

CANDIDATE / OFFICEHOLDER CAMPAIGN FINANCE REPORT

FORM C/OH COVER SHEET PG 1

The C/OH INSTRUCTION GUIDE explains how to complete this form.		1 ACCOUNT # (Ethics Commission filers)	2 Total pages filed:
3 CANDIDATE / OFFICEHOLDER NAME	TITLE FIRST MI	OFFICE USE ONLY	
	NICKNAME LAST SUFFIX		
Mark Goldberg			
Date Received			
4 CANDIDATE / OFFICEHOLDER ADDRESS <input type="checkbox"/> Change of Address	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE	Date Hand-delivered or Date Postmarked	
	405 Main, Ste. 500 Houston, TX 77002	OCT 9 2001 CITY SECRETARY	
5 CAMPAIGN TREASURER NAME	TITLE FIRST MI	Receipt #	Amount
	NICKNAME LAST SUFFIX	Date Processed:	
Alan Helfman		Date Imaged	
6 CAMPAIGN TREASURER ADDRESS (Residence or business)	STREET ADDRESS (NO PO BOX PLEASE); APT / SUITE #; CITY; STATE; ZIP CODE		
4807 Kirby Houston, Tx 77098			
7 CAMPAIGN TREASURER PHONE	AREA CODE PHONE NUMBER EXTENSION		
(713) 524- 3801			
8 REPORT TYPE	<input type="checkbox"/> January 15 <input checked="" type="checkbox"/> 30th day before election <input type="checkbox"/> Runoff <input type="checkbox"/> 15th day after campaign treasurer appointment (officeholder only) <input type="checkbox"/> July 15 <input type="checkbox"/> 8th day before election <input type="checkbox"/> Exceeded \$500 limit <input type="checkbox"/> Final report (Attach C/OH - FR)		
9 PERIOD COVERED	Month Day Year	THROUGH	Month Day Year
7 / 1 / 01 9 / 27 / 01			
10 ELECTION	ELECTION DATE	ELECTION TYPE	
	Month Day Year	<input type="checkbox"/> Primary <input type="checkbox"/> Runoff <input checked="" type="checkbox"/> General <input type="checkbox"/> Special	
11 OFFICE		12 OFFICE SOUGHT (if known)	
OFFICE HELD (if any) Houston City Council, District C		Houston City Council, District C	
13 DIRECT CAMPAIGN EXPENDITURE BY OTHER INDIVIDUALS <input type="checkbox"/> additional pages	.. Direct campaign expenditures are campaign expenditures made by others without the candidate's prior consent or approval. Candidates are required to disclose this information only if they receive notification of the direct campaign expenditure. ..		
	Name		
	Address / PO Box; Apt. / Suite #; City; State; Zip Code		

GO TO PAGE 2

CANDIDATE / OFFICEHOLDER REPORT: SUPPORT & TOTALS

FORM C/OH COVER SHEET PG 2

14 C/OH NAME Mark Goldberg	15 ACCOUNT # [Ethics Commission filers]
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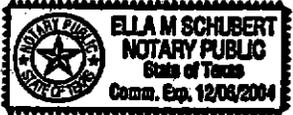
16 SUPPORTING POLITICAL COMMITTEE(S) ** This listing includes political expenditures by political committees to support the candidate / officeholder. These expenditures may have been made without the candidate's or officeholder's knowledge or consent. Candidates and officeholders are required to report this information only if they receive notice of such expenditures. **

<input type="checkbox"/> GENERAL <input type="checkbox"/> SPECIFIC <input type="checkbox"/> additional pages	COMMITTEE TYPE	COMMITTEE NAME
		COMMITTEE ADDRESS
		COMMITTEE CAMPAIGN TREASURER NAME
		COMMITTEE CAMPAIGN TREASURER ADDRESS

17 NO REPORTABLE ACTIVITY Check here if no reportable activity occurred during this reporting period. (Sign affidavit below and submit pages 1 and 2 only.)

18 CONTRIBUTION TOTALS	1. TOTAL POLITICAL CONTRIBUTIONS OF \$50 OR LESS (OTHER THAN PLEDGES, LOANS, OR GUARANTEES OF LOANS), UNLESS ITEMIZED	\$
	2. TOTAL POLITICAL CONTRIBUTIONS (OTHER THAN PLEDGES, LOANS, OR GUARANTEES OF LOANS)	\$ 15,700.00
EXPENDITURE TOTALS	3. TOTAL POLITICAL EXPENDITURES OF \$50 OR LESS, UNLESS ITEMIZED	\$ 40.50
	4. TOTAL POLITICAL EXPENDITURES	\$ 6,076.70
OUTSTANDING LOAN TOTALS	5. TOTAL PRINCIPAL AMOUNT OF ALL OUTSTANDING LOANS AS OF THE LAST DAY OF THE REPORTING PERIOD	\$

19 AFFIDAVIT



AFFIX NOTARY STAMP / SEAL ABOVE

I swear, or affirm, under penalty of perjury, that the accompanying report is true and correct and includes all information required to be reported by me under Title 15, Election Code.

Mark Goldberg
Signature of Candidate or Officeholder

Sworn to and subscribed before me, by the said Mark Goldberg, this the 9th day of October, 20 01, to certify which, witness my hand and seal of office.

<u>Ella M. Schubert</u> Signature of officer administering oath	<u>Ella M. Schubert</u> Printed name of officer administering oath	<u>Notary Public</u> Title of officer administering oath
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**POLITICAL CONTRIBUTIONS
OTHER THAN PLEDGES OR LOANS**

SCHEDULE A1
(FOR FORMS C/OH & SPAC)

The Instruction Guide explains how to complete this form.	Total pages this Schedule A1: 4
FILER NAME: Mark Goldberg	ACCOUNT # (Ethics Commission filers)

Date 9-25-2001	Full name of contributor <input type="checkbox"/> out of state PAC Jamie Brewster	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77098		
Principal occupation (Optional)		Employer (Optional)	

Date 9-27-2001	Full name of contributor <input type="checkbox"/> out of state PAC David Eastwood	Amount of contribution (\$) \$250.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77022-2908		
Principal occupation (Optional)		Employer (Optional)	

Date 9-25-2001	Full name of contributor <input type="checkbox"/> out of state PAC Steven J. Finkelman	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77055		
Principal occupation (Optional)		Employer (Optional)	

Date 9-25-2001	Full name of contributor <input type="checkbox"/> out of state PAC Melton Horwitz	Amount of contribution (\$) \$150.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77030		
Principal occupation (Optional)		Employer (Optional)	

Date 9-13-2001	Full name of contributor <input type="checkbox"/> out of state PAC Fulbright & Jaworski Texas Committee	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77010-3095		
Principal occupation (Optional)		Employer (Optional)	

**POLITICAL CONTRIBUTIONS
OTHER THAN PLEDGES OR LOANS**

SCHEDULE A1
(FOR FORMS C/OH & SPAC)

The Instruction Guide explains how to complete this form.	Total pages this Schedule A1: 4
FILER NAME: Mark Goldberg	ACCOUNT # (Ethics Commission filers)

Date 9-17-2001	Full name of contributor <input type="checkbox"/> out of state PAC Nathelyne Kennedy	Amount of contribution (\$) \$250.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77081		
Principal occupation (Optional)		Employer (Optional)	

Date 9-26-2001	Full name of contributor <input type="checkbox"/> out of state PAC David Killen	Amount of contribution (\$) \$50.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77042		
Principal occupation (Optional)		Employer (Optional)	

Date 9-24-2001	Full name of contributor <input type="checkbox"/> out of state PAC Barry M. Lewis	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77027		
Principal occupation (Optional)		Employer (Optional)	

Date 9-14-2001	Full name of contributor <input type="checkbox"/> out of state PAC Locke Liddell & Sapp, LLP	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77002-3095		
Principal occupation (Optional)		Employer (Optional)	

Date 9-21-2001	Full name of contributor <input type="checkbox"/> out of state PAC Leon Mucasey	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77017		
Principal occupation (Optional)		Employer (Optional)	

**POLITICAL CONTRIBUTIONS
OTHER THAN PLEDGES OR LOANS**

SCHEDULE A1
(FOR FORMS C/OH & SPAC)

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FILER NAME: Mark Goldberg	ACCOUNT # (Ethics Commission filers)

Date 9-19-2001	Full name of contributor <input type="checkbox"/> out of state PAC Vinson & Elkins Texas PAC	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77002		
Principal occupation (Optional)		Employer (Optional)	

Date 9-20-2001	Full name of contributor <input type="checkbox"/> out of state PAC Dionel Aviles	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77041		
Principal occupation (Optional)		Employer (Optional)	

Date 9-13-2001	Full name of contributor <input type="checkbox"/> out of state PAC IEC of Houston PAC	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77007		
Principal occupation (Optional)		Employer (Optional)	

Date 9-26-2001	Full name of contributor <input type="checkbox"/> out of state PAC Linebarger, Heard, Goggan, Blair, Graham, Peña & Sampson, LLP	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] The Woodlands, TX 77380		
Principal occupation (Optional)		Employer (Optional)	

Date 9-26-2001	Full name of contributor <input type="checkbox"/> out of state PAC Giorgio Borlenghi	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77056		
Principal occupation (Optional)		Employer (Optional)	

**POLITICAL CONTRIBUTIONS
OTHER THAN PLEDGES OR LOANS**

SCHEDULE A1
(FOR FORMS C/OH & SPAC)

The Instruction Guide explains how to complete this form.	Total pages this Schedule A1: 4
FILER NAME: Mark Goldberg	ACCOUNT # (Ethics Commission filers)

Date 8-6-2001	Full name of contributor <input type="checkbox"/> out of state PAC LAN-PAC	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77042		
Principal occupation (Optional)		Employer (Optional)	

Date 9-10-2001	Full name of contributor <input type="checkbox"/> out of state PAC HAA Better Government Fund	Amount of contribution (\$) \$2,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77099		
Principal occupation (Optional)		Employer (Optional)	

Date 8-15-2001	Full name of contributor <input type="checkbox"/> out of state PAC Jim Thompson	Amount of contribution (\$) \$1,000.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77055		
Principal occupation (Optional)		Employer (Optional)	

Date 9-17-2001	Full name of contributor <input type="checkbox"/> out of state PAC Zimmerman Axelrad Meyer Stern & Wise PC	Amount of contribution (\$) \$500.00	In-kind contribution description (if available)
	Contributor address; City; State; Zip Code [REDACTED] Houston, TX 77056		
Principal occupation (Optional)		Employer (Optional)	

PLEGGED CONTRIBUTIONS

SCHEDULE B1
(FOR FORMS C/OH & SPAC)

The Instruction Guide explains how to complete this form.	Total pages this Schedule B1: 2
FILER NAME: Mark Goldberg	ACCOUNT # (Ethics Commission filers)
TOTAL AMOUNT OF PLEDGES	\$ 7, 250.00

Date 9-14-2001	Full name of pledgor <input type="checkbox"/> out of state PAC Rick Cloutier	Amount of pledge (\$) \$250.00	In-kind description (if available)
	Pledgor address; City; State; Zip Code [REDACTED] Houston, TX 77027		
Principal occupation (Optional)		Employer (Optional)	

Date 9-18-2001	Full name of pledgor <input type="checkbox"/> out of state PAC Sam Barbar	Amount of pledge (\$) \$1,000.00	In-kind description (if available)
	Pledgor address; City; State; Zip Code [REDACTED] Houston, TX 77056		
Principal occupation (Optional)		Employer (Optional)	

Date 9-19-2001	Full name of pledgor <input type="checkbox"/> out of state PAC John W. Nutt	Amount of pledge (\$) \$500.00	In-kind description (if available)
	Pledgor address; City; State; Zip Code [REDACTED] Houston, TX 77032		
Principal occupation (Optional)		Employer (Optional)	

Date 9-24-2001	Full name of pledgor <input type="checkbox"/> out of state PAC Mark Kollmorgen	Amount of pledge (\$) \$500.00	In-kind description (if available)
	Pledgor address; City; State; Zip Code [REDACTED] Houston, TX 77210-4567		
Principal occupation (Optional)		Employer (Optional)	

PLEGGED CONTRIBUTIONS

SCHEDULE B1
(FOR FORMS C/OH & SPAC)

The Instruction Guide explains how to complete this form.	Total pages this Schedule B1: 2
FILER NAME: Mark Goldberg	ACCOUNT # (Ethics Commission filers)
TOTAL AMOUNT OF PLEDGES	\$ 7, 250.00

Date	Full name of pledgor <input type="checkbox"/> out of state PAC	Amount of pledge (\$)	In-kind description (if available)
9-24-2001	Alan Hassenflu Pledgor address: _____ City; State; Zip Code Houston, TX 77056	\$5,000.00	
Principal occupation (Optional)		Employer (Optional)	

POLITICAL EXPENDITURES

SCHEDULE F

The Instruction Guide explains how to complete this form.	Total pages Schedule F: 3
FILER NAME: Mark Goldberg	ACCOUNT #: (Ethics Commission files)

Date	Payee name	Payee address	Amount (\$)
7-16-2001	BJ Kaplan	2208 Tangley Houston, TX 77005	\$500.00
Purpose of expenditure (See instructions regarding type of information required.) Consulting fee			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
7-16-2001	Houston Bar Association	1001 Fannin Houston, TX 77002	\$250.00
Purpose of expenditure (See instructions regarding type of information required.) Donation			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
7-18-2001	Houston Bar Association	1001 Fannin Houston, TX 77002	\$100.00
Purpose of expenditure (See instructions regarding type of information required.) Donation			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
7-19-2001	Event Management & Catering by Jim Benton	1745 Banks #2 Houston, TX 77098	\$70.00
Purpose of expenditure (See instructions regarding type of information required.) Event catering			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
8-15-2001	BJ Kaplan	2208 Tangley Houston, TX 77005	\$500.00
Purpose of expenditure (See instructions regarding type of information required.) Consulting fee			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

POLITICAL EXPENDITURES

SCHEDULE F

The Instruction Guide explains how to complete this form.	Total pages Schedule F: 3
FILER NAME: Mark Goldberg	ACCOUNT #: (Ethics Commission filers)

Date	Payee name	Payee address	Amount (\$)
8-27-2001	Criminal Institute, Inc.	1610 Richmond Houston, TX 77006	\$100.00
Purpose of expenditure (See instructions regarding type of information required.) Charitable donation			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
9-20-2001	BJ Kaplan	2208 Tangle Houston, TX 77005	\$1,500.00
Purpose of expenditure (See instructions regarding type of information required.) Consulting fee			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
9-21-2001	City of Houston	900 Bagby Houston, TX 77002	\$500.00
Purpose of expenditure (See instructions regarding type of information required.) Filing fee			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
9-25-2001	Jewish Herald Voice	3403 Audley Houston, TX 77098	\$360.00
Purpose of expenditure (See instructions regarding type of information required.) Advertisement			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name	Payee address	Amount (\$)
9-28-2001	Donna's Printing	1719 Sungail Spring, TX 77386	\$406.20
Purpose of expenditure (See instructions regarding type of information required.) Printing			** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

POLITICAL EXPENDITURES**SCHEDULE F**

The Instruction Guide explains how to complete this form.	Total pages Schedule F: 3
FILER NAME: Mark Goldberg	ACCOUNT #: (Ethics Commission filers)

Date	Payee name Payee address	Amount (\$)
9-28-2001	Don Hooper 3737 Bluebonnet Houston, TX 77025	\$1,250.00
Purpose of expenditure (See instructions regarding type of information required.) Campaign services		** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held

Date	Payee name Payee address	Amount (\$)
9-28-2001	Kelli Glanz 2617C W. Holcomb Blvd. #104 Houston, TX 77025	\$500.00
Purpose of expenditure (See instructions regarding type of information required.) Campaign services		** Complete if direct expenditure to benefit C/OH ** Candidate / Officeholder name Office sought / held