

**Office of the City Controller**  
**Audit Division Project Status**  
**June 30, 2018**

Report/Project on 2018 Audit Plan	Source of Proposed Engagement	FY2018 Actual	Report/Project	Type of Audit	Completion Status
1	FY2018 Audit Plan		ARA - BARC Procurement Compliance	Performance / Compliance	<b>Reporting Phase</b>
2	FY2018 Audit Plan		FMD - Take Home Vehicles	Performance / Compliance	Work has not begun
3	FY2018 Audit Plan		GSD Comfort Systems USA	Performance / Contract Compliance	Work has not begun
4	FY2018 Audit Plan		HFD - Arson Bureau	Performance / Compliance	Work has not begun
5	FY2018 Audit Plan		Houston First Corporation	Performance / Contract Compliance	Work has not begun
6	FY2018 Audit Plan		HHD - Revenue Cycle	Performance / Compliance	Work has not begun
7	FY2018 Audit Plan		HPD Asset Forfeiture	Performance / Compliance	Work has not begun
8	FY2018 Audit Plan		HPD Auto Dealers Detail	Performance / Compliance	Work has not begun
9	FY2018 Audit Plan		HR Temporary Services	Performance / Contract Compliance	<b>Planning Phase</b>
10	FY2018 Audit Plan		OBO - Certification	Performance / Compliance	Work has not begun
11	FY2018 Audit Plan		PWE - Automation Nation	Performance / Contract Compliance	Work has not begun
12	FY2018 Audit Plan		Special Revenue Funds	Performance / Process Review	<b>Fieldwork Phase</b>
<b>Carryover from FY2017</b>					
13	FY2017 Audit Plan	✓	TIRZ Fund 2409	Performance / Compliance	<b>Report issued as 2018-01</b>
14	FY2017 Audit Plan	✓	FMD Parts Inventory Management	Performance / Process Review	<b>Report issued as 2018-03</b>
15	FY2015 Audit Plan	✓	City-Wide Policy Framework	Performance / Compliance	<b>Report issued as 2018-06</b>
16	FY2017 Audit Plan	✓	GSD American Mechanical Services LLP	Performance / Contract Compliance	<b>Report issued as 2018-05</b>
17	FY2016 Audit Plan	✓	Chapter 380 Program Review	Performance / Compliance	<b>Report issued as 2018-08</b>
18	FY2017 Audit Plan	✓	Citywide Cloud Applications	Performance / Process Review	<b>Report issued as 2018-09</b>
19	FY2017 Audit Plan	✓	IT Process Review	Process Review	<b>Report issued as 2018-11</b>
20	FY2017 Audit Plan	✓	HPL Cash Handling	Performance / Compliance	<b>Planning Phase</b>
21	FY2017 Audit Plan	✓	CSMART Application and Cash Management	Performance / Compliance	<b>Reporting Phase</b>
22	FY2016 Audit Plan	✓	OBO Pay or Play	Performance / Compliance	<b>Fieldwork Phase</b>
23	FY2017 Audit Plan	✓	Drainage and Paving Improvement Construction	Performance / Compliance	<b>Report issued as 2018-04</b>
<b>Alternative/ Optional</b>					
24			ARA - Asset Disposition	Performance / Compliance	Open
25			ARA - Payroll Application Security Controls Review	Performance / Compliance	Open
26			FIN - Delinquent Account Collection Contract	Performance / Process Review	Open
27			HAS - Construction Contract Compliance	Performance / Contract Compliance	Open

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28			HPD - Property/Evidence Room	Performance / Compliance	Open
29			HR - Worker's Compensation	Performance / Compliance	Open
30			PD - Historic Property Designation	Performance / Compliance	Open
31			PD - Special Revenue Fund/Developer Fund	Process Review	<b>Combined with item #12</b>
<b>Recurring &amp; Special Projects</b>					
32			Citizen Centric Reporting (CCR) Update	Annual Reporting	<b>In Progress</b>
33	Audit Plan		City-Wide Harvey Recovery		Ongoing
33	Audit Plan/Required		FY 2018 Enterprise Risk Assessment Update	Required	<b>Reporting Phase</b>
34	Audit Plan/Required		Follow-up Audits	Performance / Required	<b>FMD - Fieldwork Phase, HHD - Report issued as 2018-07, HAS - Report issued as 2018-10</b>
35	Audit Plan/Required		Fraud Consideration/Reporting/Hotline	Required	Ongoing
36	Audit Plan/Required		Quality Assurance	Required	Ongoing