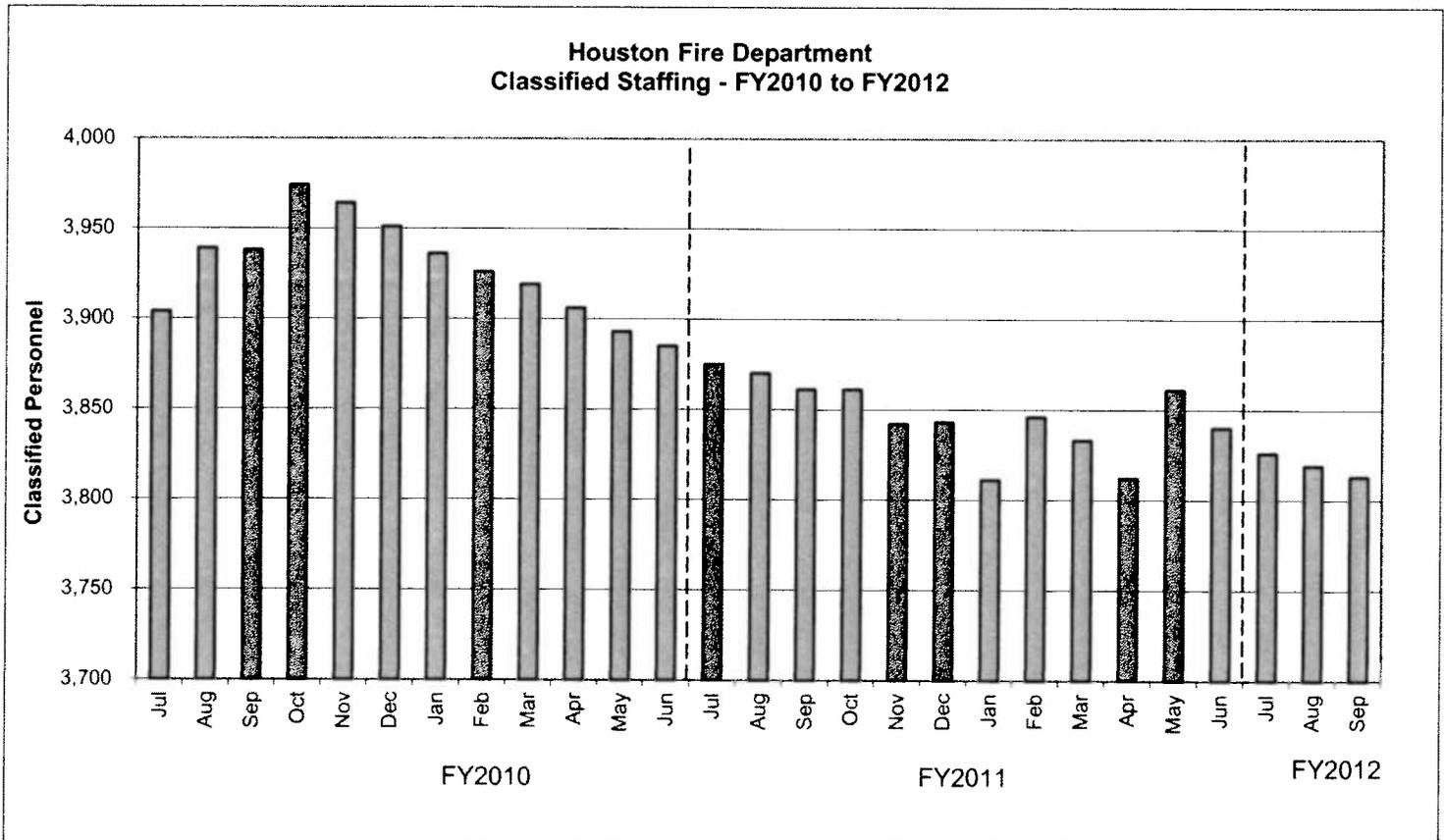
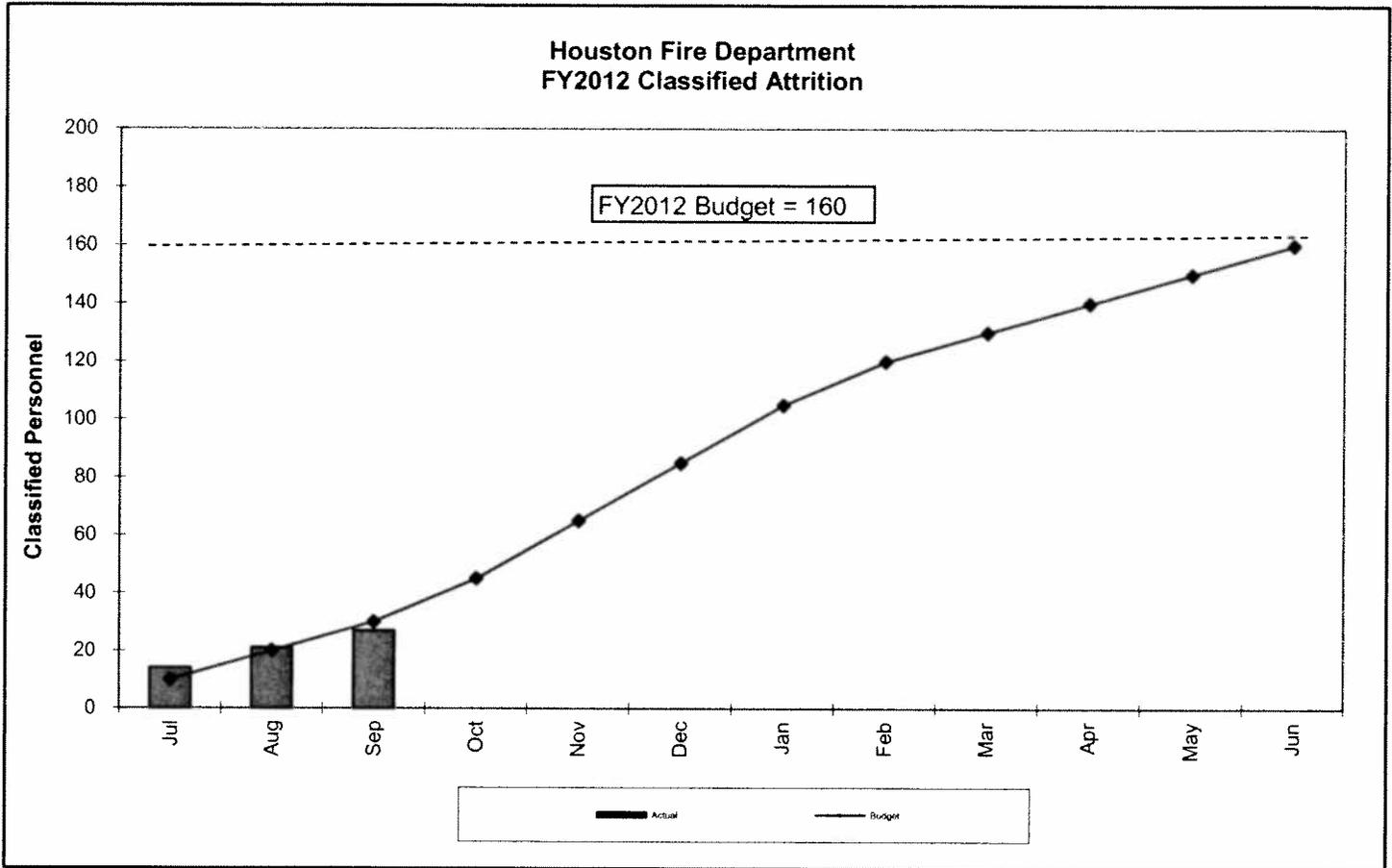


Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX



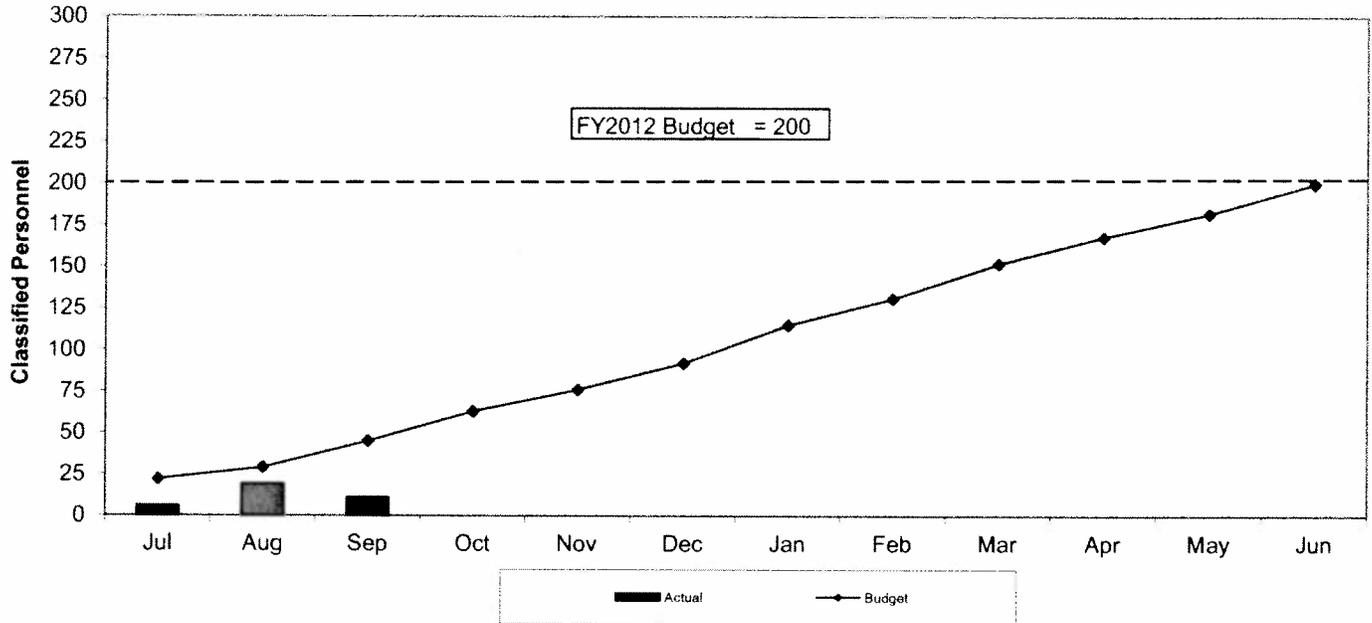
Source: Energy Information Administration/Natural Gas Monthly

TREND INDICATORS - HOUSTON FIRE DEPARTMENT

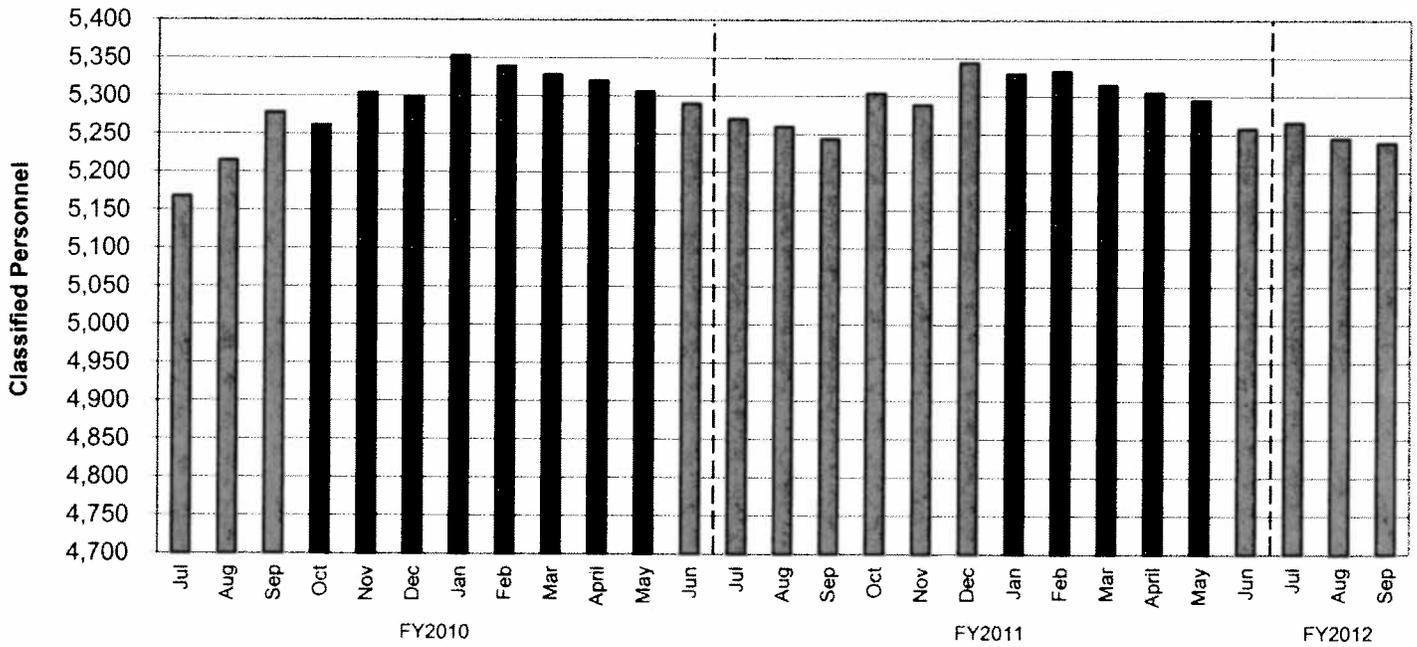


TREND INDICATORS - HOUSTON POLICE DEPARTMENT

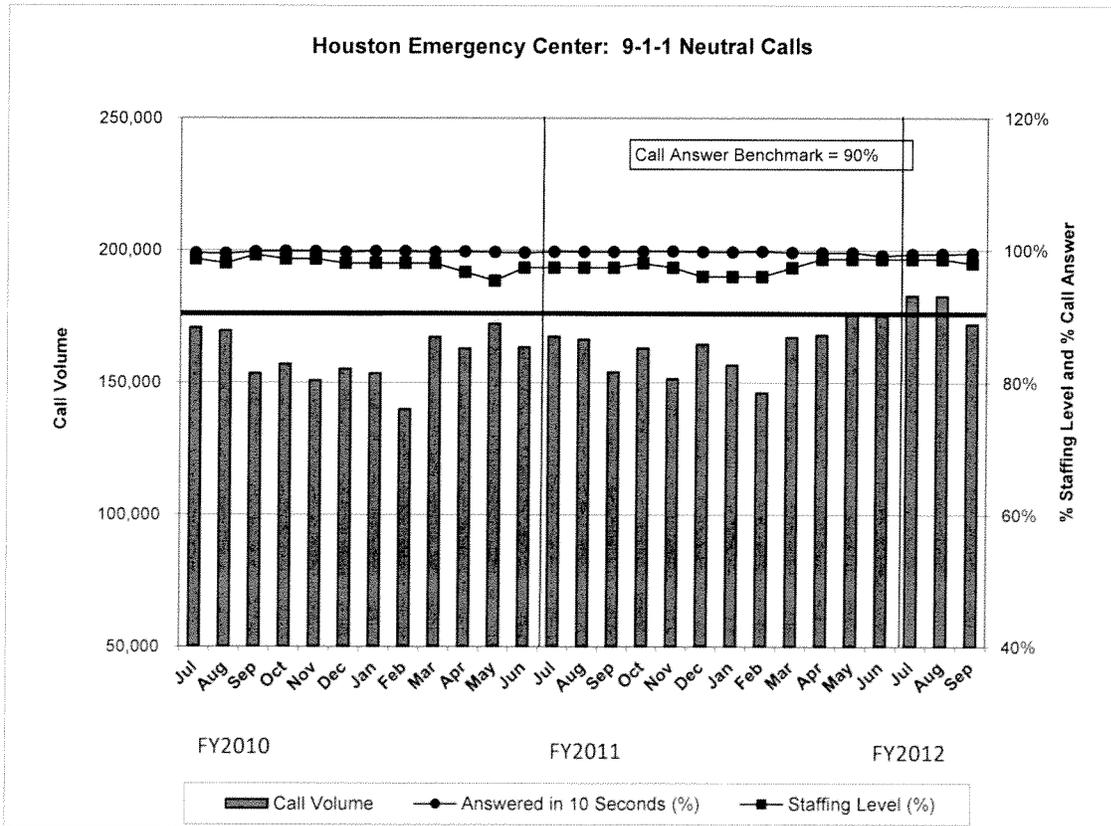
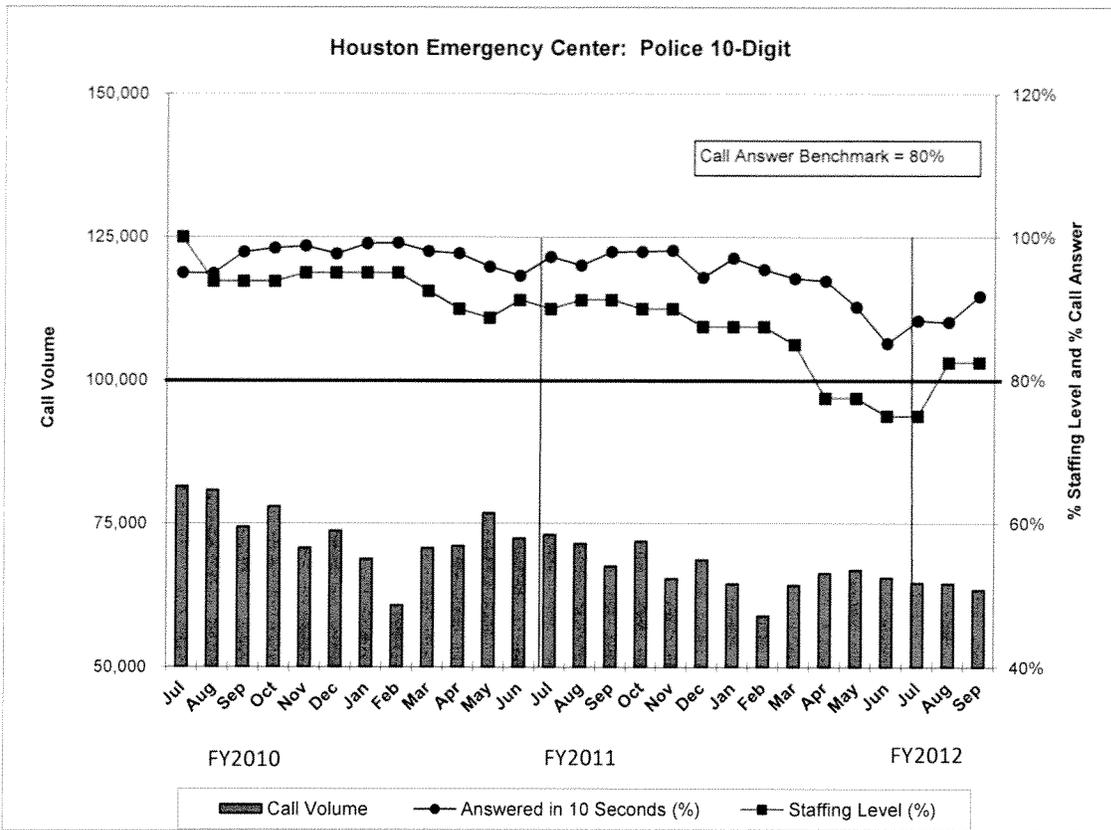
Houston Police Department FY2012 Classified Attrition



Houston Police Department Classified Staffing - FY2010 to FY2012

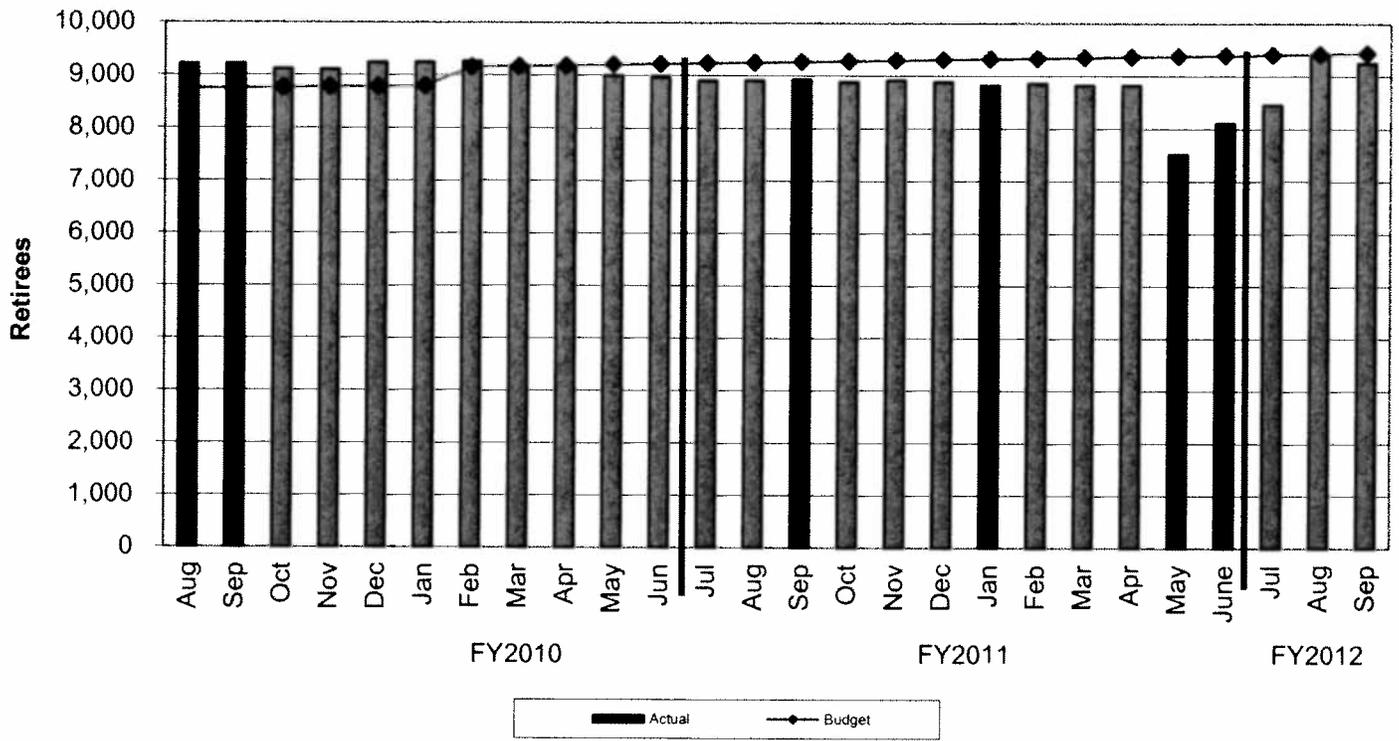


TREND INDICATORS - HOUSTON EMERGENCY CENTER



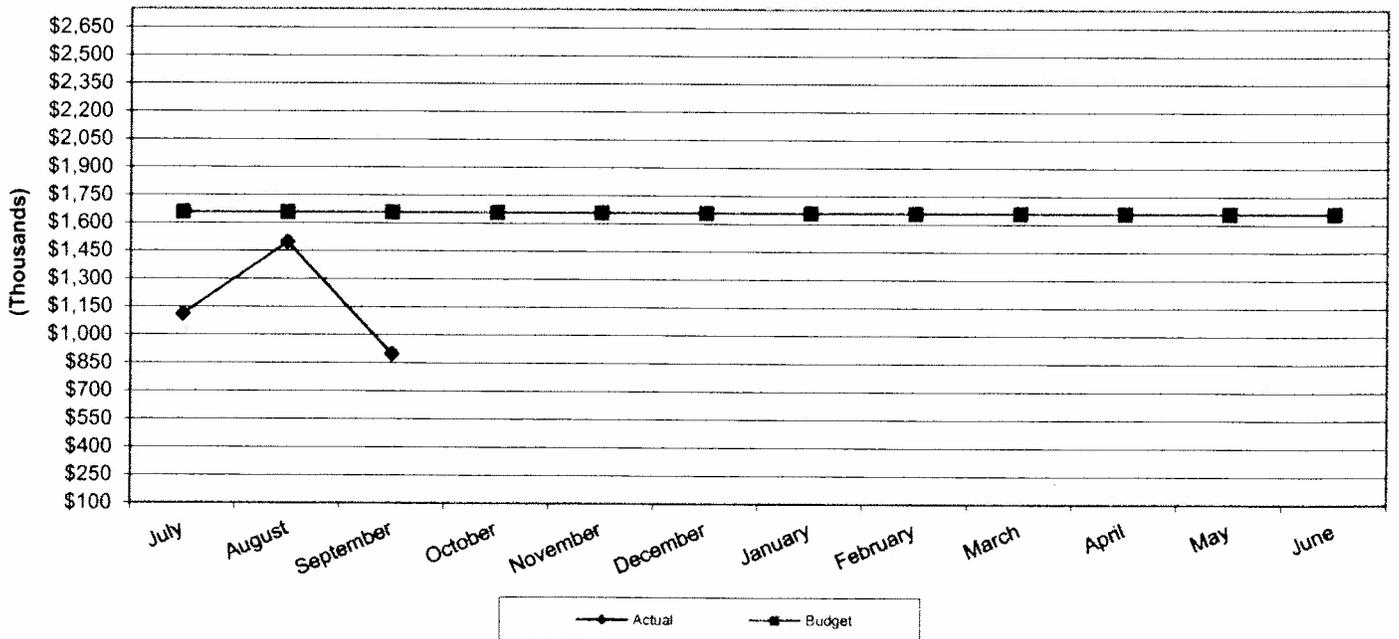
TREND INDICATORS - RETIREMENTS

Retirees Receiving Health Benefits

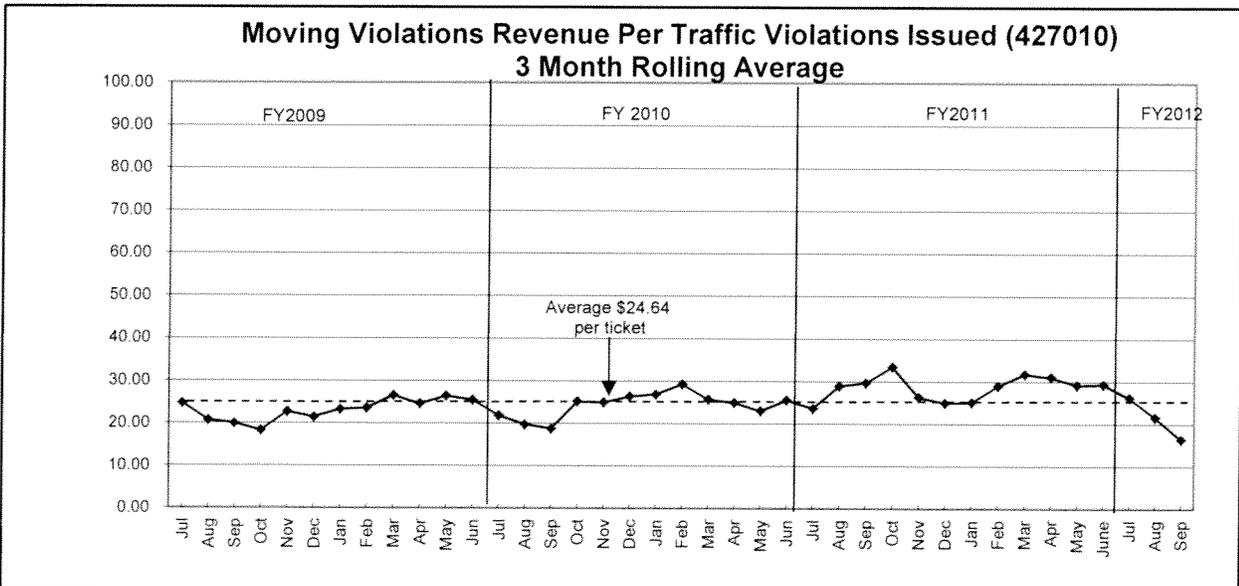
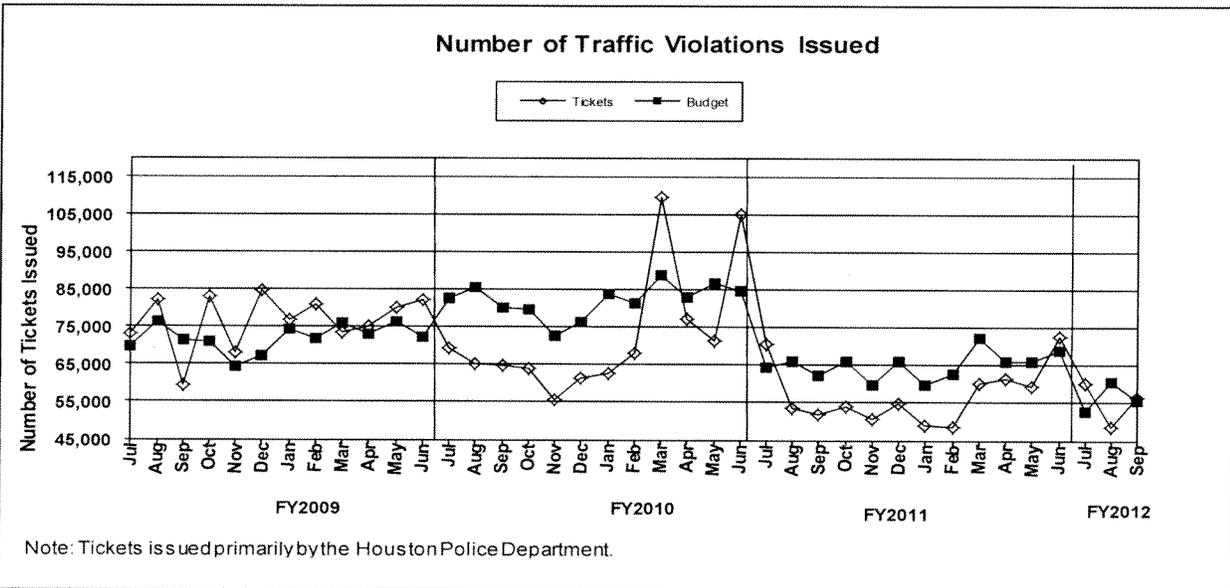
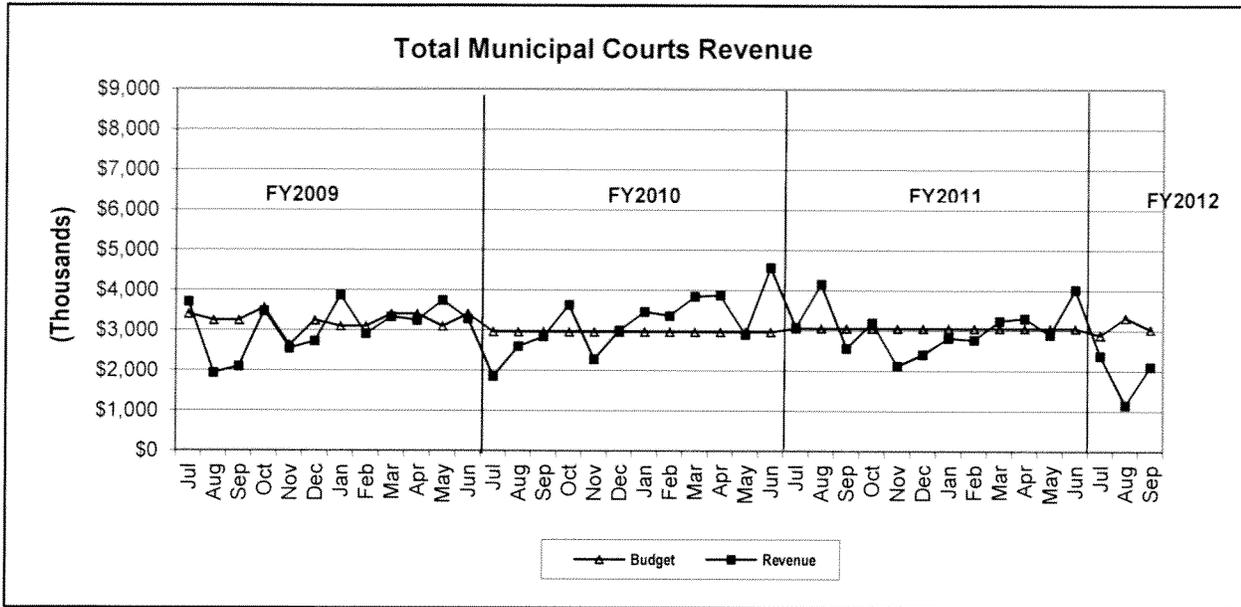


TREND INDICATORS - PARKING MANAGEMENT

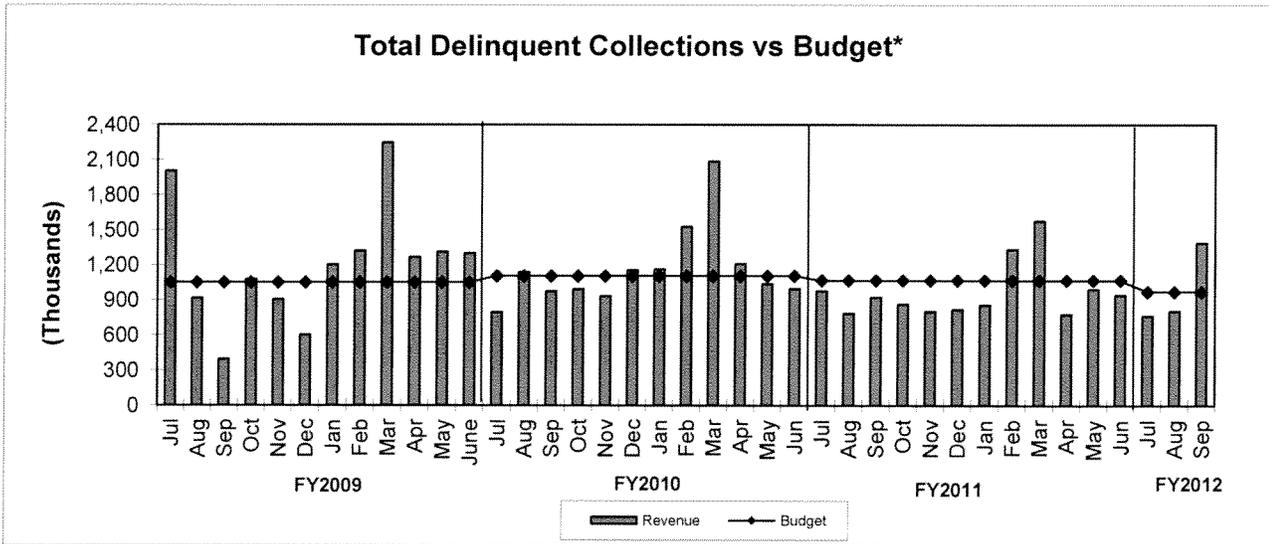
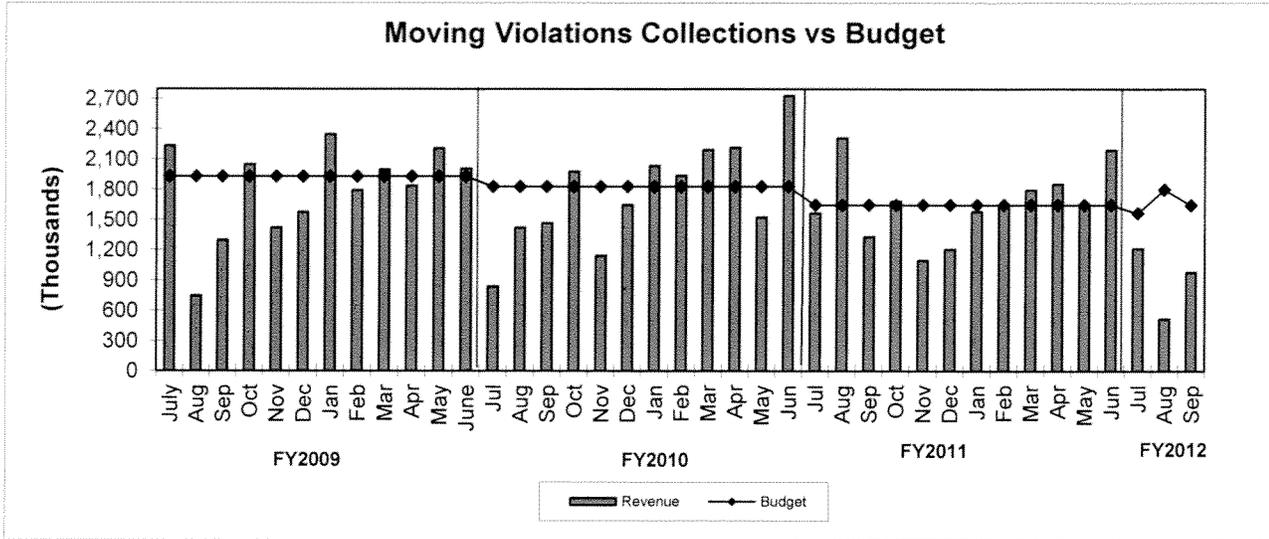
Total Parking Management Revenue



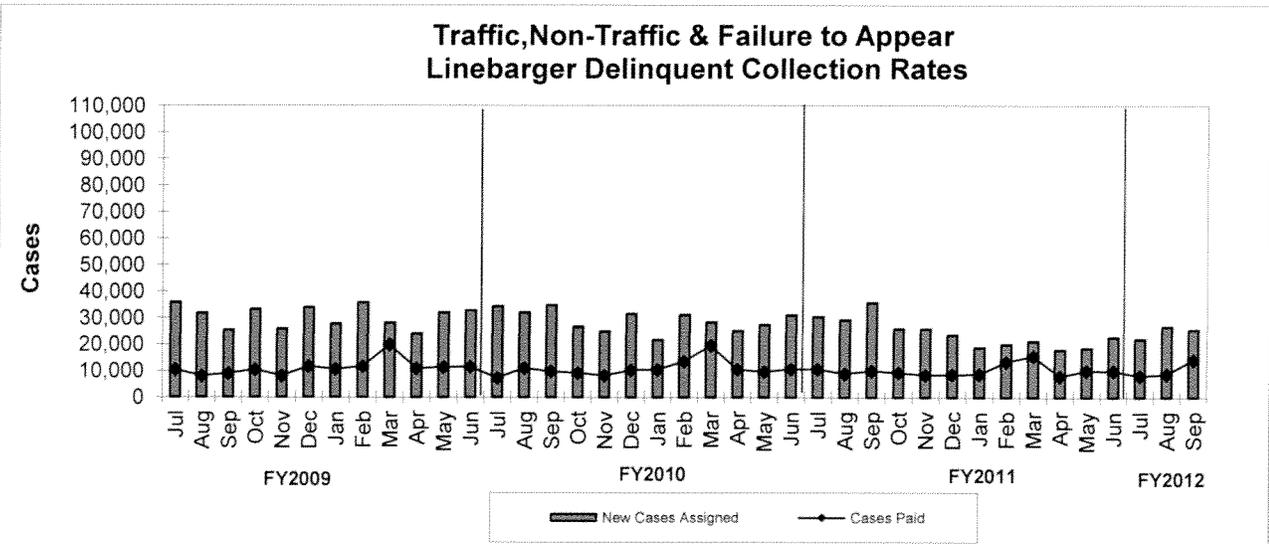
TREND INDICATORS - MUNICIPAL COURTS



TREND INDICATORS - MUNICIPAL COURTS

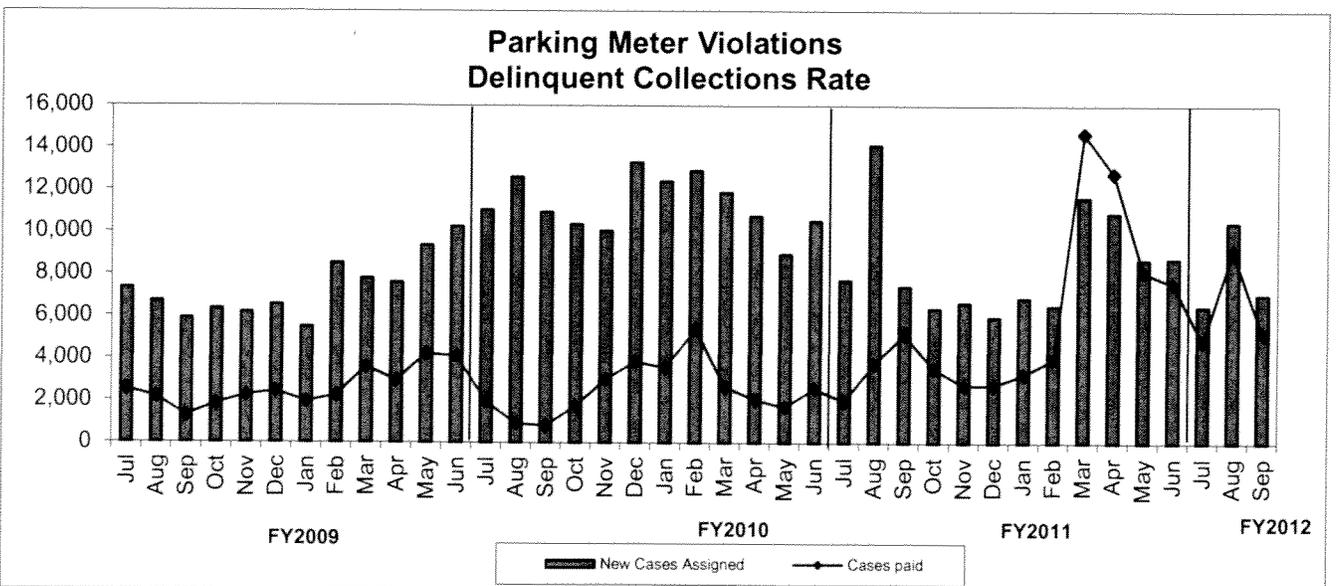
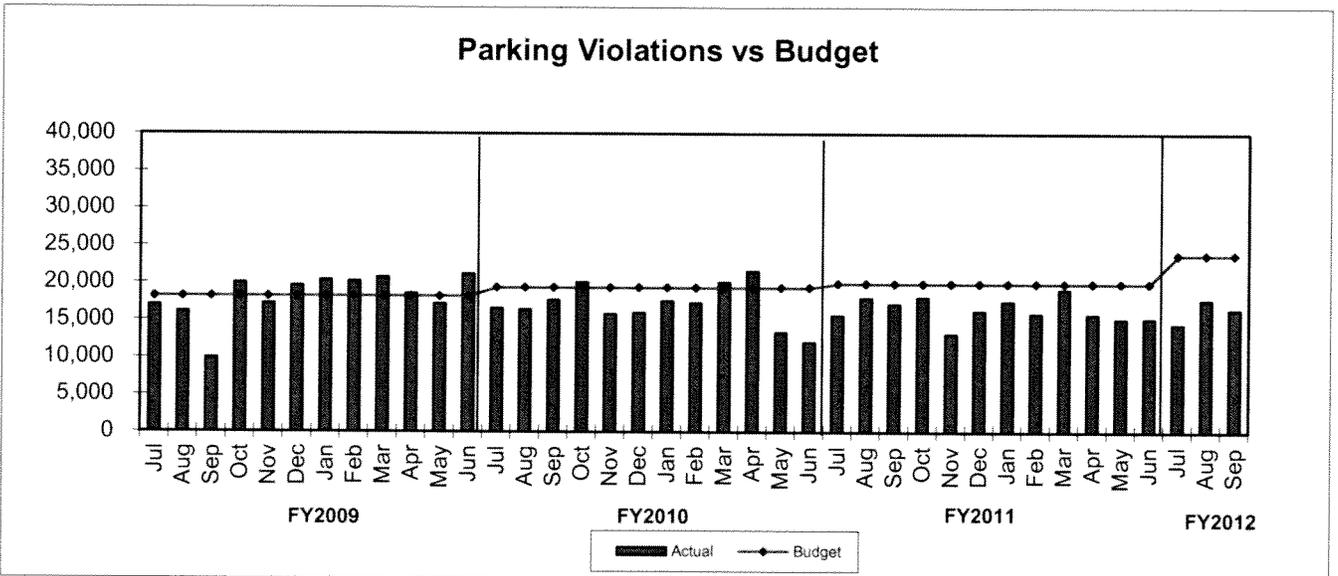
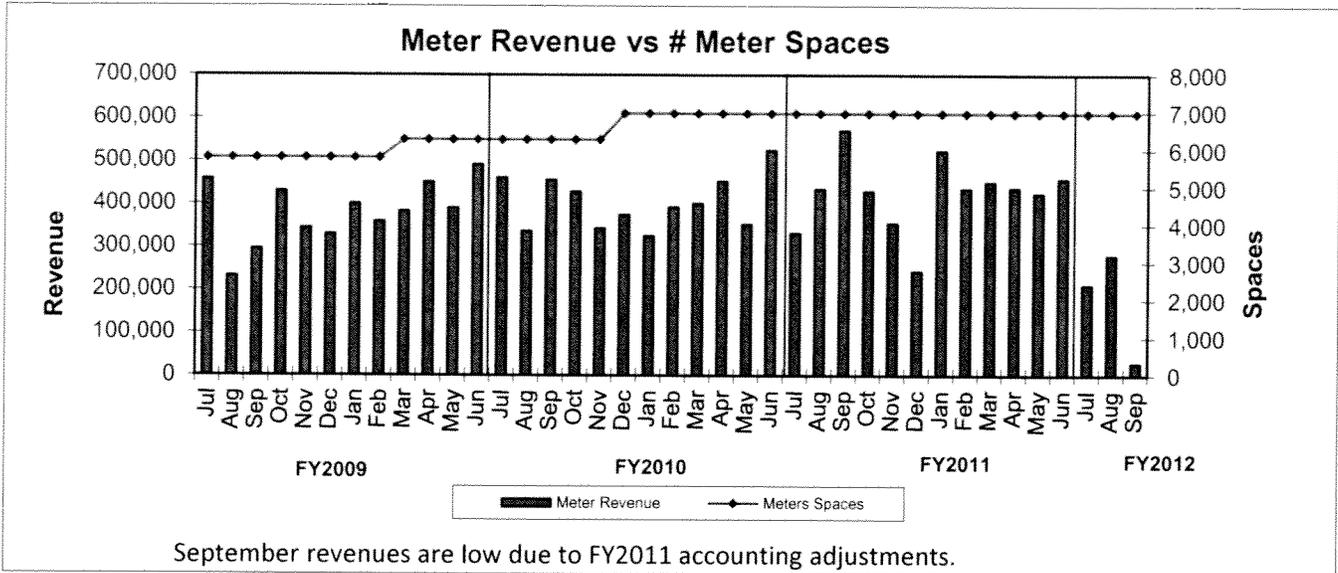


*Net of fees and expenses paid to Linebarger

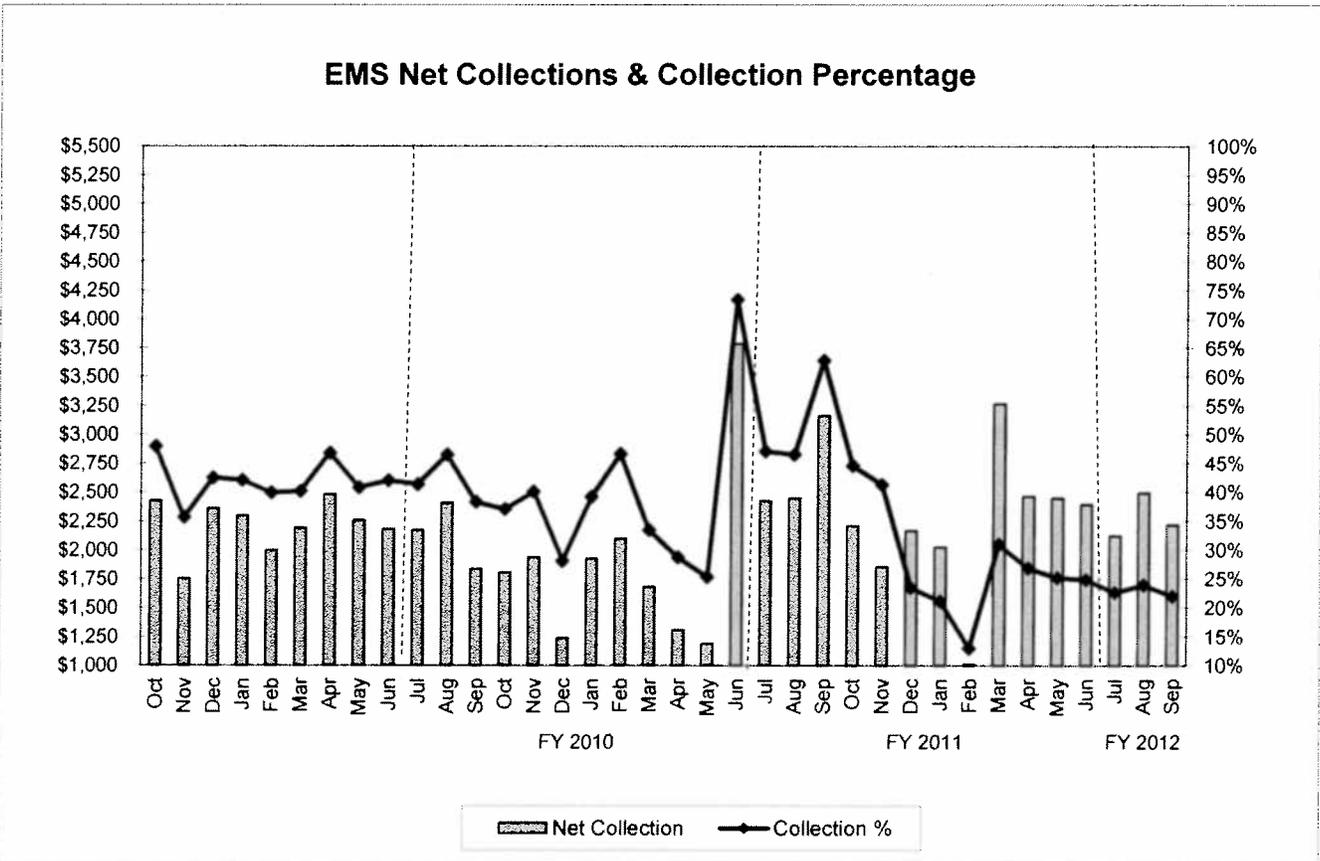
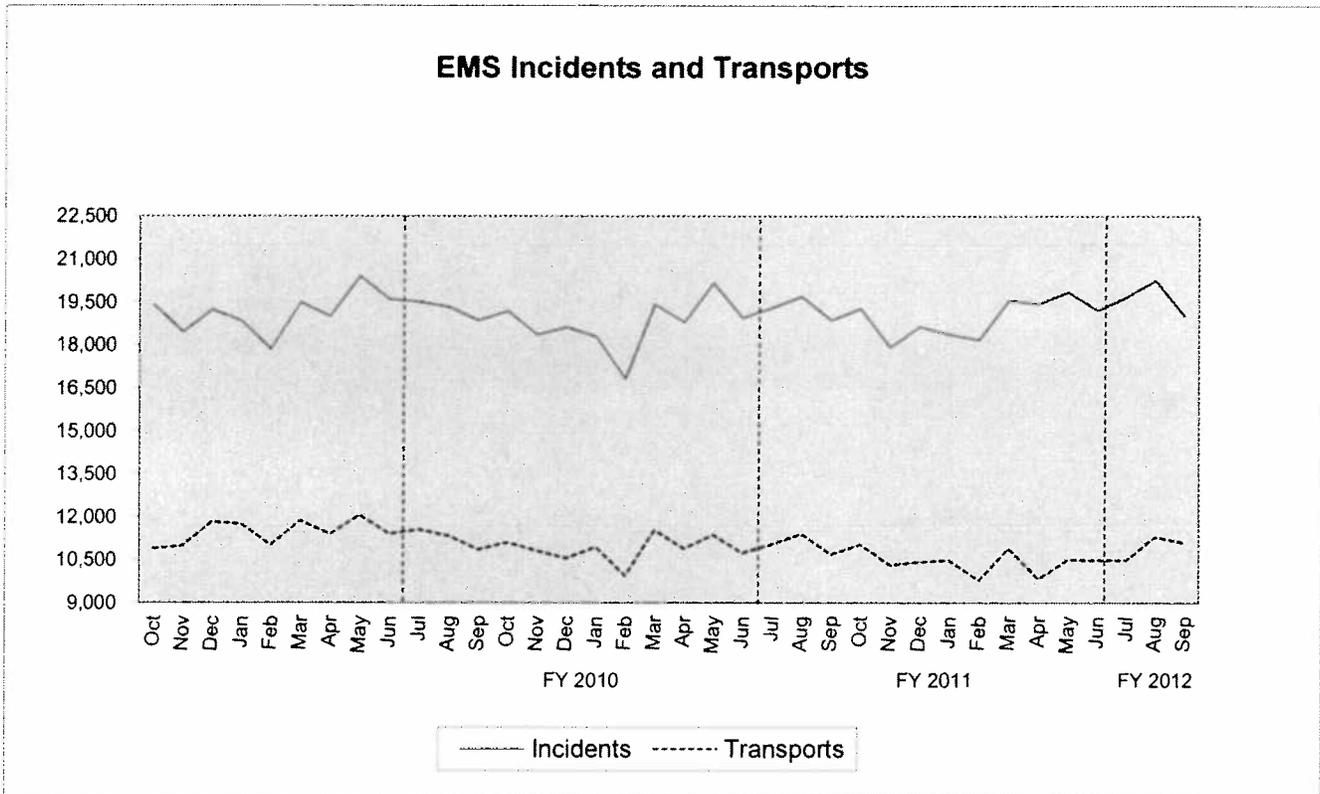


*Excludes Delinquent Parking Collections

TREND INDICATORS - ADMINISTRATION AND REGULATORY AFFAIRS



TREND INDICATORS - AMBULANCE SERVICES

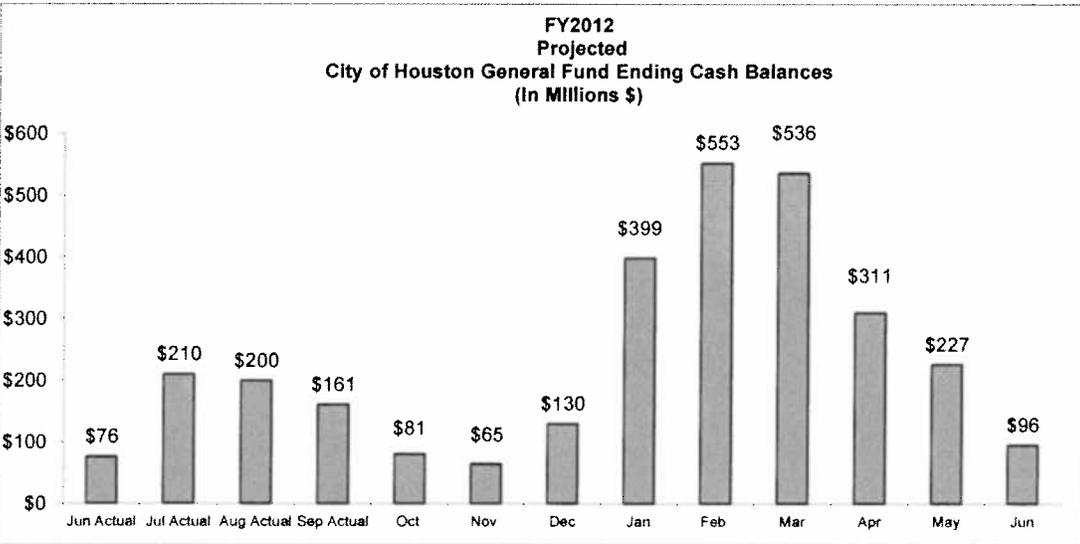
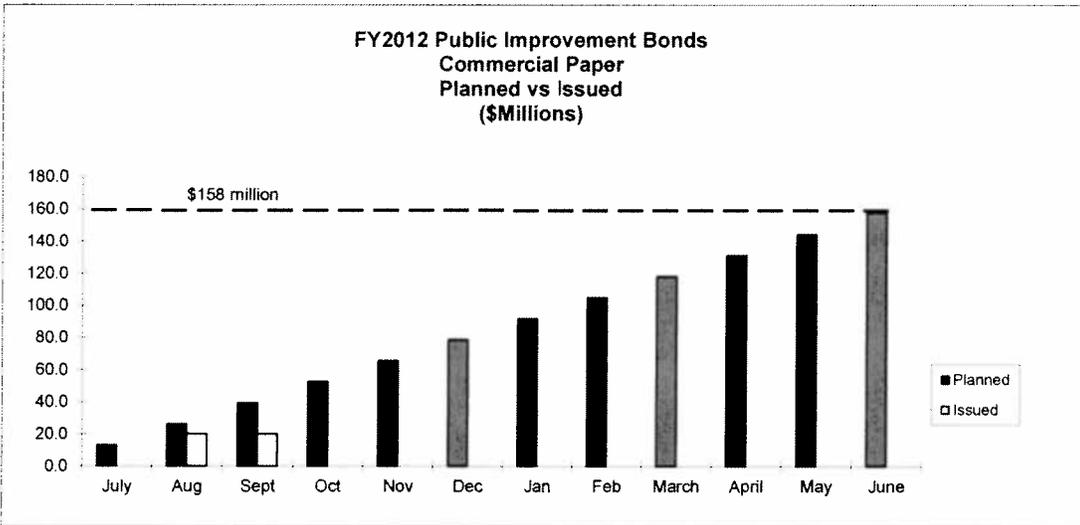
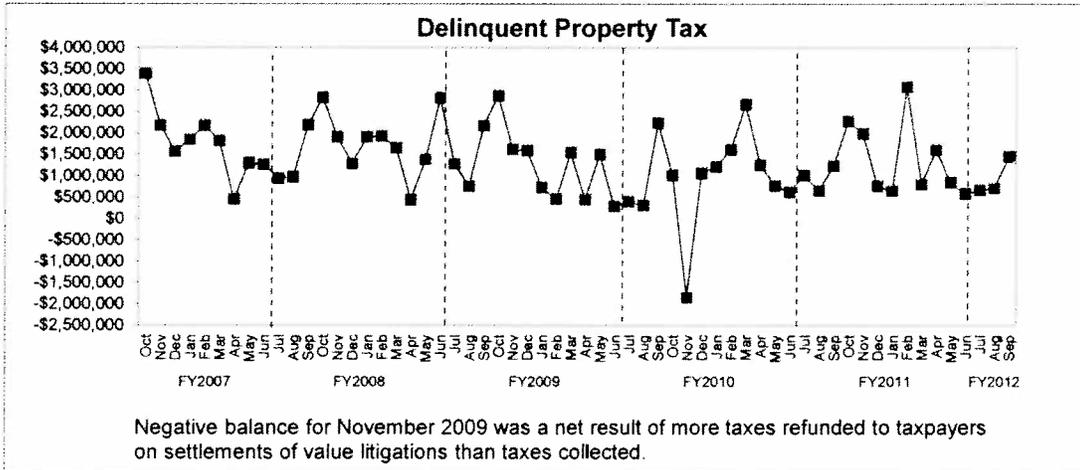


*The decline in collection in March-May 2010 results from delays in reimbursement from Medicare. The Medicare holdbacks of \$2.421M were received 7/2/10 and accrued back to FY10.

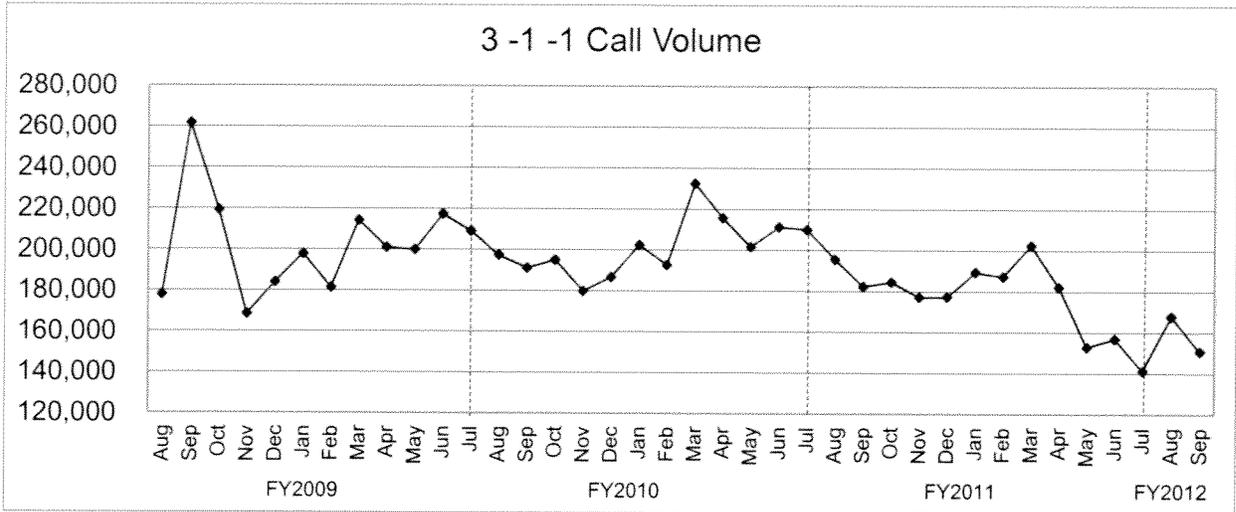
**EMS rates incr. from \$415 to \$1,000 on 12/1/10. Collections are for service prior to Dec. 1st thus significant decr. in collection ratio.

***Decline in Jan'11 & Feb '11 collections due to a delay in the processing of claims by Medicare. (fractional mileage change)

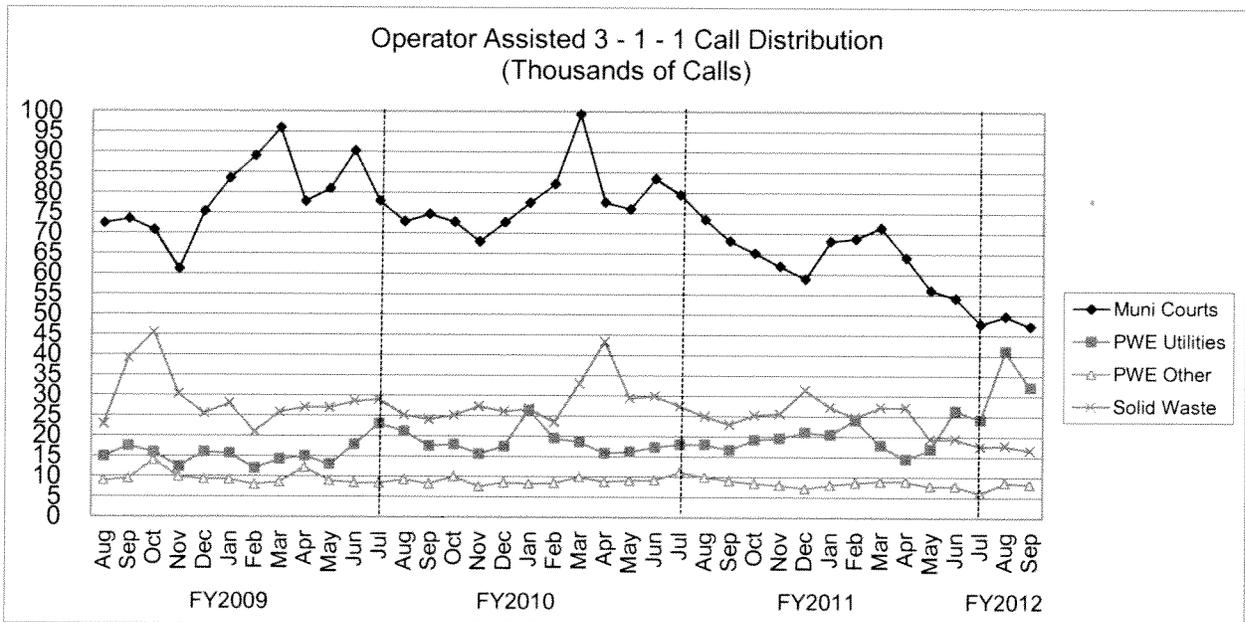
TREND INDICATORS - MISCELLANEOUS



TREND INDICATORS - MISCELLANEOUS



3-1-1 became fully operational in August 2001



4 largest users of operator assisted 3-1-1 calls.