

Monthly Financial and Operations Report
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OFFICE OF THE CITY CONTROLLER
CITY OF HOUSTON
TEXAS

RONALD C. GREEN

To: Mayor Annise D. Parker
City Council Members

From: Ronald C. Green
City Controller

Date: December 7, 2012

Subject: **October 2012
Financial Report**

Attached is the Monthly Financial and Operations Report for the period ending October 31, 2012.

GENERAL FUND

The Controller's Office is projecting an ending fund balance of \$143.4 million for FY2013. This is \$21 million lower than the projection of the Finance Department. The difference is due to a \$21 million higher revenue projection from the Finance Department. Based on our current projections, the fund balance will be \$15.2 million above the City's target of holding 7.5% of total expenditures, excluding debt service, in reserve. This amount includes the undesignation of the \$20 million in the Rainy Day Fund, and reimbursement of \$10 million. I would like to again point out that the Administration's plan to replenish the Rainy Day Fund \$5 million a year for each of four years is not in compliance with Ordinance 2003-474, which requires that "The City shall allocate sufficient funds during the subsequent two fiscal years to replenish any use of Rainy Day funds in the previous year."

The only changes in our revenue projections are an increase of \$1.8 million in Sales Tax, an increase of \$725,000 in Intergovernmental, and an increase of \$1.8 million in Charges for Services. These recognize the September sales tax receipt that was up 6.7% over the prior year, and a one-time receipt in Ambulance revenues.

The major differences are still in seven categories: (1) Property Tax revenues are \$9.8 million lower due to the Controller's Office using a higher TIRZ payment projection. (2) Industrial Assessments are \$1.9 million lower as Controller's Office is using a lower collection rate than Finance. (3) Sales Tax revenues are \$1.1 million lower, as the Controller's Office is using Barton Smith's April 2012 growth estimate, discounted by his margin of error, plus actual receipts for July, August, and September 2012. (4) Charges for Services are \$1.4 million lower. Controller's Office is projecting slightly lower Ambulance collections. (5) Municipal Courts are \$3 million lower, as the Controller's Office expects lower ticket issuance than Finance. (6) Interest is \$1 million lower reflecting lower cash balances and lower interest rates. (7) Miscellaneous/Other is \$1.8 million lower because one-time revenues.

Expenditure projections are \$2.5 million above the Adopted Budget. The projection total did not change, although the projection for ARA increased \$300,000 and Police decreased \$300,000 reflecting costs associated with the burglar alarm contract.

Please remember that all FY2012 numbers are preliminary estimates and subject to change until the annual audit is completed and the Comprehensive Annual Financial Report is published.

**Mayor Annise D. Parker
City Council Members
October 2012 Monthly Financial and Operations Report**

ENTERPRISE FUNDS

There were no changes in the Aviation Operating Fund, the Combined Utility System Fund, the Convention & Entertainment Operating Fund, the Stormwater Fund, and the Dedicated Drainage & Street Renewal Fund.

HEALTH BENEFITS FUND

I would also like to again bring to your attention the Preliminary FY2012 Net Assets of negative \$14.1 million on pg III-1. This is due to an Operating Loss of \$17.5 million for the first year under the new City health insurance model. Under the Governmental Accounting Standards Board (GASB), this is allowed, but must be expected to be recovered under the next rate calculation. This will be for the May 1, 2013, through April 30, 2014, plan year, with the majority of the additional cost occurring in FY14.

COMMERCIAL PAPER AND BONDS

The City's practice has been to maintain no more than 20% of the total outstanding debt for each type of debt in a variable rate structure. As of October 31, 2012, the ratio of unhedged variable rate debt for each type of outstanding debt was:

General Obligation	7.1%
Combined Utility System	3.1%
Aviation	17.4%
Convention and Entertainment	18.3%

Respectfully submitted,



Ronald C. Green
City Controller



CITY OF HOUSTON

Finance Department

Annise D. Parker

Mayor

Finance Department
P.O. Box 1562
Houston, Texas 77251-1562

T. 832-393-9051
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To: Mayor Annise D. Parker
City Council Members

Date: December 7, 2012

Subject: 4+8 Financial and
Operations Report

Attached is the 4+8 Financial and Operations Report for the period ending October 31, 2012. Fiscal Year 2013 projections are based on four months of actual results and eight months of projections.

General Fund

We are currently projecting ending fund balance of \$164.3 million, which is approximately \$1.2 million higher than last month. This fund balance is based on the FY2012 unaudited preliminary ending fund balance.

Projection for Revenues and Other Sources increased by \$1.6 million due to the following:

- Charges and Services increased by \$1.3 million due to higher than anticipated ambulance fees collection.
- Intergovernmental increased by \$300,000 due to receipt of the Houston Galveston Area Council (HGAC) contributions for the City Mobility Phase II study.

Projection for Expenditures and Other Uses remained unchanged from last month. It reflects a funding transfer of \$300,000 from Police Department to ARA to cover the burglar alarm administration contract.

Enterprise, Special Revenue and Other Funds

We are projecting no change in Enterprise Funds, Special Revenue Funds and all other funds from the 3+9 Report, with the exception of the following:

Health Benefits

Non-Operating Revenues increased by \$262,000 due to prior year recovery related to COBRA administration premiums.

If you have any questions, please feel free to contact me.

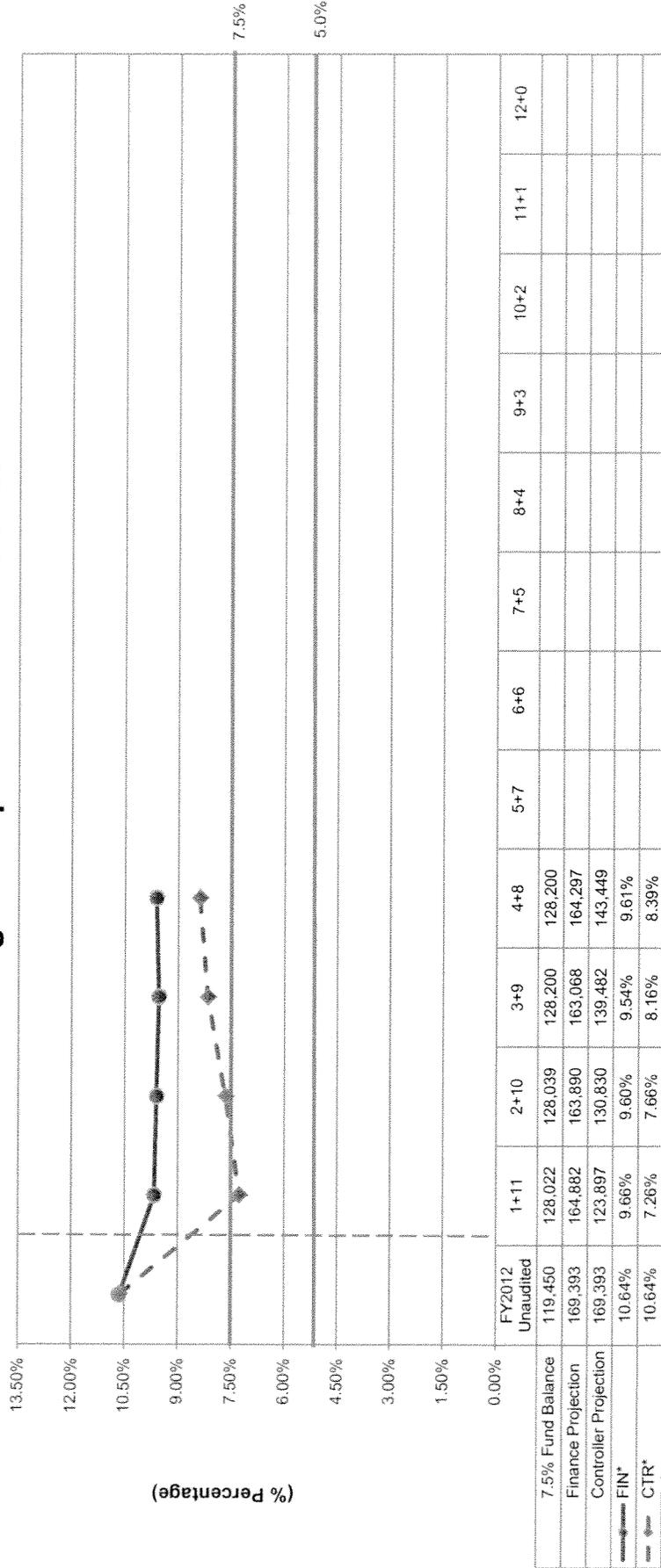
Sincerely,

A handwritten signature in black ink that reads "Kelly Dowe". The signature is written in a cursive style with a long horizontal flourish extending to the right.

Kelly Dowe

Director

General Fund FY2013 Comparative Fund Balance Annual Projected Ending Fund Balance as a Percentage of Expenditures Less Debt



* Ending Fund Balance as a percentage of expenditures less debt.

General Fund (Fund 1000)
Comparative Projections
Controller's Office and Finance
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013					Variance between Controller and Finance
		Adopted Budget	Current Budget	% of Budget	Controller's Projection	Finance Projection	
Revenues							
General Property Taxes	\$ 866,141	\$ 900,197	\$ 900,197	48%	\$ 890,409	\$ 900,197	9,788
Industrial Assessments	37	14,800	14,800	1%	27,700	29,563	1,863
Sales Tax	546,796	577,373	577,373	31%	576,300	577,373	1,073
Other Taxes	9,801	9,685	9,685	1%	9,685	9,685	0
Electric Franchise	99,765	103,697	103,697	5%	103,697	103,697	0
Telephone Franchise	45,466	44,606	44,606	2%	44,606	44,606	0
Gas Franchise	22,009	19,194	19,194	1%	19,194	19,194	0
Other Franchise	25,520	24,175	24,175	1%	23,932	24,175	243
Licenses and Permits	24,586	29,502	29,502	2%	28,856	29,502	646
Intergovernmental	12,124	10,332	10,332	1%	10,632	10,632	0
Charges for Services	45,370	45,321	45,321	2%	45,196	46,608	1,412
Direct Interfund Services	41,469	43,959	43,959	2%	43,959	43,959	0
Indirect Interfund Services	18,255	16,850	16,850	1%	16,850	16,850	0
Municipal Courts Fines and Forfeits	34,416	34,486	34,486	2%	31,482	34,486	3,004
Other Fines and Forfeits	2,774	5,051	5,051	0%	5,051	5,051	0
Interest	4,433	4,000	4,000	0%	3,000	4,000	1,000
Miscellaneous/Other	4,070	8,064	8,064	0%	6,245	8,064	1,819
Total Revenues	1,803,032	1,891,292	1,891,292	100%	1,886,794	1,907,642	20,848
Expenditures							
Administration & Regulatory Affairs	25,999	28,127	28,427	1%	28,427	28,427	0
City Council	5,478	6,352	6,352	0%	6,352	6,352	0
City Secretary	730	836	836	0%	836	836	0
Controller	6,945	7,375	7,684	0%	7,892	7,892	0
Finance	22,027	11,277	11,277	1%	11,277	11,277	0
Fire	420,323	433,391	433,270	22%	433,270	433,270	0
General Services	45,689	49,020	49,020	3%	49,020	49,020	0
Health and Human Services	40,121	42,381	42,382	2%	42,542	42,542	0
Housing and Community Dev.	599	2,429	2,514	0%	2,514	2,514	0
Houston Emergency Center	11,550	11,855	11,855	1%	11,855	11,855	0
Human Resources	3,119	3,246	3,246	0%	3,246	3,246	0
Information Technology	16,857	19,269	19,442	1%	19,442	19,442	0
Legal	12,531	14,112	14,112	1%	14,112	14,112	0
Library	31,607	33,326	33,362	2%	33,362	33,362	0
Mayor's Office	5,335	6,113	6,113	0%	6,113	6,113	0
Municipal Courts	21,224	24,136	24,136	1%	24,136	24,136	0
Neighborhoods	9,131	10,320	10,320	1%	10,320	10,320	0
Office of Business Opportunity	1,998	2,352	2,352	0%	2,352	2,352	0
Parks and Recreation	63,156	64,403	64,457	3%	64,457	64,457	0
Planning and Development	6,657	7,545	7,550	0%	7,550	7,550	0
Police	640,887	697,567	697,352	36%	697,352	697,352	0
Public Works and Engineering	37,343	35,659	35,659	2%	35,659	35,659	0
Solid Waste Management	65,749	69,373	69,373	4%	69,373	69,373	0
Total Departmental Expenditures	1,495,055	1,580,464	1,581,091	81%	1,581,459	1,581,459	0
General Government	97,610	126,330	125,704	6%	127,872	127,872	0
Total Expenditures Other Than Debt	1,592,665	1,706,794	1,706,795	88%	1,709,331	1,709,331	0
Budgeted Debt Service	220,507	241,100	241,100	12%	241,100	241,100	0
Debt Service Transfer	220,507	241,100	241,100	0	241,100	241,100	0
Total Expenditures and Other Uses	1,813,172	1,947,894	1,947,895	100%	1,950,431	1,950,431	0
Net Current Activity	(10,140)	(56,602)	(56,603)		(63,637)	(42,789)	20,848
Other Financing Sources (Uses)							
Proceeds from Notes	0	0	0		10,955	10,955	0
Transfers from Other Funds	53,144	27,078	27,078		27,238	27,238	0
Sale of Capital Assets	1,504	4,500	4,500		4,500	4,500	0
Total Other Financing Sources (Uses)	54,648	31,578	31,578		42,693	42,693	0
Fund Balance							
Fund Balance - Beginning of Year	129,041	169,393	169,393		169,393	169,393	0
Changes to Designated Fund Balance*	(7,720)	(5,000)	(5,000)		(5,000)	(5,000)	0
Budgeted Increase/(Decrease) in Fund Balance	44,508	(25,024)	(25,025)		(25,024)	(25,024)	0
Change in Inventory/Prepaid Items/Imprest Cash	3,564	0	0		0	0	0
(Budget Gap)/Increase in Fund Balance**	0	0	0		4,080	24,928	20,848
Fund Balance, End of Year***	169,393	139,369	139,368		143,449	164,297	20,848

*The Rainy Day Fund Fund of \$20 million became unassigned in FY2011. In 2012, the City reassigned \$5 million to the Rainy Day Fund and another \$5million to the FY2013 Budget. The total designation for the Rainy Day Fund is \$10 million. In FY2012, the City also provides for the designation of \$2.72 million out of the General Fund fund balance as restricted in the event that DARLEP collections are insufficient (Ordinance 2012-88).

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$128,200 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$15,249 above 7.5% based on the Controller's projections for Fiscal Year 2013.

General Fund (Fund 1000)
 Controller's Office
 For the period ended October 31, 2012
 (amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013					Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD	Controller's Projection		
Revenues								
General Property Taxes	\$ 866,141	\$ 900,197	\$ 900,197	\$ 2,403	\$ 11,717	\$ 890,409	\$ (9,788)	-1.1%
Industrial Assessments	37	14,800	14,800	658	11,824	27,700	12,900	87.2%
Sales Tax	546,796	577,373	577,373	44,166	187,385	576,300	(1,073)	-0.2%
Other Taxes	9,801	9,685	9,685	2,409	2,483	9,685	0	0.0%
Electric Franchise	99,765	103,697	103,697	8,895	34,595	103,697	0	0.0%
Telephone Franchise	45,466	44,606	44,606	3,721	14,997	44,606	0	0.0%
Gas Franchise	22,009	19,194	19,194	1,599	6,398	19,194	0	0.0%
Other Franchise	25,520	24,175	24,175	2,011	8,044	23,932	(243)	-1.0%
Licenses and Permits	24,586	29,502	29,502	2,774	10,007	28,856	(646)	-2.2%
Intergovernmental	12,124	10,332	10,332	(152)	335	10,632	300	2.9%
Charges for Services	45,370	45,321	45,321	3,959	17,053	45,196	(125)	-0.3%
Direct Interfund Services	41,469	43,959	43,959	3,650	13,589	43,959	0	0.0%
Indirect Interfund Services	18,255	16,850	16,850	686	4,782	16,850	0	0.0%
Municipal Courts Fines and Forfeits	34,416	34,486	34,486	2,951	9,804	31,482	(3,004)	-8.7%
Other Fines and Forfeits	2,774	5,051	5,051	359	1,354	5,051	0	0.0%
Interest	4,433	4,000	4,000	156	892	3,000	(1,000)	-25.0%
Miscellaneous/Other	4,070	8,064	8,064	722	1,745	6,245	(1,819)	-22.6%
Total Revenues	1,803,032	1,891,292	1,891,292	80,967	337,004	1,886,794	(4,498)	-0.2%
Expenditures								
Administration & Regulatory Affairs	25,999	28,127	28,427	1,862	13,001	28,427	0	0.0%
City Council	5,478	6,352	6,352	526	2,003	6,352	0	0.0%
City Secretary	730	836	836	66	246	836	0	0.0%
Controller	6,945	7,375	7,684	609	2,474	7,892	(208)	-2.7%
Finance	22,027	11,277	11,277	959	2,584	11,277	0	0.0%
Fire	420,323	433,391	433,270	37,888	140,244	433,270	0	0.0%
General Services	45,689	49,020	49,020	4,039	13,423	49,020	0	0.0%
Health and Human Services	40,121	42,381	42,382	3,633	13,049	42,542	(160)	-0.4%
Housing and Community Dev.	599	2,429	2,514	15	223	2,514	0	0.0%
Houston Emergency Center	11,550	11,855	11,855	2,964	5,928	11,855	0	0.0%
Human Resources	3,119	3,246	3,246	261	967	3,246	0	0.0%
Information Technology	16,857	19,269	19,442	1,407	5,826	19,442	0	0.0%
Legal	12,531	14,112	14,112	1,119	4,371	14,112	0	0.0%
Library	31,607	33,326	33,362	2,490	9,769	33,362	0	0.0%
Mayor's Office	5,335	6,113	6,113	353	2,025	6,113	0	0.0%
Municipal Courts	21,224	24,136	24,136	2,204	7,289	24,136	0	0.0%
Neighborhoods	9,131	10,320	10,320	896	3,100	10,320	0	0.0%
Office of Business Opportunity	1,998	2,352	2,352	182	665	2,352	0	0.0%
Parks and Recreation	63,156	64,403	64,457	5,394	20,023	64,457	0	0.0%
Planning and Development	6,657	7,545	7,550	575	2,219	7,550	0	0.0%
Police	640,887	697,567	697,352	60,701	223,169	697,352	0	0.0%
Public Works and Engineering	37,343	35,659	35,659	5,536	12,328	35,659	0	0.0%
Solid Waste Management	65,749	69,373	69,373	7,551	20,387	69,373	0	0.0%
Total Departmental Expenditures	1,495,055	1,580,464	1,581,091	141,230	505,313	1,581,459	(368)	0.0%
General Government	97,610	126,330	125,704	9,632	20,135	127,872	(2,168)	-1.7%
Total Expenditures Other Than Debt	1,592,665	1,706,794	1,706,795	150,862	525,448	1,709,331	(2,536)	-0.1%
Budgeted Debt Service	220,507	241,100	241,100	0	0	241,100	0	0.0%
Debt Service Transfer	220,507	241,100	241,100	0	0	241,100	0	0.0%
Total Expenditures and Other Uses	1,813,172	1,947,894	1,947,895	150,862	525,448	1,950,431	(2,536)	-0.1%
Net Current Activity	(10,140)	(56,602)	(56,603)	(69,895)	(188,444)	(63,637)	(7,034)	
Other Financing Sources (Uses)								
Proceeds from Notes	0	0	0	0	10,955	10,955	10,955	
Transfers from Other Funds	53,144	27,078	27,078	4,086	8,677	27,238	160	
Sale of Capital Assets	1,504	4,500	4,500	1,114	1,343	4,500	-	
Total Other Financing Sources (Uses)	54,648	31,578	31,578	5,200	20,975	42,693	160	
Fund Balance								
Fund Balance - Beginning of Year	129,041	169,393	169,393	169,393	169,393	169,393	0	
Changes to Designated Fund Balance*	(7,720)	(5,000)	(5,000)	0	0	(5,000)	0	
Budgeted Increase/(Decrease) in Fund Balance	44,508	(25,024)	(25,025)	(64,695)	(167,469)	(25,024)	1	
Change in Inventory/Prepaid Items/Imprest Cash	3,564	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	4,080	4,080	
Fund Balance, End of Year***	169,393	139,369	139,368	104,698	1,924	143,449	4,081	

*The Rainy Day Fund of \$20 million became unassigned in FY2011. In FY2012, the City reassigned \$5 million to the Rainy Day Fund and another \$5 million in the FY2013 Budget. The total designation for the Rainy Day Fund is \$10 million. In FY2012, the City also provides for the designation of \$2.72 million out of the General Fund fund balance as restricted in the event that DARLEP collections are insufficient (Ordinance 2012-98).

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$128,200 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$15,249 above 7.5% based on the Controller's projections for Fiscal Year 2013.

General Fund (Fund 1000)
Finance
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection	Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD			
Revenues								
General Property Taxes	\$ 866,141	\$ 900,197	900,197	\$ 2,403	\$ 11,717	\$ 900,197	0	0.0%
Industrial Assessments	37	14,800	14,800	658	11,824	29,563	14,763	99.8%
Sales Tax	546,796	577,373	577,373	44,166	187,385	577,373	0	0.0%
Other Taxes	9,801	9,685	9,685	2,409	2,483	9,685	0	0.0%
Electric Franchise	99,765	103,697	103,697	8,895	34,595	103,697	0	0.0%
Telephone Franchise	45,466	44,606	44,606	3,721	14,997	44,606	0	0.0%
Gas Franchise	22,009	19,194	19,194	1,599	6,398	19,194	0	0.0%
Other Franchise	25,520	24,175	24,175	2,011	8,044	24,175	0	0.0%
Licenses and Permits	24,586	29,502	29,502	2,774	10,007	29,502	0	0.0%
Intergovernmental	12,124	10,332	10,332	(152)	335	10,632	300	2.9%
Charges for Services	45,370	45,321	45,321	3,959	17,053	46,608	1,287	2.8%
Direct Interfund Services	41,469	43,959	43,959	3,650	13,589	43,959	0	0.0%
Indirect Interfund Services	18,255	16,850	16,850	686	4,782	16,850	0	0.0%
Municipal Courts Fines and Forfeits	34,416	34,486	34,486	2,951	9,804	34,486	0	0.0%
Other Fines and Forfeits	2,774	5,051	5,051	359	1,354	5,051	0	0.0%
Interest	4,433	4,000	4,000	156	892	4,000	0	0.0%
Miscellaneous/Other	4,070	8,064	8,064	722	1,745	8,064	0	0.0%
Total Revenues	1,803,032	1,891,292	1,891,292	80,967	337,004	1,907,642	16,350	0.9%
Expenditures								
Administration & Regulatory Affairs	25,999	28,127	28,427	1,862	13,001	28,427	0	0.0%
City Council	5,478	6,352	6,352	526	2,003	6,352	0	0.0%
City Secretary	730	836	836	66	246	836	0	0.0%
Controller	6,945	7,375	7,684	609	2,474	7,892	(208)	-2.7%
Finance	22,027	11,277	11,277	959	2,584	11,277	0	0.0%
Fire	420,323	433,391	433,270	37,888	140,244	433,270	0	0.0%
General Services	45,689	49,020	49,020	4,039	13,423	49,020	0	0.0%
Health and Human Services	40,121	42,381	42,382	3,633	13,049	42,542	(160)	-0.4%
Housing and Community Dev.	599	2,429	2,514	15	223	2,514	0	0.0%
Houston Emergency Center	11,550	11,855	11,855	2,964	5,928	11,855	0	0.0%
Human Resources	3,119	3,246	3,246	261	967	3,246	0	0.0%
Information Technology	16,857	19,269	19,442	1,407	5,826	19,442	0	0.0%
Legal	12,531	14,112	14,112	1,119	4,371	14,112	0	0.0%
Library	31,607	33,326	33,362	2,490	9,769	33,362	0	0.0%
Mayor's Office	5,335	6,113	6,113	353	2,025	6,113	0	0.0%
Municipal Courts	21,224	24,136	24,136	2,204	7,289	24,136	0	0.0%
Neighborhoods	9,131	10,320	10,320	896	3,100	10,320	0	0.0%
Office of Business Opportunity	1,998	2,352	2,352	182	665	2,352	0	0.0%
Parks and Recreation	63,156	64,403	64,457	5,394	20,023	64,457	0	0.0%
Planning and Development	6,657	7,545	7,550	575	2,219	7,550	0	0.0%
Police	640,887	697,567	697,352	60,701	223,169	697,352	0	0.0%
Public Works and Engineering	37,343	35,659	35,659	5,536	12,328	35,659	0	0.0%
Solid Waste Management	65,749	69,373	69,373	7,551	20,387	69,373	0	0.0%
Total Departmental Expenditures	1,495,055	1,580,464	1,581,091	141,230	505,313	1,581,459	(368)	0.0%
General Government	97,610	126,330	125,704	9,632	20,135	127,872	(2,168)	-1.7%
Total Expenditures Other Than Debt	1,592,665	1,706,794	1,706,795	150,862	525,448	1,709,331	(2,536)	-0.1%
Budgeted Debt Service	220,507	241,100	241,100	0	0	241,100	0	0.0%
Debt Service Transfer	220,507	241,100	241,100	0	0	241,100	0	0.0%
Total Expenditures and Other Uses	1,813,172	1,947,894	1,947,895	150,862	525,448	1,950,431	(2,536)	-0.1%
Net Current Activity	(10,140)	(56,602)	(56,603)	(69,895)	(188,444)	(42,789)	13,814	
Other Financing Sources (Uses)								
Notes from Proceeds	0	0	0	0	10,955	10,955	10,955	
Transfers from Other Funds	53,144	27,078	27,078	4,086	8,677	27,238	160	
Sale of Capital Assets	1,504	4,500	4,500	1,114	1,343	4,500	0	
Total Other Financing Sources (Uses)	54,648	31,578	31,578	5,200	20,975	42,693	11,115	
Fund Balance								
Fund Balance - Beginning of Year	129,041	169,393	169,393	169,393	169,393	169,393	0	
Changes to Designated Fund Balance*	(7,720)	(5,000)	(5,000)	0	0	(5,000)	0	
Budgeted Increase/(Decrease) in Fund Balance	44,508	(25,024)	(25,025)	(64,695)	0	(25,024)	1	
Change in Inventory/Prepaid Items/Imprest Cash	3,564	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	24,928	24,928	
Fund Balance, End of Year	169,393	139,369	139,368	104,698	1,924	164,297	24,929	

*The Rainy Day Fund of \$20 million became unassigned in FY2011. In FY2012, the City reassigned \$5 million to the Rainy Day Fund and another \$5 million in the FY2013 Budget. The total designation for the Rainy Day Fund is \$10 million. In FY2012, the City also provides for the designation of \$2.72 million out of the General Fund fund balance as restricted in the event that DARLEP collections are insufficient (Ordinance 2012-98).

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

General Fund (Fund 1000)
 General Government
 For the period ended October 31, 2012
 (amounts expressed in thousands)

	FY2013							
	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	Current Month	YTD	YTD as % of Current Budget	Controller's Projection	Finance Projection
Non-Dept. Exp. and Other Uses								
General Government								
Termination Pay - Civilian	1,388	12,051	12,051	932	3,750	31.1%	12,051	12,051
Health/Life Ins. Ret.-Classified	110	0	0	0	0	0.0%	0	0
Insurance-Civilian (Retirees)	10,250	0	0	0	0	0.0%	0	0
Total Personnel Services	<u>11,748</u>	<u>12,051</u>	<u>12,051</u>	<u>932</u>	<u>3,750</u>	<u>31.1%</u>	<u>12,051</u>	<u>12,051</u>
Accounting and Auditing Svcs	0	0	0	0	0	0.0%	0	0
Banking Services	113	128	128	7	32	25.0%	128	128
Advertising Svcs	332	300	300	70	100	33.3%	300	300
Fuel	1,954	1,200	1,200	0	0	0.0%	1,200	1,200
Interfund Services	0	1,376	1,376	0	0	0.0%	1,376	1,376
Legal Services	575	1,895	1,895	115	202	10.7%	1,895	1,895
Management Consulting Svcs.	440	681	1,181	163	644	54.5%	1,181	1,181
Real Estate Lease	4,783	4,650	4,650	372	1,487	32.0%	4,650	4,650
METRO Commuter Passes	692	720	720	0	0	0.0%	720	720
Electricity	0	1,897	1,897	0	0	0.0%	1,897	1,897
Limited Purpose Annexation Pmts.	35,729	38,208	38,208	2,881	2,881	7.5%	38,208	38,208
Criminal Intelligence Services	0	5,000	5,000	500	500	10.0%	5,000	5,000
Tax Appraisal Fees	7,616	7,800	7,800	0	3,767	48.3%	7,800	7,800
Ch380 - Sales Tax Refund	236	263	263	0	0	0.0%	0	0
Elections	2,178	0	500	355	355	0.0%	500	500
Claims and Judgments	6,826	10,277	10,277	0	0	0.0%	10,277	10,277
Contingency/Reserve	1,833	4,100	2,439	0	0	0.0%	3,328	3,328
Contributions	0	12,791	12,791	3,601	3,601	0.0%	12,791	12,791
Misc Other Services and Charges	3,818	1,878	1,878	187	187	10.0%	3,420	3,420
Membership and Professional Fees	1,521	1,625	1,660	405	500	30.1%	1,660	1,660
Total Other Services and Charges	<u>68,646</u>	<u>94,789</u>	<u>94,163</u>	<u>8,656</u>	<u>14,256</u>	<u>15.1%</u>	<u>96,331</u>	<u>96,331</u>
Other Financing Uses								
Debt Service-Interest	2,061	4,117	4,117	23	757	18.4%	4,117	4,117
Transfers to Conv & Entertain	337	448	448	21	141	31.5%	448	448
Transfers to CUS	0	0	0	0	0	0.0%	0	0
Transfers to Special Revenues	14,818	14,925	14,925	0	1,231	8.2%	14,925	14,925
Total Other Financing Uses	<u>17,216</u>	<u>19,490</u>	<u>19,490</u>	<u>44</u>	<u>2,129</u>	<u>10.9%</u>	<u>19,490</u>	<u>19,490</u>
 Total General Government	 <u>97,610</u>	 <u>126,330</u>	 <u>125,704</u>	 <u>9,632</u>	 <u>20,135</u>	 <u>16.0%</u>	 <u>127,872</u>	 <u>127,872</u>

General Fund
Statement of Cash Transactions
For the period ended October 31, 2012
(amounts expressed in thousands)

	Month Ended	FY2013 YTD
Cash Balance, Beginning of Month	\$ 168,185	\$ 106,991
RECEIPTS:		
Balance Sheet Transactions	11,474	39,689
TRANS Proceeds	-	181,489
Short-term Borrowings	-	10,955
Taxable Note Proceeds	-	-
Ad Valorem Tax	2,405	11,692
Industrial Assessments	810	11,973
Sales Tax	46,738	195,891
Bingo Tax	-	47
Mixed Beverage Tax	2,408	5,019
Electric Franchise Fees	8,896	26,351
Telephone Franchise Fees	-	11,148
Natural Gas Franchise Fees	1,600	4,799
Other Franchise Fees	1,347	7,909
Licenses and Permits	2,639	9,451
Intergovernmental	(152)	6,042
Charge for Services	3,959	17,162
Direct Interfund Services	3,602	13,087
Indirect Interfund Services	686	(34)
Municipal Courts Fines	2,376	10,745
Interfund - Pension Bond Proceeds	-	-
Interfund - Disaster Recovery Fund	-	-
Interfund - all other funds	-	-
Other Fines & Forfeitures	345	1,426
Interest Apportionment	156	891
Other	5,845	11,386
Total Receipts	<u>95,134</u>	<u>577,118</u>
DISBURSEMENTS:		
Balance Sheet Transactions	(5,535)	(25,887)
Vendor Payment	(24,133)	(72,531)
Payroll Expenses	(96,404)	(395,027)
Workers' Compensation	(1,402)	(4,828)
Operating Transfer Out	(2,984)	(14,083)
Supplies	(6,339)	(18,102)
Contract Services	(588)	(2,063)
Rental & Leasings	(468)	(1,931)
Utilities	(6,213)	(18,124)
TRANS Borrowing / Repayment	(542)	(542)
Taxable Note Principal and Interest	-	-
TIRZ Payment	-	-
Interfund - Transfer to Rainy Day Fund	-	-
Interfund - Transfer to Debt Service	-	-
Interfund - all other funds	(5,815)	(16,373)
Capital Outlay	-	-
Other	1	(1,721)
Total Disbursements	<u>(150,422)</u>	<u>(571,213)</u>
Net Increase (Decrease) in Cash	(55,288)	5,905
Cash Balance, End of Month	<u>\$ 112,896</u>	<u>\$ 112,896</u>

Note: Totals may not add up exactly due to rounding

General Fund 1000
Five Year History and Current Year Projection
(amounts expressed in thousands)

	FY2008		FY2009		FY2010	
	Actual	% of Total	Actual	% of Total	Actual	% of Total
Revenues						
General Property Taxes	\$ 830,889	49.0%	\$ 890,088	49.0%	\$ 892,865	48.9%
Industrial Assessments	17,787	1.1%	19,133	1.1%	15,817	1.1%
Sales Tax	495,173	28.4%	507,103	28.4%	468,965	27.7%
Other Taxes	10,735	0.6%	10,813	0.6%	10,577	0.6%
Electric Franchise	98,141	5.5%	99,612	5.5%	97,248	5.5%
Telephone Franchise	49,566	2.1%	48,229	2.1%	48,263	2.7%
Gas Franchise	21,507	1.2%	21,258	1.2%	21,729	1.2%
Other Franchise	20,981	0.9%	21,223	0.9%	23,628	1.2%
License and Permits	20,889	1.0%	17,511	1.0%	18,636	1.0%
Intergovernmental	32,950	1.8%	33,027	1.8%	32,148	1.8%
Charges for Services	39,836	2.0%	35,743	2.0%	34,156	2.0%
Direct Interfund Services	41,395	2.5%	47,890	2.5%	46,906	2.6%
Indirect Interfund Services	10,950	0.7%	13,190	0.7%	16,012	0.7%
Muni Courts Fines and Forfeits	37,140	2.1%	37,692	2.1%	38,096	2.0%
Other Fines and Forfeits	4,491	0.1%	2,692	0.1%	2,029	0.1%
Interest	16,992	0.5%	8,826	0.5%	6,858	0.5%
Miscellaneous/Other	12,315	0.6%	10,276	0.6%	8,215	0.6%
Total Revenues	1,761,737	100.0%	1,824,306	100.0%	1,782,148	100.0%
Expenditures						
Administration & Regulatory Affairs	19,363	1.2%	22,845	1.2%	30,206	1.2%
City Council	4,981	0.3%	5,097	0.3%	5,094	0.3%
City Secretary	629	0.0%	667	0.0%	685	0.0%
Controller	6,596	0.4%	7,111	0.4%	7,115	0.4%
Convention & Entertainment	1,153	0.1%	1,194	0.1%	1,159	0.1%
Finance	8,171	0.5%	9,044	0.5%	9,908	0.5%
Fire	388,354	22.3%	422,718	22.3%	435,852	22.2%
General Services	45,384	2.6%	50,034	2.6%	47,633	2.6%
Health and Human Services	50,903	3.0%	56,638	3.0%	48,541	3.0%
Housing and Community Dev.	472	0.0%	779	0.0%	832	0.0%
Houston Emergency Center	10,742	0.6%	11,280	0.6%	11,193	0.6%
Human Resources	2,470	0.1%	2,740	0.1%	3,181	0.1%
Information Technology	17,322	0.9%	17,494	0.9%	19,065	0.9%
Legal	13,779	0.8%	15,996	0.8%	16,311	0.8%
Library	34,869	2.0%	37,647	2.0%	37,237	2.0%
Mayor's Office	2,900	0.2%	2,917	0.2%	2,879	0.2%
Municipal Courts	21,185	1.0%	23,516	1.0%	22,697	1.0%
Neighborhoods	0	0.0%	0	1.0%	0	0.0%
Office of Business Opportunity	2,137	0.1%	2,311	0.1%	2,457	0.1%
Parks and Recreation	64,682	3.5%	70,111	3.5%	67,500	3.7%
Planning and Development	7,557	0.4%	8,220	0.4%	8,985	0.4%
Police	618,308	34.7%	657,225	34.7%	662,765	34.5%
Public Works and Engineering	88,431	4.7%	90,321	4.7%	86,034	4.8%
Solid Waste Management	74,083	3.9%	74,419	3.9%	68,472	3.9%
Total Departmental Expenditures	1,484,471	83.8%	1,590,324	83.8%	1,595,801	83.6%
General Government	83,020	3.9%	78,374	3.9%	80,566	4.2%
Debt Service Transfer	222,850	12.3%	232,948	12.3%	240,020	12.2%
Total Expenditures and Other Uses	1,790,341	100.0%	1,901,646	100.0%	1,916,387	100.0%
Net Current Activity	(28,604)		(77,340)		(134,239)	
Other Financing Sources (Uses)						
Notes from Proceeds	0		0		0	
Transfers from Other Funds	11,219		35,810		38,658	
Pension Bond Proceed	35,000		20,000		20,000	
Sale of Capital Assets	4,003		4,798		6,548	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	50,222		60,608		65,206	
Fund Balance						
Fund Balance - Beginning of Year	231,888		253,043		236,311	
Change in Misc. Other Reserves	(463)		0		0	
Changes to Designated Fund Balance	0		0		0	
Budgeted Increase/(Decrease) in Fund Balance	0		0		0	
Change in Inventory, Prepaid Items and Imprest Cash	0		0		(1,895)	
Fund Balance, End of Year	253,043		236,311		165,383	

General Fund 1000
Five Year History and Current Year Projection (cont'd)
(amounts expressed in thousands)

	FY2011		FY2012		FY2013	
	Actual	% of Total	Unaudited Preliminary	% of Total	Projection	% of Total
	\$		\$		\$	
Revenues						
General Property Taxes	859,413	47.7%	866,141	48.0%	900,197	47.2%
Industrial Assessments	14,458	0.8%	37	0.0%	29,563	1.5%
Sales Tax	492,824	27.3%	546,796	30.3%	577,373	30.3%
Other Taxes	10,450	0.6%	9,801	0.5%	9,685	0.5%
Electric Franchise	98,108	5.4%	99,765	5.5%	103,697	5.4%
Telephone Franchise	46,722	2.6%	45,466	2.5%	44,606	2.3%
Gas Franchise	21,890	1.2%	22,009	1.2%	19,194	1.0%
Other Franchise	23,844	1.3%	25,520	1.4%	24,175	1.3%
License and Permits	18,714	1.0%	24,586	1.4%	29,502	1.5%
Intergovernmental	58,895	3.3%	12,124	0.7%	10,632	0.6%
Charges for Services	38,166	2.1%	45,370	2.5%	46,608	2.4%
Direct Interfund Services	46,034	2.6%	41,469	2.3%	43,959	2.3%
Indirect Interfund Services	16,328	0.9%	18,255	1.0%	16,850	0.9%
Muni Courts Fines and Forfeits	36,319	2.0%	34,416	1.9%	34,486	1.8%
Other Fines and Forfeits	2,903	0.2%	2,774	0.2%	5,051	0.3%
Interest	5,788	0.3%	4,433	0.2%	4,000	0.2%
Miscellaneous/Other	11,872	0.7%	4,070	0.2%	8,064	0.4%
Total Revenues	1,802,728	100.0%	1,803,032	100.0%	1,907,642	100.0%
Expenditures						
Administration & Regulatory Affairs	31,641	1.7%	25,999	1.4%	28,427	1.5%
City Council	5,007	0.3%	5,478	0.3%	6,352	0.3%
City Secretary	747	0.0%	730	0.0%	836	0.0%
Controller	7,389	0.4%	6,945	0.4%	7,892	0.4%
Convention & Entertainment	0	0.0%	0	0.0%	0	0.0%
Finance	9,802	0.5%	22,027	1.2%	11,277	0.6%
Fire	448,175	23.6%	420,323	23.2%	433,270	22.2%
General Services	46,079	2.4%	45,689	2.5%	49,020	2.5%
Health and Human Services	45,614	2.4%	40,121	2.2%	42,542	2.2%
Housing and Community Dev.	860	0.0%	599	0.0%	2,514	0.1%
Houston Emergency Center	11,172	0.6%	11,550	0.6%	11,855	0.6%
Human Resources	3,152	0.2%	3,119	0.2%	3,246	0.2%
Information Technology	19,073	1.0%	16,857	0.9%	19,442	1.0%
Legal	16,974	0.9%	12,531	0.7%	14,112	0.7%
Library	35,305	1.9%	31,607	1.7%	33,362	1.7%
Mayor's Office	2,930	0.2%	5,335	0.3%	6,113	0.3%
Municipal Courts	22,837	1.2%	21,224	1.2%	24,136	1.2%
Neighborhoods	0	0.0%	9,131	0.0%	10,320	0.5%
Office of Business Opportunity	2,404	0.1%	1,998	0.1%	2,352	0.1%
Parks and Recreation	63,133	3.3%	63,156	3.5%	64,457	3.3%
Planning and Development	8,173	0.4%	6,657	0.4%	7,550	0.4%
Police	663,420	34.9%	640,887	35.3%	697,352	35.8%
Public Works and Engineering	83,464	4.4%	37,343	2.1%	35,659	1.8%
Solid Waste Management	65,543	3.4%	65,749	3.6%	69,373	3.6%
Total Departmental Expenditures	1,592,894	83.8%	1,495,055	82.0%	1,581,459	81.1%
General Government	87,144	4.6%	97,610	5.4%	127,872	6.6%
Debt Service Transfer	220,837	11.6%	220,507	12.2%	241,100	12.4%
Total Expenditures and Other Uses	1,900,875	100.0%	1,813,172	99.5%	1,950,431	100.0%
Net Current Activity	(98,147)		(10,140)		(42,789)	
Other Financing Sources (Uses)						
Notes from Proceeds	0		0		10,955	
Transfers from Other Funds	23,561		53,144		27,238	
Pension Bond Proceed	0		0		0	
Sale of Capital Assets	13,766		1,504		4,500	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	37,327		54,648		42,693	
Fund Balance						
Fund Balance - Beginning of Year	165,383		129,041		169,393	
Change in Misc. Other Reserves	0		0		0	
Changes to Designated Fund Balance	20,000		(7,720)		(5,000)	
Budgeted Increase/(Decrease) in Fund Balance	0		0		0	
Change in Inventory, Prepaid Items and Imprest Cash	4,478		3,564		0	
Fund Balance, End of Year	129,041		169,393		164,297	

Aviation Operating Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Landing Area	\$ 86,935	\$ 90,772	\$ 90,772	\$ 30,957	\$ 90,772	\$ 90,772
Bldg and Ground Area	182,320	187,950	187,950	63,657	187,950	187,950
Parking and Concession	144,220	145,655	145,655	50,712	144,683	144,683
Other	4,076	3,780	3,780	1,229	3,780	3,780
Total Operating Revenues	<u>417,551</u>	<u>428,157</u>	<u>428,157</u>	<u>146,555</u>	<u>427,185</u>	<u>427,185</u>
Operating Expenses						
Personnel	107,532	99,099	99,099	31,547	98,769	98,769
Supplies	7,290	8,404	8,404	2,195	7,934	7,934
Services	139,613	152,046	154,406	44,236	149,712	149,712
Non-Capital Outlay	967	2,229	2,229	262	1,835	1,835
Total Operating Expenses	<u>255,402</u>	<u>261,778</u>	<u>264,138</u>	<u>78,240</u>	<u>258,250</u>	<u>258,250</u>
Operating Income (Loss)	<u>162,149</u>	<u>166,379</u>	<u>164,019</u>	<u>68,315</u>	<u>168,935</u>	<u>168,935</u>
Non-Operating Revenues (Expenses)						
Interest Income	9,826	9,076	9,076	2,702	8,083	8,083
Other	2,360	0	0	685	14	14
Total Non-Operating Rev (Exp)	<u>12,186</u>	<u>9,076</u>	<u>9,076</u>	<u>3,387</u>	<u>8,097</u>	<u>8,097</u>
Income (Loss) Before Operating Transfers	<u>174,335</u>	<u>175,455</u>	<u>173,095</u>	<u>71,702</u>	<u>177,032</u>	<u>177,032</u>
Operating Transfers						
Interfund Transfer - Oper Reserve	987	2,000	2,000	0	2,000	2,000
Debt Service Principal	47,068	54,521	54,521	14,627	56,800	56,800
Debt Service Interest	58,413	63,981	63,981	25,055	64,133	64,133
Capital Improvement	67,021	54,953	52,593	6,913	54,099	54,099
Total Operating Transfers	<u>173,489</u>	<u>175,455</u>	<u>173,095</u>	<u>46,595</u>	<u>177,032</u>	<u>177,032</u>
Net Income (Loss)						
Operating Fund Only	\$ <u>846</u>	\$ <u>0</u>	\$ <u>0</u>	<u>25,107</u>	\$ <u>0</u>	\$ <u>0</u>

About the Fund:

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport, and Ellington Field. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies, the airlines, and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controllers Projection	Finance Projection
Operating Revenues						
Facility Rentals	\$ 1,200	\$ 1,380	\$ 1,380	\$ 1,380	\$ 1,380	\$ 1,380
Parking	7,732	8,407	8,407	2,456	8,407	8,407
Contract Cleaning	(1)	0	0	0	0	0
Total Operating Revenues	<u>8,931</u>	<u>9,787</u>	<u>9,787</u>	<u>3,836</u>	<u>9,787</u>	<u>9,787</u>
Operating Expenses						
Personnel	613	347	347	78	347	347
Supplies	0	0	0	0	0	0
Services	932	850	855	399	855	855
Total Operating Expenses	<u>1,545</u>	<u>1,197</u>	<u>1,202</u>	<u>477</u>	<u>1,202</u>	<u>1,202</u>
Operating Income (Loss)	<u>7,386</u>	<u>8,590</u>	<u>8,585</u>	<u>3,359</u>	<u>8,585</u>	<u>8,585</u>
Non-Operating Revenues (Expenses)						
Hotel Occupancy Tax						
Current	67,548	66,275	66,275	18,931	66,275	66,275
Delinquent	1,074	994	994	420	994	994
Net Hotel Occupancy Tax	<u>68,622</u>	<u>67,269</u>	<u>67,269</u>	<u>19,351</u>	<u>67,269</u>	<u>67,269</u>
Interest Income	64	420	420	166	420	420
Capital Outlay	0	(2,500)	(2,495)	(1,805)	(2,495)	(2,495)
Other Interest	(56)	(123)	(123)	(23)	(123)	(123)
Other	43	841	841	100	941	941
Total Non-Operating Rev (Exp)	<u>68,673</u>	<u>65,907</u>	<u>65,912</u>	<u>17,789</u>	<u>66,012</u>	<u>66,012</u>
Income (Loss) Before Operating Transfers	<u>76,059</u>	<u>74,497</u>	<u>74,497</u>	<u>21,148</u>	<u>74,597</u>	<u>74,597</u>
Operating Transfers						
Transfers for Interest	4,815	5,104	5,104	1,726	5,104	5,104
Transfers for Principal	13,418	16,085	16,085	5,192	16,085	16,085
Transfer to Component Unit	68,234	53,934	53,934	15,063	53,934	53,934
Transfers to General Fund	10,388	1,380	1,380	1,380	1,380	1,380
Transfers to Debt Service	2,312	0	0	0	0	0
Total Operating Transfers	<u>99,167</u>	<u>76,503</u>	<u>76,503</u>	<u>23,361</u>	<u>76,503</u>	<u>76,503</u>
Net Income (Loss)						
Operating Fund Only	\$ <u>(23,108)</u>	\$ <u>(2,006)</u>	\$ <u>(2,006)</u>	\$ <u>(2,213)</u>	\$ <u>(1,906)</u>	\$ <u>(1,906)</u>

About the Fund:

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's six major entertainment centers and City-owned parking garages: Jesse H. Jones Hall, Bayou Place, Houston Center for The Arts, Gus S. Wortham Center, George R. Brown Convention Center, Tranquility Park garage and Civic Center garage.

Combined Utility System Fund
For the period ending October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Water Sales	\$ 485,338	\$ 494,013	\$ 494,013	\$ 175,420	\$ 494,013	\$ 494,013
Sewer Sales	421,370	427,119	427,119	149,285	427,119	427,119
Penalties	10,004	9,000	9,000	3,401	9,000	9,000
Other	6,414	8,419	8,419	3,074	8,419	8,419
Total Operating Revenues	<u>923,126</u>	<u>938,551</u>	<u>938,551</u>	<u>331,180</u>	<u>938,551</u>	<u>938,551</u>
Operating Expenses						
Personnel	148,601	162,045	162,045	50,967	158,759	158,759
Supplies	48,003	46,397	46,397	13,703	46,397	46,397
Electricity and Gas	63,863	58,555	58,555	19,498	58,555	58,555
Contracts & Other Payments	132,112	133,721	133,621	25,531	134,648	134,648
Non-Capital Equipment	3,027	2,912	3,012	706	2,912	2,912
Total Operating Expenses	<u>395,606</u>	<u>403,630</u>	<u>403,630</u>	<u>110,405</u>	<u>401,271</u>	<u>401,271</u>
Operating Income (Loss)	<u>527,520</u>	<u>534,921</u>	<u>534,921</u>	<u>220,775</u>	<u>537,280</u>	<u>537,280</u>
Non-Operating Revenues (Expenses)						
Interest Income	8,310	7,598	7,598	2,454	7,598	7,598
Sale of Property, Mains and Scrap	876	400	400	391	400	400
Other	5,851	8,153	8,153	4,481	8,153	8,153
Impact Fees	16,651	13,000	13,000	0	13,000	13,000
CWA & TRA Contracts (P & I)	(20,389)	(19,663)	(19,663)	(11,159)	(19,663)	(19,663)
Total Non-Operating Rev (Exp)	<u>11,299</u>	<u>9,488</u>	<u>9,488</u>	<u>(3,833)</u>	<u>9,488</u>	<u>9,488</u>
Income (Loss) Before Operating Transfers	<u>538,819</u>	<u>544,409</u>	<u>544,409</u>	<u>216,942</u>	<u>546,768</u>	<u>546,768</u>
Operating Transfers						
Debt Service Transfer	381,710	428,560	428,560	45,195	426,534	426,534
Transfer to PIB - Water & Sewer	21,163	15,807	15,807	1,896	15,807	15,807
Transfer to Capital Project Fund	50,000	50,000	50,000	50,000	50,000	50,000
Pension Liability Interest	3,814	3,814	3,814	636	3,814	3,814
Equipment Acquisition	19,928	21,468	21,611	2,416	21,468	21,468
Transfer to Stormwater	44,369	43,799	43,656	12,927	43,575	43,575
Total Operating Transfers	<u>520,984</u>	<u>563,448</u>	<u>563,448</u>	<u>113,070</u>	<u>561,198</u>	<u>561,198</u>
Net Current Activity						
Operating Fund Only	<u>\$ 17,835</u>	<u>\$ (19,039)</u>	<u>\$ (19,039)</u>	<u>\$ 103,872</u>	<u>\$ (14,430)</u>	<u>\$ (14,430)</u>

About the Fund:

The Combined Utility System Fund, which includes Fund 8300, Fund 8301, and Fund 8305, is an enterprise fund which accounts for operation of the City's water and wastewater facilities. The fund provides for the operation of the City's treated and untreated water, as well as, receive and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers.

Dedicated Drainage & Street Renewal Fund - 2310
For the period ending October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Drainage Charge Revenue*	\$ 100,486	\$ 103,001	\$ 103,001	\$ 34,331	\$ 103,001	\$ 103,001
Interfund Drainage Fee**	6,850	1,879	1,879	568	1,879	1,879
Charges for Services	2,142	1,285	1,285	117	1,285	1,285
Licenses & Permits	814	670	670	312	750	750
Street Milling and Sales Earnings	1,117	900	900	300	900	900
Metro Intergovernmental Revenue	52,189	51,200	51,200	0	51,200	51,200
Miscellaneous/Other	268	156	156	73	165	165
Total Revenues	163,866	159,091	159,091	35,701	159,180	159,180
Expenditures						
Personnel	28,549	30,728	30,728	9,543	30,728	30,728
Supplies	12,884	13,407	13,407	3,142	13,407	13,407
Other Services	13,390	13,004	13,004	3,383	13,004	13,004
Capital Outlay	735	3,349	3,378	844	3,349	3,349
Total Expenditures	55,558	60,488	60,517	16,912	60,488	60,488
Net Current Activity	108,308	98,603	98,574	18,789	98,692	98,692
Other Financing Sources (Uses)						
Interest Income	358	500	500	314	600	600
Transfer In - General Fund ⁽¹⁾	9,193	10,000	10,000	0	10,000	10,000
Transfer Out - Commercial Paper Agent Fees	(514)	(800)	(800)	(192)	(800)	(800)
Transfer Out - Capital Projects	(41,275)	(110,000)	(109,971)	(13,437)	(110,000)	(110,000)
Transfer Out - Others	0	(7,654)	(7,654)	(7,654)	(7,654)	(7,654)
Total Other Financing Sources (Uses)	(32,238)	(107,954)	(107,925)	(20,969)	(107,854)	(107,854)
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	76,070	(9,351)	(9,351)	(2,180)	(9,162)	(9,162)
Fund Balance, Beginning of Year	0	76,070	76,070	76,070	76,070	76,070
Fund Balance, End of Year	\$ 76,070	\$ 66,719	\$ 66,719	\$ 73,890	\$ 66,908	\$ 66,908

*The Drainage Charge Revenue YTD includes all amounts billed. There is typically a 21 day lag between the billed and collected amounts.

**The Interfund Drainage Charge for FY2012 Actual does not include the Houston Airport and Combined Utility System 2 year Advance Payments (\$10 Million). For CAFR purpose, this amount is considered as Deferred Revenue.

Note:

1. This amount is based on the Captured Ad Valorem Tax Revenue as calculated below:

Dedicated Drainage & Street Renewal Captured Ad Valorem Tax Revenue (amounts expressed in thousands)			
	FY2013		
	Adopted Budget	Projection	Year to Date Actual
Property Tax Revenue (General Fund) (\$0.118 of City's Ad Valorem Tax Levy)	\$ 165,452	\$ 165,452	\$ 0
Less Street & Drainage Debt Service (General Fund)	(155,452)	(155,452)	0
Captured Revenues ⁽¹⁾ (to be transferred to Dedicated Drainage & Street Renewal Fund)	10,000	10,000	0

Note:
Ordinance 2010-879 requires funding in the amount equivalent to proceeds from \$0.118 of the City's ad valorem tax levy minus an amount equal to debt service for drainage and streets to the Dedicated Drainage & Street Renewal Fund. Total outstanding debt payable from ad valorem taxes (as of October 31, 2012) is \$3.45 billion. The portion of the debt associated with drainage and street improvements is estimated at \$1.69 billion.

The Dedicated Drainage and Street Renewal Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Storm Water Fund
For the period ending October 31, 2012
(amounts expressed in thousands)

	Unaudited	FY2013				
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Other Interfund Services	158	\$ 88	\$ 88	\$ 0	\$ 88	\$ 88
Miscellaneous	\$ 259	\$ 30	\$ 30	\$ 1	\$ 30	\$ 30
Total Revenues	<u>417</u>	<u>118</u>	<u>118</u>	<u>1</u>	<u>118</u>	<u>118</u>
Expenditures						
Personnel	19,349	20,844	20,844	6,712	20,619	20,619
Supplies	2,574	2,328	2,328	753	2,328	2,328
Other Services	10,765	12,133	12,133	3,126	12,133	12,133
Capital Outlay	1,840	3,612	3,612	170	3,612	3,612
Total Expenditures	<u>34,528</u>	<u>38,917</u>	<u>38,917</u>	<u>10,761</u>	<u>38,692</u>	<u>38,692</u>
Net Current Activity	(34,111)	(38,799)	(38,799)	(10,760)	(38,574)	(38,574)
Other Financing Sources (Uses)						
Interest Income	261	50	50	11	50	50
Transfers In - CUS	44,369	43,799	43,799	12,927	43,575	43,575
Transfers In - DD&SRF	0	7,654	7,654	7,654	7,654	7,654
Transfer Out - Pension Liability Interest	(565)	(565)	(565)	(283)	(565)	(565)
Transfer Out - General Fund	(17,167)	(67)	(67)	(17)	(67)	(67)
Discretionary Debt - Drainage	(9,421)	(12,073)	(12,073)	(4,999)	(12,073)	(12,073)
Total Other Financing Sources (Uses)	<u>17,477</u>	<u>38,798</u>	<u>38,798</u>	<u>15,293</u>	<u>38,574</u>	<u>38,574</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	(16,634)	(1)	(1)	4,533	0	0
Fund Balance, Beginning of Year	<u>19,227</u>	<u>2,593</u>	<u>2,593</u>	<u>2,593</u>	<u>2,593</u>	<u>2,593</u>
Fund Balance, End of Year	<u>\$ 2,593</u>	<u>\$ 2,592</u>	<u>\$ 2,592</u>	<u>\$ 7,126</u>	<u>\$ 2,593</u>	<u>\$ 2,593</u>

Note: The Storm Water Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Health Benefits Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
City Medical Plans	\$ 276,456	\$ 325,770	\$ 325,770	\$ 103,169	\$ 325,770	\$ 325,770
City Dental Plans	9,631	9,739	9,739	3,237	9,739	9,739
City Life Insurance Plans	5,686	5,812	5,812	1,929	5,812	5,812
Health Flexible Spending Account	1,908	2,100	2,100	593	2,100	2,100
Dependent Care Reimbursement	246	260	260	79	260	260
Operating Revenues	<u>293,927</u>	<u>343,681</u>	<u>343,681</u>	<u>109,007</u>	<u>343,681</u>	<u>343,681</u>
Operating Expenses						
BCBS and Medicare Advantage	16,387	19,191	19,191	5,988	19,191	19,191
City Medical Plan Claims - Cigna	273,394	301,258	301,258	97,890	301,258	301,258
City Dental Plan Claims	9,631	9,739	9,739	3,237	9,739	9,739
City Life Insurance Plans	5,686	5,812	5,812	1,929	5,812	5,812
Administrative Costs	4,270	5,856	5,856	1,366	5,856	5,856
Health Flexible Spending Account	1,847	2,100	2,100	595	2,100	2,100
Dependent Care	246	260	260	79	260	260
Operating Expenses	<u>311,461</u>	<u>344,216</u>	<u>344,216</u>	<u>111,084</u>	<u>344,216</u>	<u>344,216</u>
Operating Income (Loss)	(17,534)	(535)	(535)	(2,077)	(535)	(535)
Non-Operating Revenues (Expenses)						
Interest Income	342	200	200	70	200	200
Prior Year Expense Recovery	227	0	0	262	262	262
Non-Operating Revenues (Expenses)	<u>569</u>	<u>200</u>	<u>200</u>	<u>332</u>	<u>462</u>	<u>462</u>
Net Income (Loss)	(16,965)	(335)	(335)	(1,745)	(73)	(73)
Net Assets, Beginning of Year	2,837	(14,128)	(14,128)	(14,128)	(14,128)	(14,128)
Net Assets, End of Year	\$ <u>(14,128)</u>	\$ <u>(14,463)</u>	\$ <u>(14,463)</u>	\$ <u>(15,873)</u>	\$ <u>(14,201)</u>	\$ <u>(14,201)</u>

About the Fund:

The Health Benefits Fund, an Internal Service Fund administered by the Human Resources Department, was established in 1984 to centralize the financial transactions for the City's benefit plans.

Effective May 1, 2011, the City elected to be substantially self-insured and awarded CIGNA a three year contract with two (2) one-year renewal options for 4 new health plans. The new health benefits model is composed of four (4) plans, all of which have heavy emphasis on a wellness component, and includes; 1) a limited network HMO-type plan, 2) an open access PPO-type plan with no out-of-network coverage, 3) a consumer driven high deductible Health Plan (CDHP), partnered with a health reimbursement account, and 4) a specific plan for retirees, mostly those under age 65, who live outside the limited network service area but who live in Texas. Effective 08/01/11 all 65+ Medicare eligible retirees must enroll in the 6 MA plans or opt out.

These plans are supported by contributions from the city and participants. The Fund also includes two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. Both plans are supported exclusively by participants.

Long-Term Disability Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Contributions	\$ 1,215	\$ 1,220	\$ 1,220	\$ 404	\$ 1,220	\$ 1,220
Operating Revenues	<u>1,215</u>	<u>1,220</u>	<u>1,220</u>	<u>404</u>	<u>1,220</u>	<u>1,220</u>
Operating Expenses						
Management Consulting Services	16	59	59	0	59	59
Claims Payment Services	124	170	170	0	170	170
Employee Medical Claims	1,105	1,191	1,191	400	1,191	1,191
Operating Expenses	<u>1,245</u>	<u>1,420</u>	<u>1,420</u>	<u>400</u>	<u>1,420</u>	<u>1,420</u>
Operating Income (Loss)	(30)	(200)	(200)	4	(200)	(200)
Non-Operating Revenues (Expenses)						
Interest Income	124	140	140	34	140	140
Non-Operating Revenues (Expenses)	<u>124</u>	<u>140</u>	<u>140</u>	<u>34</u>	<u>140</u>	<u>140</u>
Net Income (Loss)	94	(60)	(60)	38	(60)	(60)
Net Assets, Beginning of Year	<u>969</u>	<u>1,063</u>	<u>1,063</u>	<u>1,063</u>	<u>1,063</u>	<u>1,063</u>
Net Assets, End of Year	<u>\$ 1,063</u>	<u>\$ 1,003</u>	<u>\$ 1,003</u>	<u>\$ 1,101</u>	<u>\$ 1,003</u>	<u>\$ 1,003</u>

About the Fund:

The Long Term Disability (LTD) Plan is a self-insured program accounted for as an internal service fund. Established in 1985 and revised in 1996 as part of the Income Protection Plan (IPP) (renamed the Compensable Sick Leave Plan (CSL) in October 1996), the plan provides paid long-term sick leave for City employees.

Property and Casualty Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Interfund Legal Services	\$ 19,262	\$ 35,770	\$ 35,770	\$ 459	\$ 35,770	\$ 35,770
Operating Revenues	<u>19,262</u>	<u>35,770</u>	<u>35,770</u>	<u>459</u>	<u>35,770</u>	<u>35,770</u>
Operating Expenses						
Personnel	5,545	7,138	7,138	2,102	7,138	7,138
Supplies	163	163	163	25	163	163
Services:						
Insurance Fees/Adm.	10,919	13,780	13,780	244	13,780	13,780
Claims and Judgments	1,542	12,780	12,780	3,335	12,780	12,780
Other Services	1,088	1,909	1,909	301	1,909	1,909
Operating Expenses	<u>19,257</u>	<u>35,770</u>	<u>35,770</u>	<u>6,006</u>	<u>35,770</u>	<u>35,770</u>
Operating Income (Loss)	5	0	0	(5,547)	0	0
Net Income (Loss)	5	0	0	(5,547)	0	0
Net Assets, Beginning of Year	<u>68</u>	<u>73</u>	<u>73</u>	<u>73</u>	<u>73</u>	<u>73</u>
Net Assets, End of Year	<u>\$ 73</u>	<u>\$ 73</u>	<u>\$ 73</u>	<u>\$ (5,474)</u>	<u>\$ 73</u>	<u>\$ 73</u>

About the Fund:

The Property and Casualty Fund was established to monitor the financial requirements of the Property and Risk Management Programs. This activity is primarily self-funded. The revenue is generated from assessed premiums to the other funds. These premiums are based upon projected expenditures. All risk to the City's assets (except Workers Compensation, Life, Health Benefits, and Long Term Disability) fall within the scope of this fund.

Workers' Compensation Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Contributions	\$ 17,752	\$ 19,336	\$ 19,336	\$ 6,631	\$ 19,336	\$ 19,336
Operating Revenues	<u>17,752</u>	<u>19,336</u>	<u>19,336</u>	<u>6,631</u>	<u>19,336</u>	<u>19,336</u>
Operating Expenses						
Personnel	2,400	2,878	2,879	873	2,879	2,879
Supplies	45	59	59	6	59	59
Current Year Claims	14,943	15,923	15,923	5,222	15,923	15,923
Services	345	461	459	79	459	459
Capital Outlay	0	42	42	0	42	42
Non-Capital Outlay	23	6	7	1	7	7
Operating Expenses	<u>17,756</u>	<u>19,369</u>	<u>19,369</u>	<u>6,181</u>	<u>19,369</u>	<u>19,369</u>
Operating Income (Loss)	(4)	(33)	(33)	450	(33)	(33)
Non-Operating Revenues (Expenses)						
Interest Income	4	30	30	6	30	30
Other	0	3	3	0	3	3
Non-Operating Revenues (Expenses)	<u>4</u>	<u>33</u>	<u>33</u>	<u>6</u>	<u>33</u>	<u>33</u>
Net Income (Loss)	0	0	0	456	0	0
Net Assets, Beginning of Year	0	0	0	0	0	0
Net Assets, End of Year	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 456</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Accident Prevention, Loss Control and Workers' Compensation.

Asset Forfeiture Fund (2202,2203,2204)

The Houston Police Department receives asset forfeiture proceeds under guidelines set forth by the U.S. Department of Justice, the U.S. Treasury Department, and the State of Texas. These forfeiture proceeds are generated as a result of law enforcement efforts against illegal and criminal activity. Proceeds received under the various guidelines must be used for law enforcement purposes.

Auto Dealers Fund (2200)

The Auto Dealers Division of the Houston Police Department issues licenses to automotive businesses and vehicle/used parts salesmen. The Auto Dealers Division also monitors private storage facilities/salvage yards, auctions abandoned motor vehicles, and investigates complaints against automotive businesses/salesmen. The revenues generated from the fees are placed in the Auto Dealers Fund.

BARC Special Revenue Fund (2427)

BARC (Bureau of Animal Regulation and Care) is authorized to receive funds from any source for the purpose of supporting the maintenance and operation of the City's animal shelter facilities and programs, including without limitation, costs of necessary City personnel, equipment, supplies and facilities, education and animal adoption programs, veterinary services and supplies, and other needs of BARC.

Building Inspection Fund (2301)

The Public Works and Engineering Department administers the Building Inspection Special Revenue Fund, which ensures that buildings and structures constructed and maintained within the City adhere to the standards set by the City of Houston's Building Code. Permits and Licenses revenue make up approximately 75% of the revenue in this fund. This revenue is derived from the issuance of electrical, plumbing, AC and Boiler and construction permits.

Building (Court) Security Fund (2206)

The Building Security Fund began in FY1997, generating revenue from a \$3.00 fee charged to defendants convicted of a misdemeanor offense. The purpose of the fund is to aid in ensuring the safety of civilians and civil service employees while conducting business in Municipal Courts buildings.

Cable TV Fund (2401, 2428)

Operation of the Municipal Access Channel and its facilities is the responsibility of the Cable Communications Division of the Mayor's Office. The mission of the division is to effectively communicate to the public relevant information concerning municipal and related governmental and community services. The Cable Television Fund is supported through contributions of the cable television companies in Houston. The contributions are based upon a rate per subscriber and support public, educational, and municipal programming Houston.

Child Safety Fund (2209)

The Child Safety Fund was established to account for monies collected for public, parochial, and private school crossing guard programs. Revenues to the fund come from an assessment of a Municipal Court fee of \$5.00 on non-criminal municipal violations and an additional \$1.50 fee for each vehicle registration authorized by Harris County. If there is a surplus of funds, the City is allowed to keep 10% of the funds received for administrative fees. If a surplus enhances child safety, health, or nutrition and administration costs of these programs.

Digital Automated Red Light Enforcement Program Fund (2212)

The Digital Automated Red Light Enforcement Program Fund (DARLEP), established in FY2009, tracks the financial and accounting balances from all penalties and fees collected and all costs associated with the operation and enforcement of the photographic traffic monitoring system.

Digital Houston Fund (2422)

The Digital Houston Fund was established to build a citywide wireless broadband Wi-Fi mesh network that will provide affordable, high-speed internet access to residents of and visitors to Houston. The network will enable municipal employees to work in the field continuously, reducing the need to use traditional landlines to complete their tasks. The fund will be necessary to receive payments and to allow the City to fund inclusion programs for low income and other individuals.

Houston Transtar Center Fund (2402)

The Digital Houston Fund was established to build a citywide wireless broadband Wi-Fi mesh network that will provide affordable, high-speed internet access to residents of and visitors to Houston. The network will enable municipal employees to work in the field continuously, reducing the need to use traditional landlines to complete their tasks. The fund will be necessary to receive payments and to allow the City to fund inclusion programs for low income and other individuals.

Historic Preservation Fund (2306)

Historic Preservation, administered by the Planning and Development Department was established in FY2010 to utilize funds set aside from the sale of historic fire stations by the City in FY2009, promoting historic preservation of residential and commercial programs. The program utilizes city funds as seed money to encourage private investment, attract grant funds, and support educational programs that will further preservation efforts in Houston.

Houston Emergency Center Fund (2205)

The City of Houston's Neutral Public Safety Answering Point is one of the thirty-seven answering stations in the appropriate emergency agency, such as police, fire, and ambulance services. Calls are also referred to Harris county agencies such as Juvenile Crisis Hotline, Poison Control and Emergency Management Operations. The City of Houston is reimbursed from the special district for salaries, fringe benefits and other operating expenses for the answering station.

Houston Transtar Center Fund (2402)

Houston TranStar Center formerly known as the Greater Houston Transportation & Emergency Management Center. The Houston TranStar Center was built through a cooperative effort among the City of Houston, Harris County, Metropolitan Transit Authority (METRO) and the Texas Department of Transportation (TxDOT). The center houses personnel responsible for and/or involved with transportation and emergency management planning and operations in the Houston/Harris County area. Funding for the center is received from each member agency and is prorated based on occupancy and use of center facilities.

Juvenile Case Manager Fund (2211)

The Juvenile Case Manager Fund was established under Article 45.056 of the Texas Code of Criminal procedure to assess a juvenile case manager fee for each defendant convicted of a fine-only misdemeanor offense and may only be used to finance the salary and benefits of the juvenile case manager. This is also a new budgeted fund in FY2009.

Mobility Response Team Fund (2304)

The mission of the Mobility Response Team will be responding to and mitigating significant traffic congestion resulting from malfunctioning traffic signals, accidents, and other mobility issues throughout the City. The team is a partnership between the Police and Public Works and Engineering (PWE) Departments. Dedicated resources will be deployed 5:00 AM - 9:00 PM. They will specifically 1) respond to and mitigate acute traffic congestion throughout the City, 2) be dispatched via personnel at TranStar to identified areas, 3) Traffic with PWE engineers to develop long term traffic management solutions.

Parking Management Fund (8700)

The Parking Management Fund is responsible for managing and providing on-street parking alternatives to the citizens in the greater Houston area. This fund is administered by the Administration and Regulatory Affairs Department.

Parks Golf Special Fund (2104)

The Parks Golf Special Fund was created to receive all City revenues derived from all city-owned golf facilities, whether operated by the City or private entities, including all related concession fees, to be used exclusively for the maintenance, operating and improvements of any or all of such golf courses.

Parks Special Revenue Fund (2100)

The Parks and Recreation Department administers the Parks Special Revenue Fund. The fund receives revenue from the rental of park facilities and three tennis centers. These funds are for repairs, replacement and the renovation of parks revenue producing facilities excluding golf.

Police Special Services Fund (2201)

The Police Special Services Fund was created to properly account for funds received from other agencies or organizations to reimburse HPD for cost of services for specific law enforcement purposes. These special services include HPD participation in joint police operations, security, traffic control for activities such as "fund runs", parade and festivals.

Recycling Expansion Program Fund (2305)

The Expansion of Recycling Program, established in FY2009, was created to allocate dedicated funds to be used for the expansion and implementation of the City's Recycling Programs. Efforts include city-wide tree waste recycling, additional neighborhood depository sites, curbside recycling and increased education and outreach. These efforts, when combined, will allow the City to greatly improve its current landfill diversion rate.

Supplemental Environmental Protection Fund (2404)

Environmental Investigations or Rat-On-a-Rat (ROAR) use Supplemental Environmental Funds for the advancement of the goals of clean air, water, and to enhance the community environment impacted by criminal environmental violators.

Swimming Pool Safety Fund (2009)

The Swimming Pool Safety Fund receives proceeds from enforcing municipal, state and federal pool and spa safety standards. State and federal pool and safety standards apply to all pools and spas serving more than two dwellings; in accordance with these requirements, operators of pool and spas at apartment or condominium projects are required to obtain permits and to comply with the requisite standards. The fees collected in pursuant of swimming pool and spa safety are used for the purposes of activities related to permitting, inspecting, monitoring, abating, controlling, educating and enforcement of municipal, state and federal standards.

Technology Fee Fund (2207)

The Technology Fee Fund was established in FY2001 to account for revenue generated from a \$4.00 technology fee for convictions on all traffic and non-traffic misdemeanor offenses occurring on or after June 26, 2000. The purpose of the fund is to finance technological enhancements for the Municipal Courts including computer systems, networks, hardware, software, imaging systems, electronic ticket-writers and docket management systems.

Asset Forfeiture Special Revenue Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Confiscations	\$ 7,202	\$ 6,336	\$ 6,336	\$ 1,657	\$ 6,336	\$ 6,336
Interest Income	57	55	55	15	55	55
Total Revenues	<u>7,259</u>	<u>6,391</u>	<u>6,391</u>	<u>1,672</u>	<u>6,391</u>	<u>6,391</u>
Expenditures						
Personnel	2,477	3,100	3,100	1,152	3,100	3,100
Supplies	1,680	1,864	1,956	19	1,956	1,956
Other Services	1,495	2,044	1,980	544	1,980	1,980
Capital Purchases	158	300	354	17	354	354
Non-Capital Purchases	118	1,692	1,610	20	1,610	1,610
Total Expenditures	<u>5,928</u>	<u>9,000</u>	<u>9,000</u>	<u>1,752</u>	<u>9,000</u>	<u>9,000</u>
Net Current Activity	1,331	(2,609)	(2,609)	(80)	(2,609)	(2,609)
Fund Balance, Beginning of Year	<u>2,749</u>	<u>4,080</u>	<u>4,080</u>	<u>4,080</u>	<u>4,080</u>	<u>4,080</u>
Fund Balance, End of Year	<u>\$ 4,080</u>	<u>\$ 1,471</u>	<u>\$ 1,471</u>	<u>\$ 4,000</u>	<u>\$ 1,471</u>	<u>\$ 1,471</u>

Auto Dealers
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Auto Dealers Licenses	\$ 3,382	\$ 3,120	\$ 3,120	\$ 885	\$ 3,120	\$ 3,120
Vehicle Storage Notification	211	218	218	77	218	218
Vehicle Auction Fees	213	220	220	65	220	220
Interest Income	43	35	35	14	35	35
Other	2,877	2,863	2,863	1,370	2,863	2,863
Total Revenues	<u>6,726</u>	<u>6,456</u>	<u>6,456</u>	<u>2,411</u>	<u>6,456</u>	<u>6,456</u>
Expenditures						
Personnel	2,835	3,116	3,116	1,043	3,116	3,116
Supplies	134	304	304	112	304	304
Other Services	1,196	1,246	1,246	394	1,246	1,246
Capital Purchases	0	1,120	1,120	0	1,120	1,120
Total Expenditures	<u>4,165</u>	<u>5,786</u>	<u>5,786</u>	<u>1,549</u>	<u>5,786</u>	<u>5,786</u>
Other Financing Sources (Uses)						
Transfers Out	(2,190)	(1,095)	(1,095)	(447)	(1,095)	(1,095)
Total Other Financing Sources (Uses)	<u>(2,190)</u>	<u>(1,095)</u>	<u>(1,095)</u>	<u>(447)</u>	<u>(1,095)</u>	<u>(1,095)</u>
Net Current Activity	371	(425)	(425)	415	(425)	(425)
Fund Balance, Beginning of Year	<u>2,514</u>	<u>2,885</u>	<u>2,885</u>	<u>2,885</u>	<u>2,885</u>	<u>2,885</u>
Fund Balance, End of Year	<u>\$ 2,885</u>	<u>\$ 2,460</u>	<u>\$ 2,460</u>	<u>\$ 3,300</u>	<u>\$ 2,460</u>	<u>\$ 2,460</u>

BARC Special Revenue Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Licenses & Fees	\$ 1,100	\$ 1,157	\$ 1,157	\$ 269	\$ 1,157	\$ 1,157
Interest	41	49	49	11	49	49
Animal Adoption	134	143	143	36	143	143
Contributions	18	35	35	8	35	35
Other Revenue	13	0	0	0	0	0
Total Revenues	<u>1,306</u>	<u>1,384</u>	<u>1,384</u>	<u>324</u>	<u>1,384</u>	<u>1,384</u>
Expenditures						
Personnel	5,266	6,432	6,432	1,481	6,432	6,432
Supplies	774	783	783	144	783	783
Other Services	1,522	1,233	1,233	327	1,233	1,233
Non-Capital Outlay	7	5	5	2	5	5
Total Expenditures	<u>7,569</u>	<u>8,453</u>	<u>8,453</u>	<u>1,955</u>	<u>8,453</u>	<u>8,453</u>
Other Financing Sources (Uses)						
Operating Transfers - In	6,122	6,329	6,329	6,329	6,329	6,329
Total Other Financing Sources (Uses)	<u>6,122</u>	<u>6,329</u>	<u>6,329</u>	<u>6,329</u>	<u>6,329</u>	<u>6,329</u>
Net Current Activity	(141)	(740)	(740)	4,698	(740)	(740)
Fund Balance, Beginning of Year	<u>1,120</u>	<u>979</u>	<u>979</u>	<u>979</u>	<u>979</u>	<u>979</u>
Fund Balance, End of Year	<u>\$ 979</u>	<u>\$ 239</u>	<u>\$ 239</u>	<u>\$ 5,677</u>	<u>\$ 239</u>	<u>\$ 239</u>

Building Inspection Special Revenue Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Permits and Licenses	\$ 37,821	\$ 37,752	\$ 37,752	\$ 14,826	\$ 37,752	\$ 37,752
Charges for Services	13,282	14,566	14,566	5,029	14,566	14,566
Other	4,995	2,254	2,254	768	2,254	2,254
Interest Income	169	170	170	56	170	170
Total Revenues	<u>56,267</u>	<u>54,742</u>	<u>54,742</u>	<u>20,679</u>	<u>54,742</u>	<u>54,742</u>
Expenditures						
Personnel	36,244	40,451	40,451	12,755	39,535	39,535
Supplies	808	878	878	282	878	878
Other Services	6,950	10,969	10,969	2,088	10,969	10,969
Capital Outlay	0	532	532	0	532	532
Non-Capital Outlay	77	100	100	57	100	100
Total Expenditures	<u>44,079</u>	<u>52,930</u>	<u>52,930</u>	<u>15,182</u>	<u>52,014</u>	<u>52,014</u>
Other Financing Sources (Uses)						
Operating Transfers Out	(7,271)	(4,738)	(4,738)	(1,384)	(4,738)	(4,738)
Total Other Financing Sources (Uses)	<u>(7,271)</u>	<u>(4,738)</u>	<u>(4,738)</u>	<u>(1,384)</u>	<u>(4,738)</u>	<u>(4,738)</u>
Net Current Activity	4,917	(2,926)	(2,926)	4,113	(2,010)	(2,010)
Fund Balance, Beginning of Year	<u>6,058</u>	<u>10,975</u>	<u>10,975</u>	<u>10,975</u>	<u>10,975</u>	<u>10,975</u>
Fund Balance, End of Year	<u>\$ 10,975</u>	<u>\$ 8,049</u>	<u>\$ 8,049</u>	<u>\$ 15,088</u>	<u>\$ 8,965</u>	<u>\$ 8,965</u>

Building (Court) Security Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 870	\$ 900	\$ 900	\$ 358	\$ 900	\$ 900
Total Revenues	<u>870</u>	<u>900</u>	<u>900</u>	<u>358</u>	<u>900</u>	<u>900</u>
Expenditures						
Personnel	958	923	923	307	910	910
Other Services	1	2	2	0	2	2
Total Expenditures	<u>959</u>	<u>925</u>	<u>925</u>	<u>307</u>	<u>912</u>	<u>912</u>
Net Current Activity	(89)	(25)	(25)	51	(12)	(12)
Fund Balance, Beginning of Year	<u>101</u>	<u>12</u>	<u>12</u>	<u>12</u>	<u>12</u>	<u>12</u>
Fund Balance, End of Year	<u>\$ 12</u>	<u>\$ (13)</u>	<u>\$ (13)</u>	<u>\$ 63</u>	<u>\$ 0</u>	<u>\$ 0</u>

Cable TV
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 4,958	\$ 3,697	\$ 3,697	\$ 33	\$ 3,697	\$ 3,697
Total Revenues	<u>4,958</u>	<u>3,697</u>	<u>3,697</u>	<u>33</u>	<u>3,697</u>	<u>3,697</u>
Expenditures						
Maintenance and Operations	4,254	5,307	5,307	383	5,307	5,307
Equipment	162	250	250	3	250	250
Total Expenditures	<u>4,416</u>	<u>5,557</u>	<u>5,557</u>	<u>386</u>	<u>5,557</u>	<u>5,557</u>
Net Current Activity	542	(1,860)	(1,860)	(353)	(1,860)	(1,860)
Fund Balance, Beginning of Year	<u>2,027</u>	<u>2,569</u>	<u>2,569</u>	<u>2,569</u>	<u>2,569</u>	<u>2,569</u>
Fund Balance, End of Year	<u>\$ 2,569</u>	<u>\$ 709</u>	<u>\$ 709</u>	<u>\$ 2,216</u>	<u>\$ 709</u>	<u>\$ 709</u>

Child Safety Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest on Investments	\$ 13	\$ 20	\$ 20	\$ 6	\$ 20	\$ 20
Municipal Courts Collections	2,403	2,300	2,300	805	2,300	2,300
Harris County Collections	860	732	732	245	732	732
Total Revenues	<u>3,276</u>	<u>3,052</u>	<u>3,052</u>	<u>1,056</u>	<u>3,052</u>	<u>3,052</u>
Expenditures						
School Crossing Guard Program	3,149	3,049	3,049	785	3,049	3,049
Miscellaneous Parts and Supplies	3	3	3	0	3	3
Total Expenditures	<u>3,152</u>	<u>3,052</u>	<u>3,052</u>	<u>785</u>	<u>3,052</u>	<u>3,052</u>
Net Current Activity	124	0	0	271	0	0
Fund Balance, Beginning of Year	<u>101</u>	<u>225</u>	<u>225</u>	<u>225</u>	<u>225</u>	<u>225</u>
Fund Balance, End of Year	<u>\$ 225</u>	<u>\$ 225</u>	<u>\$ 225</u>	<u>\$ 496</u>	<u>\$ 225</u>	<u>\$ 225</u>

Digital Automated Red Light Enforcement Program Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Red Light Enforcement Revenue	\$ 1,723	\$ 4,065	\$ 4,065	\$ 119	\$ 4,065	\$ 4,065
Interest Income	24	42	42	1	42	42
Total Revenues	<u>1,747</u>	<u>4,107</u>	<u>4,107</u>	<u>120</u>	<u>4,107</u>	<u>4,107</u>
Expenditures						
Personnel	18	81	81	0	81	81
Supplies	0	3	3	0	3	3
Other Services	3,365	1,532	1,532	72	1,532	1,532
Non-Capital Purchases	0	0	0	0	0	0
State of Texas' Share	0	1,225	1,225	0	1,225	1,225
Total Expenditures	<u>2,106</u>	<u>2,841</u>	<u>2,841</u>	<u>72</u>	<u>2,841</u>	<u>2,841</u>
Net Current Activity	(359)	1,266	1,266	48	1,266	1,266
Fund Balance, Beginning of Year	<u>480</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>
Fund Balance, End of Year	<u>\$ 121</u>	<u>\$ 1,387</u>	<u>\$ 1,387</u>	<u>\$ 169</u>	<u>\$ 1,387</u>	<u>\$ 1,387</u>

Digital Houston Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 29	\$ 25	\$ 25	\$ 6	\$ 25	\$ 25
Total Revenues	<u>29</u>	<u>25</u>	<u>25</u>	<u>6</u>	<u>25</u>	<u>25</u>
Expenditures						
Personnel	183	205	205	45	205	205
Supplies	4	5	10	10	11	11
Other Services	177	401	443	17	442	442
Capital Equipment	26	0	0	0	0	0
Non-Capital Equipment	443	348	301	2	301	301
Total Expenditures	<u>833</u>	<u>959</u>	<u>959</u>	<u>74</u>	<u>959</u>	<u>959</u>
Net Current Activity	(804)	(934)	(934)	(68)	(934)	(934)
Fund Balance, Beginning of Year	<u>2,401</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>
Fund Balance, End of Year	<u>\$ 1,597</u>	<u>\$ 663</u>	<u>\$ 663</u>	<u>\$ 1,529</u>	<u>\$ 663</u>	<u>\$ 663</u>

Historic Preservation Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 11	\$ 18	\$ 18	\$ 3	\$ 18	\$ 18
Charges for Services	94	100	100	88	100	100
Other Interfund Services	25	0	0	0	5	5
Total Revenues	<u>130</u>	<u>118</u>	<u>118</u>	<u>91</u>	<u>\$ 123</u>	<u>\$ 123</u>
Expenditures						
Supplies & Other Services	144	841	841	22	846	846
Total Expenditures	<u>144</u>	<u>841</u>	<u>841</u>	<u>22</u>	<u>846</u>	<u>846</u>
Net Current Activity	(14)	(723)	(723)	69	(723)	(723)
Fund Balance, Beginning of Year	<u>853</u>	<u>839</u>	<u>839</u>	<u>839</u>	<u>839</u>	<u>839</u>
Fund Balance, End of Year	<u>\$ 839</u>	<u>\$ 116</u>	<u>\$ 116</u>	<u>\$ 908</u>	<u>\$ 116</u>	<u>\$ 116</u>

Houston Emergency Center
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 22,315	\$ 24,505	\$ 24,505	\$ 8,301	\$ 24,505	\$ 24,505
Total Revenues	<u>22,315</u>	<u>24,505</u>	<u>24,505</u>	<u>8,301</u>	<u>24,505</u>	<u>24,505</u>
Expenditures						
Maintenance and Operations	21,135	24,505	24,505	7,111	24,505	24,505
Total Expenditures	<u>21,135</u>	<u>24,505</u>	<u>24,505</u>	<u>7,111</u>	<u>24,505</u>	<u>24,505</u>
Net Current Activity	1,180	0	0	1,190	0	0
Fund Balance, Beginning of Year	<u>1,343</u>	<u>2,523</u>	<u>2,523</u>	<u>2,523</u>	<u>2,523</u>	<u>2,523</u>
Fund Balance, End of Year	\$ <u>2,523</u>	\$ <u>2,523</u>	\$ <u>2,523</u>	\$ <u>3,713</u>	\$ <u>2,523</u>	\$ <u>2,523</u>

Houston Transtar Center
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Other Grant Awards	\$ 1,648	\$ 1,607	\$ 1,607	\$ 444	\$ 1,607	\$ 1,607
Other Service Charges	610	606	606	157	606	606
Misc. Revenue	94	0	0	0	0	0
Interest Income	24	15	15	7	15	15
Total Revenues	<u>2,376</u>	<u>2,228</u>	<u>2,228</u>	<u>608</u>	<u>2,228</u>	<u>2,228</u>
Expenditures						
Maintenance and Operations	1,666	2,366	2,366	528	2,341	2,341
Total Expenditures	<u>1,666</u>	<u>2,366</u>	<u>2,366</u>	<u>528</u>	<u>2,341</u>	<u>2,341</u>
Net Current Activity	710	(138)	(138)	80	(113)	(113)
Fund Balance, Beginning of Year	<u>1,337</u>	<u>2,047</u>	<u>2,047</u>	<u>2,047</u>	<u>2,047</u>	<u>2,047</u>
Fund Balance, End of Year	\$ <u>2,047</u>	\$ <u>1,909</u>	\$ <u>1,909</u>	\$ <u>2,127</u>	\$ <u>1,934</u>	\$ <u>1,934</u>

Juvenile Case Manager
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Current Revenues	\$ 1,066	\$ 1,470	\$ 1,470	\$ 404	\$ 1,470	\$ 1,470
Total Revenues	<u>1,066</u>	<u>1,470</u>	<u>1,470</u>	<u>404</u>	<u>1,470</u>	<u>1,470</u>
Expenditures						
Personnel	874	1,114	1,114	346	1,114	1,114
Supplies	1	3	3	0	3	3
Other Services and Charges	32	137	137	12	137	137
Total Expenditures	<u>907</u>	<u>1,254</u>	<u>1,254</u>	<u>358</u>	<u>1,254</u>	<u>1,254</u>
Net Current Activity	159	216	216	46	216	216
Fund Balance, Beginning of Year	<u>1,468</u>	<u>1,627</u>	<u>1,627</u>	<u>1,627</u>	<u>1,627</u>	<u>1,627</u>
Fund Balance, End of Year	<u>\$ 1,627</u>	<u>\$ 1,843</u>	<u>\$ 1,843</u>	<u>\$ 1,673</u>	<u>\$ 1,843</u>	<u>\$ 1,843</u>

Mobility Response Team Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Interest Income	\$ 45	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Revenues	<u>45</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Expenditures						
Personnel	2,076	0	0	0	0	0
Supplies	24	0	0	0	0	0
Other Services	235	0	0	0	0	0
Total Expenditures	<u>2,335</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Other Financing Sources (Uses)						
Transfer Out - General Fund	0	(2,354)	(2,354)	0	(2,354)	(2,354)
Total Other Financing Sources (Uses)	<u>0</u>	<u>(2,354)</u>	<u>(2,354)</u>	<u>0</u>	<u>(2,354)</u>	<u>(2,354)</u>
Net Current Activity	(2,290)	(2,354)	(2,354)	0	(2,354)	(2,354)
Fund Balance, Beginning of Year	<u>4,371</u>	<u>2,081</u>	<u>2,081</u>	<u>2,081</u>	<u>2,081</u>	<u>2,081</u>
Fund Balance, End of Year	<u>\$ 2,081</u>	<u>\$ (273)</u>	<u>\$ (273)</u>	<u>\$ 2,081</u>	<u>\$ (273)</u>	<u>\$ (273)</u>

Parking Management Special Revenue Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Parking Violations	\$ 10,353	\$ 9,673	\$ 9,673	\$ 3,198	\$ 9,673	\$ 9,673
Parking Fees	7,293	7,669	7,669	2,439	7,669	7,669
Permit Fees	329	299	299	99	299	299
Other Revenue	193	2	2	15	16	16
Interest Income	63	50	50	16	50	50
Total Revenues	<u>18,231</u>	<u>17,693</u>	<u>17,693</u>	<u>5,767</u>	<u>17,707</u>	<u>17,707</u>
Expenses						
Personnel	3,645	4,426	4,426	1,306	4,426	4,426
Supplies	447	884	856	260	856	856
Other Services	3,491	3,695	3,703	1,201	3,703	3,703
Capital Outlay	78	25	41	(1)	41	41
Non-Capital Outlay	32	13	16	0	16	16
Total Expenses	<u>7,693</u>	<u>9,043</u>	<u>9,043</u>	<u>2,766</u>	<u>9,043</u>	<u>9,043</u>
Net Current Activity	<u>10,538</u>	<u>8,650</u>	<u>8,650</u>	<u>3,001</u>	<u>8,664</u>	<u>8,664</u>
Other Financing Sources (Uses)						
Operating Transfers - In (Out)	(8,117)	(7,994)	(7,994)	(1,999)	(7,994)	(7,994)
Transfers for Interest	(1,513)	(1,513)	(1,513)	0	(1,513)	(1,513)
Total Other Financing Sources (Uses)	<u>(9,630)</u>	<u>(9,507)</u>	<u>(9,507)</u>	<u>(1,999)</u>	<u>(9,507)</u>	<u>(9,507)</u>
Net Current Activity	908	(857)	(857)	1,002	(843)	(843)
Fund Balance, Beginning of Year	<u>1,634</u>	<u>2,542</u>	<u>2,542</u>	<u>2,542</u>	<u>2,542</u>	<u>2,542</u>
Fund Balance, End of Year	<u>\$ 2,542</u>	<u>\$ 1,685</u>	<u>\$ 1,685</u>	<u>\$ 3,544</u>	<u>\$ 1,699</u>	<u>\$ 1,699</u>

Parks Golf Special Revenue Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 1,420	\$ 1,400	\$ 1,400	\$ 515	\$ 1,400	\$ 1,400
Rental of Property	990	1,161	1,161	335	1,161	1,161
Interest Income	4	5	5	2	5	5
Golf	3,356	3,596	3,596	1,047	3,596	3,596
Other	33	44	44	9	44	44
Total Revenues	<u>5,803</u>	<u>6,206</u>	<u>6,206</u>	<u>1,908</u>	<u>6,206</u>	<u>6,206</u>
Expenses						
Personnel	3,723	4,119	4,119	1,301	4,119	4,119
Supplies	835	857	857	216	857	857
Other Services	876	966	966	222	966	966
Total Expenses	<u>5,434</u>	<u>5,942</u>	<u>5,942</u>	<u>1,739</u>	<u>5,942</u>	<u>5,942</u>
Net Current Activity	369	264	264	169	264	264
Fund Balance, Beginning of Year	<u>0</u>	<u>369</u>	<u>369</u>	<u>369</u>	<u>369</u>	<u>369</u>
Fund Balance, End of Year	<u>\$ 369</u>	<u>\$ 633</u>	<u>\$ 633</u>	<u>\$ 538</u>	<u>\$ 633</u>	<u>\$ 633</u>

Parks Special Revenue Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 328	\$ 364	\$ 364	\$ 104	\$ 364	\$ 364
Facility Admissions/User Fees	52	52	52	20	52	52
Program Fees	349	489	489	225	489	489
Rental of Property	526	542	542	109	542	542
Licenses and Permits	239	194	194	86	194	194
Interest Income	57	90	90	20	90	90
Golf	203	185	185	67	185	185
Other	(162)	58	58	53	58	58
Total Revenues	<u>1,592</u>	<u>1,974</u>	<u>1,974</u>	<u>684</u>	<u>1,974</u>	<u>1,974</u>
Expenses						
Personnel	414	481	481	162	481	481
Supplies	262	586	586	24	586	586
Other Services	722	897	897	170	897	897
Total Expenses	<u>1,398</u>	<u>1,964</u>	<u>1,964</u>	<u>356</u>	<u>1,964</u>	<u>1,964</u>
Operating Transfers						
Operating Transfers (Out)	(73)	0	0	0	0	0
Total Operating Transfers	<u>(73)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	121	10	10	328	10	10
Fund Balance, Beginning of Year	<u>4,740</u>	<u>4,861</u>	<u>4,861</u>	<u>4,861</u>	<u>4,861</u>	<u>4,861</u>
Fund Balance, End of Year	<u>\$ 4,861</u>	<u>\$ 4,871</u>	<u>\$ 4,871</u>	<u>\$ 5,189</u>	<u>\$ 4,871</u>	<u>\$ 4,871</u>

Police Special Services Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Police Fees	\$ 14,936	\$ 2,419	\$ 2,419	\$ 403	\$ 2,419	\$ 2,419
Interest Income	136	200	200	25	200	200
Other	3,006	850	850	355	850	850
Interfund Transfers	5,625	4,925	4,925	1,232	4,925	4,925
Total Revenues	<u>23,703</u>	<u>8,394</u>	<u>8,394</u>	<u>2,015</u>	<u>8,394</u>	<u>8,394</u>
Expenditures						
Personnel	21,225	7,506	7,506	1,821	7,506	7,506
Supplies	2,287	121	168	59	164	164
Other Services	1,565	2,239	2,190	251	2,179	2,179
Capital Purchases	410	0	118	89	118	118
Non-Capital Purchases	70	1,304	1,188	3	1,203	1,203
Total Expenditures	<u>25,557</u>	<u>11,170</u>	<u>11,170</u>	<u>2,223</u>	<u>11,170</u>	<u>11,170</u>
Net Current Activity	(1,854)	(2,776)	(2,776)	(208)	(2,776)	(2,776)
Fund Balance, Beginning of Year	<u>6,948</u>	<u>5,094</u>	<u>5,094</u>	<u>5,094</u>	<u>5,094</u>	<u>5,094</u>
Fund Balance, End of Year	<u>\$ 5,094</u>	<u>\$ 2,318</u>	<u>\$ 2,318</u>	<u>\$ 4,886</u>	<u>\$ 2,318</u>	<u>\$ 2,318</u>

Recycling Expansion Program Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 1,022	\$ 1,363	\$ 1,363	\$ 320	\$ 1,363	\$ 1,363
Interest Income	32	50	50	8	50	50
Miscellaneous	55	25	25	32	25	25
Total Revenues	<u>1,109</u>	<u>1,438</u>	<u>1,438</u>	<u>360</u>	<u>1,438</u>	<u>1,438</u>
Expenditures						
Personnel	294	444	444	80	444	444
Supplies	3	8	8	0	8	8
Other Services	498	973	973	199	973	973
Capital/Non-Capital Purchases	68	0	0	0	0	0
Total Expenditures	<u>863</u>	<u>1,425</u>	<u>1,425</u>	<u>279</u>	<u>1,425</u>	<u>1,425</u>
Operating Transfers						
Operating Transfers (Out)	(467)	(1,147)	(1,147)	0	(1,147)	(1,147)
Total Operating Transfers	<u>(467)</u>	<u>(1,147)</u>	<u>(1,147)</u>	<u>0</u>	<u>(1,147)</u>	<u>(1,147)</u>
Net Current Activity	(221)	(1,134)	(1,134)	81	(1,134)	(1,134)
Fund Balance, Beginning of Year	<u>2,221</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>
Fund Balance, End of Year	<u>\$ 2,000</u>	<u>\$ 866</u>	<u>\$ 866</u>	<u>\$ 2,081</u>	<u>\$ 866</u>	<u>\$ 866</u>

Supplemental Environmental Protection
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 124	\$ 100	\$ 100	\$ 37	\$ 100	\$ 100
Interest Income	2	3	3	1	3	3
Total Revenues	<u>126</u>	<u>103</u>	<u>103</u>	<u>38</u>	<u>103</u>	<u>103</u>
Expenditures						
Supplies	39	4	7	0	7	7
Other Services	22	40	40	1	40	40
Capital Purchases	133	160	151	0	151	151
Non-Capital Purchases	11	0	6	0	6	6
Total Expenditures	<u>205</u>	<u>204</u>	<u>204</u>	<u>1</u>	<u>204</u>	<u>204</u>
Net Current Activity	(79)	(101)	(101)	37	(101)	(101)
Fund Balance, Beginning of Year	<u>200</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>
Fund Balance, End of Year	<u>\$ 121</u>	<u>\$ 20</u>	<u>\$ 20</u>	<u>\$ 158</u>	<u>\$ 20</u>	<u>\$ 20</u>

Swimming Pool Safety Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 865	\$ 749	\$ 749	\$ 345	\$ 749	\$ 749
Total Revenues	<u>865</u>	<u>749</u>	<u>749</u>	<u>345</u>	<u>749</u>	<u>749</u>
Expenditures						
Personnel	709	665	665	228	665	665
Supplies	17	24	24	4	24	24
Other Services	47	44	44	4	44	44
Non-Capital Purchases	4	0	1	1	1	1
Capital Purchases	0	15	14	0	14	14
Total Expenditures	<u>777</u>	<u>748</u>	<u>748</u>	<u>237</u>	<u>748</u>	<u>748</u>
Net Current Activity	88	1	1	108	1	1
Fund Balance, Beginning of Year	<u>98</u>	<u>187</u>	<u>187</u>	<u>187</u>	<u>187</u>	<u>187</u>
Fund Balance, End of Year	<u>\$ 187</u>	<u>\$ 188</u>	<u>\$ 188</u>	<u>\$ 295</u>	<u>\$ 188</u>	<u>\$ 188</u>

Technology Fee Fund
For the period ended October 31, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Municipal Court Fines	\$ 1,246	\$ 1,297	\$ 1,297	\$ 446	\$ 1,297	\$ 1,297
Interest Income	9	9	9	2	9	9
Total Revenues	<u>1,255</u>	<u>1,306</u>	<u>1,306</u>	<u>448</u>	<u>1,306</u>	<u>1,306</u>
Expenditures						
Personnel	0	0	0	0	0	0
Supplies	0	0	0	0	0	0
Other Services	1,078	1,244	1,244	246	1,244	1,244
Equipment	0	0	0	0	0	0
Debt Service	350	350	350	0	350	350
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>1,428</u>	<u>1,594</u>	<u>1,594</u>	<u>246</u>	<u>1,594</u>	<u>1,594</u>
Net Current Activity	(173)	(288)	(288)	202	(288)	(288)
Fund Balance, Beginning of Year	<u>508</u>	<u>335</u>	<u>335</u>	<u>335</u>	<u>335</u>	<u>335</u>
Fund Balance, End of Year	<u>\$ 335</u>	<u>\$ 47</u>	<u>\$ 47</u>	<u>\$ 537</u>	<u>\$ 47</u>	<u>\$ 47</u>

City of Houston, Texas
Commercial Paper Issued and Available
For the period ended October 31, 2012
(amounts expressed in thousands)

COMMERCIAL PAPER	Draws FY13	Draws Month	Refunded FY13	Amount Available to be Drawn	Amount Outstanding
General Obligation					
<i><u>Voter Authorized 2001 & 2006 Election</u></i>					
Series G-1	0.00	0.00	0.00	75.00	0.00
Series G-2	10.00	0.00	0.00	34.90	90.10
Series H-1	0.00	0.00	0.00	77.20	22.80
Series H-2	0.00	0.00	0.00	53.00	47.00
Series J	0.00	0.00	0.00	125.00	0.00
<i><u>Non-Voter Authorized</u></i>					
Series E1-Equipment & Capital	0.00	0.00	0.00	70.00	30.00
Series E2- Equipment & Capital	10.00	10.00	0.00	63.50	36.50
Series E2- Metro Street Projects	0.00	0.00	0.00	29.50	20.50
Series H - Drainage	0.00	0.00	0.00	0.00	0.00
Series K-1	0.00	0.00	0.00	100.00	0.00
Series K-2	0.00	0.00	0.00	100.00	0.00
Total General Obligation	20.00	10.00	0.00	728.10	246.90
Combined Utility System					
Series B-1	0.00	0.00	60.40	250.00	0.00
Series B-2	25.00	0.00	55.00	75.00	0.00
Series B-3	25.00	0.00	35.00	75.00	0.00
Series B-4	10.00	0.00	10.00	100.00	0.00
Series B-6	0.00	0.00	0.00	100.00	0.00
Total Combined Utility System	60.00	0.00	160.40	600.00	0.00
Airport System					
Series A&B	0.00	0.00	0.00	150.00	0.00
Total Airport System	0.00	0.00	0.00	150.00	0.00
Convention & Entertainment					
Series A	0.00	0.00	0.00	7.00	43.00
Total Convention and Entertainment	0.00	0.00	0.00	7.00	43.00
Totals	\$ 80.00	\$ 10.00	\$ 160.40	\$ 1,485.10	\$ 289.90

City of Houston, Texas
Summarized Construction/Bond Fund Status Report
For the period ended October 31, 2012
(amounts expressed in thousands)

<u>Purpose</u>	<u>Available for Appropriation</u>	<u>Last month Available for Appropriation</u>
Dangerous Buildings		
Total Dangerous Buildings Funds	\$ 2,616	\$ 2,616
Equipment Acquisition and Other Capital		
Total Equipment Acquisition and Other Capital	230,263	248,160
Public Improvement		
Total Fire Department	13,954	14,086
Total Housing	11,314	11,314
Total General Improvement	4,898	4,906
Total Public Health and Welfare	6,555	6,555
Total Public Library	14,911	15,179
Total Parks and Recreation	13,195	13,688
Total Police Department	44,281	44,326
Total Solid Waste	3,754	3,905
Total Storm Sewer	5,152	7,741
Total Street & Bridge except Metro	139,305	145,560
Street & Bridge - Metro Projects	0	0
Total Public Improvement	257,319	267,260
Airport		
Total Airport	751,591	771,737
Convention and Entertainment Facilities		
Total Convention and Entertainment	31,228	31,373
Combined Utility System		
Total Combined Utility System - Any Purpose	370,693	266,989
Combined Utility System - Restricted Purposes	16,879	16,844
Total Combined Utility System	387,573	283,833
Total All Purposes	\$ 1,660,590	\$ 1,609,537

City of Houston, Texas
Construction & Bond Status Report
For the period ended October 31, 2012
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resource Available (a)	Unexpended Appropriation	Available for Appropriation
Dangerous Buildings							
1801D4	Dangerous Building Demolition Series 2007B	9,000	0	0	0	0	0
1801D5	Dangerous Buildings Series 2010	9,000	0	0	0	0	0
1801	Dangerous Bldg. Consolidations	N/A	3,339	N/A	3,326	710	2,616
	Total Dangerous Building Funds	18,000	3,339	0	3,326	710	2,616
Equipment and Other Capital							
1800D1	Series E-1 Equipment & Capital Consolidating	158,382	14	137,722	127,900	0	127,900
1800D3	Series E-2 Equipment & Capital Consolidating	95,100	8	98,100	70,108	0	70,108
4039	Miscellaneous Capital Projects Series E	20,000	1,836	16,278	17,606	7,479	10,127
1800	Equipment Acquisition Consolidated Fund	N/A	557	N/A	49,121	28,851	20,270
1850	Reimbursable of Equipment/Projects to Debt Service	N/A	11,856	0	1,858	0	1,858
	Total Equipment Acquisition Funds	273,482	14,271	252,100	266,593	36,330	230,263
Public Improvement							
4017	Fire Dept. Emergency Alerting System	N/A	1,475	0	1,475	66	1,409
4804C	Fire CP Series H/J (D) 2006 Election	13,500	0	0	0	0	0
4805C	Fire CP Series G 2006 Election	10,000	0	5,000	0	0	0
4806C	Fire CP Series J 2006 Election	6,500	0	0	0	0	0
4500	Fire Bond Consolidated	N/A	4,880	0	16,381	3,837	12,545
	Total Fire Department	30,000	6,355	5,000	17,856	3,903	13,954
4801P	Housing CP Series H/J (D) 2001 Election	3,270	0	0	0	0	0
4803P	Housing CP Series G 2001 Election	10,610	0	9,130	0	0	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	0	0	0
4501	Housing Consolidated Fund	N/A	2,789	0	18,964	7,650	11,314
	Total Housing	21,255	2,789	16,505	18,964	7,650	11,314
4803D	General Improvment CP Series G 2001 Election	7,963	0	0	0	0	0
4804D	General Improvment CP Series H/J (D) 2006 Election	13,550	0	0	0	0	0
4805D	General Improvment CP Series G 2006 Election	13,450	0	7,450	0	0	0
4509	General Improvement Consolidated Fund	N/A	2,973	0	9,815	4,917	4,898
4023	Certificates of Obligation Series 2001A (Cotswold)	12,200	0	0	0	0	0
4025	MUD Series 2001A	9,235	0	0	0	0	0
4026	Certificates of Obligation Series 2002A (Cotswold)	12,400	0	0	0	0	0
4028	MUD PIBS Series 2003A-1	2,100	0	0	0	0	0
	Total General Improvement	70,898	2,973	7,450	9,815	4,917	4,898
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	0	0	2,000	0	2,000
4805H	Public Health CP Series G 2006 Election	8,900	0	6,900	0	0	0
4508	Public Health Consolidated Fund	N/A	4,328	0	8,107	3,552	4,555
	Total Public Health & Welfare	17,000	4,328	6,900	10,107	3,552	6,555
4018	Library Capital Projects Fund	N/A	2,389	0	2,389	0	2,389
4033	Friends of Libraries Series E (06)	0	0	0	0	0	0
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	0	0	0	0	0
4805E	Public Library CP Series G 2006 Election	2,000	0	0	0	0	0
4806E	Public Library CP Series J 2006 Election	4,325	0	0	0	0	0
4507	Public Library Consolidated Fund	N/A	2,163	0	15,144	2,623	12,522
	Total Public Library	36,900	4,552	0	17,533	2,623	14,911
4011	Parks Capital Project Fund	N/A	513	0	513	95	418
4012	Parks Special Fund	N/A	4,215	0	4,129	3,560	568
4038	Land Acquisition - Soccer Series E	0	1	0	1	0	1
4803F	Parks & Recreation CP Series G 2001 Election	0	0	0	0	0	0
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	0	5,400	0	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	0	3,000	0	0	0
4806F	Parks & Recreation CP Series J 2006 Election	4,325	0	0	0	0	0
4502	Parks Consolidated Fund	N/A	3,959	0	25,197	12,989	12,208
	Total Parks and Recreation	32,425	8,688	8,400	29,840	16,645	13,195
4041	Fondren Police Station Series E	1,618	15	0	15	0	15
4804G	Police CP Series H/J (D) 2006 Election	40,950	0	5,345	0	0	0
4806G	Police CP Series J 2006 Election	52,050	0	0	0	0	0
4504	Police Consolidated Fund	N/A	6,888	0	64,572	20,307	44,266
	Total Police Department	94,618	6,904	5,345	64,588	20,307	44,281
4001	Solid Waste Special Revenue Fund	N/A	400	0	400	0	400
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	0	0	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	0	0	0	0	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	0	1,250	0	0	0
4503	Solid Waste Consolidated Fund	N/A	4,874	0	6,115	2,762	3,354
	Total Solid Waste	12,322	5,274	1,250	6,516	2,762	3,754
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	0	0
4505	Storm Sewer Consolidated Fund	N/A	562	0	2,700	852	1,848
4030	Series H (F) Drainage Improvement Commercial Paper	101,300	927	0	933	117	815
4024	Series C Commercial Paper Storm & Overlay Fund	N/A	1,769	0	1,764	1,332	432
4042	Series K-1/K-2 Commercial Paper Storm Drainage Funds	200,000	0	200,000	198,462	138,623	59,838
	Total Storm Sewer	303,450	3,259	202,150	203,859	140,924	62,934
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	0	0	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	60	0	0	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	0	0	0
4506	Street & Bridge Consolidated Fund	N/A	7,630	0	160,531	29,024	131,506
4006	Street & Bridge Construction Fund	N/A	4,297	0	4,277	0	4,277
4034	Limited Use Roadway & Mobility Capital Fund	26,000	724	0	724	16	707
2304	Mobility Response Team	10,000	2,099	0	2,088	0	2,088
4010	MTA Construction Fund	N/A	2,282	0	2,282	655	1,627
4801S	St. Bridges Utility Relocation Set-Aside	7,000	241	0	241	1,141	(399)
	Total Street and Bridge without Metro	370,980	17,332	140,400	170,141	30,836	139,305
4027	Metro Street Fund Series E (04)	49,900	3,322	0	2,776	2,776	0
	Total Public Improvement	1,039,748	65,777	393,400	551,995	236,893	315,101

City of Houston, Texas
Construction & Bond Status Report
For the period ended October 31, 2012
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resource Available (a)	Unexpended Appropriation	Available for Appropriation
Airport System							
8201A1	Airport System Construction 2002A (AMT)	129,120	0	0	0	0	0
8201	Airport System Consolidated 2001 (AMT)	200,000	2,002	N/A	2,002	1,854	147
	Sub-Total	329,120	2,002	0	2,002	1,854	147
8202A2	Airport System 2002B (Non-AMT) Const.	213,347	0	0	0	0	0
8202	Airport System Consolidated 2001 (Non-AMT)	100,000	2,516	0	2,511	336	2,175
	Sub-Total	313,347	2,516	0	2,512	336	2,175
8200A2	Airport System RevBd 2000A (AMT)	327,225	0	0	0	0	0
8200	Airport System Consolidated Const 2000 (AMT)	N/A	4,171	0	3,928	3,896	32
	Sub-Total	327,225	4,171	0	3,928	0	32
8203A1	Airport System Commercial Paper 2004 (AMT)	232,000	0	0	0	0	0
8203A2	Airport System 2009A PAB Construction	N/A	35,399	0	32	0	32
8203	Airport System Consolidated Const. 2004 (AMT)	N/A	15,115	0	45,494	42,902	2,591
	Sub-Total	232,000	50,514	0	45,526	42,902	2,623
8204A2	Airport System Commercial Paper 2008 (Non-AMT)	68,000	0	0	0	0	0
8204A3	Airport System 2009A Non-PAB Construction	N/A	5,999	0	5	0	5
8204	Airport System Consolidated Const. 2004 (Non-AMT)	N/A	5,215	0	11,209	396	10,813
	Sub-Total	68,000	11,214	0	11,214	396	10,818
8205A1	Airport System Commercial Paper Construction (AMT)	232,000,000	0	232,000	0	0	0
8205	Airport System Consolidated Construction 2011 (AMT)	N/A	0	0	232,000	0	232,000
	Sub-Total	232,000,000	0	232,000	232,000	0	232,000
8206A1	Airport System Commercial Paper Construction (Non-AMT)	68,000,000	0	68,000	0	0	0
8206	Airport System Consolidated Construction 2011 (Non-AMT)	N/A	0	0	68,000	0	68,000
	Sub-Total	68,000,000	0	68,000	68,000	0	68,000
	Total Airport Consolidated Funds	301,269,692	70,417	300,000	365,181	45,489	315,795
8006	Airport System Rev Bd fund - 1998B (AMT)	395,643	1,808	0	1,630	1,496	134
8008	Airport System RevBd 2000B (Non-AMT) Const.	269,240	0	0	0	0	0
8010	Airport System R & R Fund	N/A	22,527	0	22,623	1,233	21,390
8011	Airport System Improvement Fund	N/A	516,672	0	511,675	97,403	414,272
	Total Other Funds	664,883	541,007	0	535,927	100,131	435,796
	Total Airport	301,934,575	611,424	300,000	901,108	145,621	751,591
Convention & Entertainment Facilities							
8800	GRB Consolidated Construction Fund	N/A	1,109	N/A	1,109	1,024	85
	Total GRB Construction Funds	0	1,109	0	1,109	1,024	85
8614	Convention & Ent. Comm. Paper-Ser A - 2003	53,500	0	31,000	31,000	0	31,000
8632	Convention & Ent. Underground Parking	21,500	0	200	200	21,500	0
8611	C & E Construction Fund	N/A	680	0	673	530	143
	Total Civic Center	75,000	1,789	31,200	32,982	23,054	31,228
Combined Utility System - Unrestricted							
8500A2	Water & Sewer TWDB Available Funds	N/A	0	0	0	0	0
8500A1	Combined Utility System CP Fund	N/A	0	698,000	0	0	0
8500	W&S Consolidated Construction	N/A	19,477	0	868,623	497,930	370,693
	Total Combined Utility System Consolidated Funds	0	19,477	698,000	868,623	497,930	370,693
Restricted Bonds and Capital Money							
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	0	2,000
8319	Water Contributed Capital Fund	N/A	32,337	0	27,593	18,644	8,950
8327	Sewer Reg Cap Recovery Fd	N/A	5,926	0	5,926	0	5,926
8340	Water & Sewer Bond Project Trust Account 04 A2	96,705	0	0	0	0	0
8374	Water & Sewer TWDB Bond Trust Account 2006	61,545	0	0	0	0	0
8375	Water & Sewer TWDB Bond Trust Account 2006A	69,595	566	0	3	0	3
8376	Water & Sewer TWDB Bond Trust Account 2008B	45,045	0	0	0	0	0
8377	Water & Sewer TWDB Bond Trust Account 2008C	52,650	0	0	0	0	0
8378	Water & Sewer TWDB Bond Trust Account 2008E	61,545	0	0	0	0	0
	Total Restricted TWDB and Other	389,085	38,830	2,000	35,523	18,644	16,879
	Total Combined Utility System	389,085	58,307	700,000	904,146	516,574	387,573
	Total All Funds	\$ 303,729,890	\$ 754,907	\$ 1,676,700	\$ 2,660,149	\$ 959,182	\$ 1,718,372

(a) Net Resources Available is equal to Current Assets less Current Liabilities.
Negative balances have been referred to departments for corrections

City of Houston, Texas
Commercial Paper (CP) Notes Status Report
For the period ended October 31, 2012
(amounts expressed in thousands)

Fund No.	Fund Name	Authorized Amount	CP Notes Issued	Authorized but Unissued	Available for Appropriation	Combined Available for Appropriation
General Obligation						
4041	Fondren Police Station Series E	1,618	1,618	0	0	0
4804G	Police CP Series H/J (D) 2006 Election	40,950	35,605	5,345	44,266	44,266
4806G	Police CP Series J 2006 Election	52,050	0	52,050	52,050	52,050
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	17,700	5,400	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	2,000	3,000	3,000	12,208
4806F	Parks & Recreation CP Series J 2006 Election	13,900	0	13,900	13,900	13,900
4804C	Fire CP Series H/J (D) 2006 Election	13,500	13,500	0	0	0
4805C	Fire CP Series G 2006 Election	10,000	5,000	5,000	12,545	12,545
4806C	Fire CP Series J 2006 Election	6,500	0	6,500	6,500	6,500
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	4,322	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	2,750	0	0	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	4,000	1,250	1,250	3,354
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	22,675	0	0	0
4805E	Public Library CP Series G 2006 Election	2,000	2,000	0	12,522	12,522
4806E	Public Library CP Series J 2006 Election	4,325	0	4,325	4,325	4,325
4803D	General Improvemt CP Series G 2001 Election	7,963	7,963	0	0	0
4804D	General Improvemt CP Series H/J (D) 2006 Election	13,550	13,550	0	0	0
4805D	General Improvemt CP Series G 2006 Election	13,450	6,000	7,450	4,898	4,898
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	20,205	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	67,375	0	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	131,506	131,506
4801S	St. Utility Relocation Set-Aside Series D	7,000	7,000	0	(900)	(900)
4027	Metro Street Projects, Series E	49,900	47,878	0	0	0
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	8,100	0	0	0
4805H	Public Health CP Series G 2006 Election	8,900	2,000	6,900	6,555	6,555
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	1,848
4030	Drainage Projects Series F, Series H-2	101,300	101,300	0	815	815
4801P	Housing CP Series H/J (D) 2001 Election	3,270	3,270	0	0	0
4803P	Housing CP Series G 2001 Election	10,610	1,480	9,130	3,939	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	7,375	11,314
1800D1	Equipment Acquisition, Series E-1	158,382		137,722	150,028	150,028
1800D3	Equipment & Capital, Series E-2	95,100		98,100	70,108	70,108
4039	Miscellaneous Capital Projects Series E	33,556	6,778	36,278	10,127	10,127
Total General Obligation CP Notes		1,064,426	404,069	542,275	534,808	547,968
Airport System						
8203A1	Airport System 2004 (AMT)	232,000	232,000	0	0	0
8204A2	Airport System 2008 (Non-AMT)	68,000	68,000	0	0	0
Total Airport System CP Notes		300,000	300,000	0	0	0
Convention and Entertainment						
8614	Equipment Acquisition, C&E	53,500	22,500	31,000	31,000	31,000
8632	Convention & Ent. Underground Parking	21,500	20,500	1,000	0	0
Total Convention and Entertainment CP Notes		75,000	43,000	32,000	31,000	31,000
Combined Utility & Water Sewer System						
8500A1	Combined Utility System CP	698,000	0	698,000	370,693	370,693
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	2,000
Total Combined Utility System CP Notes		700,000	0	700,000	372,693	372,693
Total		\$ 2,139,426	\$ 747,069	\$ 1,274,275	\$ 938,502	\$ 951,661

City of Houston, Texas
Total Outstanding Debt
October 31, 2012 and October 31, 2011
(amounts expressed in thousands)

	<u>October 31,</u> <u>2012</u>	<u>October 31,</u> <u>2011</u>
Payable from Ad Valorem Taxes		
Public Improvement Bonds ^(a)	\$ 2,582,320	\$ 2,504,825
Commercial Paper Notes ^(b)	246,900	263,450
Pension Obligations	607,775	607,775
Certificates of Obligations	17,365	75,990
Subtotal	<u>3,454,360</u>	<u>3,452,040</u>
Payable from Sources Other Than Ad Valorem Taxes		
<u>Combined Utility System</u>		
Combined Utility System Revenue Bonds	5,610,665	5,457,310
Combined Utility System Commercial Paper Notes ^(c)	0	5,400
Water and Sewer System Revenue Bonds ^(d)	347,642	396,702
Contract Revenue Obligations - CWA	125,740	139,080
<u>Airport System</u>		
Airport System Sr. Lien Bonds ^(e)	449,660	449,660
Airport System Subordinate Lien	1,818,055	1,925,530
Airport System Sr. Lien Commercial Paper Notes ^(f)	0	0
Airport System Inferior Lien Contracts ^(g)	28,115	32,895
Airport Special Facilities Revenue Bonds ^(h)	679,372	567,435
<u>Hotel Occupancy Tax and Civic Parking</u>		
Facilities Revenue Bonds ⁽ⁱ⁾	568,318	603,467
Hotel Occupancy Tax Commercial Paper ^(j)	43,000	43,000
Subtotal	<u>9,670,567</u>	<u>9,620,479</u>
Total Debt Payable by the City	<u>\$ 13,124,927</u>	<u>\$ 13,072,519</u>

- (a) In November 2001 voters authorized \$776 million in tax bonds. In November 2006 voters authorized an additional \$625 million in tax bonds.
- (b) The City has authorized maximum issuance of General Obligation Commercial Paper Programs Series E-1: \$100 million, E-2: \$150 million, G-1: \$75 million, G-2: \$100 million, H-1: \$100 million, H-2: \$100 million, J: \$125 million, K1: \$100 million and K2: \$100 million.
- (c) The City has authorized a \$700 million of Combined Utility System Commercial Paper Note Program.
- (d) Includes \$109 million accreted value of capital appreciation bonds at this date and \$127.1 million last year.
- (e) The Houston Airport System issued Senior Lien Revenue bonds on August 20, 2009.
- (f) City Council has authorized \$150 million of Airport Senior Lien Commercial Paper Notes Series A and B.
- (g) Under a sublease agreement, the Houston Airport System has agreed to make sublease payments that include the debt service payments on the Series 1997A Special Facilities Bonds that financed the Automated People Mover ("APM") at George Bush Airport. These sublease payments constitute Inferior Lien Obligations under the Airport bond ordinances.
- (h) All Special Facility Revenue Bonds are secured solely from Special Facility Lease Revenues. Does not include \$28.1 million for Series 1997A Special Facilities Bonds. See footnote (g).
- (i) Includes \$105 million accreted value of capital appreciation bonds at this date and \$91.1 million last year.
- (j) The City has authorized \$50 million of Subordinate Lien Hotel Occupancy Tax and Parking Revenue Commercial Paper.

CITY OF HOUSTON

RETIREE MEDICAL UNFUNDED ACCRUED LIABILITIES

10/31/2012
(amount expressed in millions)

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Present Value of Benefits ⁽²⁾</u>	<u>Unfunded Accrued Liabilities</u>	<u>Annual OPEB Cost ⁽⁴⁾</u>
Entry Age Normal ⁽¹⁾	6/30/2011	\$2,745.8	\$1,984.3 ⁽³⁾	\$225.8 ⁽⁵⁾

Note (1) Entry Age Normal is a cost method used to identify the value of benefits for the fiscal year 2011
Present Value of Benefits is a measure of total liability at the date of valuation
Both medical and life benefits are included.

Note (2) Total present value of all expected future benefits based on actuarial assumptions
and reflects new plan provisions effective 05/01/2011.

Note (3) Based on Aon Consulting's updated estimate to reflects new plan provisions effective 05/01/2011.

Note (4) The Annual OPEB (Other Post Employment Benefits) Cost is the actuarial calculated annual amount the City should
contribute to fund the unfunded accrued liabilities over 30 years remaining average expected working life.
The City currently funds on a "pay as you go" basis. The City has paid \$11.53 million current fiscal year to date.
For FY2012 the City paid \$46.77 million for the retiree health insurance costs.

Note (5) Reflects old plan provisions from 07/01/2010 to 04/30/2011 and new plan provisions from 05/01/2011 through 06/30/2011.

CITY OF HOUSTON

PENSION FUND PAYMENTS AND UNFUNDED LIABILITY SUMMARY

10/31/2012

PAYMENTS

(amount expressed in thousands)

	FY2012	FY 2013			
		City Payment Rate	Employee Payment Rate	Adopted Budget	Year to Date Actual
Firefighters Plan					
General Fd. & Other Fds.	\$ 61,204	23.9%	9.00%	\$ 61,475	\$ 18,734
Total Firefighters Plan	61,204			61,475	18,734
Police Plan					
General Fd. & Other Fds.	66,000	Note 1	9.00% / 10.25%	84,500	26,000
Pension Bonds	0			0	0
Total Police Plan	66,000			84,500	26,000
Municipal Plan					
General Fund	40,739	Note 2	5% / None	46,657	12,749
Other Funds	57,761	Note 2	5% / None	65,406	21,708
Total Municipal Plan	98,500			112,063	34,457
Total All Three Plans	\$225,704			\$258,038	\$79,191

UNFUNDED ACCRUED LIABILITY AND FUNDED STATUS

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Unfunded Accrued Liability (\$ millions)</u>	<u>Assets as % of Liabilities</u>
Firefighters Plan	7/1/2011	335.9	90.6%
Police Plan	7/1/2011	770.1	82.8%
Municipal Plan	7/1/2011	1,461.5	61.4%

Note 1: City contribution based on Meet and Confer Agreement with Houston Police Officers Pension System

(Agreement Between Houston Police Officers' Pension System and City of Houston,

Article II - Change in Member Contributions, September 18, 2004). The City committed to pay the flat amount of \$84.5 million in FY13.

Note 2: City contribution based on Meet and Confer Agreement with Houston Municipal Employees Pension System

(Fourth Amendment, June 27, 2007, Change in Member Contributions: effective January 1, 2008, new hires do not contribute).

The City committed to the greater of \$108.5M or 21.36% of payroll FY13.

FY2013 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2012 Actual	FY2013 Budget	FY2013 (1) October	FY2013 (1) YTD AVG.	Overtime FY2012 Actual	Overtime FY2013 Budget	Overtime (1) FY2013 YTD
ENTERPRISE FUNDS							
Aviation	1,360.0	1,400.0	1,293.7	1,316.8	51.6	33.8	46.4
PW & E - Combined Utility System	2,082.2	2,251.9	2,076.0	2,073.4	198.3	131.9	164.1
TOTAL ENTERPRISE FUNDS	3,442.2	3,651.9	3,369.7	3,390.2	249.9	165.7	210.5
GENERAL FUND							
GENERAL FUND MUNICIPAL							
Administration and Regulatory Affairs	267.2	243.3	238.5	235.3	0.9	0.7	0.5
City Secretary	10.9	11.4	10.9	10.7	0.0	0.1	0.0
Controller's Office	66.2	65.6	64.6	65.8	0.0	0.0	0.0
Council Office	74.6	89.0	78.5	79.4	0.0	0.0	0.0
Finance Department	72.6	68.6	55.8	60.2	0.0	0.0	0.0
Fire Department	118.6	124.5	115.1	117.9	0.3	0.7	0.4
General Services	189.3	191.6	188.3	187.1	4.6	5.0	7.1
Health & Human Services	454.6	467.2	458.4	459.1	3.8	1.5	3.3
Housing & Community Development	2.1	2.0	2.0	2.1	0.0	0.0	0.0
Human Resources	35.9	34.8	33.6	34.2	0.0	0.0	0.0
Information Technology	149.1	141.7	139.6	143.2	1.7	1.2	1.7
Legal	119.1	119.2	115.1	116.0	0.0	0.0	0.0
Library	401.7	409.7	387.8	387.9	0.0	0.0	0.0
Mayor's Office	23.3	54.1	56.5	55.0	0.0	0.5	0.4
Municipal Courts Department	271.2	276.4	264.1	268.8	0.0	0.2	0.0
Neighborhoods	96.1	110.7	100.6	99.6	0.2	1.9	0.2
Office of Business Opportunity	23.3	28.0	27.8	26.2	0.0	0.0	0.0
Parks & Recreation	617.7	685.8	593.9	676.5	9.9	2.5	10.6
Planning & Development	75.7	76.5	74.3	76.6	0.0	0.0	0.0
Police Department	1,094.6	1,210.3	1,143.6	1,142.0	25.3	27.9	37.5
Public Works and Engineering	9.1	15.0	14.6	15.6	0.0	0.1	0.0
Solid Waste Management	434.3	444.6	442.4	437.2	26.2	21.1	38.6
SUBTOTAL MUNICIPAL	4,607.2	4,870.0	4,606.0	4,696.4	72.9	63.4	100.3
GENERAL FUND CADETS							
Fire Department	14.2	24.2	36.0	38.5	0.0	0.0	0.0
Police Department	76.6	99.5	142.0	140.7	0.0	0.0	0.0
SUBTOTAL CADETS	90.8	123.7	178.0	179.2	0.0	0.0	0.0

FY2013 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2012 Actual	FY2013 Budget	FY2013 (1) October	FY2013 (1) YTD AVG.	Overtime FY2012 Actual	Overtime FY2013 Budget	Overtime (1) FY2013 YTD
GENERAL FUND CLASSIFIED							
Fire Department	3,801.0	3,746.4	3,782.5 (4)	3,771.9 (4)	177.6	193.3	201.5
Police Department	5,223.8	5,207.0	5,188.6	5,171.1	251.1 (2)	101.5 (2)	242.1
SUBTOTAL CLASSIFIED	9,024.8	8,953.4	8,971.1	8,943.0	428.7	294.8	443.6
TOTAL GENERAL FUND	13,722.8	13,947.1	13,755.1	13,818.6	501.6	358.2	543.9
GRANTS & OTHER FUNDS (3)							
Administration and Regulatory Affairs	176.2	180.1	161.4	163.8	0.6	0.7	1.0
Finance Department	0.0	17.0	10.0	4.5	0.0	0.0	0.1
Fleet Management	253.2	273.0	252.9	253.0	9.3	10.1	14.0
General Services	61.1	58.0	56.0	58.6	0.1	0.8	0.2
Health & Human Services	508.8	10.4	531.0	521.7	1.2	0.2	1.9
Housing & Community Development	129.3	0.0	145.3	160.8	0.0	0.0	0.0
Houston Emergency Center	228.0	238.6	229.1	227.4	6.3	10.3	11.5
Human Resources	191.7	215.3	200.9	199.9	0.1	0.0	0.1
Information Technology	44.1	67.8	43.7	43.5	0.2	0.0	0.1
Legal	59.9	55.4	49.2	48.3	0.1	0.0	0.0
Library	28.0	4.0	36.6	33.2	0.0	0.0	0.0
Mayor's Office	23.3	17.5	23.6	31.5	0.1	0.2	0.0
Municipal Courts Department	34.3	36.1	35.9	35.1	0.0	0.0	0.0
Neighborhoods	48.5	0.0	51.4	50.4	0.5	0.0	0.2
Parks & Recreation	93.7	90.5	82.4	95.0	3.9	4.4	6.4
Planning	10.2	12.5	12.5	9.7	0.0	0.0	0.0
Police Department - Cadet	67.6	0.0	0.0	3.7	0.0	0.0	0.0
Police Department - Classified	24.5	23.0	66.0	67.8	1.7	32.6	2.4
Police Department - Municipal	59.1	11.0	35.3	31.6	4.0	0.6	1.0
Public Works and Engineering	1,693.5	1,794.1	1,675.8	1,670.1	104.6	76.9	92.4
Solid Waste Management	4.0	5.0	3.0	3.0	0.0	0.0	0.0
TOTAL GRANTS & SPECIAL FUNDS	3,739.0	3,109.3	3,702.0	3,712.6	132.7	136.8	131.3
CITY-WIDE TOTAL	20,904.0	20,708.3	20,826.8	20,921.4	884.2	660.7	885.7

(1) YTD numbers measure the periods 07/01/2012 through 10/31/2012.

(2) Includes overtime hours from the Asset Forfeiture and Police Special Funds.

(3) FY2013 Budget does not include Grant FTEs.

(4) Fire department FTEs do not include classified employees on phasedown.

City of Houston
 FY2013 Position Control
 As of October 31, 2012

Benchmark Dates	General Fund			Enterprise Fund			Special & Other Funds			Total All Funds		
	As of June 30, 2012	As of October 31, 2012	Variance	As of June 30, 2012	As of October 31, 2012	Variance	As of June 30, 2012	As of October 31, 2012	Variance	As of June 30, 2012	As of October 31, 2012	Variance
Beginning Number of Employees	13,974	13,964		3,588	3,548		3,746	3,743		21,308	21,255	
A Number of separations	(52)	(62)		(19)	(27)		(18)	(44)		(89)	(133)	
B Number of additions	130	114		9	11		47	55		186	180	
Total Employees	14,052	14,016	(36)	3,578	3,532	(46)	3,775	3,754	(21)	21,405	21,302	(103)
Less: Police - Classified	5,236	5,175		-	-		69	68		5,305	5,243	
Fire - Classified	3,735	3,773		-	-		-	-		3,735	3,773	
Total Classified Employees	8,971	8,948	(23)	-	-	-	69	68	(1)	9,040	9,016	(24)
Total Civilian Employees	5,081	5,068	(13)	3,578	3,532	(46)	3,706	3,686	(20)	12,365	12,286	(79)

Notes:

- A** Separations include resignations, terminations and transfers out of the department
- B** Additions include new hires, rehires and transfers into the department

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING OCTOBER 2012 (33.33% OF FISCAL YEAR)**

Department Performance Measure	FY2012			FY2013		
	Actual	YTD	% Actual	Objective	YTD	% Objective
ADMINISTRATION & REGULATORY AFFAIRS						
Avg Days to Award Procurement Contracts	140.00	106	75.6%	140.00	98	69.7%
3-1-1 Avg Time Customer in Queue (seconds)	90.00	106	117.2%	90.00	139	154.8%
Cable Company Complaints	100	53	53.0%	150	47	31.3%
AVIATION						
Enplanement	25,274,527	8,549,088	33.8%	25,411,634	8,474,222	33.3%
Debt coverage Ratio	1.54	N/A	0.0%	1.36	1.44	105.9%
Parking revenue per originating passenger	\$5.29	\$5.63	106.4%	\$5.41	\$5.29	97.8%
Concessions per enplaned passenger	\$1.49	\$1.42	95.3%	\$1.48	\$1.55	104.7%
FAA AIP entitlement grant funding	\$5,671,924	\$0	0.0%	20,000,000	\$217,233	1.1%
FAA AIP discretionary grant funding	10,610,492	\$0	0.0%	\$5,000,000	\$1,039,128	20.8%
GENERAL SERVICES						
Design & Construction						
Construction Projects Complete	89	7	7.9%	37	14	37.8%
Property Mgmt. (Work Orders Compl.)	25,696	9,130	35.5%	33,000	8,534	25.9%
Security Management						
Number of Reported Incidents Investigated upon Receipts	1,071	351	32.8%	1,500	420	28.0%
FINANCE						
Liens Collections	\$2,422,916	\$695,987	28.7%	\$1,636,580	\$732,343	44.7%
Deferred Compensation Participation	85.00%	78.81%	92.7%	85.00%	78.84%	92.8%
Audits Completed	18	9	50.0%	61	6	9.8%
FIRE DEPARTMENT						
First Response Time-Fire (Minutes)	7:31	7:30	N/A	7:16	7:21	N/A
First Response Time-EMS (Minutes)	7:23	7:36	N/A	7:54	7:06	N/A
HEALTH & HUMAN SERVICES						
Complete Network Requests	549	119	21.7%	929	575	61.9%
Complete Program Requests	123	8	6.5%	205	144	70.2%
Desktop Support Requests	6,095	1,307	21.4%	7,168	3,382	47.2%
Mayor Customer Service Response	119	31	26.1%	108	62	57.4%
Monthly Financial & Operating Reports	24	8	33.3%	24	8	33.3%
Grant Setups	55	38	69.1%	60	38	63.3%
Contracts and Agreements	43	17	39.5%	50	16	32.0%
Air, Water & Waste Investigation	3,114	975	31.3%	2,800	1,121	40.0%
Food Establishment Inspections	43,027	9,213	21.4%	37,938	9,129	24.1%
Food Establishment Complaints	2,402	836	34.8%	2,200	827	37.6%
Enforcement Cases - BPCP	116	44	37.9%	80	43	53.8%
Radiation Inspections	208	63	30.3%	175	81	46.3%
Project Saving Smiles	4,063	0	0.0%	3,000	0	0.0%
Family Planning Clinic Encounters	11,983	4,529	37.8%	12,200	3,486	28.6%
STD Clinic Encounters	15,687	5,688	36.3%	15,800	5,276	33.4%
Immunization Clinic Encounters	18,751	8,952	47.7%	10,000	7,197	72.0%
Jail Health Clinic Encounters	165,870	55,837	33.7%	165,700	55,328	33.4%
Tuberculosis (TB) Clinic Encounters	5,971	2,493	41.8%	6,500	1,232	19.0%
CareHouston Encounters	1,028	210	20.4%	1,000	1,431	143.1%
Num of Diseases Investigated	31,795	7,668	24.1%	40,000	15,616	39.0%
Num of Outbreaks Investigated	139	12	8.6%	400	163	40.8%
Num of TB Prescriptions	41,972	5,488	13.1%	24,500	21,768	88.8%
Num of Clinic Orders Filled	44,794	14,534	32.4%	45,500	8,298	18.2%
Laboratory Tests Performed	359,098	152,987	42.6%	420,000	100,604	24.0%
HOUSING						
Housing Units Assisted	1,483	802	0.0%	1,500	330	0.0%
Council Actions on HUD Projects	141	29	0.0%	100	31	0.0%
Annual Spending (Millions)	\$47	\$11	0.0%	\$50	\$17	0.0%

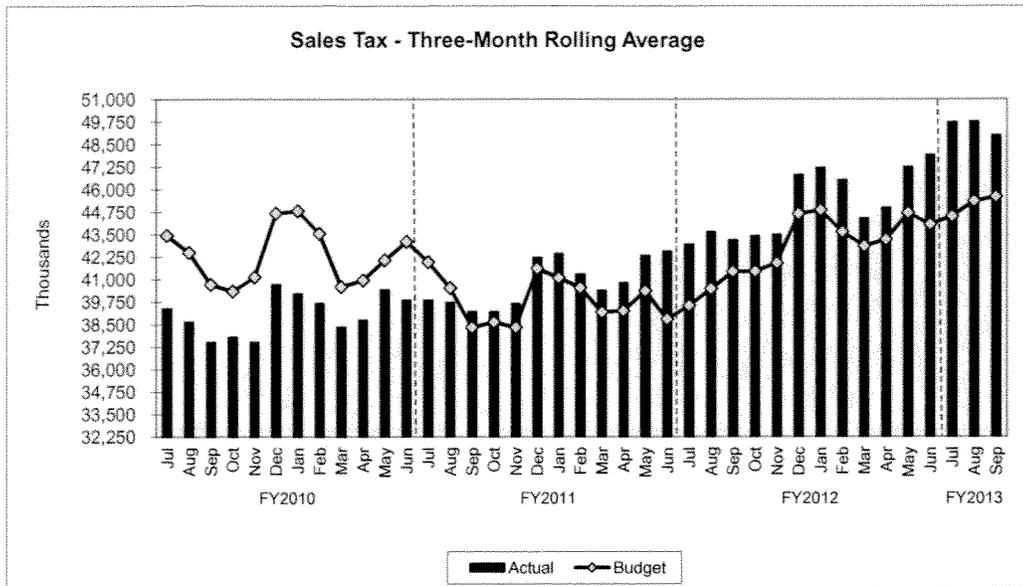
**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING OCTOBER 2012 (33.33% OF FISCAL YEAR)**

Department Performance Measure	FY2012			FY2013		
	Actual	YTD	% Actual	Objective	YTD	% Objective
HUMAN RESOURCES						
Total Jobs Filled - (As Vacancies Occur)	3,559	1,167	32.8%	5,000	897	17.9%
Days to Fill Jobs	45	45	100.0%	45	45	100.0%
Lost Time Injuries (As They Occur)	593	160	27.0%	625	170	27.2%
LEGAL						
Deed Restriction Complaints Received	616	229	37.2%	848	185	21.8%
Deed Restriction Lawsuits Filed	17	6	35.3%	15	3	20.0%
Deed Restriction Warning Letters Sent	137	58	42.3%	146	50	34.2%
LIBRARY						
Total Circulation	6,983,475	2,471,418	35.4%	6,326,079	2,402,901	38.0%
Juvenile Circulation	3,250,778	1,137,999	35.0%	2,950,173	1,154,154	39.1%
Reference Questions Answered	667,546	236,117	35.4%	456,000	245,932	53.9%
In-House Computer Users	1,145,952	420,591	36.7%	830,000	384,346	46.3%
Public Computer Training Classes Held	996	357	35.8%	1,800	1,295	71.9%
Public Computer Training Attendance	7,543	2,509	33.3%	10,000	7,868	78.7%
MUNICIPAL COURTS						
Average Time Defendant Spends in Court - Trial By Judge	29 minutes	29 minutes	N/A	30 mins <	25 mins	N/A
Average Time Defendant Spends in Court - Trial By Jury	2:50 hours	3:13 hours	N/A	3:15 hrs <	1:54 hrs	N/A
Average Time Officer Spends in Court	2:21 hours	2:01 hours	N/A	2:45 hrs <	2:41 hrs	N/A
OFFICE OF BUSINESS OPPORTUNITY						
New Certified Firms	311	75	24.1%	373	63	16.9%
Processing Timeframe (Days)	34	24	70.6%	90	67	74.4%
New Certification Applications Received	588	139	23.6%	600	154	25.7%
Annual Certification Updates Received	1,338	354	26.5%	1,400	326	23.3%
Certification Field Audits	311	76	24.4%	400	85	21.3%
Certified Payrolls Audited	17,983	4,083	22.7%	20,000	5,249	26.2%
Site Visits	479	150	31.3%	1,200	105	8.8%
Penalty Funds Collected	\$10,010	\$1,620	16.2%	\$25,000	\$5,460	21.8%
Amount of Pay or Play Funds Collected	\$1,112,120	\$201,408	18.1%	\$700,000	\$266,298	38.0%
New Pay Option Contracts	2	N/A	0.0%	24	2	8.3%
New Play Option Contracts	4	N/A	0.0%	36	16	44.4%
Outreach and Speaking Events	289	69	23.9%	305	67	22.0%
Business Education Workshops	31	7	22.6%	30	6	20.0%
Waivers and Goal Reductions Processed	32	N/A	0.0%	78	30	38.5%
Procurement Training Institute Attendees	N/A	N/A	0.0%	600	152	25.3%
Business Assistance Requests	3,150	790	25.1%	3,000	739	24.6%
New Hire Houston First Designations	431	N/A	0.0%	500	202	40.4%
PARKS & RECREATION						
Registrants in Youth Sports Programs	33,835	1,228	3.6%	74,600	27,587	37.0%
Registrants in Adult Fitness & Craft Programs	143,601	6,007	4.2%	390,000	162,933	41.8%
Number of Teams Registered in Adult Sports Programs	1,143	371	32.5%	1,400	219	15.6%
Teens Registration	N/A	N/A	0.0%	90,000	22,392	24.9%
Summer Enrichment Program	4,493	404	9.0%	120,100	76,253	63.5%
After School Enrichment Program	N/A	N/A	0.0%	185,500	38,568	0.0%
Lee and Joe Jamail Skate Park	8,781	263	3.0%	55,428	11,029	19.9%
Golf Rounds Played at Privatized Courses	70,445	25,896	36.8%	84,528	26,987	31.9%
Golf Rounds Played at COH - Operated Courses	145,778	54,873	37.6%	166,901	55,801	33.4%
Work Orders Completed-Parks and Comm. Ctr Facilities	18,789	6,549	34.9%	20,000	6,904	34.5%
Grounds Maintenance Cycle-Days:						
Parks & Plazas	22	20	90.9%	18	17	96.6%
Esplanades	28	26	92.5%	21	27	126.7%
Bikes & Hikes Trails	23	22	94.0%	17	18	109.7%
PLANNING & DEVELOPMENT						
Development Plats	820	299	36.5%	885	304	34.4%
Plats Recorded	772	300	38.9%	772	365	47.3%
Subdivision Plats Reviewed	1,592	545	34.2%	1,592	731	45.9%

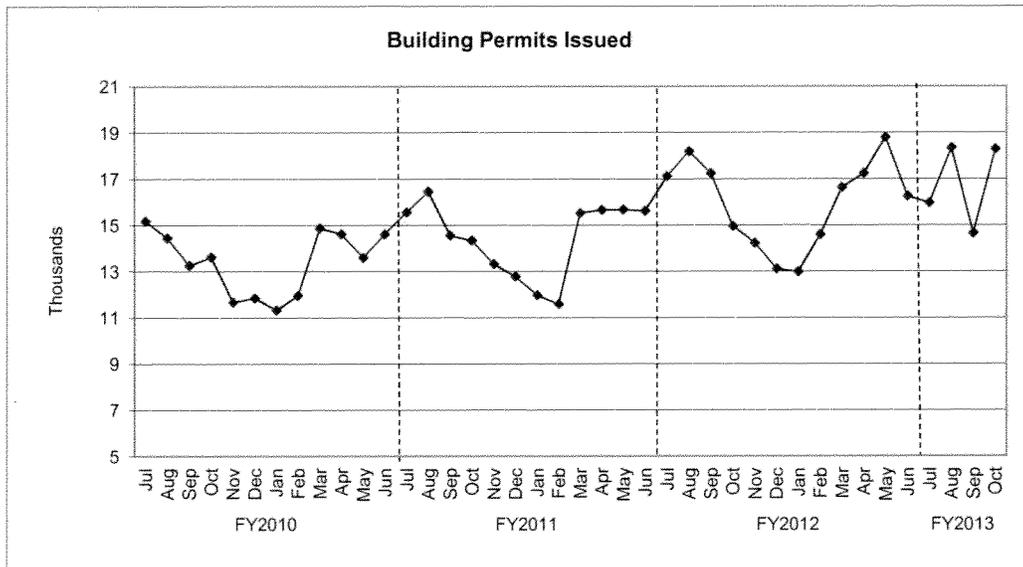
**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING OCTOBER 2012 (33.33% OF FISCAL YEAR)**

Department Performance Measure	FY2012			FY2013		
	Actual	YTD	% Actual	Objective	YTD	% Objective
HOUSTON POLICE						
Response Time (Code 1)-Minutes	4.7	4.6	97.9%	4.9	4.8	102.1%
Violent Crime Clearance Rate	46.8%	46.3%	98.9%	38.8%	40.3%	103.9%
Complaints - Total Cases	325	107	32.9%	300	69	23.0%
Total Cases Reviewed by Citizens Review Committee	153	46	30.1%	200	50	25.0%
Records Processed	739,758	251,668	34.0%	663,276	246,711	37.2%
PUBLIC WORKS AND ENGINEERING						
Maintenance and Right-of-Way						
Asphalt For Potholes/Skin Patches (Tons)	16,497	6,400	38.8%	16,000	5,834	36.5%
In-House Overlay (Lane Miles)	140	42	30.0%	140	36	25.7%
Roadside Ditch Regrading/Cleaned (Miles)	279	91	32.6%	275	93	33.8%
Storm Sewers Line Inspections	261	70	26.8%	320	81	25.3%
Inlet and Manhole Maintenance Cycles	63,014	24,534	38.9%	40,000	12,544	31.4%
ECRE						
Storm/Street Annual Appropriation as of % of CIP	89.9%	9.2%	10.2%	100.0%	17.4%	17.4%
Waste/Wastewater Annual Appropriation as of % of CIP	93.1%	21.2%	22.8%	100.0%	7.9%	7.9%
Traffic and Transportation						
Traffic Signal Maintenance Completed within 72 hours	100.0%	100.0%	100.0%	95.0%	99.9%	105.2%
Roadway & Sidewalk Obstruction Permits processed within 10 days	98.5%	98.9%	100.4%	100.0%	98.9%	98.9%
Water and Sewer - Utility Maintenance						
Rehabilitate/renew 600,000 linear feet (1.9%) of collection system annually	615,084	203,039	33.0%	600,000	56,545	9.4%
Rehabilitate or renew 1,300 fire hydrants (2%) annually	789	243	30.8%	855	307	35.9%
Water repairs completed within 10 days for calls received from 311	82.6%	71.0%	86.0%	90.0%	95.0%	105.6%
Wastewater repairs completed within 18 days for calls received from 311	82.8%	92.0%	111.1%	90.0%	94.0%	104.4%
Percent of meters read and located monthly	97.7%	97.3%	99.6%	98.0%	97.8%	99.8%
Collection Rate	99.7%	99.3%	99.6%	99.0%	100.1%	101.1%
Planning & Development						
Complete Plan Review on new single family residence in 7 days	88.0%	93.2%	105.9%	100.0%	94.0%	94.0%
Average number of Re-submittals in Plan Review	3.6	3.5	98.6%	3.0	3.8	126.7%
Average number of Structural, Electrical, Plumbing and Mechanical Inspections	15.0	14.8	98.9%	16.0	17.1	106.9%
SOLID WASTE MANAGEMENT						
Customer Service Request	93,536	29,252	31.3%	95,119	31,137	32.7%
Monthly Cost per Unit Serviced (Excludes Recycling Costs and Special Projects)	\$14.31	\$14.31	100.0%	\$14.31	\$14.31	100.0%
Units with Recycling	205,739	205,739	100.0%	219,000	205,739	93.9%
Tires Disposed	97,033	48,945	50.4%	100,000	37,707	37.7%

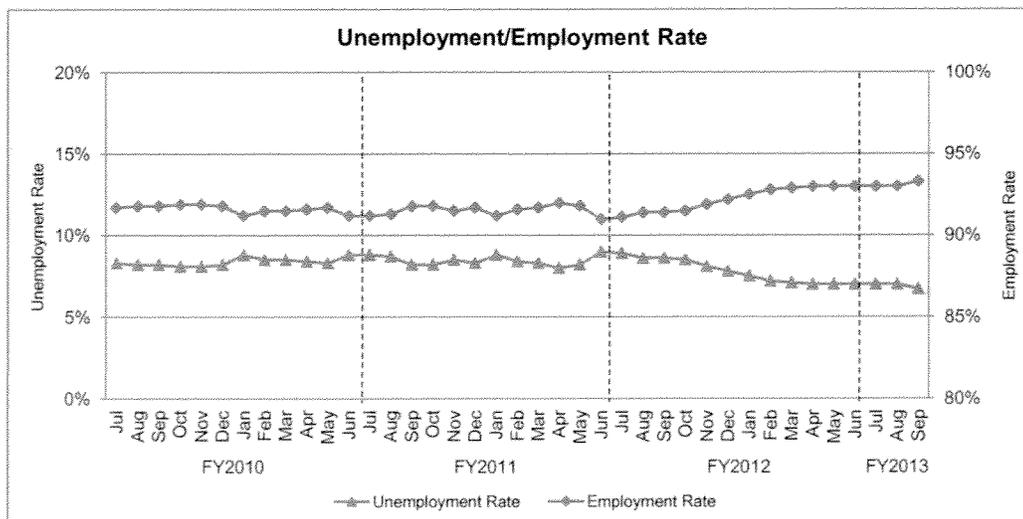
TREND INDICATORS - LOCAL ECONOMY



Source: Office of State Comptroller

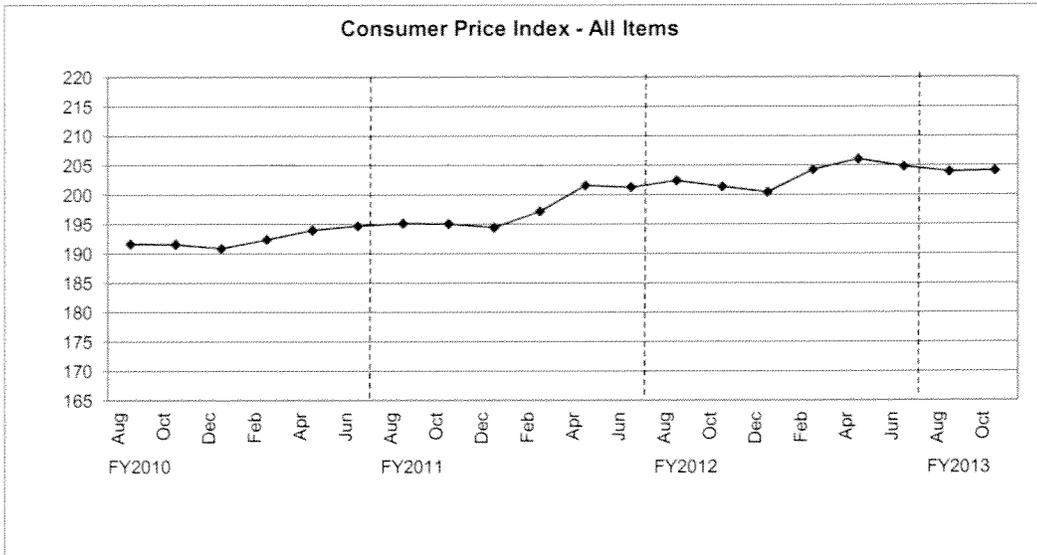


Source: City of Houston Planning and Development Department

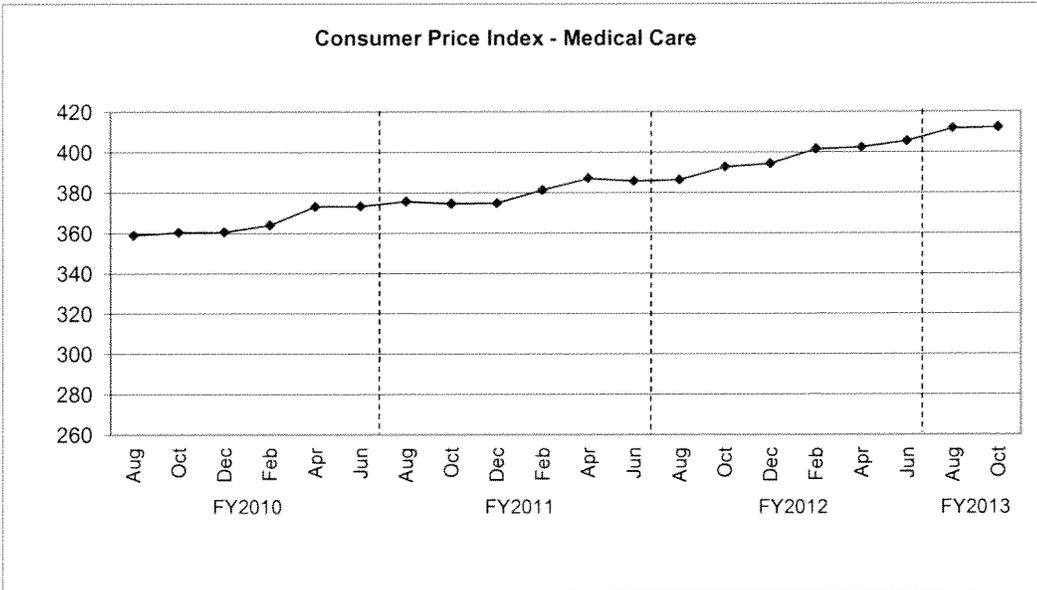


Source: Labor Market & Career Information, Texas Workforce Commission; Houston-Sugar Land-Baytown(MSA)

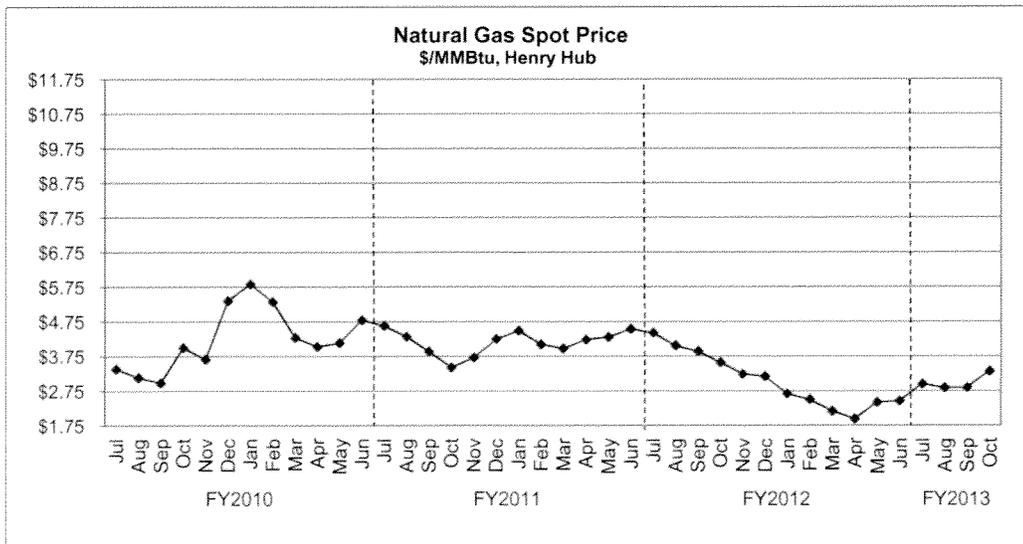
TREND INDICATORS - LOCAL ECONOMY



Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX

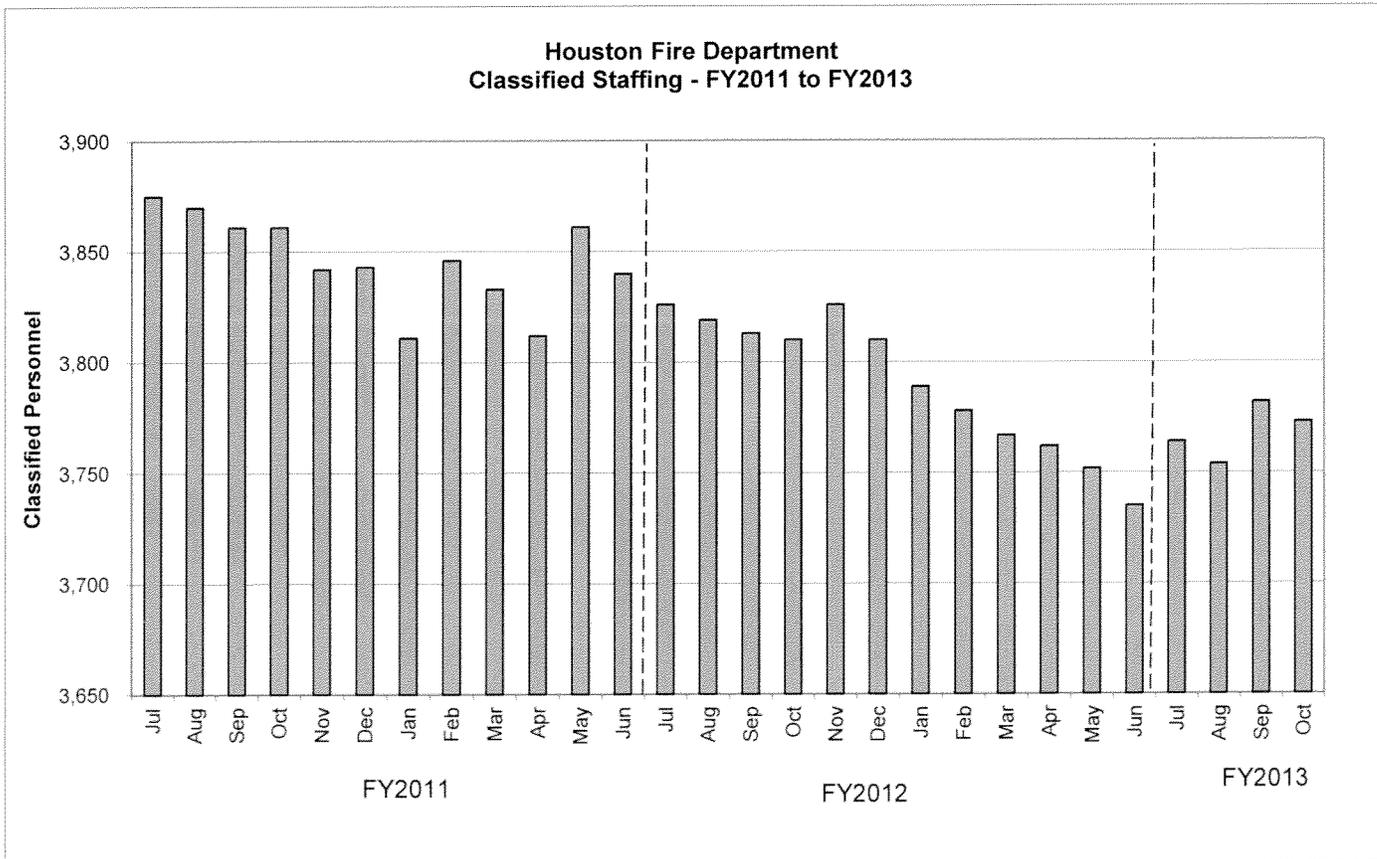
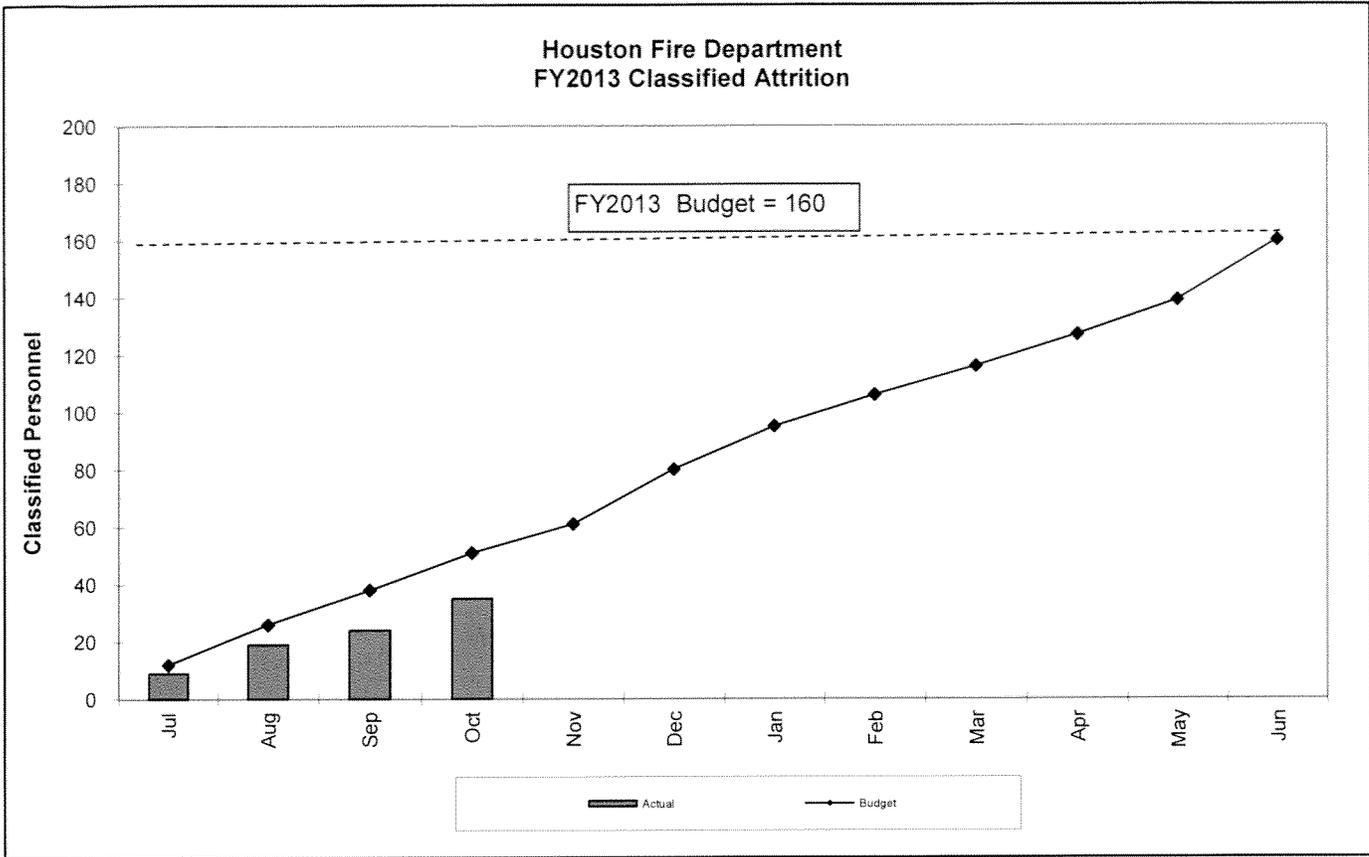


Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX



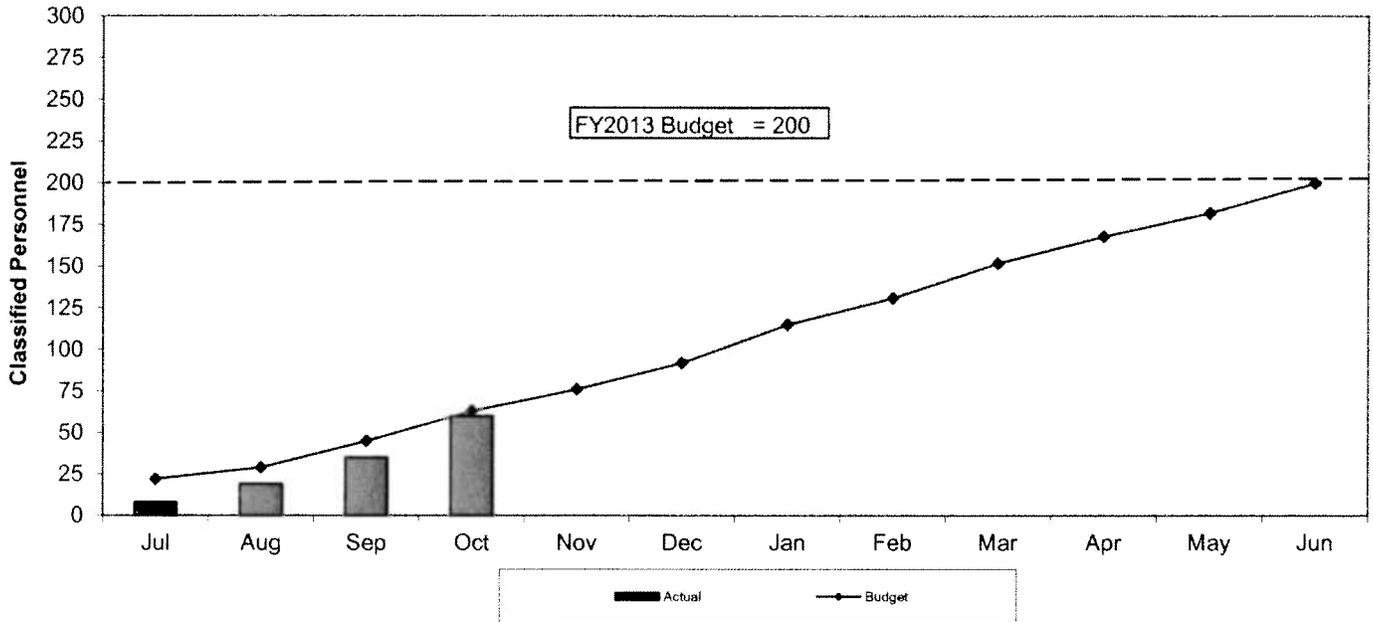
Source: Energy Information Administration/Natural Gas Monthly

TREND INDICATORS - HOUSTON FIRE DEPARTMENT

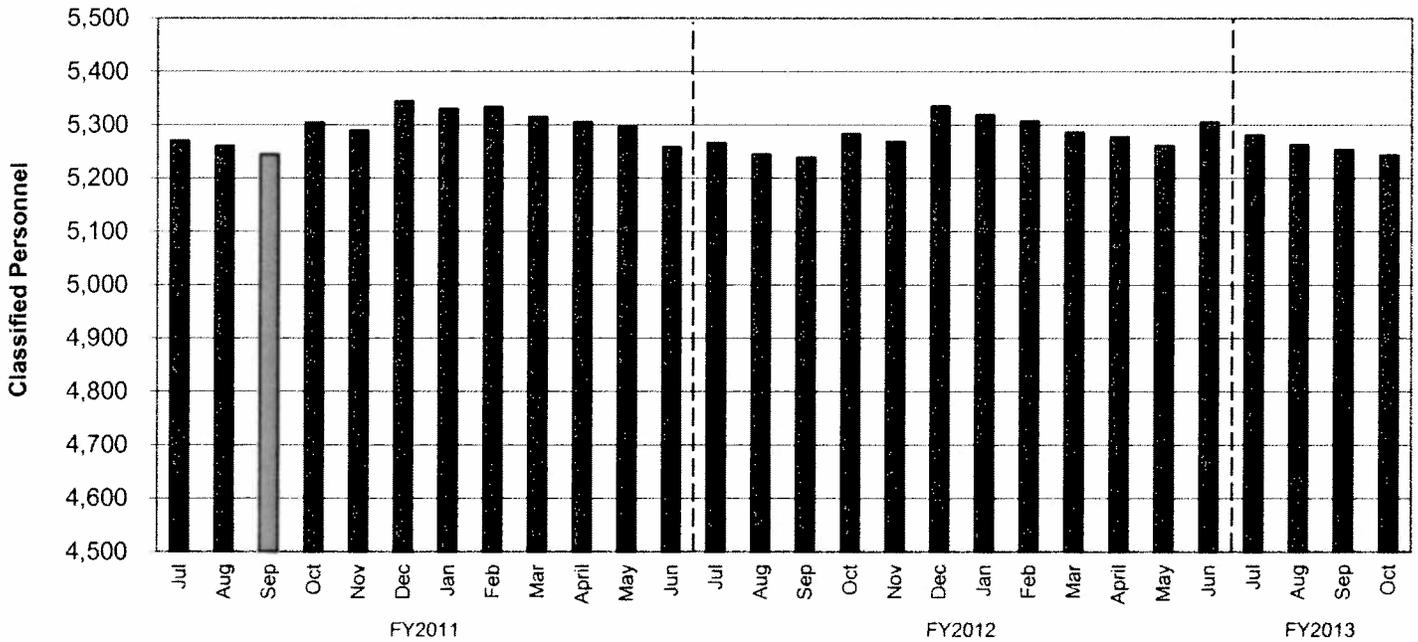


TREND INDICATORS - HOUSTON POLICE DEPARTMENT

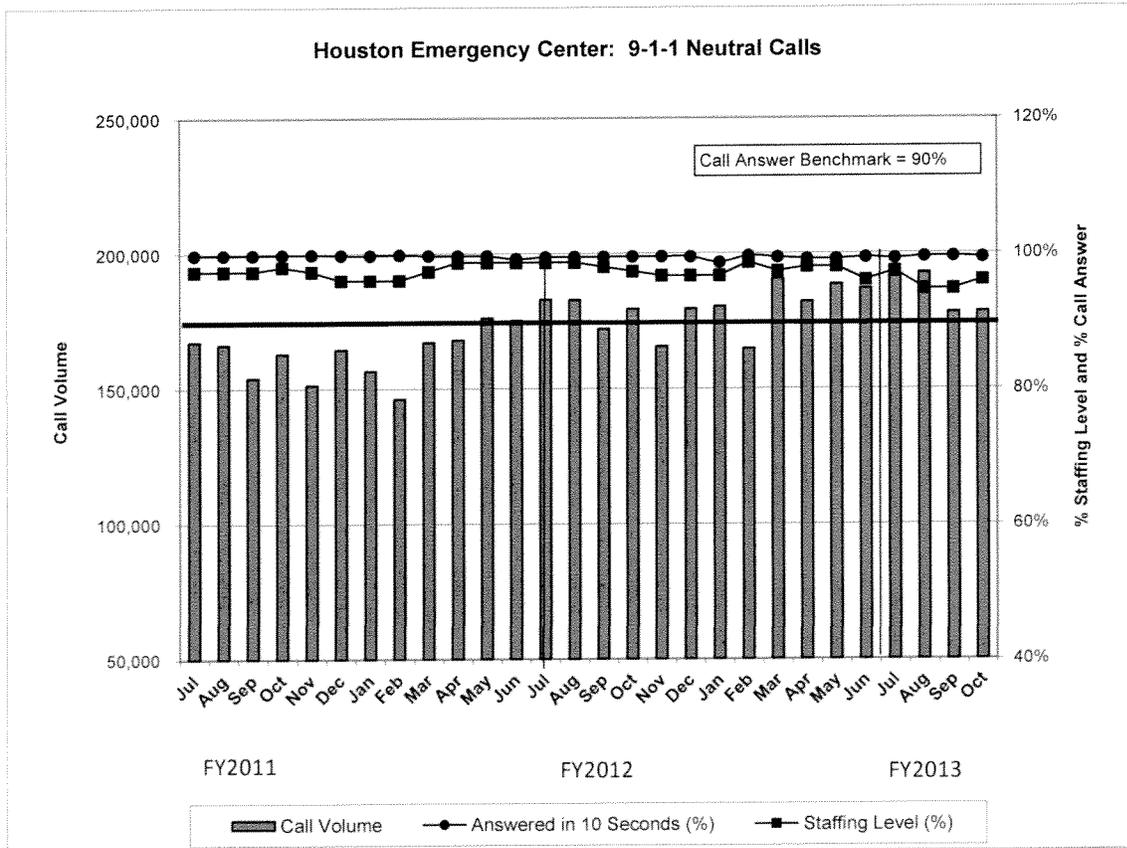
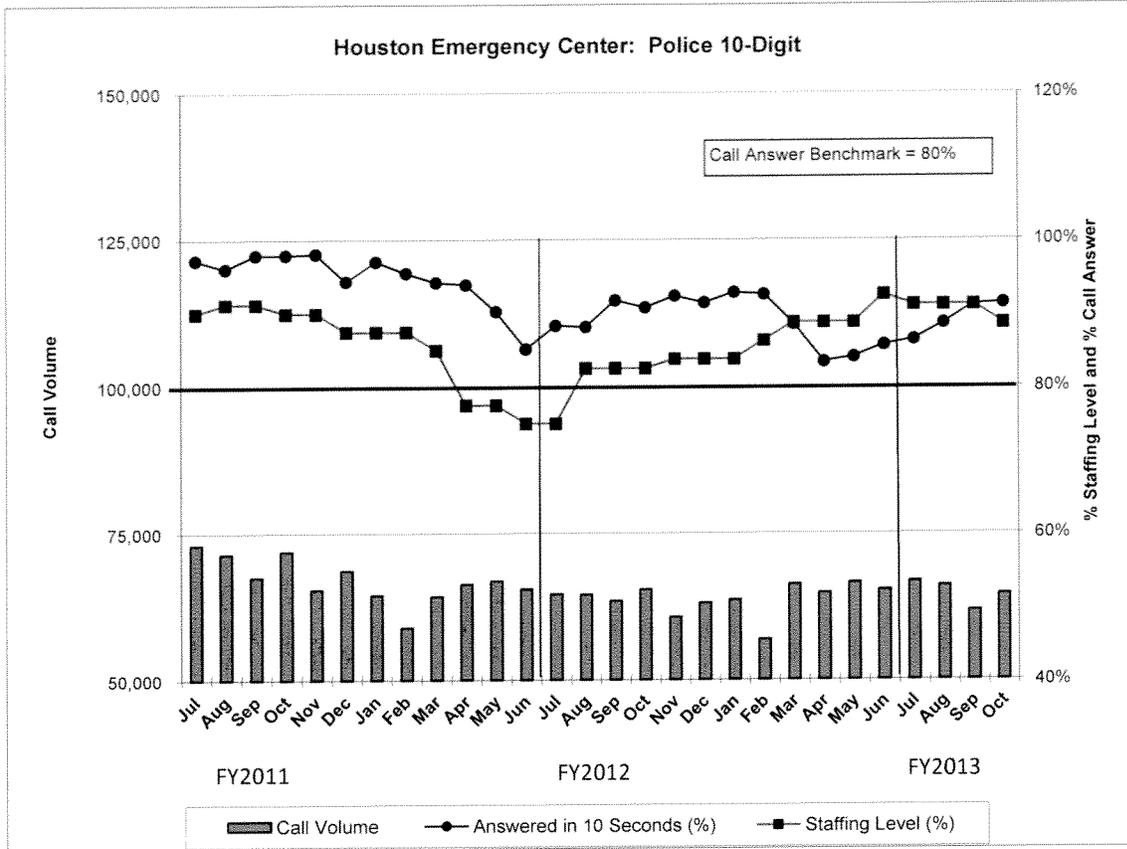
Houston Police Department FY2013 Classified Attrition



Houston Police Department Classified Staffing - FY2011 to FY2013

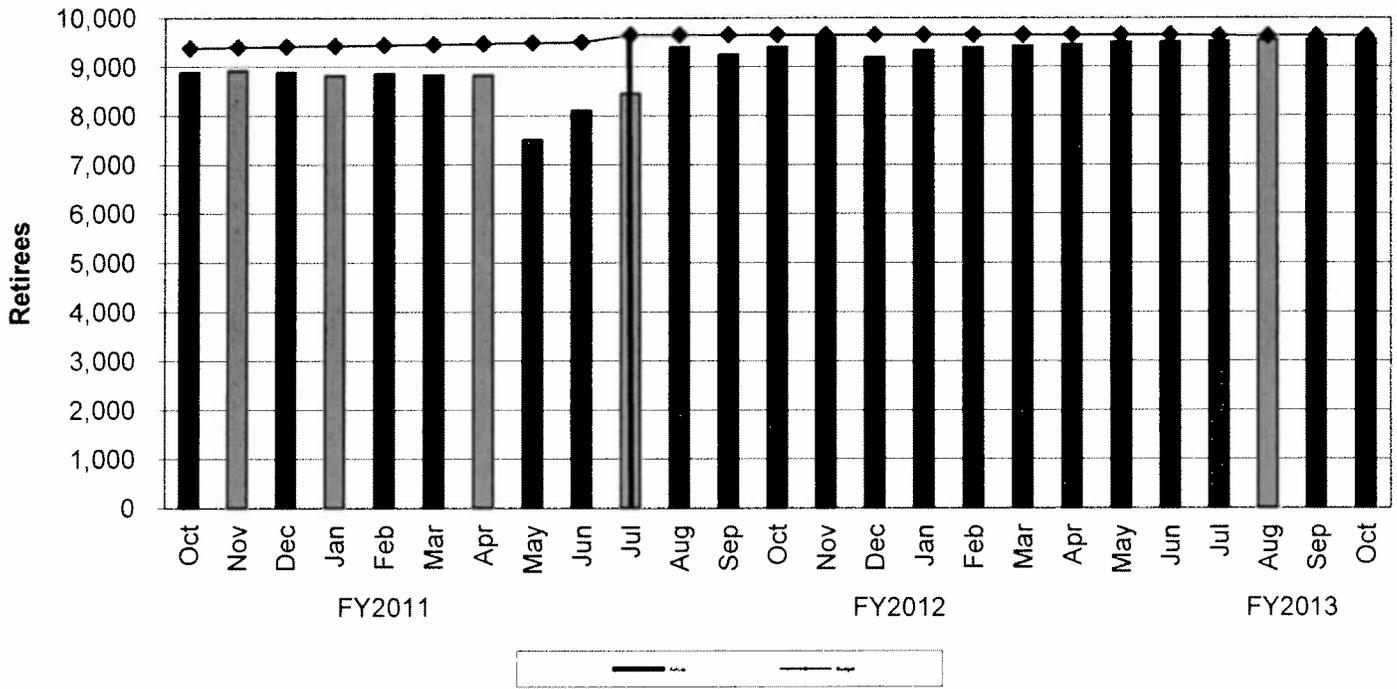


TREND INDICATORS - HOUSTON EMERGENCY CENTER



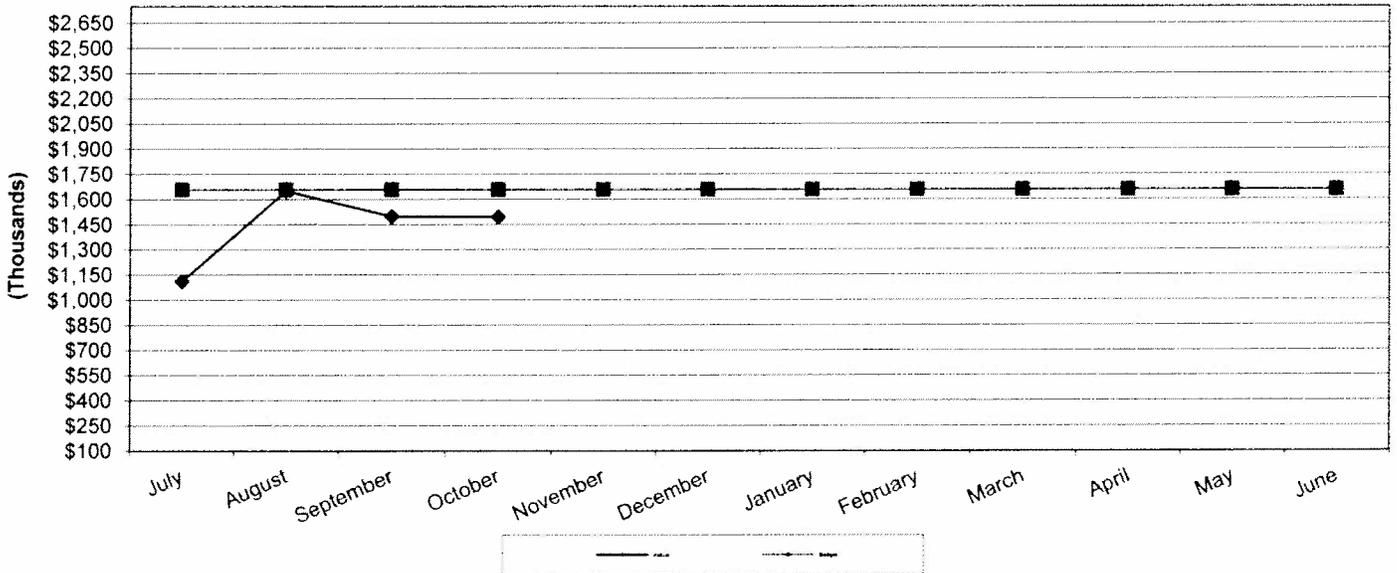
TREND INDICATORS - RETIREMENTS

Retirees Receiving Health Benefits

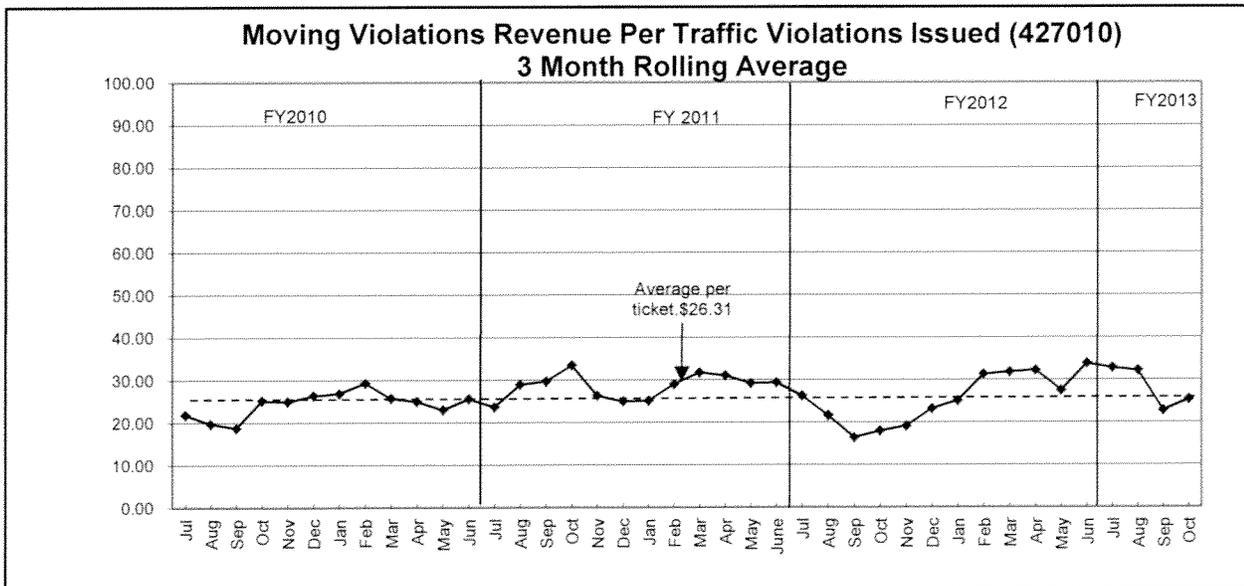
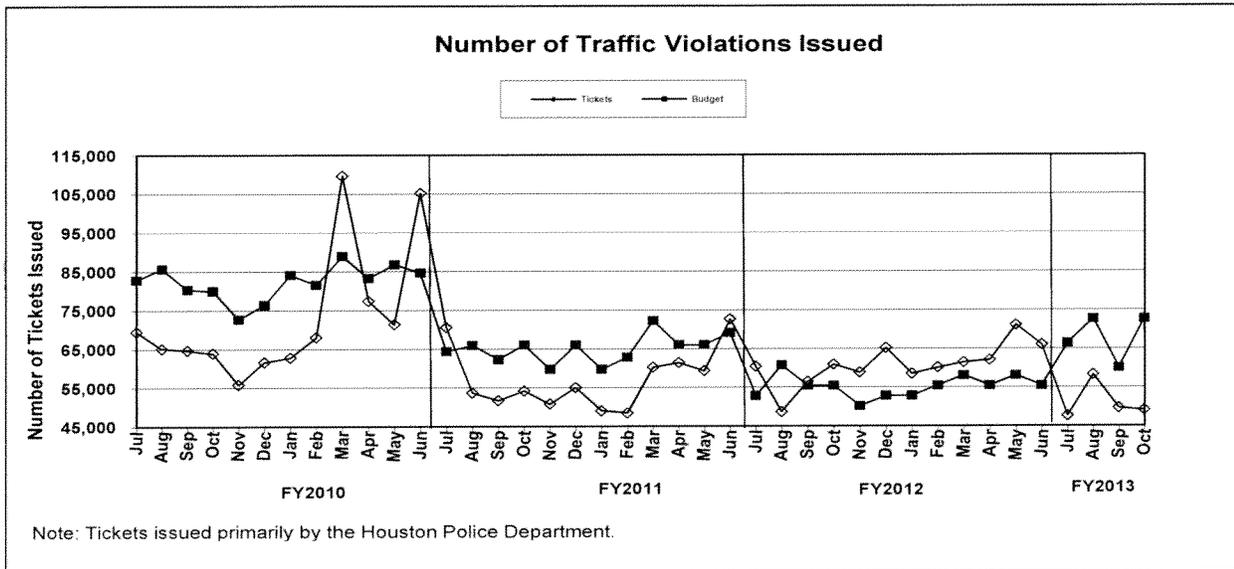
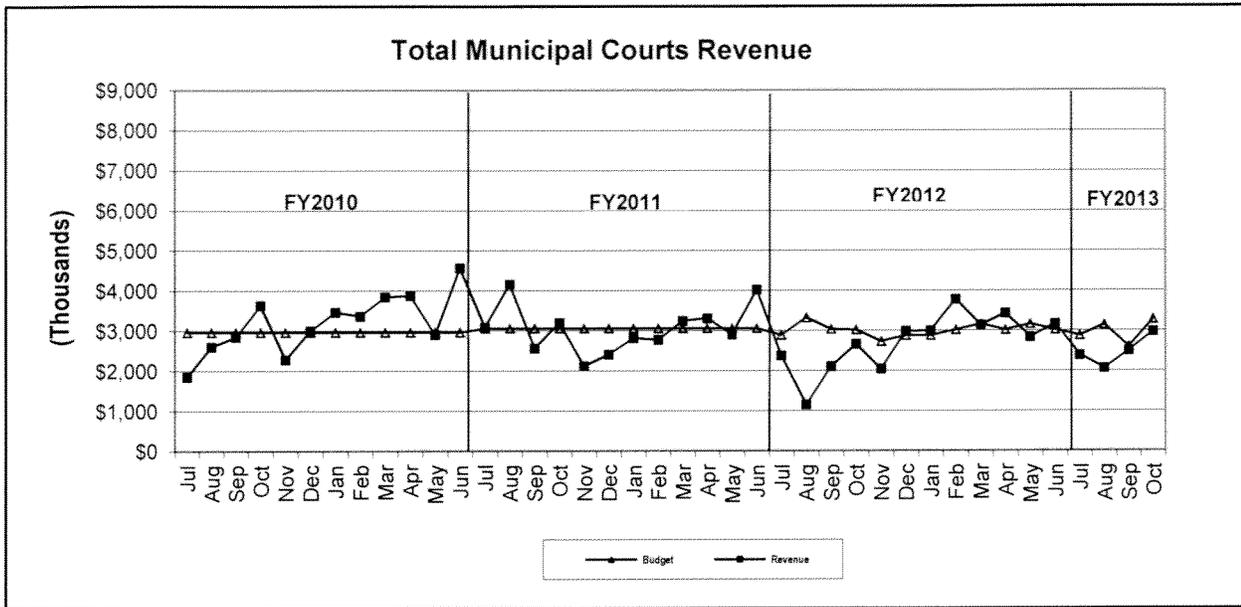


TREND INDICATORS - PARKING MANAGEMENT

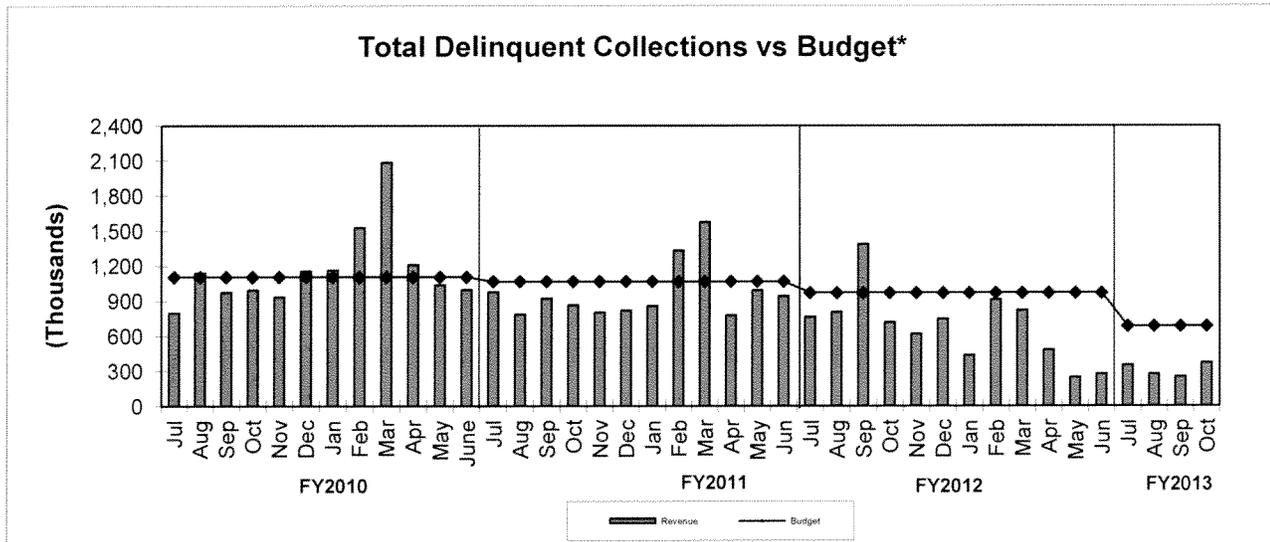
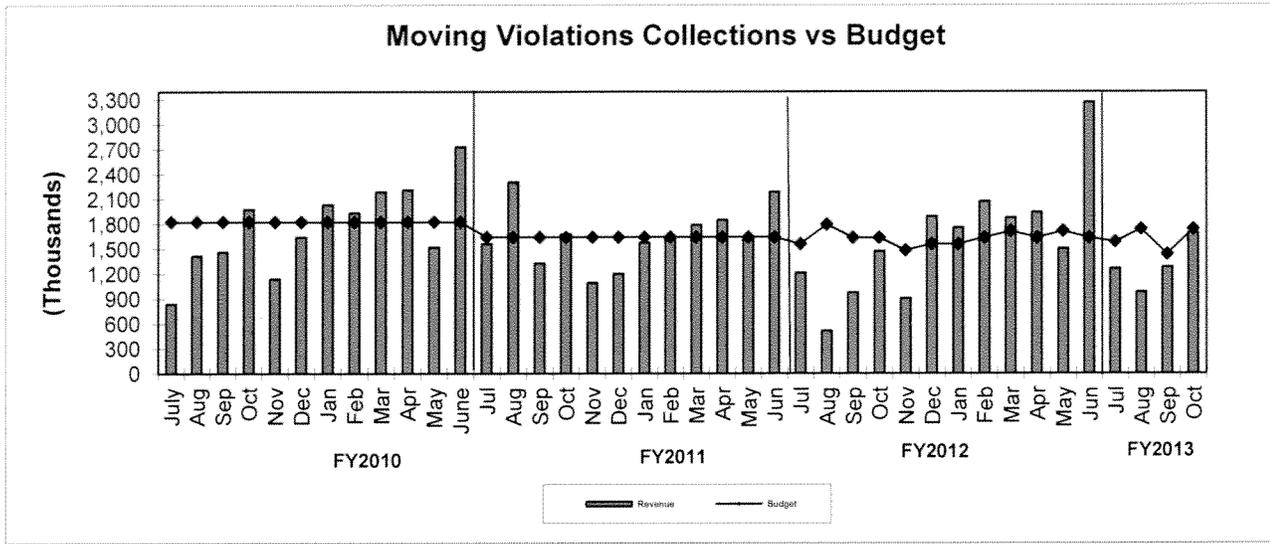
Total Parking Management Revenue



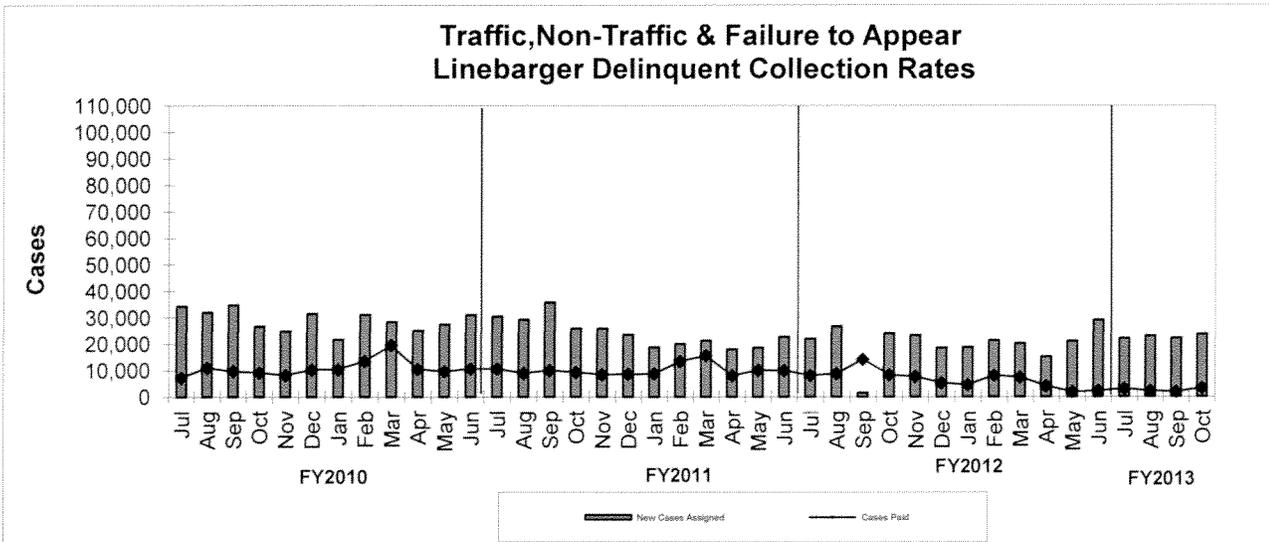
TREND INDICATORS - MUNICIPAL COURTS



TREND INDICATORS - MUNICIPAL COURTS

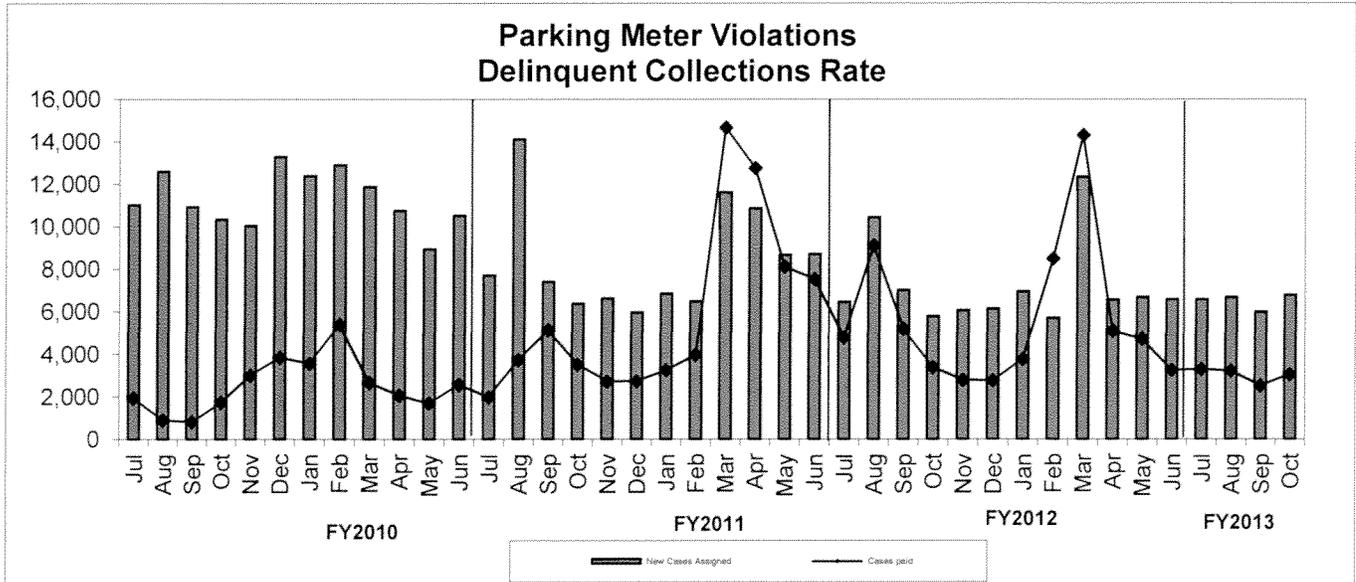
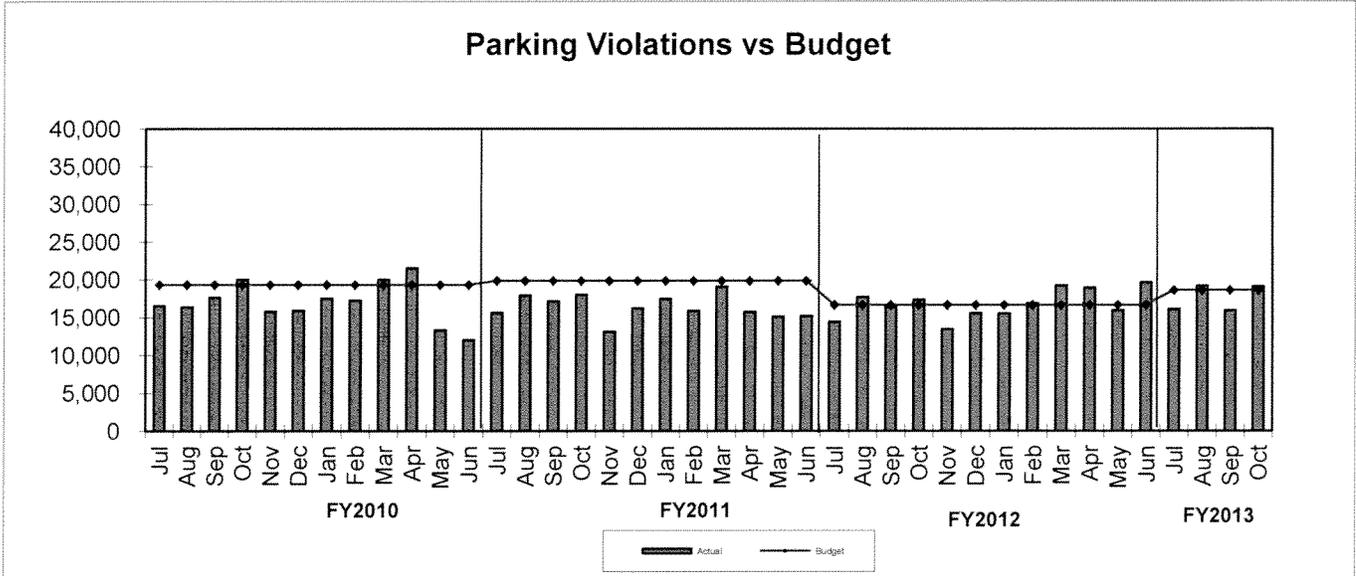
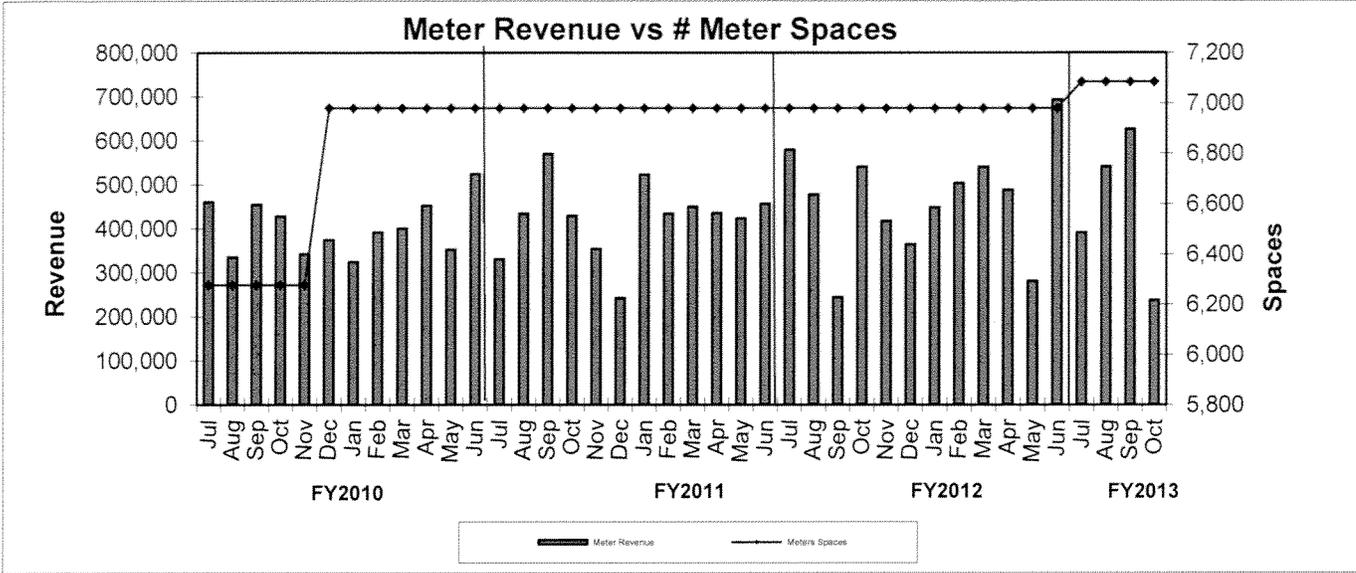


*Net of fees and expenses paid to Lineberger

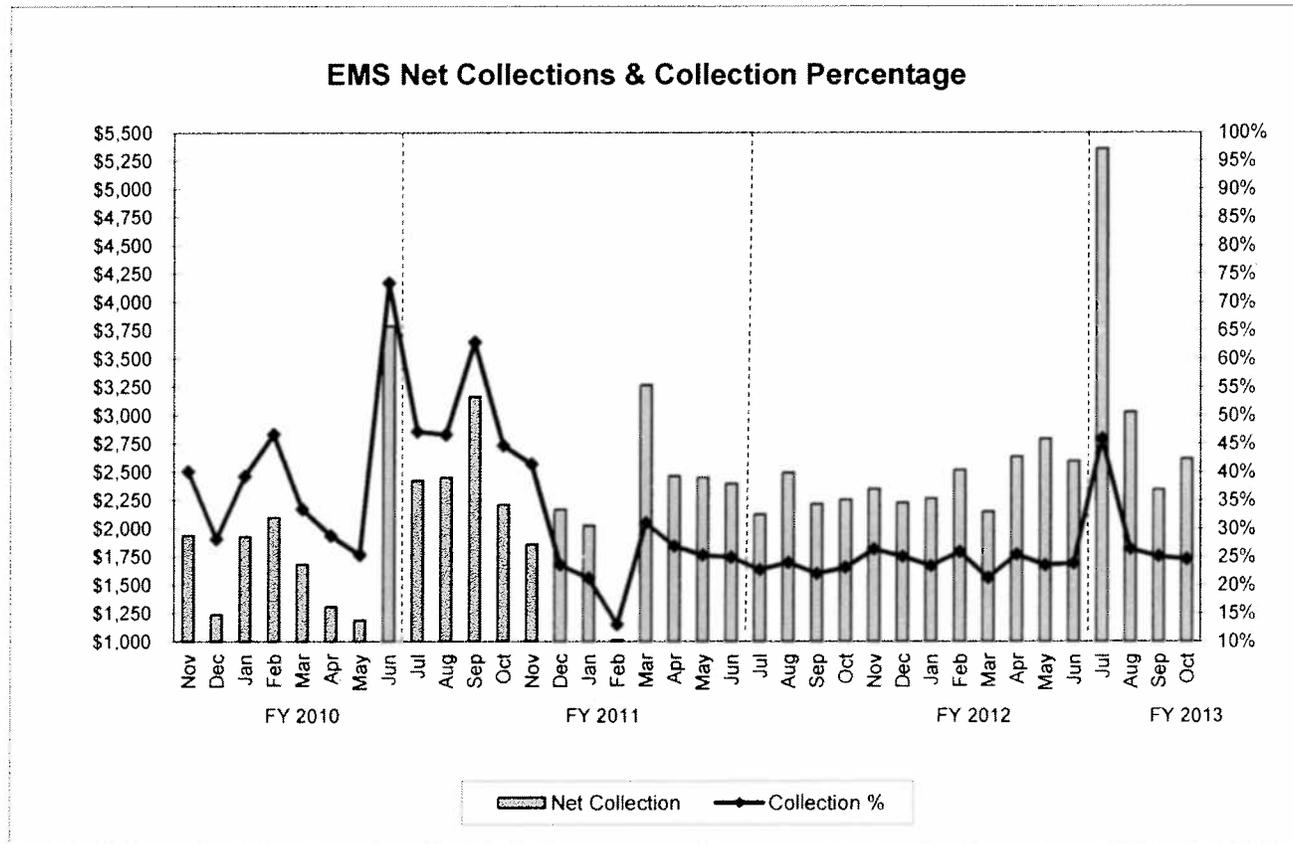
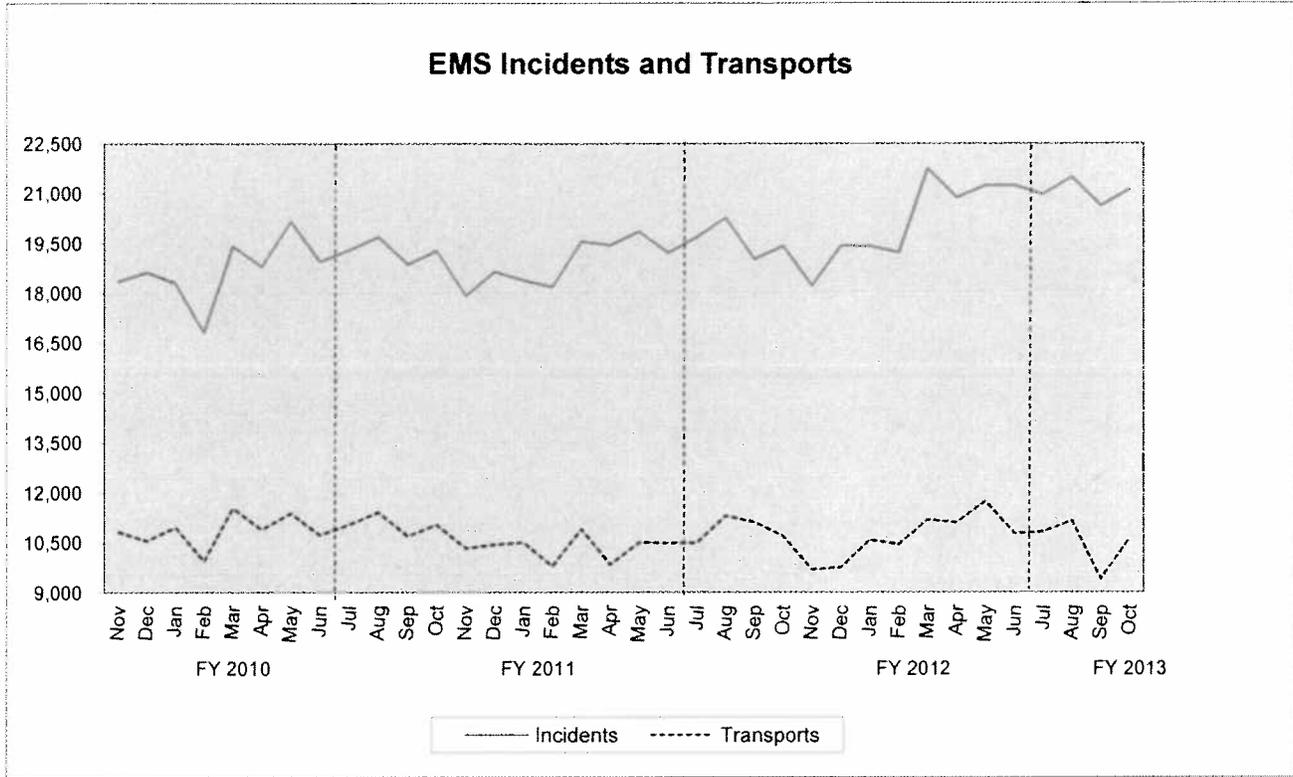


*Excludes Delinquent Parking Collections

TREND INDICATORS - ADMINISTRATION AND REGULATORY AFFAIRS

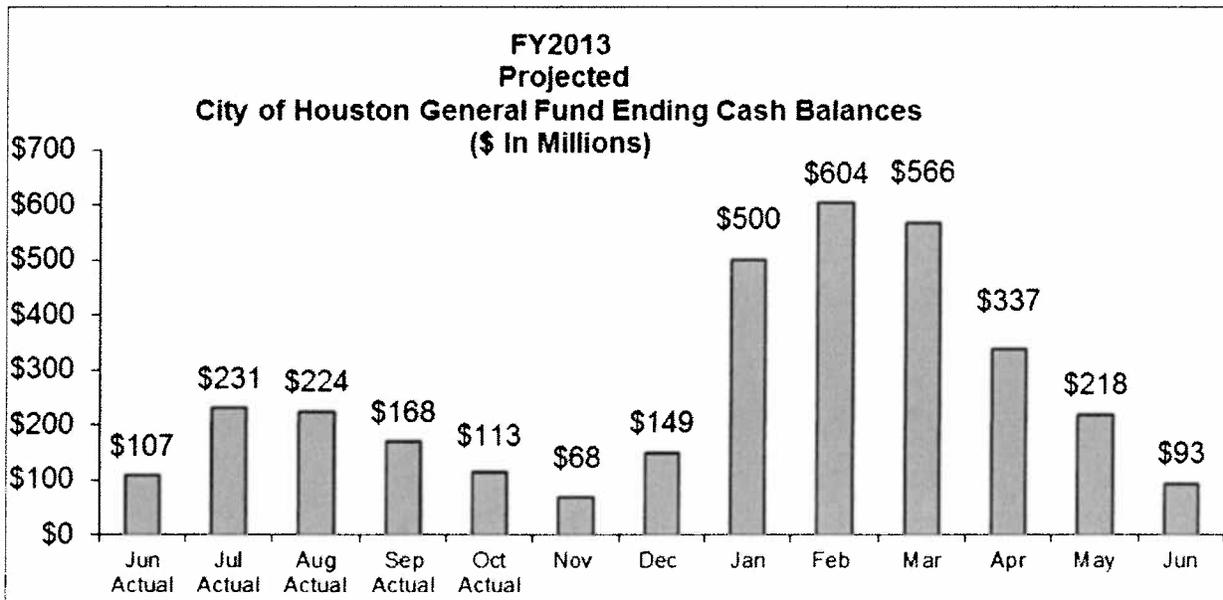
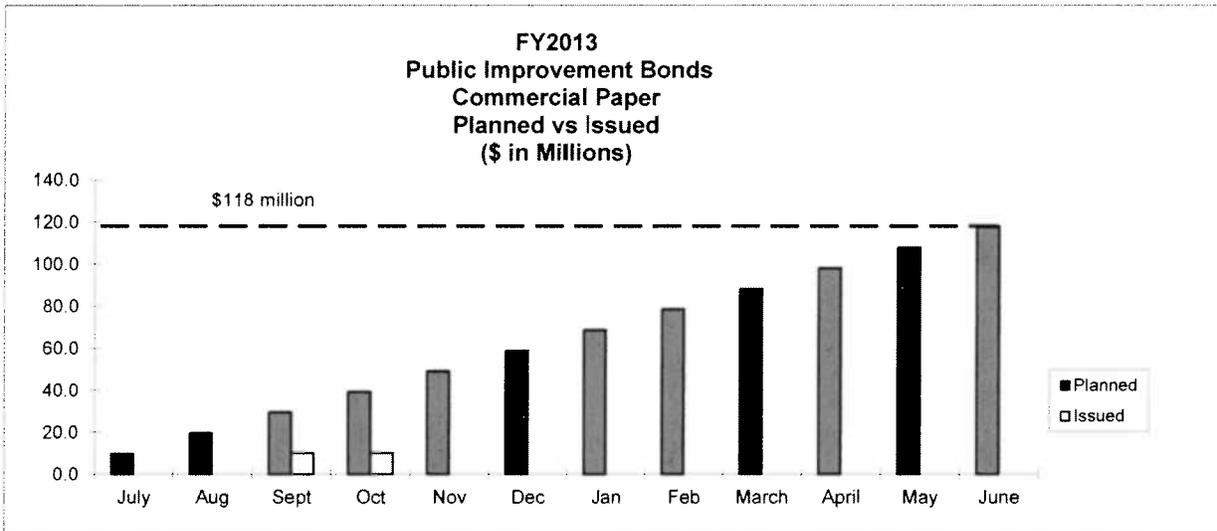
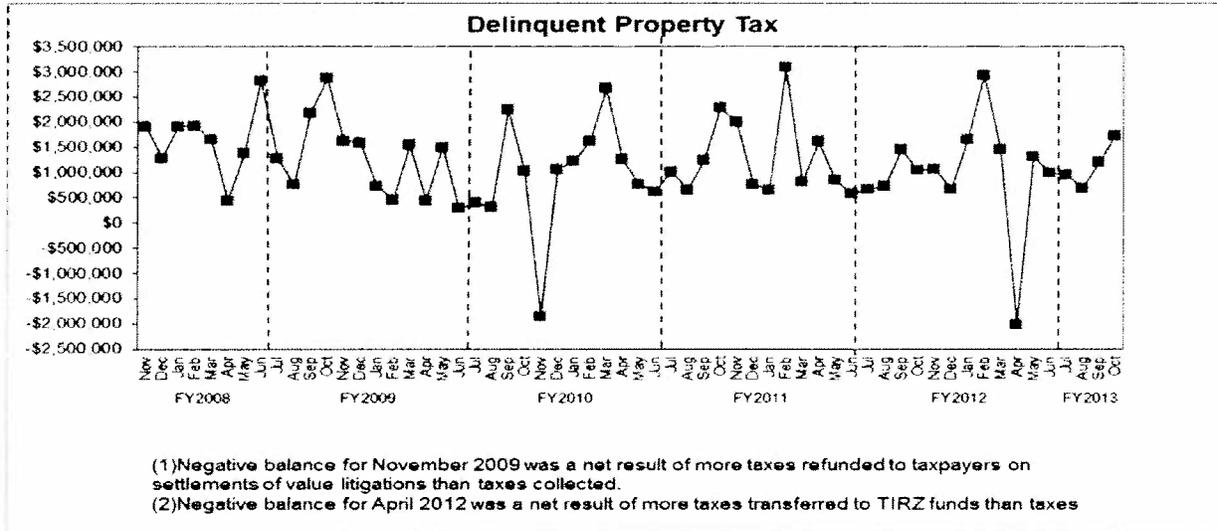


TREND INDICATORS - AMBULANCE SERVICES

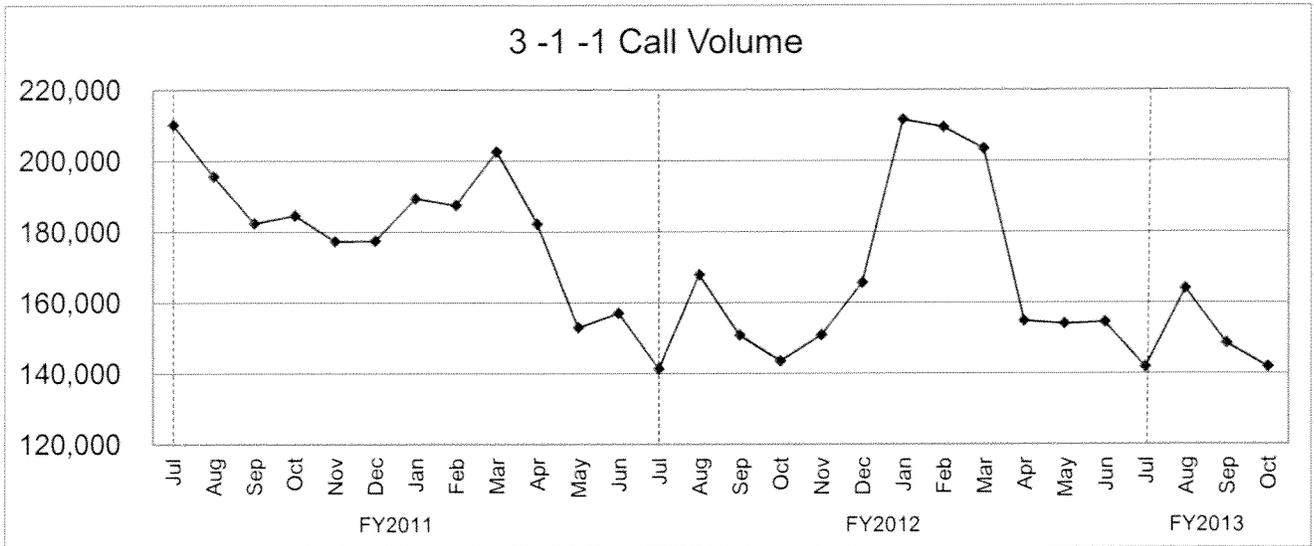


* Reprocessing of denied Traditional Medicaid claims (due to removal of mileage fee) for periods December 2010 through May 2012; claims paid in July 2012 approximately \$2.33M

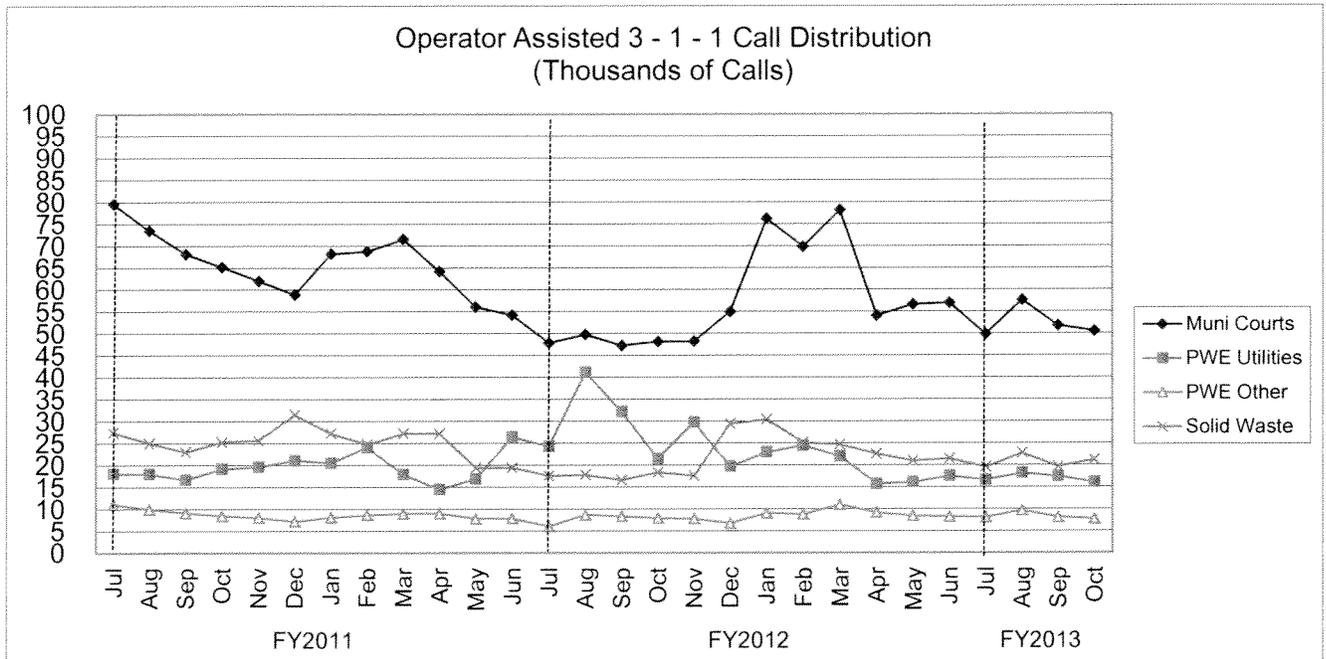
TREND INDICATORS - MISCELLANEOUS



TREND INDICATORS - MISCELLANEOUS



3-1-1 became fully operational in August 2001



4 largest users of operator assisted 3-1-1 calls.