Draft 2013 Annual Action Plan

Housing and Community Development Department

Neal Rackleff, Director

July 1, 2013 - June 30, 2014

CITY OF HOUSTON
HOUSING AND
COMMUNITY
DEVELOPMENT

DRAFT 2013 ANNUAL ACTION PLAN

CITY OF HOUSTON

ANNISE D. PARKER, MAYOR

HOUSING AND COMMUNITY DEVELOPMENT DEPARTMENT

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Executive Summary

ES-05 Executive Summary

1. Introduction

Overview. The 2013 Annual Action Plan (Plan) represents year four of the 2010-2014 Consolidated Plan approved by the U. S. Department of Housing and Urban Development (HUD) on July 13, 2010. This Plan is the City of Houston (City) Housing and Community Development Department's (HCDD) official application for HUD entitlement grants and proposes programs and services to be funded during the City's Fiscal Year (FY) 2014/ HCDD's Program Year (PY) 2013 (July 1, 2013 - June 30, 2014). There are four sources of federal program funds in this application

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships Program (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grant (ESG)

HCDD's primary objectives are to increase the availability, accessibility, and affordability of decent housing, suitable living environments, and economic opportunity for low- and moderate-income Houstonians. The 2010-2014 Consolidated Plan funding priorities are divided into four categories designed to benefit low- and moderate-income Houstonians

- Affordable Housing
- Supportive Services
- Public Improvements & Infrastructure
- Economic Development

The goals established during the 2010-2014 Consolidated Planning period are to

- Develop and maintain an adequate supply of safe, decent housing that is affordable and accessible to low- and moderate- income households.
- Expand sustainable homeownership opportunities for low- and moderate-income families.
- Reduce chronic and family homelessness.
- Ensure that city residents with long-term support needs have access to appropriate services and accessible community housing options.
- Ensure full and fair access to housing for all residents.
- Enhance the economic well-being of the City while ensuring that economic growth is compatible with the community.

Importance of Action Plan. In the Annual Action Plan, HCDD provides a concise summary of specific actions, activities, and programs that will take place during the upcoming program year to address the priority needs and goals identified in the Consolidated Plan. This Plan guarantees a way for HUD and the public to ensure HCDD's proper and efficient use of resources. By requiring the Action Plan, HUD not only has an opportunity to review for appropriate use of funds, but also has a benchmark document by which Houston's performance can be measured. The annual planning process provides a forum for community input into future community development activities.

The 2013 Annual Action Plan

- Reports on the intended use of funds received by the City of Houston from HUD for PY 2013
- Explains HCDD's method for distributing CDBG, HOME, HOPWA, and ESG funds
- Provides an opportunity for public input in the development of the annual plan

In May 2012, HUD launched the eCon Planning Suite, a set of online tools for use by municipalities in the consolidated planning process. Grantees are now able to submit their Consolidated Plan online using the Consolidated Plan template in the Integrated Disbursement and Information System (IDIS), a nationwide database in which HUD's grantees report accomplishment and financial activities related to entitlement grants. Grantees have the option to use IDIS to submit a stand-alone Annual Action Plan for an existing Consolidated Plan rather than waiting to use the web-based tools until a grantee's next Consolidated Plan is due for submission. HCDD has chosen to opt-in early using eCon Planning Suite as a stand-alone Annual Action Plan when submitting the 2013 Annual Action Plan. Although general information presented in the eCon Planning Suite is the same as in past Action Plans, the format and order of the information has changed from previous years. HCDD will strive to inform the public about the changes to the Action Plan format and to make the information as easy to understand as possible for the public.

2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

Annual Objectives. The Plan identifies programs and activities that will be used toward meeting HCDD's goals. As required by HUD, each activity is linked to one of three federal program objectives: 1) decent housing, 2) suitable living environment, or 3) economic development. Each objective is matched with one of three outcomes: 1) availability/accessibility, 2) affordability, or 3) sustainability. The annual activities that will enable HCDD to achieve these outcomes and objectives in PY 2013 are listed below.

Decent Housing

2013 Annual Action Plan activities, which facilitate making decent housing more available, accessible, affordable, and sustainable, include

- Acquisition, rehabilitation, and new construction of multifamily properties
- Downpayment and closing cost assistance to increase low- and moderate-income persons' access to homeownership
- Single family home repair to preserve existing housing stock
- Lead-based paint testing and abatement activities
- Services to HIV/AIDS populations
- Homelessness prevention

Suitable Living Environment

2013 Annual Action Plan activities, which help make suitable living environments more available, accessible, affordable, and sustainable for low- and moderate-income residents will include

- Building/rehabilitating neighborhood facilities
- Code enforcement
- Juvenile, youth, and childcare programs
- Supportive and transitional housing
- Support services for special needs populations (e.g. elderly, disabled)
- Services for the homeless
- Health care education and services

Economic Development/Opportunities

2013 Annual Action Plan activities, which help increase access to economic opportunity for low- and moderate-income communities, will include

- Expand business products based on community need
- Job training for low- and moderate-income persons

3. Evaluation of past performance

Past Performance. Each year HCDD submits a Consolidated Annual Performance and Evaluation Report (CAPER) to HUD. This report serves as a description of how funding was expended during the last program year and uses the Action Plan as a benchmark document in which performance can be measured. Major accomplishments completed during the most recent completed program year, PY 2011 (July 1, 2011 to June 30, 2012), are listed below.

Decent Housing

- Preserved 24 housing units by providing repair assistance to homeowners
- Relocated 15 households during homeowner repair services
- Completed 445 new or renovated income-restricted affordable rental units of which 104 units are income-restricted by the City of Houston
- Provided 170 homeowners with downpayment and closing cost assistance
- Provided 3,265 households affected by HIV/AIDS with assistance to secure housing and access to social services
- Provided rental and utility assistance to 4,323 persons to prevent homelessness

Suitable Living Environment

- Served 13,745 youth through enrichment and achievement programs
- Provided health services to 18,416 persons
- Provided meals and transportation to 3,093 elderly persons
- Increased access to general social services for 9,322 persons
- Provided essential services, case management, and other services to 44,899 homeless individuals
- Improved or constructed 2 parks and 3 neighborhood facilities
- Conducted legal work for properties with multiple citations in support of code enforcement for 267 properties
- Issued 33,460 code enforcement site visits in support of neighborhood integrity

Economic Opportunity

- Provided 224 disabled persons with job training and enrichment activities
- Provided job training and enrichment activities for 21 persons
- Provided 21 small business loans
- Provided technical assistance to 1,700 small businesses

While HCDD did not meet all of its goals during the second Consolidated Plan program year (PY 2011), many program areas have made great improvement toward meeting their five-year goals, most of which are anticipated to be met or exceeded by the end of the five-year period. The Single Family Home Repair Program has concentrated resources in the past several years on expending Disaster Recovery Round 1 funding. This has decreased the number of CDBG funded repairs that have occurred, however the number of repairs using CDBG-DR funding has made a greater amount of assistance available to citizens. The anticipated goal for neighborhood facilities was not

exceeded during PY 2011, however many of the projects expected to be completed in PY 2011 will be completed in a later program year.

Overall Program Benefit. As stated in HUD's IDIS Report PR26, the City expended 90.89% of its CDBG funds in PY 2011 for activities which principally benefit low- and moderate income persons. This exceeds the 70% minimum required for overall LMI program benefit.

Overall Program Progress. HUD's timeliness standard is that 60 days before the end of the program year, a grantee must not have more than 1.50 times the entitlement grant funds for its current program year available in its line of credit. In May of 2012, the City met the requirement of the 60-day test and the timeliness ratio was within the HUD allowable ratios.

OneCPD Initiative. In November 2011, HUD Assistant Secretary Mercedes Marquez announced a collaborate partnership to enhance the City's housing and community development activities. This joint technical assistance and capacity-building engagement combines knowledge and resources to maximize the use of federal funds to revitalize neighborhoods, help the homeless, and produce more affordable housing. HCDD staff will continue to meet with OneCPD representatives and consultants to increase efficiencies. In January 2013, HUD Headquarters approved a second phase of OneCPD Technical Assistance to assist in a variety of areas such as downpayment assistance and economic development.

Disaster Recovery Round 2. In 2012, HCDD worked closely with housing advocates including Texas Organizing Project (TOP) and Local Initiatives Support Corporation (LISC) Houston to receive extensive citizen input for targeting strategies for the Disaster Recovery Round 2 CDBG-DR funds. The collaborative process mapped neighborhood opportunities and investments which resulted in the creation of target areas for these funds. These areas have been approved by the Texas General Land Office. A contract was executed in January 2013, and HCDD will receive $152,215,565 of Disaster Recovery Round 2 funds for housing activities that will be expended over the next few program years.

4. Summary of citizen participation process and consultation process

The Housing and Community Development Department (HCDD) is responsible for producing the 2013 Annual Action Plan. In this capacity, HCDD works with a number of City departments, the Houston Housing Authority, non-profits, and other stakeholders to ensure that the planning process is both comprehensive and inclusive. HCDD solicits information from other City departments, the private sector, non-profits, neighborhood-based organizations, and residents regarding existing conditions and strategies for addressing current needs through research.

HCDD recognizes that Houston residents are the center of, and partners in, the development and execution of the 2013 Annual Action Plan. HCDD aggressively seeks community involvement and provides residents with opportunities to become involved in the development of the Annual Action Plan. Opportunities for citizen input are provided throughout the planning process through

- Publications and Postings
- Public Hearings
- HCDD Community Needs Survey

HCDD continues to seek new strategies to broaden participation to groups that are served with HCDD's funding. During PY 2012 HCDD worked to update one of its stakeholder input processes, previously known as the Advisory Task Force (ATF). In the spring of 2013, HCDD created new guidelines for the Community Development Advisory

Council (CDAC), which is expected to meet several times a year to review HCDD activities and provide meaningful information to strengthen HCDD's programming. The revised guidelines more particularly define the expectations of how HCDD's consultative needs will be addressed from the CDAC's knowledge and experience compared to the former ATF process. The CDAC will begin meeting by the end of summer 2013. In addition, guidelines were also created in PY 2012 for the new Fair Housing Committee. Committee members will work with HCDD staff to promote fair housing knowledge in the Houston area.

5. Summary of public comments

A summary of citizen comments on the 2013 Annual Action Plan, along with HCDD's responses, is available in the Appendix.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments or views were considered. HCDD did not send any responses specifically rejecting any comments received.

7. Summary

HCDD continues to make great strides towards addressing the community needs and the Consolidated Plan priorities through community involvement, evaluating past performance, and responding to past experience with improved efficiency.

PR-05 Lead & Responsible Agencies

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Table – Responsible Agencies

Agency Role	Name	Department/Agency
Lead Agency	HOUSTON	HCDD

Narrative

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AP-10 Consultation

1. Introduction

Coordination and consultation with public and private agencies is important to the City of Houston when developing its Annual Action Plan. Houston is involved in formalized planning processes within the city, through the Continuum of Care and the Houston-Galveston Area Council's Regional Sustainability Plan. Houston is also involved in one time strategy sessions like the White House Refinance Roundtable held in August 2012. At this roundtable Mayor Annise Parker and HCDD Director Neal Rackleff led a discussion with realtors, mortgage companies, and representatives from HUD and Fannie Mae about relief programs for homeowners who are struggling with their mortgage.

In addition, HCDD staff meets with community groups throughout the year to solicit input regarding HCDD programs and to plan future activities. HCDD recently acquired an instant response system from TurningPoint Technologies allowing staff to collect quantitative data instantly from groups of citizens. TurningPoint Technologies allows for increased audience participation at public hearings by allowing citizens to submit responses to interactive survey questions using a ResponeCard, a hand-held keypad device. This audience participation voting tool will allow HCDD to maximize stakeholder participation and obtain needed input from the community throughout the consolidated planning process.

Summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies

The City of Houston strives to be in constant contact with various public and private agencies to ensure funding priorities are in line with current community development goals. HCDD provides Certificates of Consistency throughout the year to housing developers or service providers applying for various sources of funding. The City is involved in many community collaborations with an aim to enhance coordination between housing and service providers to better serve the community.

Illustrating recent efforts to coordinate housing and services, the City recently appointed a Special Assistant to the Mayor for Homeless Initiatives to coordinate the City's role in developing service-connected housing for the homeless. In support of the Consolidated Plan and CoC Strategic Plan to End Homelessness, HCDD will lead a community-wide effort in partnership with the City's Health and Human Services Department, Harris County Community Services Department, Houston Housing Authority, Harris County Housing Authority, and the Coalition for the Homeless of Houston/Harris County to create deeply subsidized affordable housing units that are linked to mainstream and social supports, including primary and behavioral health care and housing. This effort will be achieved through the creation of a pipeline committee comprised of partner agencies that will allow supportive units in development to receive appropriate rental subsidies and service funds necessary to house the target population. HCDD and the Mayor's Office will host and staff this Pipeline Committee on behalf of the CoC.

Describe coordination with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

HCDD works closely with the Coalition for the Homeless of Houston/Harris County (Coalition) which serves as the lead agency for the Continuum of Care (CoC). The CoC is a planning process with the goal to understand the size and scope of the problem of homelessness in the community and to design effective strategies and solutions to

address the problem. HCDD is a voting member of the CoC Steering Committee which serves as the primary decision making body for the Houston/Harris County CoC.

HCDD participated as an active stakeholder and policy-maker in all CoC planning efforts over the last year and will continue to do so, including the Homeless Planning Charrette held in August 2012.The Homeless Planning Charrette convened over 450 service providers, housing providers, homeless or formerly homeless individuals, funders, and concerned citizens from across the region to engage in a facilitated discussion with local and national homeless experts. The week-long community planning process produced a set of recommendations that guided the development of a draft CoC Strategic Plan to End Homelessness. To implement the strategic plan, the CoC has developed an annual action plan to guide immediate planning and implementation efforts of all funders and stakeholders.

Following the Charrette, the CoC Steering Committee voted to align with the four goals outlined in the federal strategic plan to end homelessness, which includes a goal to end chronic and veteran homelessness by 2015. To achieve this goal, HCDD has been actively receiving HUD technical assistance through the OneCPD Initiative and participating in a Permanent Supportive Housing Implementation Planning Group. HCDD and the Special Assistant to the Mayor for Homeless Initiatives, a full-time dedicated staff position created in January 2013, have also taken a lead role in the local planning efforts related to a larger federal initiative known as the HUD/USICH (United States Interagency Council on Homelessness) Dedicating Opportunities to End Homelessness Initiative.

These planning efforts combined with the success of the 100 in 100 Campaign, which successfully housed 101 of the most chronically homeless veterans in 100 days, have prompted the City of Houston to outline the City's specific role in ending chronic and veterans' homelessness. This includes leading a collaborative effort to implement key elements of the CoC's plan, including the development of permanent supportive housing for the chronically homeless and veterans, cultivation of a new integrated care supportive housing service model, and initiating the implementation of a coordinated access system to systematically identify and prioritize the most vulnerable chronically homeless persons for placement into permanent supportive housing.

Each of the outlined activities includes consultation and collaboration with a variety of stakeholders, including: homeless housing and service providers, public housing authorities, Harris and Fort Bend Counties, Harris Health System, Mental Health and Mental Retardation Authority (MHMRA), VA Medical Center, Houston Police Department, Harris County Sherriff, and the local HUD field office.

In addition to ending chronic homelessness, HCDD has actively participated in planning efforts to standardize and target homelessness prevention and rapid re-housing services. This has included creating program standards and common system outcomes in partnership with Harris and Fort Bend County as well as the Texas Department of Housing and Community Affairs.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Members of the Continuum of Care (CoC) working in Houston, Harris County, and Fort Bend County convened in April 2011 at the first HEARTH Clinic to develop community-wide goals in order to prepare for implementation of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act. The community identified three

priorities: 1) expand rapid re-housing; 2) create a targeted prevention process; and 3) improve the performance measurement process.

In May 2011, a second HEARTH Clinic was held and targeted to the local jurisdictions that administer HUD funds related to homelessness. At that meeting, a public funding governance structure was agreed upon as a means to coordinate homelessness prevention and stabilization efforts between the various public entities, with the Coalition staff assisting.

Since 2011, workgroups comprised of a variety of members of the CoC and staffed by the Coalition met to develop recommendations for what type of clients should be targeted for services and what program standards should be required of all providers. These targets were implemented in PY 2012.

The HEARTH Clinics and meetings of various workgroups resulted in the creation of a tool for targeting the most at risk of homelessness with all Continuum ESG funds. This tool is part of the Homeless Management Information System (HMIS) workflow for all consumers. In addition, any sub-grantee within the Continuum must attend regular coordination meetings that identify other targeted and mainstream resources, collaborate among case workers providing services, and provide guidance on adhering to continuum wide standards.

Since HUD's ESG regulations were released in December 2011, the four local jurisdictions that comprise the CoC, including the City of Houston, Harris County, Fort Bend County, and City of Pasadena, and the Coalition have been meeting. These meetings are referred to as the Public Funders Workgroup, a group within the CoC Steering Committee which ensures that all members of the Continuum are targeting funding toward continuum-wide goals. This group assists to create and maintain standards for both the provision of assistance and performance. All grantee members of the Continuum submitted these standards for approval to HUD and adopted them as standards for all sub-grantees. The group also defined continuum-wide performance measures that will be used to evaluate performance based on data input into the Homeless Management Information System (HMIS). Agencies receiving funding from HCDD must receive verification of participation in this system as a threshold requirement for funding.

As HCDD continues to develop its strategy for addressing funding priorities under the HEARTH Act, all work has been and continues to be done in coordination with the Coalition for the Homeless, the CoC Steering Committee and its workgroups, and other adjacent jurisdictions.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table – Agencies, groups, organizations who participated

Agency/Group/Organization	Agency/Group/ Organization Type	What section of the Plan was addressed by Consultation?	How was the agency/group/organization consulted? What are the anticipated outcomes of the consultation or areas for improved coordination?
Houston Housing Authority	PHA	Public Housing Needs	HHA staff was consulted regarding the Public Housing section of the Plan. HCDD and HHA work together on a variety of issues and initiatives including the recent 100 in 100 Campaign and jointly plan the deployment of disaster funds. HCDD and HHA will continue to meet regularly to discuss current projects and plan for potential future projects and initiatives. HHA is a member of the PSH Planning Group agreeing to participate in coordinated funding of permanent supportive housing to end chronic homelessness.
Harris County Housing Authority	PHA	Homelessness Strategy	Member of the PSH Planning Group agreeing to participate in coordinated funding of permanent supportive housing to end chronic homelessness.
Coalition for the Homeless of Houston/Harris County	Services-homeless Regional organization Planning organization	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth	Coalition staff was consulted regarding the Homeless sections of the Plan. The Coalition manages the HMIS system in which HCDD subrecipients report accomplishments for ESG activities. Data from HMIS is used to evaluate ESG program performance.
Coalition for Barrier Free Living Houston Center	Services-Persons with Disabilities	Non-Homeless Special Needs	HCDD staff made a presentation about the consolidated planning process in September 2012 at the HCIL monthly Housing Council meeting where staff collected general input. HCDD will continue its relationship with HCIL and encourage and plan future input opportunities with HCIL clients.
City of Houston – Health and Human Services Department	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Other government - Local	Lead-based Paint Strategy	HDHHS provides HCDD with lead-based paint information and data, as needed. HDHHS uses CDBG funds to address lead-based paint hazards and promote health education and general health services to underserved populations. HDHHS and HCDD staff will continue to collaborate on future funding opportunities that will encourage a holistic approach to promoting health and community development.

Agency/Group/Organization	Agency/Group/ Organization Type	What section of the Plan was addressed by Consultation?	How was the agency/group/organization consulted? What are the anticipated outcomes of the consultation or areas for improved coordination?
City of Houston – Parks and Recreation Department	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Education Other government - Local	Anti-poverty Strategy	HCDD partners with HPARD to serve youth by partially funding the Mayor's Afterschool Achievement Program and the Youth Enrichment Program.
Housing and Urban Development - Houston Field Office	Other government - Federal	Fair Housing	HCDD has worked with HUD's Fair Housing Office to train HCDD staff in Fair Housing issues including the recent Equal Access Rule. More coordination and training opportunities between HCDD staff and HUD is expected in PY 2013.
Houston-Galveston Area Council	Regional organization Planning organization	Community Development Strategies	HCDD and other City staff attend meetings hosted by H-GAC as part of the Sustainable Communities Grant and its planning process. H-GAC also partners with the City to formulate affordable housing strategies.
Houston LISC	Housing	Housing and Community Development Strategies	In coordination with Houston LISC, HCDD continues to develop capacity of local housing development organizations that may qualify as a CHDO in the future. In 2012 HCDD partnered with LISC and TOP to hold 13 public meetings with over 500 community members in attendance to discuss Hurricane Ike Round 2 strategies.
Texas Organizing Project (TOP)	Civic Leaders	Fair Housing	HCDD has conducted numerous meetings with TOP during PY 2012 to obtain the group's recommendations regarding fair housing. In 2012 HCDD partnered with TOP and LISC to hold 13 public meetings with over 500 community members in attendance to discuss Hurricane Ike Round 2 strategies.
Houston Housing Finance Corporation (HHFC)	Housing	Housing Strategy	HCDD regularly consults with HHFC on ways efficiently deploy resources in the community. HHFC assists in implementing new multi-family development by providing bridge loans while HCDD implements its document preparation process.
Mayor's Office for People with Disabilities	Services-Persons with Disabilities	Non-Homeless Special Needs	Mayor's Office staff encouraged its contacts to attend the Fall 2012 Public Hearings and participate in the Community Needs Survey. The data collected from the survey was considered when determining funding allocations. HCDD will continue to collaborate with the Mayor's Office for Persons with Disabilities to promote citizen input.
Houston Area HIV Services Ryan White Planning Council	Services-Persons with HIV/AIDS	Non-Homeless Special Needs	HCDD staff meets periodically with the Ryan White Planning Council to share research and strategize ways to improve the quality of life for those affected by HIV/AIDS.

Identify any Agency Types not consulted and provide rationale for not consulting

The City of Houston contacted numerous organizations through various outreach techniques including meetings, e-mails, phone calls, and real-time audience surveys to solicit input and participation in the planning process. HCDD believes that all critical agency types were consulted during the participation and consultation process and did not intentionally fail to consult with any particular agency.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Table – Other local / regional / federal planning efforts

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Coalition for the Homeless of Houston Harris County	The CoC's priorities and its Strategic Plan to end homelessness directly correspond to HCDD's Consolidated Plan goals related to homeless populations.
Continuum of Care's Charrette Recommendation	Coalition for the Homeless of Houston Harris County	Published after an intensive public participation process lasting a week in August 2012, the Charrette Recommendations address many of the community's needs and barriers to serving the area's homeless population. The Recommendations set forth actions that will address homelessness and the coordination of homeless activities in the future in the Houston area. The actions set forth in the Recommendations reinforce and address the needs of homelessness identified in the Consolidated Plan.
Continuum of Care's Priorities and Program Standard	Coalition for the Homeless of Houston Harris County	These priorities and standards directly overlap with the Consolidated Plan and are used as the City of Houston's standards for its ESG funding.
Regional Plan for Sustainable Development	Houston-Galveston Area Council	HCDD's Consolidated Plan has many of the same goals as the H-GAC's Regional Plan including addressing quality of life issues specifically affordable housing and serving Houston's low-income populations through community development activities.
Livable Centers	Houston-Galveston Area Council	The Livable Centers Plans have been conducted in various areas of the City of Houston. These areas overlap with HCDD's target areas. The objectives of these Plans including improving quality of life overlap with the Consolidated Plan's public facilities strategy.
Annual Plan	Houston Housing Authority	HHA's PHA plan provides details about agency operations and programs, including participants for the upcoming year. Both HHA and HCDD aim to help very low-income households secure housing.
City of Houston's Capital Improvement Plan (CIP) Plan	City of Houston Public Works and Engineering Department	Both the CIP and the Consolidated Plan address the infrastructure needs in Houston.
Rebuild Houston	City of Houston	Rebuild Houston is a plan for maintaining and restructuring Houston's drainage and street system. Rebuild Houston and the Consolidated Plan address neighborhood improvements.
City of Houston Needs Assessment for Round 2 Housing	City of Houston Housing and Community Development Department	Through a combined effort with community leaders and statewide advocates, HCDD analyzed data to produce a Needs Assessment resulting in areas in which housing activities using Round 2 funding will be targeted.

Narrative

Coordination of efforts remains a high priority for the City of Houston. HCDD continues to broaden its outreach efforts and has been able to gather and provide more strategic input into the planning process in the past few years. HCDD utilizes new technologies, including Turning Point Technologies. Turning Point Technologies is an instant response system that helps HCDD receive instant quantitative input from citizens enabling updated community needs information, including changes or trends, to be considered in the planning process.

During PY 2012, HCDD updated one of its stakeholder input processes, previously known as the Advisory Task Force (ATF). HCDD created new guidelines for the Community Development Advisory Council (CDAC), which more particularly define the expectations of how HCDD's consultative needs will be addressed with the CDAC's knowledge and experience. In addition, HCDD took measures to obviate concerns regarding conflicts of interest of former members of the ATF. The CDAC will begin meeting by the end of summer 2013 and is expected to meet several times a year to provide meaningful input to strengthen HCDD programming and activities.

In addition, new guidelines were also created in PY 2012 for the Fair Housing Committee. The Fair Housing Committee is a new stakeholder group meant to provide input to HCDD regarding fair housing activities. Through the Committee, HCDD expects to advance fair housing knowledge in Houston, as well as, strategize additional activities to remove impediments to fair housing.

AP-12 Participation

1. Summary of citizen participation process/Efforts made to broaden citizen participation

The Housing and Community Development Department (HCDD) has primary responsibility for producing the 2013 Annual Action Plan. In this capacity, HCDD works with a number of City departments, the Houston Housing Authority, major non-profits and other stakeholders to ensure that the planning process is both comprehensive and inclusive. HCDD secures information from other City departments, the private sector, non-profits, neighborhood-based organizations, and residents regarding existing conditions and strategies for addressing current needs through research.

HCDD recognizes that Houston residents are the center of and partners in the development and execution of the 2013 Annual Action Plan. HCDD aggressively solicits community involvement and provides residents with every opportunity to become involved in the development of the Annual Action Plan.

Opportunities for citizen input are provided during the entire planning process through

- Publications and Postings
- Public Hearings
- HCDD Community Development Survey

HCDD publicizes all phases of the Plan's development to solicit public comment from the schedule of activities to the amount of federal appropriations and the proposed use of funds in support of community improvement activities. The schedule gives the public a closer view into the major milestones during this process. This information is available on the City's website and is updated as needed. HCDD has developed promotional/informational material about the Plan that was available in English, Spanish and Vietnamese to broaden knowledge about the process to various groups including limited English speakers.

Efforts to Broaden Citizen Participation. HCDD implemented a broad outreach campaign to promote public participation in the development of the consolidated plan. Concerted effort to reach out to diverse populations was made including outreach to minorities, non-English speaking persons, and persons with disabilities. The following are a summary of these efforts.

- Held public hearings at the City Hall Annex, a central location, as well as in or near low- and moderate-income neighborhoods, at Acres Homes Multi-Service Center, West End Multi-Service Center, and Third Ward Multi-Service Center.
- Extended invitations to public hearings to Houston Housing Authority and provided flyers for distribution at HHA properties.
- Advertised in community newspapers including *La Voz, Chinese Daily News, Saigon News,* and *African American News*.
- Advertised in several languages including Spanish, Vietnamese, and Chinese.
- Attended community meetings serving special needs populations to promote HCDD's activities and describe the annual planning process including the Houston Center for Independent Living's Housing Council September 2012 meeting.

- Created outreach efforts to low- and moderate-income neighborhoods and special needs groups to receive feedback on community needs through the Community Needs Survey, including the Homeless Coalition's Community Advisory Council made up of homeless and formerly homeless individuals, OST/South Union Super Neighborhood, Independence Heights Council, Minnetex Civic Group, Sunnyside Super Neighborhood, and MacGregor Trails Civic Club.

Citizen Participation Outreach

Table – Citizen Participation Outreach

Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
Newspaper Ad	Non-English Speaking - Specify other language: Spanish, Vietnamese, and Chinese Non-targeted/broad community	A notice of the fall public hearings and a comment period was published in the Houston Chronicle on October 13, 2012. Advertisements also appeared in Spanish in La Voz on October 21, 2012; in Vietnamese and English in Saigon News on October 25,2012, in Chinese in Chinese Daily News in October 2012, and in English in African American News October 22-28, 2012.	10 written comments were received.	All comments were considered. HCDD did not specifically reject any comments received.	http://www.houstontx.gov/housing/publiclegalnotices.html
Other	Non-targeted/broad community	HCDD partnered with TOP and LISC to solicit input for the Disaster Recovery Round 2 planning process. There were approximately 13 workgroup and community meetings held in 2012 in which over 500 community members attended.	Community input informed the creation of the *Needs Assessment*.	All comments were considered. HCDD did not specifically reject any comments received.	http://www.houstontx.gov/housing/ikerecovery.html
Newspaper Ad	Non-targeted/broad community	HCDD published a public notice in the Houston Chronicle on September 6, 2012 announcing the draft 2011 CAPER was available for public comment from September 6, 2012 to September 20, 2012.	No public comments were received.	No public comments were received.	http://www.houstontx.gov/housing/publiclegalnotices.html

Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
Internet Outreach	Non-targeted/broad community	HCDD announced on Facebook/Twitter that the draft 2011 CAPER had been posted to the HCDD website and used these mediums to reiterate the public comment period info. The draft 2011 CAPER was also posted on ISSUU, a digital publishing platform.	No public comments were received	No public comments were received	N/A
Internet Outreach	Non-targeted/broad community	On October 26, 2012 a CitizensNet email announcing the fall 2012 public hearings was sent to over 124,566 emails that are part of the City of Houston's CitizensNet database.	No public comments were received.	No public comments were received.	http://www.houstontx.gov/citizensnet/index.html
Internet Outreach	Non-targeted/broad community	Information about the fall public hearings and comment period was presented on the HCDD's website, Facebook page, and Twitter page. Flyers were sent by e-mail to public service agencies. Flyers were also sent to Super Neighborhood leaders through DON and the Houston Apartment Association.	No public comments were received.	No public comments were received.	http://www.houstontx.gov/housing
Other	Minorities Persons with disabilities Non-targeted/broad community Homeless and formerly homeless persons	Over 450 people representing agencies, organizations, government and the homeless community attended this multi-day event to discuss homeless strategies and best practices for the region.	A range of comments were received and compiled into a final report, Charrette Recommendations.	All comments were considered. HCDD did not specifically reject any comments received.	http://www.homelesshouston.org/wp-content/uploads/2012/08/Charrette%20Final%20Report.pdf

Expected Resources

AP-15 Expected Resources

Introduction

The City of Houston uses multiple resources to carry out activities that benefit low- and moderate-income residents. Many City Departments receive funding to address needs listed in the Consolidated Plan, and HCDD works with many of these Departments to leverage entitlement grant funds. In addition to entitlement grant funds, HCDD receives State and local funding to address community needs as illustrated below.

Anticipated Resources

Table - Expected Resources – Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4			
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $
CDBG	public – federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	21,804,744	197,721	0	22,002,465
HOPWA	public – federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	6,815,657	0	0	6,815,657
HOME	public – federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	7,080,854	95,056	0	7,175,910

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4			
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $
ESG	public – federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	2,131,015	0	0	2,131,015
Disaster Recovery Round 2	public - state	Housing	0	0	152,215,565	152,215,565
Homeless Housing Services Program (HHSP)	public - state	Financial Assistance Rapid re-housing (rental assistance) Rental Assistance Services	1,171,822	0	0	1,171,822
TIRZ Affordable Housing Set-Aside	public - local	Housing	11,500,000	0	0	11,500,000
Housing and Homeless Bonds	public - local	Housing	10,000,000	0	0	10,000,000

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

HCDD will use a combination of public and private funding to carry out affordable housing activities during the period covered by this Plan. HCDD's Homebuyer Assistance Program leverages HOME funding through a deferred, forgivable loan with private funding including equity from the homebuyer and private mortgage loans. Through the Multifamily Development Program, for-profit and non-profit developers partner with HCDD to build or rehabilitate affordable housing. Developers must use HCDD funding to fill financing gaps. Other development funding must be obtained through additional private and public sources, which may include the following

- Local Tax Incentives and funding
    - Tax Abatement Ordinance
    - Tax Increment Reinvestment Zones (TIRZ) and TIRZ Affordable Housing Set-Aside
    - Homeless Housing Bonds
- Federal Tax Incentives
- State Funded Bond Programs
- Private Sources

HCDD has been awarded several special federal grants and will be receiving additional special grant funds during the next program year to address housing needs in the community, which include Hurricane Ike CDBG-DR Round 2, NSP3, and Homeless Housing Services Program (HHSP). HCDD will continue to research additional funding sources to promote and fund community development activities. During the summer of 2012, HCDD was awarded $40,000 through a Fannie Mae Foundation grant to undertake a survey of multifamily properties with the goal of finding underutilized buildings in need of rehabilitation with owners willing to transform units into permanent supportive housing for homeless populations.

Non-housing community development activities also leverage HCDD federal funds to execute the activities identified in the Plan. Public service agencies utilizing CDBG, HOPWA, and ESG funds must provide some level of match. Other city departments funded with entitlement grants leverage these dollars with other resources. For example, HDHHS leverages CDBG funding with other federal grants for lead-based paint testing and remediation activities.

HOME Matching Funds. The City, as a fiscally distressed Participating Jurisdiction in the HOME Program, must match 12.5 cents for each dollar of HOME funds spent on affordable housing. The match must come from state or local, non-federal sources, and constitutes a permanent contribution to the HOME Program. Since July 2005, the City requires multifamily applicants to help generate this match and requires that they provide and document the match, as pledged in relation to their award. Rules regarding what can be counted as a match under the HOME Program are very specific; therefore, HCDD strictly adheres to and maintains compliance with 24 CFR 92.

The HOME match obligation may be met with any of the following sources

- Cash or cash equivalents from a non-federal source
- Value of waived taxes, fees, or charges associated with HOME projects
- Value of donated land or real property
- Cost of infrastructure improvements associated with HOME projects
- Value of donated materials, equipment, labor, and professional services

The match requirement for the City has been met with cash contributions from its Housing and Homeless Bond Fund, Resolution Trust Corporation Property Acquisition, Sales Program funds, and Tax Increment Reinvestment Zone

(TIRZ) Affordable Housing Set-Aside funds, private donations from endowments and non-cash contributions including donated or reduced cost land. As reported in the 2011 CAPER, the excess HOME match carried over to the next Federal fiscal year was $3,609,582.50. Information regarding the match will be updated in the 2012 CAPER, which will be submitted to HUD in September 2013.

ESG Match. In spring 2012, a substantial amendment with written standards was submitted and approved by HUD in coordination with the Houston/Harris County Continuum of Care. The ESG matching requirement is a one to one match and will be satisfied with CDBG funding from HCDD and funding from subrecipients.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Currently, there are no parcels of City owned land or property that will be used to address the needs identified in this plan. The Land Assemblage Redevelopment Authority (LARA) is a 13-member board appointed by the Mayor, City Council, Harris County, and the Houston Independent School District. LARA performs acquisition, assemblage, management, marketing, and disposition of properties that have been acquired by taxing authorities through foreclosure of delinquent ad valorem taxes. LARA lots may be used with Neighborhood Stabilization Program 3 (NSP3) funds to carry out community development and housing activities.

Discussion

While HCDD is responsible for the provision of services to low- and moderate-income Houstonians, using the entitlement funds and one-time grants, other City of Houston Departments provide similar services by utilizing funding from various sources. The two charts in this section include state, county, local agency, and federal funding for projects that share HCDD's goal of increasing low- and moderate-income families' access to economic opportunity, affordable housing, and safe, clean neighborhoods. During PY 2013, other City Departments expect to receive the following grants from Federal, State, Local, and private funders.

- Texas Department of Transportation - $4,999,205
  - Activities Funded: Maintenance & Repair of Public Streets; School Crossing Safety
- Texas Department of State Health - $11,475,644
  - Activities Funded: Family Planning, Public Health Services, Child Obesity Prevention, Healthy Homes, HIV/Aids Prevention and Nutritional Services for Women and Children
- Texas Department of Aging and Disability Services - $10,191,054
  - Activities Funded: Local Area Agency on Aging Operations, Senior Center Service Integration, Aging In Place, Preventive Health Services, Medicare Part D Outreach, Medicare Benefit Coordination, Home Delivered Meals, and Family Caregiver Support
- Centers for Disease Control and Prevention (Federal) - $9,372,314
  - Activities Funded: HIV Rapid Testing, TB Elimination, HIV/Aids Education And Prevention, And Immunization
- Texas Department of Agriculture – $3,535,301
  - Activities Funded: Provides Low-Mod Income Children Nutritious Meals During the Summer
- Texas Health And Human Services Commission - $584,140
  - Activities Funded: Prenatal Care Initiative - One-on-One Child Development, Education and Counseling
- Office of the Governor - Criminal Justice Division - $274,251
  - Activities Funded: Police Storefront Improvements, Anti-Gang Initiatives
- Governor's Office of Emergency Management - $24,000

    - Activities Funded: Disaster Preparedness Activities
- U.S. Department of Justice - $189,887
    - Activities Funded: Sam Houston State University – Project Safe
- US. Department of Housing & Urban Development - $285,000
    - Activities Funded: Parks and Youth Sports
- Harris County Protective Services - $67,390
    - Activities Funded: Juvenile Delinquency Prevention Programs
- Neighborhood Centers, Inc. - $108,837
    - Activities Funded: Senior Center Service Coordination & Integration
- Baylor College of Medicine - $218,331
    - Activities Funded: Health Kids Obesity Prevention Program
- Collaborative for Children - $2,000
    - Activities Funded: Education Initiative

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Table – Goals Summary

Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
Preserve existing housing stock (DH 1.1)	2010	2014	Affordable Housing	Areas for Community Reinvestment	Affordable Housing	CDBG: $2,300,000	Rental units rehabilitated: 145 Household Housing Unit Other: 40 Other
Address and reduce exposure to lead hazards (DH 1.2)	2010	2014	Affordable Housing		Affordable Housing	CDBG: $1,375,000	Homeowner Housing Rehabilitated: 913 Household Housing Unit Other: 24,250 Other
Increase and preserve supply of affordable rental housing (DH 2.1)	2010	2014	Affordable Housing		Affordable Housing	CDBG $1.500,000 HOME: $4,265,819	Rental units constructed: 146 Household Housing Unit Rental units rehabilitated: 146 Household Housing Unit
Increase affordable homeownership (DH 2.2)	2010	2014	Affordable Housing		Affordable Housing	HOME $2,192,500	Direct Financial Assistance to Homebuyers: 190 Households Assisted Other: 190 Other
Provide housing assistance and services to persons with HIV/AIDS (DH 2.3)	2010	2014	Affordable Housing		Affordable Housing	HOPWA $6,134,091	HIV/AIDS Housing Operations: 2,096 Household Housing Unit
Prevent Homelessness and Support Rapid Re-Housing (DH 2.4)	2010	2014	Affordable Housing		Affordable Housing	ESG: $1,021,689	Tenant-based rental assistance / Rapid Rehousing: 75 Households Assisted Homelessness Prevention: 75 Persons Assisted
Provide Youth Services (SL 1.1)	2010	2014	Non-Housing Community Development		Public Services	CDBG $1,580,085	Public service activities other than Low/Moderate Income Housing Benefit: 11,566 Persons Assisted
Provide Health Services (SL 1.2)	2010	2014	Non-Housing Community Development		Public Services	CDBG	Public service activities other than Low/Moderate Income Housing Benefit: 3,290 Persons Assisted

Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
Provide Public Services (SL 1.3)	2010	2014	Non-Housing Community Development		Public Services	CDBG	Public service activities other than Low/Moderate Income Housing Benefit: 7,250 Persons Assisted
Provide Elderly Services (SL 1.4)	2010	2014	Non-Housing Community Development		Public Services	CDBG $397,115	Public service activities other than Low/Moderate Income Housing Benefit: 2,200 Persons Assisted
Provide Homeless Services (SL 1.6)	2010	2014	Homeless		Public Services	CDBG ESG $949,500	Public service activities other than Low/Moderate Income Housing Benefit: 42,841 Persons Assisted
Economic Development (SL 1.7)	2013	2014	Non-Housing Community Development	Areas for Community Reinvestment	Economic Development	CDBG $2,578,624	Other: 1 Other
Improve Public Facilities (SL 3.1)	2010	2014	Non-Housing Community Development		Public Improvements and Infrastructure	CDBG $3,000,000	Other: 12 Other
Maintain Neighborhood Integrity (SL 3.2)	2010	2014	Non-Housing Community Development	Areas for Community Reinvestment	Public Improvements and Infrastructure	CDBG $2,708,535	Housing Code Enforcement/Foreclosed Property Care: 20,000 Household Housing Unit Other: 200 Other
Provide Job Training and services for the Disabled (EO 1.1)	2010	2014	Non-Housing Community Development		Public Services	CDBG	Public service activities other than Low/Moderate Income Housing Benefit: 145 Persons Assisted
Provide Job Training for Low- and Moderate-Income Persons (EO 1.2)	2010	2014	Non-Housing Community Development		Public Services	CDBG	Public service activities other than Low/Moderate Income Housing Benefit: 320 Persons Assisted

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)

The Homebuyer Assistance Program expects to serve 190 households with downpayment and closing cost assistance in PY 2013. Of the 190 households, HCDD estimates that 38 households (20%) will have a household income between 31-50% AMI and 152 households (80%) will have an income between 51-80% AMI. HCDD estimates that all households assisted through the Single Family Home Repair Program will have a household income between 0-50% AMI. The Multifamily Housing Program will construct and rehab housing predominately for households with an income under 60% AMI. In 2013, the Program will likely fund units for persons with extremely low-incomes to further the Mayor's Directive of creating supportive housing to help end veterans homelessness. The City of Houston does not intend to use HOME funds in PY 2013 for tenant based rental assistance activities.

Projects

AP-38 Project Summary

Project Summary Information

Table – Project Summary

Project Name	Target Area	Goals Supported	Needs Addressed	Funding
Neighborhood Facilities and Improvements	Areas for Community Reinvestment	Improve Public Facilities	Public Facilities and Infrastructure	CDBG: $3,000,000
Single Family Home Repair		Preserve existing housing stock Address and reduce exposure to lead hazards	Affordable Housing	CDBG $3,150,000
DHHS Community and Children's Environmental and Health Program Grant Match		Address and reduce exposure to lead hazards	Affordable Housing	CDBG $975,000
Mayor's After-School Achievement Program		Provide Childcare and Youth Services	Public Services	CDBG $290,884
Youth Enrichment Program		Provide Childcare and Youth Services	Public Services	CDBG $428,219
Community-based Mental Health Services		Provide Health Services	Public Services	CDBG $200,000
HIV/AIDS Education Program		Provide Health Services	Public Services	CDBG $196,885
Elderly Service Program		Provide Elderly Services	Public Services	CDBG $397,115
Chronic Disease Prevention		Provide Health Services	Public Services	CDBG $106,000
Re-Entry Program		Provide Public Services	Public Services	CDBG $100,000
Mobile Laboratory		Provide Public Services	Public Services	CDBG $98,781
Childcare Program		Provide Childcare and Youth Services	Public Services	CDBG $421,410
Juvenile Delinquency Prevention Program		Provide Childcare and Youth Services	Public Services	CDBG $439,572
Unallocated Public Services		Provide Homeless Services Provide Job Training for Low- and Moderate-Income Persons	Public Services	CDBG $536,998
Unallocated Economic Development	Areas for Community Reinvestment	Support Businesses serving LMI Areas	Economic Development	CDBG $2,765,979
Legal Staff for Code Enforcement		Maintain Neighborhood Integrity	Affordable Housing	CDBG $196,200
Code Enforcement	Areas for Community Reinvestment	Maintain Neighborhood Integrity	Affordable Housing	CDBG $2,512,335
CDBG Administration				CDBG $4,440,493

Project Name	Target Area	Goals Supported	Needs Addressed	Funding
Multifamily Housing Program		Increase the supply of affordable rental housing	Affordable Housing	CDBG: $1,500,000 HOME $4,265,819
Homebuyer Assistance Program		Increase affordable homeownership or Homebuyer's Assistance	Affordable Housing	HOME $2,192,500
HOME Program Administration				HOME $717,591
HOPWA – Project Sponsor Activity		Provide housing assistance and services to persons with HIV/AIDS	Affordable Housing	HOPWA $6,134,091
HOPWA – Grantee Administration				HOPWA $204,470
HOPWA – Project Sponsor Administration				HOPWA $477,096
ESG – HMIS		Provide Homeless Services Prevent Homelessness and Support Rapid Re-Housing	Affordable Housing Public Services	ESG $117,000
ESG – Activities		Provide Homeless Services Prevent Homelessness and Support Rapid Re-Housing	Affordable Housing Public Services	ESG $1,854,189 CDBG $473,949
ESG – Administration				ESG $159,826

AP-35 Projects

Introduction

Activities have been selected for inclusion in this Plan based on 2010-2014 Consolidated Plan priorities and public input. During PY 2013, HCDD will engage in community development activities through increasing the availability and quality of affordable housing, the delivery of public services, investment in neighborhood facilities, and economic development activities. In addition, HCDD balances the growing need for services with HUD regulations and budget constraints.

Activities presented in this section fall into four major priority areas, which also reflect HCDD's institutional structure and approach to program delivery

- Affordable Housing
  - Multifamily Construction and Rehabilitation
  - Single Family Construction, Repair, and Homebuyer Assistance
  - Community Housing Development Organizations (CHDOs)
- Public Services
  - Youth Enrichment, Child Care
  - Health Services
  - Homeless Prevention
  - Social Services
  - HIV/AIDS Services
  - Elderly Services
- Neighborhood Facilities & Improvements
  - Public and Private Neighborhood Facilities
  - Parks
  - Public Safety
- Economic Development
  - Business Product Expansion
  - Job Training Services

A complete listing of projects and activities proposed to be funded in PY 2013, can also be found in the Appendix of this document.

Table – Project Information

#	Project Name
1	Neighborhood Facilities and Improvements
2	Single Family Home Repair
3	DHHS Community and Children's Environmental and Health Program Grant Match
4	Mayor's After-School Achievement Program
5	Youth Enrichment Program
6	Community-based Mental Health Services
7	HIV/AIDS Education Program
8	Elderly Service Program
9	Chronic Disease Prevention
10	Mobile Laboratory
11	Re-Entry Program
12	Childcare Program

#	Project Name
13	Juvenile Delinquency Prevention Program
14	Unallocated Public Services
15	Unallocated Economic Development
16	Legal Staff for Code Enforcement
17	Code Enforcement
18	CDBG Administration
19	Multifamily Housing Program
20	Homebuyer Assistance Program
21	HOME Program Administration
22	HOPWA – Project Sponsor Activity
23	HOPWA – Grantee Administration
24	HOPWA – Project Sponsor Administration
25	ESG – HMIS
26	ESG – Activities
27	ESG – Administration

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

HCDD has made estimated allocations for PY 2013 based upon the priorities of the 2010-2014 Consolidated Plan, citizen and community input, qualified responses to Request for Proposals, an analysis of prior years' budget and expenditures, and City Council direction.

Additional factors that contributed to targeting funds to specific areas of the City or activities are

- The stated needs, analysis, and objectives in the 2010-2014 Consolidated Plan
- Priorities stated in each respective program's solicitation and award guidelines
- Compliance with HUD entitlement grants' (CDBG, HOME, HOPWA, and ESG) rules and regulations

AP-50 Geographic Distribution

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Maps provided in the Appendix illustrate the known locations of proposed federally funded projects and activities for PY 2013, relative to designated low- and moderate-income areas. Locations of all 2013 projects and activities will be determined in detail after the solicitation process is completed and all subrecipients are identified. Other maps illustrate areas of minority concentration, City Council Districts, and Super Neighborhoods. Some maps and boundaries may potentially change with publication of updated data by HUD.

A December 2012 Substantial Amendment revised the 2012 Action Plan to establish HCDD's Areas for Community Reinvestment, which includes thirty-nine Super Neighborhoods. HCDD staff analyzed indicators to create the Areas for Community Reinvestment to provide data-driven recommendations for projects and activities that will foster investment in select geographic areas (Super Neighborhoods) that demonstrate the most need. Six demographic and income characteristics of Houston's eighty-eight Super Neighborhoods were considered in the analysis while developing the Areas for Community Reinvestment

- Low- to Moderate-Income (LMI) Population
- The Land Assemblage Redevelopment Authority (LARA) Owned Lots
- Commercial Investment (HCDD Funded) Multifamily and Public Facilities
- Concentration of Multifamily Housing Stock (2000 Census Data)
- Designated Demolition Sites
- City of Houston Capital Improvement Plan (CIP Projects)

Table - Geographic Distribution

Target Area
Areas for Community Reinvestment

Rationale for the priorities for allocating investments geographically

Establishing Areas for Community Reinvestment allows HCDD to analysis those indicators that best help to provide data-driven recommendations for projects and activities that will foster investment in select geographic areas (Super Neighborhoods) that demonstrate the most need. The goal of the Areas for Community Reinvestment is to take the limited funding the HCDD receives, leverage it with private investment within these areas, to ultimately arrest decline and bring about positive outcomes in historically underserved communities. HCDD adopted these areas in PY 2011 for code enforcement activity and renamed and extended the map in PY 2012 to include other activities, including multifamily housing rehabilitation/new construction, homebuyer's assistance, single family home repair, public services, and the rehabilitation/new construction of public/private facilities. In PY 2012, it was estimated that there was already a significant investment in the Areas for Community Reinvestment, including $17 million in HCDD funds allocated to projects in progress in these areas. In addition, the City of Houston expected to fund over $200 million in infrastructure projects through the Capital Improvement Process (CIP) in Areas for Community Reinvestment.

The Areas for Community Reinvestment will be applied to activities funded by the HOME Investment Partnerships Program (HOME), the Community Development Block Grant (CDBG) Program, and the Section 108 Loan Guarantee Program. When reviewing projects for funding, HCDD staff may give special consideration to projects that fall within the boundaries of the chosen areas or leverage additional funding, such as State Housing Tax Credits.

Discussion

In PY 2012, the City of Houston finalized a Needs Assessment which determined target areas for the Disaster Recovery Round 2 funding. In an unprecedented approach to community involvement, HCDD worked closely with community leaders, statewide advocates, and citizens to use data analysis to determine target areas for the second round of Disaster Recovery funding. The targeted communities include: 1) Acres Homes, 2) Independence Heights, 3) Northside Village / Greater Fifth Ward / Denver Harbor / Magnolia Park, and 4) OST / South Union / Sunnyside / South Park. A map of the Disaster Recovery target areas is included in the Appendix. Of the $152,215,565 funds available through the allocation of Disaster Recover Round 2, $63,076,220 will be available for Single Family Activities; $58,846,754 will be available for Rental Activities; and $30,292,591 will be available for LMI Subsidized Rental in partnership with the Houston Housing Authority.

Almost all targeted Disaster Recovery Round 2 communities are within the Areas for Community Reinvestment. Using overlapping target areas for various funding sources, HCDD leverages its limited funding to improve communities through a variety of community development activities that may include public services outreach, neighborhood facility improvements, housing repair, housing construction, economic development, and infrastructure improvements.

Affordable Housing

AP-55 Affordable Housing

Introduction

HCDD employs a variety of approaches to maintaining, creating, and improving the quality of affordable housing in Houston's predominately low- and moderate-income areas. In the 2010-2014 Consolidated Plan, HCDD prioritized the following housing activities as "High": Housing (CDBG), Single Family Homebuyer Assistance (HOME), Multifamily acquisition/Rehabilitation/New Construction/Relocation (HOME), TBRA (HOPWA), Short Term Rent/Mortgage/Utility Assistance (HOPWA), and Homeless Prevention (ESG). In the 2012 Annual Action Plan, HCDD will administer the following programs to preserve and increase access to affordable housing

- Multifamily Housing Program – New Construction & Rehabilitation
- Single Family Programs – Development, Repair, & Homebuyer's Assistance
- CHDO – Supporting community organizations to develop affordable housing
- HOPWA – TBRA and STRMU Assistance
- ESG – Housing Relocation and Rapid Re-Housing

Annual estimated goals for the objective Decent Housing (DH) and descriptions of activities for each program are included in the following table:

Table - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households to be Supported	
Homeless	204
Non-Homeless	445
Special-Needs	1,526
Total	2,175

Table - One Year Goals for Affordable Housing by Support Type

One Year Goals for the Number of Households Supported Through	
Rental Assistance	1,438
The Production of New Units	146
Rehab of Existing Units	401
Acquisition of Existing Units	190
Total	2,175

Discussion

Affordable housing continues to be among the highest priorities for HCDD. Disaster Recovery funding has greatly increased the available housing resources for low- and moderate-income families in the City of Houston. Using the foundation of the CDBG funded Single Family Home Repair Program, HCDD staff continues to build capacity and increase efficiency to best serve citizens. The Single Family Home Repair Program and Homebuyer Assistance Program expect to fully implement new program guidelines in PY 2013 which will help streamline operations and best utilize resources. Pursuant to the Mayor's recent directive, HCDD intends to focus multifamily housing resources to provide supportive housing for the homeless, specifically veterans and the chronically homeless.

AP-60 Public Housing

Introduction

The Houston Housing Authority (HHA) provides affordable homes to more than 55,000 low-income Houstonians. HHA and its affiliates own and operate 25 housing communities with more than 5,500 units for families, elderly, disabled, and other residents.

The following highlights HHA's program accomplishments occurring in calendar year 2012.

- Historic Program Growth. HHA added nearly 900 households to its programs in 2012, and it is currently serving more low-income Houstonians than at any time in the Agency's 75 year history.
- Major Renovations. Major renovations were completed at many HHA properties, including new playgrounds, landscaping, community rooms, library expansions, kitchens, bathrooms, painting, and HVAC and roof repairs.
- Unit Modernization. HHA completed modernization of 199 units at Lyerly, including complete replacement of appliances, cabinets and flooring.
- Serving Homeless Vets. Working with the Veterans Administration, the HHA's Veterans Affairs Supportive Housing (VASH) program is the nation's third largest, serving 795 formerly homeless veterans.
- 100 in 100 Campaign. In 2012, the HHA and VA teamed with service organizations to house, provide services, and identify jobs for veterans in danger of dying in Houston's streets. This Campaign successfully housed 101 of the most chronically homeless veterans in Houston in 100 days.

In 2013, HHA plans to reinvest in Houston communities through new construction of existing properties at Wilmington and Kelly Village, as well as develop new communities in the City's target neighborhoods with CDBG-DR funding that will affirmatively further fair housing in Houston.

Actions planned during the next year to address the needs to public housing

Years of federal disinvestment in the Public Housing Capital Fund Program (CFP), coupled with damage caused by Hurricane Ike, have created a significant backlog of capital needs across the Agency's portfolio. A recently completed Physical Needs Assessment values the current capital backlog at approximately $82 million, or 17 times the funding HHA receives from HUD in a typical year for capital improvements.

While the HHA will expend its CFP allocation of $4.0 million to address the most severe capital needs, without additional funding, the HHA will remain significantly short of the support required to adequately address the portfolio's documented capital needs. Additionally, as the need to rehabilitate the city's existing housing stock grows, so too does the need for affordable housing, with over 14,000 families currently on HHA's waiting list for a public housing unit. For the first time in six years HHA accepted applications for 20,000 spots on the Housing Choice Voucher and Moderate Rehabilitation waiting lists. The application process was open for a week in August 2012. More than 80,000 families and Houstonians in need applied online for the chance to obtain a spot on the waiting list for housing assistance.

Fortunately, the coming year may present the HHA and City of Houston with an opportunity to substantially address both the public housing capital needs backlog and the City's unmet demand for affordable housing. Combined, the HHA and the HCDD have received an award of more than $43 million in Hurricane Ike Recovery funds. The goal is to leverage these dollars so it will be possible for the HHA to address the capital needs at several hurricane-damaged public sites while adding upwards of 1,000 units of new affordable housing by layering a combination of subsidies and low income housing tax credits.

Houston Housing Authority Plans for 2013 include

- New Unit Construction. Some noteworthy projects for 2013 include starting the demolition and redevelopment of Wilmington and the demolition and replacement of sixty-three vacant, storm-damaged units at Kelly Village.
- Security Improvements. HHA plans to install a security camera system at Kelly Village.
- Project Based Voucher Program. In 2012 HHA also released an RFP to award as many as 1000 Project Based Voucher's over the next three years to developers of supported housing for homeless clients. HHA hopes to begin awarding these vouchers in 2013.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Resident Involvement. HHA employs various strategies to promote public housing resident involvement in HHA's policy development and strategic decision-making processes. HHA encourages residents to become involved through participation in Resident Councils, which operate at most public housing developments. Staff from the HHA's Client Services Department provides technical assistance to Resident Council members and helps ensure that third party (League of Women Voters) oversight is in place for the annual election of officers. Each Resident Council meets on a monthly basis to address general and property-specific issues.

Resident Council officers meet as a group with the HHA staff quarterly. These meetings provide an opportunity for resident leaders to hear updates on major issues taking place at HHA and within the affordable housing industry nationally. Time is allotted for resident leaders to raise issues or ask questions, which often become the basis for further dialogue. Discussions typically cover issues related to resident participation in governance, safety and security, community service requirements, summer programs, development plans and job readiness.

As the HHA prepares for unprecedented levels of redevelopment across the portfolio in coming years, resident engagement and participation will take on much greater significance than in past years. HHA's Real Estate Investment and Development (REID) staff meets with residents throughout the planning process to make sure that resident ideas are heard and concerns addressed. Currently, HHA is actively engaging residents of Wilmington to assist with relocation of families during the redevelopment activities.

Participation in Homeownership. HHA staff works to promote the Family Self-Sufficiency (FSS) Program to public housing and voucher participants. The FSS program allows participants to establish an interest-bearing escrow account during the five-year program which includes job training, employment counseling, case management services, household skill training, and homeownership counseling. Upon fulfillment of the program, families receive the funds in the escrow account which may be used for purchasing housing through the Housing Choice Voucher Homeownership program.

The Housing Choice Voucher Homeownership Program is a federally funded program that allows families with a Housing Choice Voucher to use the voucher as part of their monthly mortgage payment to purchase a home, rather than rent. The Homeownership Program is for first time homebuyers, a person who does not own a home or has not owned a home during the past three years.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

HHA does not have a troubled designation; therefore, it is not necessary for HCDD to provide financial or other assistance to HHA to remove such a designation during the next year.

Discussion

HCDD is currently funding two projects that will be constructed over the next two years that will provide housing to recipients of Section 8 vouchers, Gulf Coast Arms and Wilmington House. Both of the projects include demolition of existing apartment property that is in poor condition, and constructing a new property built to current construction and energy efficient guidelines. The reconstruction work at Wilmington House will increase the number of total units by 40.

AP-65 Homeless and Other Special Needs Activities

Introduction

The Continuum of Care has developed a strategic plan that outlines five strategies to meet the federal goals.

- **Create a new service delivery model** that allows services to be provided in-home or in close proximity to housing throughout the Houston, Harris County, and Fort Bend County region by December 2013.
- **Create 2500 Permanent Supportive Housing Units** targeted to the chronically homeless and frequent users of services by December 2015.
- **Rebalance the homeless response system** based on need (as determined by data analysis) primarily through the reallocation and alignment of funding resources by December 2015.
- **Create and implement a coordinated intake, assessment, and triage system** to connect people experiencing or at-risk of homelessness to the most appropriate housing model based on the agreed definitions and target criteria by 2014.
- **Implement a Change Management Process** to minimize the change impacts and avoid distractions over the next five years.

The goals and actions for the next year focus on creating a system to end chronic and veteran homelessness. Additional activities will be focused on improving rapid re-housing outcomes, generating system-wide performance expectations, and analyzing system data to drive right-sizing. Major activities are outlined below

- Develop 750 units of permanent supportive housing.
    - Create a pipeline committee comprised of major funders to coordinate funding and shepherd new development and turnover of existing units.
    - Prioritize public and private capital, operating, and service resources to support development.
- Create and implement a new integrated care service delivery model for permanent supportive housing units in pipeline.
    - Cultivate partnerships between Federally Qualified Health Centers, Mental Healthcare Providers, and Homeless Service Providers.
    - Explore new funding streams to support integrated care and fully leverage mainstream resources like Medicaid
    - Connect integrated care teams to new and existing permanent supportive housing units.
- Implement a coordinated intake, assessment, triage, and central referral system for permanent supportive housing.
    - Join and actively participate in the national 100,000 Homes Campaign to ensure permanent supportive housing units are targeted to the most vulnerable homeless households.
    - Host a registry week to identify, assess, and triage the chronically homeless in the the downtown area.
    - Standardize assessment and triage tools and expand use across the CoC.
    - Use the Homeless Management Information System (HMIS) to create a central referral system for all permanent supportive housing units.
- Implement performance expectations for the entire CoC.
    - Utilize HUD TA to establish baseline measures and set initial performance standards.
    - Apply these standards to all programs operating in the CoC.
    - Utilize these performance expectations to evaluate programs and determine resource allocations.

The following describes Houston's one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons and assessing their individual needs, addressing the emergency shelter and

transitional housing needs of homeless persons, helping homeless persons make the transition to permanent housing and independent living, and helping low-income individuals and families avoid becoming homeless.

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

HCDD will continue to support organizations that assess needs of the homeless to create a more robust social service system addressing unmet needs. HCDD provides ESG and CDBG funding to social service organizations to assess the needs of homeless persons. In PY 2012, HCDD funded SEARCH's Engagement Services and Healthcare for the Homeless' Project Access to provide over 6,500 homeless persons with needed necessities. In the Request for Proposal (RFP) issued for PY13, there will be target areas of funding. One of those target areas will address services around homelessness.

The Coalition collaborates with service agencies and others in the public sector to analyze existing needs to identify and address funding gaps. The Point-In-Time (PIT) Count, organized by the Coalition, annually assesses the characteristics of the homeless population in and around Houston. This is important data used by the Coalition and its stakeholders to track changing homeless needs. In PY 2013, HCDD will continue to financially support the Coalition's preparation for the 2013 PIT Count.

A major component of the action plan for the Coalition for the Homeless Houston/Harris County, as the Lead Agency of the Continuum of Care, is to develop a coordinated intake system to improve access to services and ensure appropriate interventions. The Coalition and the Coordinated Intake Workgroup will take the lead on the following

- Research best practices and select the most appropriate model
- Identify access points and gather intake documents/criteria
- Determine Homeless Management Information System (HMIS) applicability
- Negotiate coordinated intake criteria and develop process/tool
- Develop transition plan and begin implementation
- Link participation in coordinated intake to funding
- Initiate training and individual technical assistance with agencies implementing coordinated intake

The City will also support the implementation of these efforts by leading the process to join and actively participate in the national 100,000 Homes Campaign.

Addressing the emergency shelter and transitional housing needs of homeless persons

HCDD continues to fund social service agencies providing emergency shelter or transitional housing for homeless individuals and families. Services will include case management, direct rent or utility assistance, and operations costs associated with overnight shelter for nonprofits. HCDD's ESG funding will address emergency shelter and transitional housing needs as discussed later in the section. As part of the planning process for community-wide coordination of ESG implementation and the restructuring of the CoC funding process, the Coalition, as the Lead Agency of the CoC, is collaborating with local ESG recipients to right-size the system of emergency shelter, transitional housing, and rapid re-housing. Action steps include

- Address gaps in emergency shelter provision through restructuring of ESG strategy.
- Focus on conversion of Transitional Housing and/or shelters to Rapid Re-Housing, Transition in Place, or Permanent Supportive Housing by
    - Identifying transitional housing programs and shelters interested in or primed for transition, including underperforming CoC-funded programs

- Providing board training to CoC providers to initiate the transition process and begin a paradigm shift to a housing-centric model
- Providing technical assistance to help providers in developing conversion plans
- Providing technical assistance to help providers in the conversion process
- Incentivizing conversion of renewal scoring or other funding processes

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

HCDD prioritizes multifamily housing for homeless and special needs populations in the Multifamily Housing Program. Housing with supportive services forms the basis for improvement strategies that seek to upgrade housing, increase homeownership, expand the number of small businesses and jobs, and increase assistance (e.g., medical, educational, counseling, etc.) to those in need, while securing physical improvements in targeted communities. In PY 2013, HCDD"s Multifamily Housing Program anticipates the completion of 4415 Perry, a Single Room Occupancy (SRO) development, the Men's Center a transitional housing development assisting men recovering from alcoholism and drug addiction, and Travis Street Plaza, a SRO development for veterans. In addition, HCDD will continue to support the Coalition in the design and implementation of Permanent Supportive Housing (PSH) through participating in policy discussions and collaborating with stakeholders.

The coordinated intake system, described previously, will act as the process for identifying people who are homeless and most in need of permanent supportive housing, which include people who are chronically homeless, families with children, veterans, and unaccompanied youth. In addition, the community's current capacity of permanent supportive housing only meets 16% of the actual need according to the Coalition for the Homeless Houston/Harris County's 2011 Capacity and Gaps in the Homeless Residential and Service System. A plan to begin addressing this need includes the Coalition working with the City of Houston, Harris County, and the public housing authorities to

- Utilize the Permanent Supportive Housing Financial Model to determine short term development strategies and unit goals
- Identify opportunities for coordinated funding and policy changes to support development strategies
- Create a pipeline committee with City and County agencies to coordinate funding, applications, and inform unit production
- Modify CoC funding priorities to support PSH development strategies
- Provide training to PSH providers on developing and operating PSH
- Provide a supportive housing institute or academy, as needed, to create additional capacity for new PSH units in the greater Houston/Harris County area

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

HCDD will fund several agencies that deliver homeless prevention assistance from various federal and State grants, which includes

- Short-term subsidies to defray rent and utility arrearages for families that have received eviction or utility termination notices or are experiencing a hardship that may lead to homelessness
- Security deposits and first month's rent to permit homeless families to move into their own apartment
- One-time mortgage payments

Preventing homelessness, especially family homelessness, is a priority for the City and our partner, the Coalition. The Coalition assists Houston's homeless service providers, many of whom are CDBG and/or ESG subrecipients, to help families implement strategies that keep them stabilized and resolve their financial issues, before being identified as "homeless". While local nonprofit and faith-based organizations provide basic needs and rent/utility assistance that divert families at imminent risk, the Coalition's 24/7 homeless services hotline refers and connects families to providers with immediate help and mainstream resources.

As part of the planning process for community-wide coordination of ESG implementation and restructuring of the CoC funding process, the Coalition, as the lead agency of the CoC, is collaborating with local ESG recipients. The CoC plans to develop a homelessness prevention eligibility standard to target those most at-risk of becoming literally homeless. The tool will be developed using HUD's ESG eligibility criteria and local data regarding characteristics common among people who are literally homeless. Depending on the level of need of potential clients, the agency initially conducting intake will either admit the person to their program or will conduct a warm hand-off to another homelessness prevention provider in the system that is skilled in meeting the person's needs for housing.

The CoC also plans to execute Memoranda of Understanding (MOU) with mainstream and other homeless service providers on the behalf of the Homelessness Prevention system in order to help clients link to mainstream and homeless supportive services outside of the ESG programs. The purpose of developing agreements is to help clients easily access mainstream services that might have a cumbersome application process or lengthy waitlist. Mainstream services will include those listed in subpart E 576.400 (c), as well as the SOAR program, and locally-funded programs to assist with increasing income and improving health.

The Coalition along with local public funding jurisdictions and publicly funded institutions and systems of care that discharge people into homelessness will create or modify discharge plans to prevent discharging into homelessness by

- Identifying local discharge plans or practices that are leading to homelessness
- Engaging each system and discuss data and alternatives
- Utilizing data to inform broader strategic planning process

The CoC has several discharge policies to coordinate community-wide assistance to address youths aging out of foster care, persons exiting health care and mental health institutions, and persons discharged from correctional institutions.

Discussion

HCDD has established a contractual relationship with the Coalition for the Homeless of Houston/Harris County, Inc. (Coalition) to manage efforts related to addressing chronic homelessness. The City continues to support the Coalition's efforts by providing HCDD staff assistance and CDBG, ESG, HHSP, and Homeless Housing Bond funds for

- Implementation and operation of the Homeless Management Information System (HMIS)
- Development and implementation of a Strategic Plan to End Chronic Homelessness
- Planning and prevention activities
- Point in Time/Enumeration
- Annual Gaps Analysis
- Continuum of Care Collaborative Grant Application
- Performance Measurement of CoC funded programs and projects
- Development of Coordinated Access for Continuum of Care system
- Staff Support of the CoC Steering Committee and work groups

The Coalition continues to administer the Homeless Management Information Systems (HMIS) for contributing homeless organizations within Houston/Harris County Continuum of Care (CoC). Since the initial implementation in 2004, HMIS has grown to become the major repository of homeless assistance and homelessness prevention data in our community. An increasing number of federal and local funders now require HMIS participation, and its data is regularly used for various aggregate reports and analyses. HMIS data was provided for 2012 HEARTH Academy (facilitated for Houston/Harris County by the National Alliance to End Homelessness), and it is a major component of the ongoing HUD-sponsored Priority Community Assessment and CoC Check Up. Currently, the HMIS staff includes seven members. The HMIS software provider is ClientTrack by Data Systems International (DSI).

At the end of 2012, there were 64 organizations, 157 programs, and 386 users actively participating in the HMIS. The database consisted of 163,137 unique client records, 273,912 enrollment records, and 3,265,461 service records, entered since HMIS inception in 2004. A majority of clients served before 2010 were literally homeless individuals, but a large segment now includes the at-risk population assisted by homelessness prevention programs (HPRP, SSVF, and ESG). Of the 35,645 clients enrolled in any programs during 2012, there were 23,773 literally homeless individuals. The HMIS staff is implementing a number of proactive initiatives on data quality and data entry, as well as monitoring areas of the current HMIS system, to ensure completeness, accuracy, and standardization in data collection processes. Support specialists continue to work with homeless service agencies by offering ongoing training and technical assistance, individually and in groups. The staff also meets with the user community representatives in quarterly HMIS forums to share recent developments, discuss data entry obstacles, and plan for any upcoming changes.

AP-70 HOPWA Goals

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	1,000
Tenant-based rental assistance	288
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	174
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	34
Total	1,496

AP-75 Barriers to affordable housing

Introduction

Barriers to Affordable Housing. As identified in the 2010-2014 Consolidated Plan, there are three *primary* barriers to accessing and maintaining quality, affordable housing

- Current market conditions
- Deterioration of existing housing stock
- Regulatory barriers

Current market conditions. The demand for affordable housing, especially rental, in Houston has increased due to both the in-migration of new residents and the effects of both the mortgage and financial crisis on the local economy. As illustrated in the *2010-2014 Consolidated Plan*, the greatest number of households with housing cost burdens above 50% are households with incomes less than 30% of the area median family income, and a majority of these burdened households are renters (2000 CHAS Data). The need for affordable rental housing in Houston was clearly demonstrated when the Houston Housing Authority (HHA) opened its waiting list in August 2012. Within a week, the HHA had over 80,000 applications for housing assistance.

After declining numbers of permits issued for new housing construction in the Houston area, housing development grew substantially for the first time in several years. In 2012, the number of permits for single-family units increased by 28% compared to 2011 to 24,872 units, and the number of permits for structures with 5 or more units increased by 88% compared to 2011 to 14,870 units (Texas A&M Real Estate Center). Although new housing development has increased, the value of the newly constructed units has also increased to a median value of $190,500 for a permitted new single family unit in 2011 (Texas A&M Real Estate Center). This is unaffordable for families earning incomes below the area median income.

Affordability for buyers and housing developers alike has become a challenge. The cost of land in some sections of the City is prohibitively expensive to affordable housing or mixed-income development. Environmental issues, such as brownfields and floodplains, further reduce the number of parcels readily available for infill housing development.

Deterioration of existing housing stock. Houston's housing stock is aging. According to the 2007-2011 American Community Survey 5-Year Estimates, 63% of Houston's housing stock was built before 1979, and the median year of the structures built in Houston was 1975. 58% of the rental units were built before 1979, and 67% of homeowner occupied units were built before 1979. Many properties built before 1978 may have environmental hazards such as lead-based paint. Due to lead-based paint remediation and other rehabilitation work required to bring homes to code standards, repairs and rehabilitation work may be prohibitively expensive for homeowners. As illustrated through the continued demand for HCDD's Single Family Home Repair Program, there is a need for rehabilitation of Houston's housing stock, especially among low- and moderate-income homeowners.

Regulation. Impediments to the development of affordable housing may be found in municipal regulations and City ordinances that can inhibit the market from meeting the demand. Ordinances that regulate development are Chapter 42 Subdivision, Developments and Platting (Development Ordinance); Chapter 29 Manufactured Homes; Article 6 of the Housing Code Modular Housing; and Article 9 of Building and Neighborhood Protection, Comprehensive Urban Rehabilitation and Building Minimum Standards (C.U.R.B.). More research is planned to identify the impact of these ordinances on affordable housing construction. Although not regulated by the City, as part of the research for the Analysis of Impediments, staff and stakeholders will research how deed restrictions also impact affordable housing opportunities.

Impediments may also be found in federal regulations. Some of HUD's regulations relating to noise, environmental or site and neighborhood standards may also serve as a barrier to the development of affordable housing as a part of revitalization efforts. The application of dated regulations on a majority-minority city may also present challenges for current affordable housing and mixed-income development.

In addition, the current Texas Qualified Application Plan (QAP) for competitive 9% Low-Income Housing Tax Credits (LIHTC) is another regulatory barrier. Under the QAP, developments located in areas outside of high minority concentration receive more points. Because the City of Houston is a majority minority city, many housing developments in the city limits of Houston are not competitive compared to other suburban communities within the region. Neighborhood support is also scored highly within the QAP. For housing developments viewed as undesirable within the community due to NIMBYism, like permanent supportive housing, securing neighborhood support is very challenging. Unable to receive the maximum points, housing developments serving underserved populations will become uncompetitive. In these circumstances, the structure of the QAP may be a barrier to affordable housing because the City of Houston may lose out on funding to suburban communities. Without LIHTC funding to leverage development and with finite local funding, affordable housing in Houston may not be economically feasible at all.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

To address barriers to the availability and accessibility of decent, affordable housing in Houston, HCDD will leverage resources through comprehensive strategic planning with regional partners, support code enforcement, explore innovative ways to mitigate hazards in substandard housing, and increase intergovernmental communication and coordination. The proposed actions to be performed in PY 2013 are described in more detail below, and other actions to overcome impediments to fair housing are located in the Appendix titled *Affirmatively Furthering Fair Housing*.

- *Engage in comprehensive strategic planning through partnerships with regional entities*
    - Meet with housing partners such as Houston Housing Finance Corporation, Houston Housing Authority, Harris County, and the Harris County Housing Authority to foster collaboration on grants and affordable housing development projects
    - Collect input from stakeholders in a variety of ways including: hearings, focus groups, and citizen outreach methods.
- *Relieve housing market conditions that inhibit low- and moderate-income persons from obtaining decent housing*
    - Increase affordable housing supply by funding rehabilitation and new construction of affordable rental housing
    - Support low- and moderate-income families with a downpayment and closing cost assistance to achieve homeownership
    - Continue the Single Family Home Repair Program to lower the cost of home maintenance and improve housing stock
    - Work with lending institutions to operate in areas that are underserved and to provide services to underserved populations
- *Invest in building code enforcement & hazard remediation to abate deterioration of housing stock*

- Coordinate single family home repair efforts with the City's HDHHS lead based paint abatement program to improve condition of housing stock
- Reduce lead-based paint hazards and establish "Healthy Homes" principles in low- and moderate-income housing units by partnering with HDHHS and matching funding for the Community and Children's Environmental Health Lead Program
- Engage in code enforcement activities carried out by the City's Department of Neighborhoods to address single family and multifamily property owners' code violations

- *Strengthen intergovernmental relationships to resolve regulatory issues*
  - Advocate and communicate to TDHCA requests for updates to the QAP
  - Coordinate with local HUD officials and request waivers to certain HUD standards, as needed
  - Continually improve HCDD's monitoring and compliance function to detect and address inconsistencies or conflicts among federal, state, and local grant and regulatory requirements
  - Continue to provide technical assistance to nonprofit and for profit affordable housing developers and public service agencies regarding new or changing requirements
  - Continue to refer fair housing complaints to substantially equivalent agencies and the regional HUD office which are equipped and trained to manage such complaints effectively and efficiently
- *Use education to encourage policy decisions that positively impact affordable housing*
  - Educate city officials and staff about fair housing issues to understand the impact of municipal laws and regulations on affordable housing through presentations, meetings, and training materials organized by HCDD staff
  - Prepare information and materials about impediments impacting affordable housing to use in presentations and meetings organized by or with HCDD staff for stakeholders and community groups
  - Engage fair housing and affordable housing advocates to elevate affordable housing issues in the public

Discussion

HCDD will continue to pursue partnerships to decrease and remove barriers to affordable housing and fair housing. For instance, HCDD partnered with community leaders, statewide advocates, and citizens to determine target communities and nodes for investment for Disaster Recovery Round 2 funding through an unprecedented approach to community involvement. The City of Houston is committed to improving communities which requires constant research analysis, citizen input, and exploration of best practices.

AP-85 Other Actions

Introduction

The following describes the planned actions or strategies that the City of Houston will pursue in the next year to

- Foster and maintain affordable housing
- Evaluate and reduce lead-based paint hazards
- Reduce the number of poverty-level families
- Develop institutional structure
- Enhance coordination

Actions planned to address obstacles to meeting underserved needs

Obstacles to Addressing Underserved Needs. HCDD and the City of Houston have had the two-fold challenge of meeting the needs of the underserved while facing continued decreases in funding sources. Obstacles to addressing the growing need of underserved low- and moderate-income residents include

- Citizens lack substantial funds or access to credit to initiate homeownership (downpayment/closing costs).
- The demand far surpasses the funding available for the various services required to adequately serve the needs of Houston's low- and moderate-income individuals and neighborhoods.
- Households seeking mortgages having difficulty meeting underwriting requirements (credit worthiness, bank accounts).
- The ability for prospective households to sustain necessary income for homeownership.
- Households earning fixed incomes (Social Security, Disability).
- Due to language barriers or low educational attainment, many residents are unaware of the services available to them.
- Working families also encounter the unique challenge of often “making too much money” to qualify for various public services.

Actions to Address Obstacles. HCDD will explore the following strategies and actions during PY 2013 to address identified obstacles to meeting underserved needs

- Continue Homebuyer Assistance Programs to lower the cost of homeownership and provide funding for citizens that lack substantial funds to initiate homeownership.
- Explore available funding opportunities from federal, state, and private resources to address needs of the underserved.
    - HCDD will continue to implement programs through special grants awarded by the State and HUD to HCDD and to support funding applications for various non-profit agencies and individuals in need.
    - HCDD recently hired a new part-time staff member in the Planning and Grants Management Division. This employee will work with HCDD staff members, other City departments, and community organizations and stakeholders to research, apply for, and manage private grant opportunities to fund and enhance community development activities within the City of Houston.
- Leverage resources across City departments to better match resources to needs.
- Prioritize services to those in most need including the elderly and special needs populations.
- Target rapid re-housing activities using ESG funds to those in greatest need, including those who are in need of SSI/SSDI benefits in order to be able to pay for long-term housing, those who are victims of domestic violence, and those who have recently been released from incarceration.

- Develop translated materials in as many interactions with low- and moderate-income individuals as possible. Explore different methods of outreach to reach non-English speakers.
- Support non-profit organizations serving underserved populations by providing technical assistance when needed. Actively educate potential organizations that may qualify as a Community Housing Development Organization (CHDO) or Community-Based Development Organization (CBDO).

Actions planned to foster and maintain affordable housing

The City of Houston has an inventory of almost 14,000 housing units in over 75 developments, and as a result of federal and local funding sources, over 6,500 of these units are income-restricted. Effective relationships with owners of affordable housing, potential buyers of at-risk housing, advocacy groups, lenders, community groups, and other stakeholders helps to ensure that the number of restricted units are maintained. The City of Houston will continue to collaborate and partner with local and private housing developers and builders to foster decent, safe, and affordable housing.

HCDD continuously works to solicit and finance new housing developments that maximize the use of available funds through leveraging, to not only create new affordable rental housing, but also to ensure the preservation of existing rental housing. HCDD is contributing funds to demolish and re-build three existing, outdated affordable housing properties, Wilmington House, Gulf Coast Arms and Villas at Colt Run. This will provide 446 new, energy-efficient units for these residents. In addition, HCDD will preserve affordable units by contributing funding for the rehabilitation of the 223 unit elderly, affordable housing development at Heights Tower.

A few recent new housing developments financed by the Multifamily Housing Program have been certified as a LEED (Leadership in Energy and Environmental Design) building. 4415 Perry is a SRO development that is expected to be complete in PY 2012. The 160-unit apartment complex has many sustainable features including smart site design, water-conserving appliances, fixtures, and irrigation system, use recycled materials, energy efficient appliances, and "green" training for residents at move-in. Many of the sustainable features will help this housing development have lower operating and maintenance costs preserving the affordability in the long term.

HCDD will continue to fund single family home repair and lead-based paint activities that will help to maintain existing owner-occupied affordable homes. As the Single Family Home Repair Program evaluates its current procurement process and guidelines in PY 2012 and 2013, the Program will research ways of upgrading its building standards and adding green practices that would help lower future operating costs. Performing rehabilitation work to high building standards will help to preserve these homes over time.

Actions planned to reduce lead-based paint hazards

In PY 2013, HCDD allocates CDBG funds to two Lead-Based Paint related programs, the Community & Children's Environmental Health Lead Program managed by the Houston Department of Health and Human Services (HDHHS) and the Lead-Based Paint Testing Program managed by the HCDD Single Family Home Repair division. A description of each activity and funding allocation follows.

The Community & Children's Environmental Health Lead Program. HCDD and HDHHS work closely together to reduce lead hazards. From 1995, HDHHS has received federal funding from the U.S. Department of Housing and Urban Development (HUD) to reduce lead-based paint hazards and establish Healthy Homes principles in low- and moderate-income housing units within the City of Houston. The Community & Children's Environmental Health Lead Program is funded by three grants, the Lead-Based Paint Hazard Control (LBPHC) Grant and the Lead Hazard

Reduction Demonstration (LHRD) Grant. HCDD will continue to provide HDHHS with CDBG funds to meet the matching requirement for each of these grants in PY 2013.

The purpose of these programs is to reduce the danger of lead poisoning and other environmental hazards in children ages six years and younger at risk of being poisoned by lead-based paint. This goal will be accomplished through the following activities

- Identification & qualification of inner-city, pre-1978 housing units of low- to moderate-income families where children age six or under reside or visit at least 60 hours a year
- Reducing lead hazards in units contaminated with lead-based paint
- Educating the public about the hazards of lead-based paint and the principles of healthy homes
- Conducting outreach and blood screening for children ages six and under
- Relocating families during the lead abatement activity

With the help of HCDD $975,000 PY 2013 as matching funds, HDHHS expects to perform hazard reduction/remediation on approximately 185 units annually for the next three years. HDHHS will also continue to provide program guidance; pursue available grant funding and coordinate all grants awarded; perform blood-lead testing; train additional inspectors; and hire lead based paint remediation contractors.

Lead-Based Paint Testing Program. This program is a component of HCDD's Single Family Home Repair Program (SFHR). Funds in the amount of $400,000 will be provided to HDHHS in PY 2013 to conduct Lead-Based Paint Testing on housing units that qualify for rehabilitation under HCDD's Single Family Home Repair Program. HDHHS certified lead inspectors conduct initial Lead-Based Paint Inspections on housing units, as requested by HCDD, then provide a Risk Assessment followed by a Clearance Evaluation Report to ensure the unit is safe for re-occupancy once remediation has been completed.

Actions planned to reduce the number of poverty-level families

Many Houstonians struggle economically and few have a real safety net to prevent them from slipping into poverty. Often relying on payday loans to make ends meet, families are one mortgage payment or utility bill away from poverty. HCDD is committed to preventing poverty through its efforts to not only aid those already in poverty (such as the homeless), but by enabling individuals to own homes and build assets.

Asset building is an anti-poverty strategy that helps low-income people move toward greater self-sufficiency by accumulating savings and purchasing long-term assets. HCDD's goal is to help families avoid slipping into poverty by enabling them to create positive equity through homeownership. Homeownership is a valuable long-term asset for low- and moderate-income families creating a greater sense of security. Through Homebuyer Assistance Programs, HCDD provides downpayment assistance to homebuyers that would otherwise not be able to invest in a home.

HCDD supports services that assist poverty-level families in a variety of ways to enable families to gain more income. Support services, such as affordable childcare through SEARCH and the Child Care Council, enable parents to seek education, job training, and become employed in higher waged work. Through continued funding of juvenile delinquency programs which promote job prospects and cultural awareness, youth are encouraged to break the cycle of poverty. In addition, HCDD supports employment services and training to improve the skills of Houstonians and to help find appropriate, decent jobs for individuals in poverty. The Mobile Laboratory brings job skills and computer training to underserved communities increasing job prospects for families in poverty.

As the administrator of the ESG and HOPWA funding, HCDD has helped make financial support available to those at risk of eviction to help those families overcome poverty and decrease the number of families plunged into homelessness.

HCDD anticipates on contributing Section 108 funds and EDI funds for the renovation of an historic building into a 352-room hotel located at 806 Main. The project is expected to create 178 new jobs over a period of time.

The City of Houston works with other organizations and initiatives to fight poverty and encourage upward mobility. HCDD supports the Coalition for the Homeless as it continues to expand the SSI/SSDI Outreach, Access, and Recovery (SOAR) Program by placing coordination with mainstream and other homeless services into the CoC Priorities and Program Standards for ESG. One such mainstream program, the SOAR Program for people who are homeless, assists in successfully enrolling individuals in disability income benefits which will help to raise the income of those with little to no income available helping to stabilize them.

Actions planned to develop institutional structure

Internally, HCDD is comprised of four major functions: Program Areas (Multifamily and Commercial, Single Family, Neighborhood Facilities and Improvements, and Public Services), Financial Services and Administration, Planning and Grants Management, and Compliance and Monitoring Divisions. The current structure highlights HCDD's commitment to ensuring that all functions perform in a concerted manner to guarantee an efficient use of public and private resources with maximum output in the form of accomplishments. Underlying this effort is the recognized need to maintain a high level of coordination on projects involving other City departments and/or outside agencies.

The institutional structure for development, support, and implementation of the Action and Consolidated Plans require that HCDD continue to coordinate the process, working with other City departments and non-City agencies, using the following strategies

- Reduce and/or alleviate any gaps in services and expedite the delivery of housing and community development improvements (primarily affordable housing) to eligible residents. HCDD will continue to work with the Homeless Coalition to create a coordinated access system for homeless service programs.
    - The Mayor appointed a new full-time dedicated staff position in January 2013, Special Assistant to the Mayor for Homeless Initiatives, to lead planning efforts related to ending homelessness and to advance permanent supportive housing. This position is not funded with entitlement grant funds.
- Use high level of communication and project coordination among City departments and support the City's efforts to revitalize and/or stabilize low- and moderate-income neighborhoods.
    - HCDD is currently in partnership with Health and Human Services, Library, and Parks and Recreations departments, Public Works and Engineering, as well as, the Mayor's Office on a variety of community improvement projects.
    - HCDD promotes a diverse number of projects in support of neighborhood conservation and/or revitalization. These may include housing construction and rehabilitation, homebuyer assistance, and park and library improvements.
- Maintain working relationship with the Houston Housing Authority based on the mutually shared goal of providing suitable housing for low- and extremely low-income residents.
- HCDD and HHA will continue to plan joint initiatives in PY 2013 including the deployment of Disaster Recovery funding and the creation of new permanent supportive housing units.
- Work with and financially support various Community Housing Development Organizations (CHDO) operating in low- and moderate-income neighborhoods to build affordable housing for the elderly, veterans, and other special needs populations.

  - HCDD will partner with LISC Houston and OneCPD to strategize ways to build capacity within housing development organizations in the community that may soon qualify to become a CHDO.
- Use established lines of communication to identify opportunities for joint ventures with agencies that are sources of funding for construction of affordable housing and/or for financing homeownership.
- Continue to cultivate strong working relationships with local financial institutions to ensure the availability of private funding for housing projects.

Actions planned to enhance coordination between public and private housing and social service agencies

As the lead agency in the Annual Action Plan (Plan) development process, HCDD continues to share a common vision with its partners in the public and private sectors. That vision promotes community development and the leveraging of resources to maximize program outcomes.

HCDD will continue to enhance coordination efforts between public and private agencies. These efforts are described in detail below

- *HOPWA grantees.* HCDD funds HOPWA grantees in six counties. HOPWA grantees must consult broadly to develop a metropolitan-wide strategy and other jurisdictions must assist in the preparation of the HOPWA submission.
- *Coalition for the Homeless Houston/Harris County.* HCDD funds the HMIS or primary data system used to track information related to the region's homeless population. Such data informs efforts to address the needs of the chronically homeless in the region in cooperation with agencies across several counties. HCDD will support the Coalition's efforts to link service providers and homeless housing providers through the continued development of a coordinated access system.
- *Economic Development.* HCDD coordinates efforts to retain affordable housing stock and preserve historical neighborhoods in high growth economic development areas to avoid displacement in partnership with TIRZ (Tax Increment Reinvestment Zones). HCDD is the lead agency responsible for administering the City TIRZ affordable housing set-aside. In the future, the City foresees working in closer partnership with Houston-Galveston Area Council (H-GAC) and METRO to facilitate sustainable and transit-oriented development.
- *City Departments.* HCDD partners with other City departments to discuss various issues including fair housing.

The activities and partnerships with the aforementioned organizations span multiple counties (Harris, Fort Bend) and cities (Missouri City, Pasadena). More details about the organizations consulted throughout the planning process are included in the Citizen Participation section of this Plan.

Through participation in the Sustainable Communities Planning process, the City and HCDD can improve coordination between the housing and transportation planning areas; aligning the consolidated planning and metropolitan transportation planning processes and exploring collaborative housing and transportation investment options, such as transit oriented development.

Discussion

The City of Houston is continuously refining its strategies to foster affordable housing, reduce lead-based paint, reduce the number of families in poverty, develop institutional structure, and enhance coordination. With the Mayor's newest directive, HCDD will work with the newly appointed Special Assistant to the Mayor for Homeless Initiatives to enhance coordination between housing and service providers to address homelessness, specifically veterans and

the chronically homeless. By enhancing coordination and developing structures, the City of Houston will work to create an environment in which affordable housing including supportive housing is supported and encouraged.

Program Specific Requirements

AP-90 Program Specific Requirements

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220.(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	197,721
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	197,721

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	90.00%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220.(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City of Houston uses HOME funds for equity investments, amortizing interest bearing or non-interest bearing loans or advances, and performance based loans and grants. Presently, the City does not use HOME funds to guarantee loans.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

As established in §92.254(a)(5)(ii), HCDD uses recapture provisions for the Homebuyer Assistance Program and homebuyer units developed or rehabilitated by CHDOs. At this time, HCDD does not partner with subrecipients or CHDOs that offer homebuyer assistance associated with the HOME program. The following table outlines the required minimum affordability period for homebuyers receiving a direct HOME subsidy through HCDD programs:

- Direct HOME Subsidy under $15,000 has a minimum period of affordability of 5 years
- Direct HOME Subsidy between $15,000 and $40,000 has a minimum period of affordability of 10 years
- Direct HOME Subsidy over $40,000 has a minimum period of affordability of 15 years

The direct HOME subsidy is the amount of HOME assistance that enabled the homebuyer to buy the unit and may include downpayment, closing cost, interest subsidies, or other direct subsidy that reduced the purchase price from fair market value to an affordable price.

Homebuyer Assistance Program. HCDD's Homebuyer Assistance Program, which includes Houston Homebuyer Assistance and Houston HOPE options, provides downpayment and closing cost assistance to eligible low- and moderate-income homebuyers. Assistance is provided as a deferred, forgivable loan. The assisted homebuyer must occupy the property as his/her principal residence through the Affordability Period. Once the Affordability Period ends, no recapture restrictions will apply. If the property is sold during the Affordability Period, HCDD will recapture the entire amount of the direct HOME subsidy provided to the homebuyer before the homebuyer receives a return. The homebuyer may sell to any willing buyer. HCDD's recapture amount is limited to the net proceeds available from the sale.

If the homebuyer vacates or rents the property during the affordability period, the full amount of the loan will be immediately due and payable to the City, unless the homebuyer establishes his/her actual residency pursuant to evidence acceptable to the Director.

In the case of default during the affordability period, the City may pursue all remedies available to the City under the loan promissory note, the deed of trust, or other program loan documents. In the development of home purchase agreements, HCDD will utilize the recapture provision, as discussed above: recapture of entire direct HOME subsidy (see HOME Program regulations at 24 CFR 92.254(a)(5)(ii)).

CHDO Program. For homes developed or rehabilitated and sold under the CHDO Program as homeowner housing, HCDD will require homebuyers to participate in HCDD's Homebuyer Assistance Program, as appropriate. All recapture requirements under the Homebuyer Assistance Program will apply. HCDD may also use below market

rate mortgage loans for homebuyers purchasing CHDO homes. The interest rate subsidy is subject to the same recapture provisions as the Homebuyer Assistance Program as recorded in the promissory note, deed of trust and any other mortgage documents. The recapture amount is also limited to the net proceeds available from the sale.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The period of affordability is based upon the direct HOME subsidy to the homebuyer as described in the previous section. The period of affordability is stated in the loan and program documents which include the Loan Note, the Lien, and the Statement of Terms and Conditions. All three of these documents are signed by the homebuyer to ensure compliance during the affordability period.

Recapture is triggered when a default occurs. Each of the following constitutes a default as defined by the loan documents

- Homeowner fails to owner-occupy the property.
- Homeowner transfers any interest in the property through a voluntary or involuntary sale.
- Homeowner fails to follow terms of loan agreements.

Annually, HCDD confirms homeowner compliance by requiring each homeowner to confirm their primary occupancy of the home purchased with federal funds that are still within the affordability period. The default and recapture process is initiated when residency cannot be established.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

In accordance with 24 CFR 92.206(b) and 24 CFR 91.220(c), HCDD permits refinancing of existing HOME financed projects under specific conditions and circumstances.

Applicants must demonstrate that

- Rehabilitation is the primary eligible activity
    - A rehab in which HOME funds are used to reduce any dollars in the capital structure, is considered rehabilitation and refinancing
    - Applicants can demonstrate compliance by reporting a minimum of $5,000 of rehabilitation per unit
- Disinvestment in the property has not occurred
- Property will meet the extended, 15-year affordability period
- The project, based on the included feasibility analysis, can reasonably service the targeted population over the affordability period
- The rehabilitated property will have at least 5 percent of its units designated as disability accessible and 2 percent designated as appropriate for use by the visually and hearing impaired
- The new investment being made
    - Maintains current affordable units; creates additional affordable units, or both
    - Funds a project which lies within the boundaries of the City of Houston
    - Is on a site and within a neighborhood suitable from the standpoint of facilitating and furthering full compliance with the applicable provisions of title VI of the Civil Rights Act of 1964, the Fair Housing Act, Executive Order 11063, and implementing HUD regulations

A rehabilitation project in which all HOME funds are used for construction costs is not considered a refinancing. HOME funds cannot be used to refinance multifamily loans made or insured by any Federal program, including CDBG.

Emergency Solutions Grant (ESG)

1. Include written standards for providing ESG assistance (may include as attachment)

Written standards for providing ESG assistance are included in the *Appendix*.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Continuum of Care (CoC) in the Houston area has established a centralized and coordinated assessment system that meets HUD requirements. Throughout the last two years, workgroups comprised of a variety of members of the CoC and staffed by the Coalition for the Homeless of Houston/Harris County (Coalition), as "Lead Agency", met to develop recommendations for 1) what type of clients should be targeted for services and 2) what program standards should be required of all providers. Discussion groups that included agencies providing homelessness prevention and rapid re-housing programs and Housing Opportunities for Persons with AIDS (HOPWA) providers were conducted to identify successful practices and to obtain recommendations to improve program performance.

Research and data analysis was conducted as part of the *Coalition for the Homeless Report Capacity and Gaps in the Homeless Residential and Service System, Harris and Fort Bend Counties* and identified areas where people lived prior to becoming homeless and gaps and barriers in the service delivery system. A comparative analysis of the characteristics of literally homeless people and those served in year two of the Homeless Preventions Rapid Re-housing Program (HPRP) homelessness prevention programs was conducted to identify common risk-factors among people who become homeless in order to better target homelessness prevention services.

Last year, a focus group was held with members of the Coalition for the Homeless' Consumer Advisory Council, which is comprised of people who are currently or formerly homeless, to hear from their experience what services would be most helpful in either preventing experiences of homelessness or assisting people who are literally homeless through rapid re-housing. The Coalition's Board of Directors is required to have a representative from the Homeless Services Coordinating Council. The Coalition's Systems Project Manager visited Salt Lake City, Utah to learn about how they restructured their rapid re-housing system and coordinated the grant process for the state, city, and county. Since then, the City took five members from its management team to Salt Lake City to research best practices around transforming homeless issues.

In May 2011, a second HEARTH Clinic was held and targeted to the local jurisdictions that administer HUD funds related to homelessness. At that meeting, a public funding governance structure was agreed upon as a means to coordinate homelessness prevention and stabilization efforts between the various public entities, with the Coalition staff assisting.

Since HUD's ESG regulations were released in December 2011, the four local jurisdictions and the Coalition, as the Lead Agency of the CoC, have been meeting to strategize about populations to target, develop a common assessment tool, develop common program standards, and set common outcomes to measure across the community. In February 2013, the same group of funders, along with the Coalition and private funders gathered together to discuss strategies around Coordinated Access. Plans are developing to implement pieces of this strategy over the next 12-months.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

HCDD selects projects for funding based on the greatest community need as well as the effectiveness of the organizations assisting the community. The City of Houston awards funding through a competitive request for proposal process (RFP) in the summer of 2012. Awards will result in a 12-month contract, with a renewal option for an additional twelve months.

Due to the recent changes to the ESG program, which now permits the funding of HMIS services, HCDD has opted to set aside 5% of the available PY 2012 and PY 2013 funds for this component. As the lead agency and sole provider of HMIS services, the Coalition was selected to receive funding for the local HMIS.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Houston meets the homeless participation requirement. The Coalition for the Homeless' Consumer Advisory Council is comprised of people who are currently or formerly homeless. The Coalition's Board of Directors, which the City of Houston is a part of, is required to have a representative from the Homeless Services Coordinating Council.

5. Describe performance standards for evaluating ESG.

In consultation with the Coalition and other Emergency Solutions Grants grantees within the CoC, HCDD has developed program standards for Emergency Shelters, Homelessness Prevention, Rapid Re-Housing and HMIS activities. HCDD is not including performance standards for Street Outreach at this time, because members of the CoC are not currently and will not for PY 2013, fund activities related to Street Outreach. As with the other standards, grantee members of the CoC will refine the performance standards to include those for Street Outreach, if necessary, in the proceeding program years.

For these standards, the initial data collection will be used to create baseline data. Grantees within the CoC have been working to establish community wide standards so that the community as a whole will have common goals. The CoC will measure progress toward those goals on a community level as well as individual grantee level. HCDD will use the data collected on these outcomes over the following program years to analyze effective programs and establish more measurable standards. The progress toward outcomes will later be used to establish the community standard for achieving those outcomes.

ESG standards for performance will be based on the outcomes below.

Number and percentage of people exiting to a known place

This outcome will be measured by evaluating HMIS data for client exit. The measure will help improve data quality and provide better information on client outcomes for shelter programs. The goal of this standard is to help the CoC measure and reduce the time people spend homeless by providing a big picture of which program models are successful in reducing and eliminating returns to homelessness for clients. The data will also help the community to better target resources to clients who are returning to homelessness and have the highest needs.

Number and percentage of people assisted in overcoming a specific barrier to obtaining housing
This outcome will be measured by detailing the types of barriers addressed and the steps to decreasing barriers so that clients using emergency shelter have more opportunities to access permanent and transitional housing and rapid re-housing services. HCDD endeavors to reduce the number of people living both on the street and in emergency shelters by reducing barriers. In addition, reducing the barriers will increase the likelihood of positive housing outcomes for clients that are accessing emergency shelter.

Number and percent of people who increase income from entry to exit in emergency housing programs
This outcome will help the community measure the reduction in barriers to housing for clients in emergency shelter. For Homelessness Prevention and Rapid Re-housing, the CoC has decided to use the same performance standards to help measure the success of these interventions for the community. HCDD recognizes that clients who receive Rapid Re-Housing assistance will have a separate set of needs and likely higher barriers to maintaining permanent housing, so the outcome goals will be different, even though the measures for both programs are the same.

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Appendix # 1: Affirmatively Further Fair Housing

Affirmatively Further Fair Housing

HCDD Objectives and Fair Housing. HCDD provides services and activities in a nondiscriminatory manner and affirmatively furthers fair housing by ensuring fair and equal housing opportunities and services in each of its programs and activities. As a federally-funded grant recipient, the City of Houston is obligated not to discriminate in housing or services, directly or indirectly, on the basis of race, color, religion, sex, national origin, familial status, or disability. In addition, the City complies with civil rights-related laws and regulations designed to protect each individual's right to fair housing and equal opportunity. Fair housing and equal opportunity principles are fundamental to healthy communities, and fair housing strategies are important in HCDD's overall planning and development process.

Fair Housing elements are considered in all of HCDD funded activities. HCDD activities have one of the following objectives: Decent Housing, Suitable Living Environments, or Economic Opportunity. Not only do HCDD's three objectives endeavor to further fair housing, but each program and proposed activity contains actions that fundamentally expand housing choice.

HCDD's annual objectives identified in this Plan aim to affirmatively further fair housing by making decent housing more available, accessible, and affordable to all. HCDD's Decent Housing (DH) objective promotes fair housing choice for all persons by supporting the creation of and the rehabilitation of housing that is structurally accessible to and usable by all persons, particularly persons with disabilities.

This year's proposed activities outlined in the *Executive Summary* and the *Description of Activities* sections of this Plan address HCDD's objectives and priority needs with respect to protected classes in several ways. The Single Family Home Repair Program prioritizes applications for households with disabled or elderly members. The Homebuyer Assistance Program works closely with approved Homebuyer Education Counseling Agencies and Community Development Corporation's that work in predominately minority areas to promote homebuyer education and participation in the Homebuyer Assistance Program. The 2010-2014 Consolidated Plan identified a need for new multifamily rental units for disabled and elderly persons, as well as permanent supportive housing units for homeless persons (page 66, 2010-2014 Consolidated Plan). The Multifamily Housing Program prioritizes affordable housing that serves disabled, elderly, and homeless persons. The Multifamily RFP review process also favors projects that include consideration of amenities directed toward children, including after school care, playgrounds, and tutoring, as well as creating apartments that accommodate larger families. Additional consideration is also given to proposals that are located in close proximity to public transportation and exemplary schools.

Since funding is used for new construction in several programs, HCDD ensures developers are aware of new construction accessibility requirements within the Federal Fair Housing Act, Section 504, and Section 3 by placing information in the RFP and the appropriate contracts, as well as by reviewing the requirements in pre-proposal and post-proposal meetings. HCDD supplies technical assistance regarding the Fair Housing Act, Section 504, and Section 3 to development partners.

Progress towards Meeting the Needs of Protected Classes. HCDD's assistance activities target minorities, disabled persons, and other protected classes to help meet the needs of these populations. The statistics show measurable progress in addressing the needs of protected classes from housing assistance provided in the most recent completed program year, PY 2011. HCDD provided 170 downpayment assistance loans, of which

- 155 households (91%) were for minority homebuyers
- 85 homebuyers (45%) were for female head of households

Through the Single Family Home Repair Program funded by CDBG, 24 households were assisted with repairs, of which

- 22 households (91.7%) were minority households
- 21 households (88%) were female headed households
- 20 households (83%) had at least one elderly household member

The Homebuyer Assistance Program and Single Family Home Repair Programs had accomplishments below the targeted amounts in PY 2011. Continued efforts will be made to reach out to populations with the highest housing cost burden through advertising and education.

Using HOME and CDBG funding, the Multifamily Housing Program made 104 restricted rental units available for LMI households including

- 221 units for families (14 City of Houston restricted units)
- 250 units for seniors and the elderly and disabled (67 City of Houston restricted units), and
- 88 units for women with very-low incomes (23 City of Houston restricted units)

Description of Geographical Areas. In PY 2013, HCDD will direct assistance to various areas throughout the City of Houston. The proposed community development programs and activities will be directed to LMI areas including areas of minority concentration. In PY 2012 City Council approved the Areas for Community Reinvestment. This area is made up of 39 Super Neighborhoods identified through data analysis using six characteristics: LMI population, Land Assemblage Redevelopment Authority (LARA) owned lots, HCDD funded investments, concentration of multifamily housing stock, designated demolition sites, and Houston CIP projects. HCDD expects to expend entitlement grant funds within these boundaries through a variety of eligible activities including public facilities, code enforcement, and housing activities.

Summary of the *2010 Analysis of Impediments.* As a part of the 2010-2014 Consolidated Plan, HCDD conducted the *2010 Analysis of Impediments to Fair Housing* (AI) identifying the impediments and barriers to fair housing in Houston, Texas. The following are the impediments to fair housing choice from the AI that will be addressed in PY 2012

- Impediments 1-4: Discrimination – Disability/Race/National Origin/Families with Children*
- Impediment 6: Lack of Affordable Housing*
- Impediment 8: Not In My Back Yard (NIMBY) Resistance*
- Impediment 9: Affordability*
- Impediment 10: Lack of Public Transportation*
- Impediment 11: Predatory Lending Practices*
- Impediment 12: Disparity in Lending Practices
- Impediment 13: Geographic Concentration of Loan Denials in Minority Communities
- Impediment 14: Inadequate Education and Outreach by Financial Institutions
- Impediment 15: Insufficient multi-lingual marketing materials
- Impediment 16: Geographic Concentration of Racial and Ethnic Minorities
- Impediment 17: Low Educational Attainment among African Americans and Hispanics*
- Impediment 18: Lack of Financial Literacy*
- Impediment 19: Lack of Income*

*Indicates impediments listed to be addressed in PY 2013.

PY 2013 Activities Addressing Identified Impediments. The following illustrates how identified impediments will continue to be addressed by ongoing HCDD programming and activities.

- Discrimination (#1-4), Predatory Lending Practices (#11), Disparity in Lending Practices (#12), Geographic Concentration of Loan Denials (#13), and Inadequate outreach by Financial Institutions (#14)
    - The Homebuyer Assistance Program has an approval process for Authorized Lenders which requires participation in affirmative marketing outreach to encourage the participation of low-income and minority persons, an agreement not to discriminate, and an acknowledgement that predatory lending is not acceptable. HCDD has recently revised the Authorized Lender Policies and Procedures (ALPP) to encourage more lender participation. HCDD staff continues to hold workshops with community stakeholders to recruit lenders and encourage participation in the Homebuyer Assistance Program while educating the attendees about illegal real estate practices.
- Lack of Affordable Housing (#6) and Affordability (#9)
    - The Multifamily Development Program funds housing developers to construct and renovate rental housing, increasing the number of decent, affordable housing units available for low- and moderate-income households. In addition, the Multifamily Development Program targets special needs populations, specifically persons with a disability or seniors.
    - The Homebuyer Assistance Program provides financial assistance to income-eligible homebuyers, lowering the cost-barrier to homeownership and making more homes affordable to low- and moderate income households.
    - The Single Family Home Repair Program provides financial assistance to existing low-income homeowners to lower the cost of short-term and long-term home maintenance, keep homeownership affordable, and improve the housing stock.
- Not In My Back Yard (NIMBY) resistance (#8)
    - HCDD will endeavor to promote its programs and activities illustrating the need for and strength that affordable housing can bring to Houston's neighborhoods through providing public information and training.
    - HCDD will continue attending community-based meetings and outreach to increase education about affordable housing issues in the community.
- Lack of Transportation (#10)
    - Through public service activities, HCDD supports projects administered by non-profit organizations that provide transportation services to low- and moderate income persons.
    - The importance of community amenities including transportation will continue to be a consideration in the selection process for multifamily housing developments.
- Low educational attainment (#17)
    - HCDD's public service activities help to fund educational and afterschool programs that provide computer classes, job training, tutoring, and GED preparation. In addition to youth programs, the Houston Public Library's Mobile Computer Laboratory offers workforce development training, family literacy programs, digital literacy support, after school support, and educational support services.
    - HCDD is planning to support the building of educational facilities that focus on trades where there is easy access from LMI communities.
- Lack of Income (#19)
    - The Homebuyer Assistance Program provides financial assistance to eligible low- and moderate-income households that otherwise could not afford to purchase a home due to the lack of funds for downpayment and other fees associated with a home purchase.

- HCDD's Compliance & Monitoring Division facilitates training and monitors routinely for contractual compliance to ensure that contractors are adhering to Section 3 guidelines to provide job training, employment and contract opportunities to low- and very-low income residents.
- Federal Labor Standards will be monitored for all HCDD construction projects, ensuring that fair labor standards, including, but not limited to the Davis-Bacon prevailing wage rates, are applied where required.
- HCDD continues to partners with Houston Business Development Inc. (HBDI) that provides small business development loans to low-income persons.

PY 2013 Actions to Overcome Impediments. In addition to addressing the identified impediments listed in the AI through HCDD's ongoing programming and activities as listed above, HCDD will carry out the following strategies and actions in PY 2013 to overcome identified impediments

- HCDD will raise visibility of fair housing issues to combat discrimination of protected classes (#1-4) by
  - Promote April as Fair Housing month.
    Outcome: Work with area agencies to promote fair housing, especially in April.
  - Conducting Fair Housing workshops twice a year.
    Outcome: Hold 2 workshops.
  - Ensuring that all new senior City staff, including managers and attorneys, receives Fair Housing training.
    Outcome: Develop training materials to establish City-wide training.
  - Answering calls from the public about landlord and tenant relations and fair housing issues.
    Outcome: Respond to every fair housing hotline call, from 50 to 150 calls per month.
  - Implementing a testing program for Fair Housing violations
    Outcome: Explore best practices for developing a potential testing program.
  - Market fair housing information to reach a wide variety of people.
    Outcome: Advertise in various forms of media that may include radio, print, posters, online or advertisements in utility bill statements.
- HCDD will increase access to and the number of affordable units (#6 and 9) by
  - Assisting homeowners with downpayment assistance and assisting developers with funding for construction or rehabilitation of affordable units.
    Outcome: Assist 160 households with down payment assistance loans and create or rehabilitate 341 units of rental housing for LMI households.
- HCDD will proactively address NIMBY-ism (#8) by
  - Developing an anti-NIMBY-ism action plan that will coordinate with federal and local Fair Housing groups and the CDAC.
    Outcome: Perform research for a future anti-NIMBY-ism action plan, which may include conducting community-based focus groups and reviews of best practices. Partner with Super Neighborhoods and other stakeholders to research existing NIMBY-ism and find strategies to combat misinformation.
- HCDD will address transportation issues (#10) by
  - Identifying gaps and issues in transportation services.
    Outcome: Partner with METRO, CDAC members, and housing providers to research existing community transportation needs.
- HCDD will combat predatory lending practices (#11) and enhance the availability of financial literacy opportunities (#18) by

- Partnering with area organizations and stakeholders to expand financial literacy education and initiatives.
  Outcome: Research partnership opportunities, which may include providing facilities for meeting space to financial literacy initiatives and advertising to the public about financial literacy opportunities.

- HCDD will combat unethical and illegal lending practices (#11) by
  - Researching areas of the City and populations that are most negatively impacted by these lending practices.
    Outcome: Research lending trends and demographic data to provide a descriptive understanding of the current state of lending practices in the area.

Funds to Address Fair Housing. In PY 2013, HCDD will continue to fund the salary for a full time employee in HCDD's Planning and Grants Management Division who focuses on educating the public about matters involving landlord and tenant relations and fair housing issues and answering their questions. Administrative funds will also be used to fund other HCDD employees that assist with Fair Housing activities which include: updating fair housing materials, analyzing data from the Fair Housing Call Center, providing support to the fair housing committee, monitoring for Section 3 and Labor Standards requirements, and distributing information about the benefits of affordable housing in Houston and its neighborhoods. In addition, HCDD plans to continue to use $150,000 set aside in PY 2012 to assist additional administrative costs including Fair Housing workshops for City staff, HCDD partners, and community stakeholders.

Updates to Analysis of Impediments. In March 2012, HCDD held two meetings inviting HUD representatives and community stakeholders to discuss a letter from the Fair Housing and Equal Opportunity Director of the Houston Regional Office. The letter, dated November 2011, listed additional data sources and introduced potential fair housing impediments that could be added to the 2010 Analysis of Impediments (AI). As identified in the letter, the 2010 AI currently does not include: 1) impediments identifying and actions addressing persistent and existing segregation, 2) appropriate strategies to overcome the shortage of affordable housing for African-Americans Hispanics, persons with disabilities, and families with children, and 3) identification of funding for fair housing enforcement. In addition, the letter identified missing data sources and identified 12 other potential impediments for the AI.

HCDD will continue to work with OneCPD and its consultants to address issues identified. HCDD is committed to improve the AI, and the partnership with OneCPD will directly contribute to the resolutions of such issues ensuring efforts meet all relevant compliance components. In February 2013, a group of OneCPD consultants led staff discussion from various City Departments during a three days of training. Discussion centered on a regulatory overview of fair housing and the importance of fair housing in citywide functions. Staff from the following City Departments and Offices participated in this training: Housing and Community Development Department, Planning and Development Services Department, Office of Business Opportunity, Houston Emergency Center, Legal Department, Mayor's Office for People with Disabilities, Department of Neighborhoods, and the Department of Public Works and Engineering.

There have been some recent achievements addressing impediments to fair housing in Houston. HCDD plans to adopt its first Language Assistance Plan as an appendix to the 2013 Action Plan. This will help staff identify internal and external language needs and resources to address outreach to limited English speakers. In addition, HCDD expects the full execution of the TTY line for HCDD (713-864-2734) that was placed in service in PY 2012. Also, HCDD continues to advertise fair housing at public hearings and other community engagements including when staff

advertises the Homebuyer Assistance Program to lenders, real estate professionals, and citizens. Finally, the City of Houston continues to work to address impediments. In February 2013, the City of Houston's Legal Department proposed new regulations for credit access businesses, commonly referred to as payday loan institutions. The proposed changes to Chapter 28 of the City of Houston Code of Ordinances would establish minimum business practices for these institutions in hopes to substantially curtailing the likelihood of borrowers becoming trapped in a cycle of debt.

Appendix # 2: HUD Table - 3A Annual Goals

Specific Objective #	Strategies & Objectives	Performance Measures	2013 Goals	5-Year Goals
Decent Housing - Availability/Accessibility				
DH 1.1	*Preserve existing housing stock by providing assistance to low- and moderate-income homeowners that address emergency conditions that occur without warning, moderate repair, and reconstruction.* ***Single Family Home Repair***	Units & Households	145 40	882 260
	(CDBG) Single Family Home Repair - Tier 1 Units	Units	35	
	(CDBG) Single Family Home Repair - Tier 2 Units	Units	100	
	(CDBG) Single Family Home Repair - Tier 3 Units	Units	10	
	(CDBG) Single Family Home Repair - Relocation	Households	40	
DH 1.2	Increase the safety of homes by addressing and reducing exposure to lead-based paint. Housing Rehabilitation	Units & Persons	913 24,250	4,582 110,690
	(CDBG) Number of homes referred for lead-based paint risk assessment/clearance - DHHS	Units	249	
	(CDBG) Number of homes tested - lead inspections - DHHS	Units	225	
	(CDBG) Number of families relocated during lead control activity - DHHS	Units	139	
	(CDBG) Number of homes receiving lead hazard reduction/remediation - DHHS	Units	185	
	(CDBG) Number of blood lead testing performed on children 6 and under - DHHS	Persons	21,000	
	(CDBG) Number of people provided lead based paint education through DHHS programming - DHHS	Persons	3,000	
	(CDBG) Number of people provided training related to lead based paint and health homes - DHHS	Persons	250	
	(CDBG) Number of homes referred for lead-based paint risk assessment/clearance - SFHR	Units	100	
	(CDBG) Number of homes receiving lead abatement/remediation - SFHR	Units	15	
Decent Housing - Affordability				
DH 2.1	*Increase supply of affordable rental housing through acquisition, new construction, and rehabilitation.* ***Rental Housing***	Units	292	1,250
	(HOME) Multifamily Housing Program - New Construction	Units	146	
	(HOME Multifamily Housing Program - Rehabilitation	Units	146	
DH 2.2	*Increase low- and moderate-income persons' access to affordable, decent housing throughout the City of Houston.* ***Homebuyer's Assistance***	Households	380	1,540
	(HOME) Housing Assistance Program Downpayment/Closing Cost Assistance	Households	160	
	(HOME) Houston HOPE Downpayment/Closing Cost Assistance	Households	30	
	(HOME) Housing Counseling for Homebuyer's Assistance Program	Households	190	

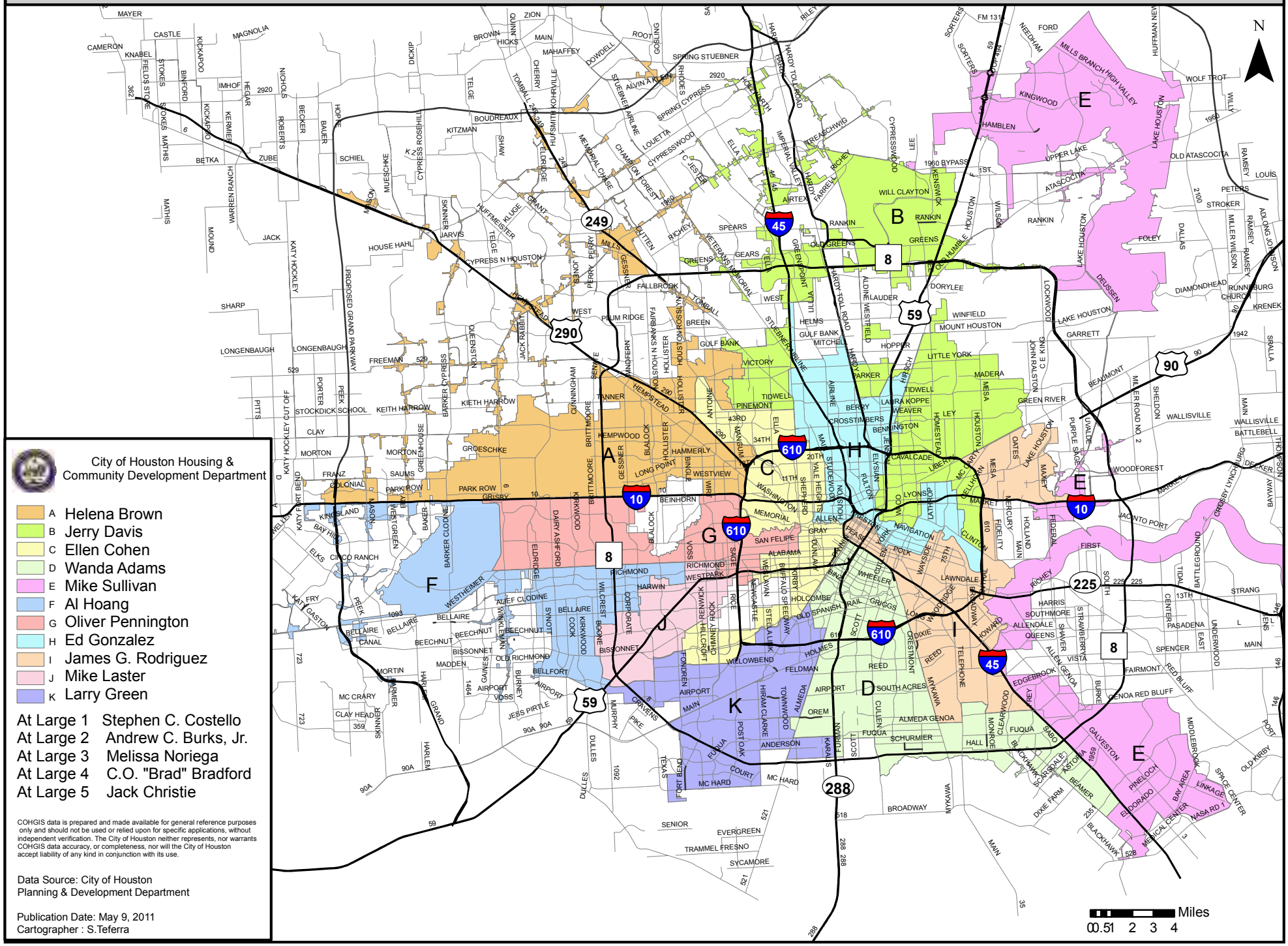
Specific Objective #	Strategies & Objectives	Performance Measures	2013 Goals	5-Year Goals
DH 2.3	Support programs assisting persons with HIV/AIDS to secure housing and access to social services. Public Services	Households	2,096	19,740
	(HOPWA) Provide Tenant-Based Rental Assistance to persons living with HIV/AIDS	Households	288	
	(HOPWA) Provide Rent, Mortgage, and Utilities Assistance for person living with or affected by HIV/AIDS	Households	1,000	
	(HOPWA) Provide Permanent and Transitional Facility-Based Housing units for persons living with or affected by HIV/AIDS	Households	208	
	(HOPWA) Provide supportive services to persons living with or affected by HIV/AIDS	Households	500	
	(HOPWA) Housing Information and Referral	Households	100	
DH 2.4	Increase access to housing services to prevent homelessness. Public Services	Persons	150	11,147
	(Emergency Solutions Grant) Fund housing relocation and stabilization services - Homelessness Prevention	Persons	75	
	(Emergency Solutions Grant) Fund housing relocation and stabilization services - Rapid Re-housing	Persons	75	
Suitable Living Environment - Availability/Accessibility				
SL 1.1	*Improve the availability and accessibility of youth services to prevent juvenile delinquency. Public Services*	Persons	11,566	57,900
	(CDBG) Juvenile Delinquency Prevention Program - Child Care Council	Persons	2,000	
	(CDBG) Mayor's Afterschool Achievement Program, Parks and Recreations Department	Persons	800	
	(CDBG) Youth Enrichment Program, Parks and Recreations Department	Persons	8,766	
SL 1.2	Increase access to affordable health care and health services for extremely low- and low-income individuals. Public Services	Persons	3,290	15,824
	(CDBG) Chronic Disease Prevention Program - DHHS	Persons	200	
	(CDBG) Community-based Mental Health Program - DHHS	Persons	150	
	(CDBG) Provide HIV/AIDS education, HIV/AIDS Education Program - DHHS	Persons	2,940	
SL 1.3	Increase access to and availability of public services to low- and moderate-income persons. Public Services	Persons	7,250	49,314
	(CDBG) Bridge the technological and educational divide in low-income areas by increasing access to literacy and computer technology - Mobile Laboratory, Library Department	Persons	7,000	
	(CDBG) Increase formerly incarcerated individuals'' knowledge of and accessibility to public services – DHHS Re-Entry Program	Persons	125	
	(CDBG) Make child care more affordable for working low- and moderate-income families – Child Care Program, Child Care Council	Persons	125	
SL 1.4	Improve social services that support elderly and extremely elderly residents. Public Services	Persons	2,200	11,894
	(CDBG) Transportation and/or Meals Provided - Elderly Service Program, DHHS	Persons	2,200	

Specific Objective #	Strategies & Objectives	Performance Measures	2013 Goals	5-Year Goals
SL 1.6	Increase access to services to support the homeless population. Public Services	Persons	42,841	133,365
	(CDBG) SEARCH Engagement Services*	Persons	781	
	(CDBG) Project Access administered by Health Care for the Homeless – Houston*	Persons	1,560	
	(ESG & CDBG) Increase the efficiency and effectiveness of organizations serving homeless individuals – HMIS - Number of individuals' records maintained via HMIS	Persons	36,000	
	(Emergency Solutions Grant) Fund renovation, operation or services for residents of emergency shelter facilities - Emergency Shelter	Persons	4,500	
SL 1.7	Assist businesses to improve the availability of goods and/or services in low-income neighborhoods. Economic Development	Businesses	1	1
	(CDBG) Partner with agencies to provide incentives for businesses to locate or provide increased services in low-income neighborhoods.	Businesses	1	
Suitable Living Environment - Sustainability				
SL 3.1	Improve the condition of and construct new public facilities in existing neighborhoods. Municipal, Public, and Private Facilities	Facilities	12	30
	(CDBG) Construct and rehabilitate public facilities	Facilities	7	
	(CDBG) Construct and rehabilitate school or neighborhood-based parks	Facilities	5	
SL 3.2	Maintain the integrity of neighborhoods by supporting code enforcement and crime prevention activities in existing neighborhoods. Code Enforcement and Crime Prevention	Units	20,200	8,760
	(CDBG) Building Code Violations Legal Support - Actions to follow-up property inspections by conducting title searches	Units	200	
	(CDBG) Code Enforcement Site Visits - Department of Neighborhoods	Units	20,000	
Economic Opportunity - Availability/Accessibility				
EO 1.1	Provide access to job training and enrichment activities for disabled persons. Public Services	Persons	145	830
	(CDBG) H.E.A.R.T. - Educational Programs Inspiring Communities*	Persons	17	
	(CDBG) Village Learning Centers*	Persons	128	
EO 1.2	Provide job training and enrichment activities for low- and moderate-income persons. Public Services	Persons	320	892
	(CDBG) Workforce Development Program administered by Capital IDEA Houston*	Persons	89	
	(CDBG) Community Rehabilitative Services, Goodwill Industries*	Persons	231	

*These agencies were funded with 2012 CDBG funds. These agencies have a one-year contract period, ending in PY 2013.

Appendix # 3: Maps

City of Houston Council Districts



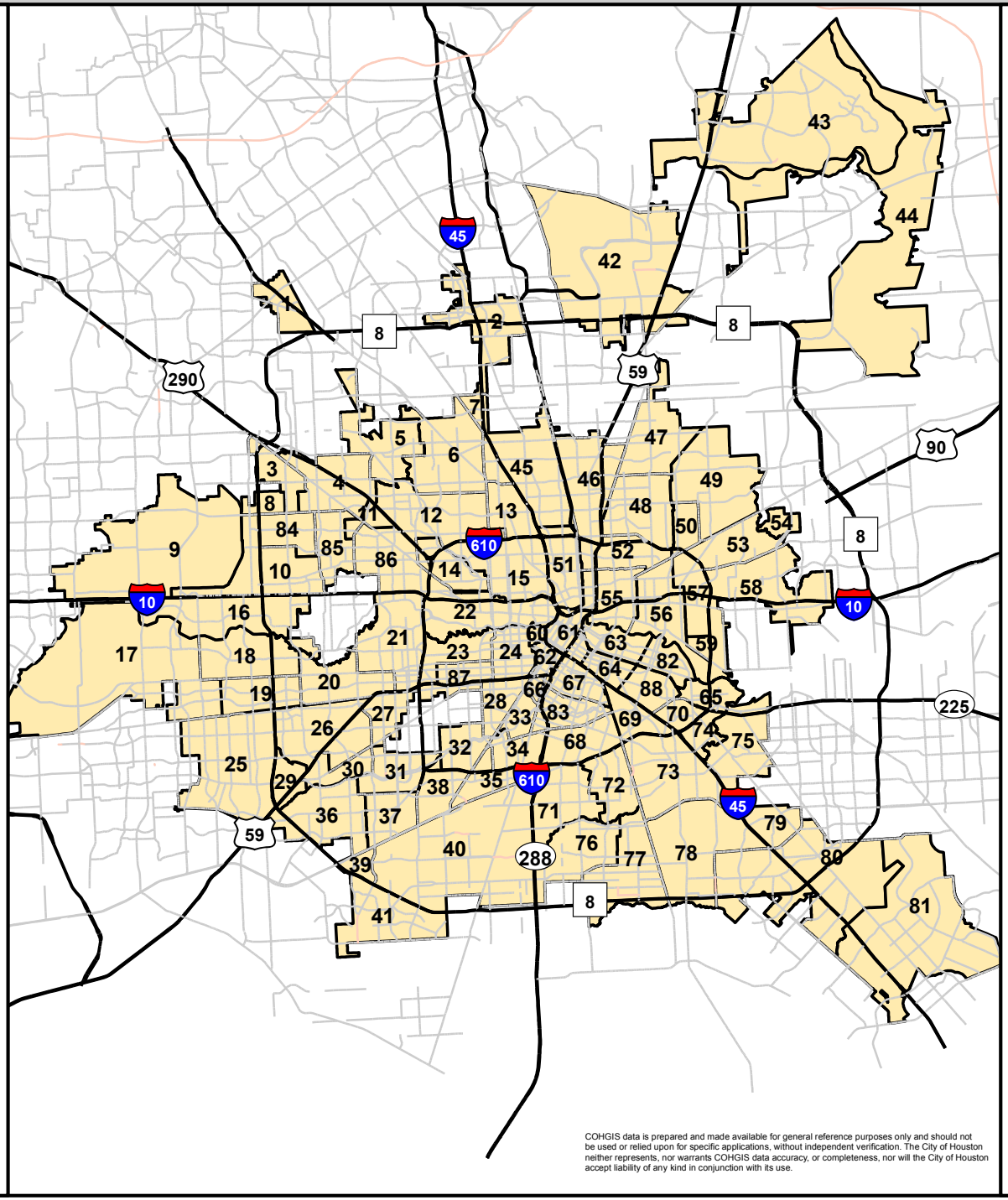
Super Neighborhoods in City of Houston

1 Willowbrook
2 Greater Greenspoint
3 Carverdale
4 Fairbank
5 Greater Inwood
6 Acres home
7 Hidden Valley
8 Westbranch
9 Addicks Park Ten
10 Spring Branch West
11 Langwood
12 Oak Forest / Garden Oaks
13 Independence Heights
14 Lazy Brook / Timbergrove
15 Greater Heights
16 Memorial
17 Eldridge / West Oaks
18 Briarforest Area
19 Westchase
20 Woodlake / Briarmeadow
21 Greater Uptown
22 Washington Avenue / Memorial
23 Afton Oaks / River Oaks Area
24 Neartown - Montrose
25 Alief
26 Sharpstown
27 Gulfton
28 University Place
29 Westwood
30 Braeburn
31 Myerland Area
32 Braeswood Place
33 Medical Center Area
34 Astrodome Area
35 South Main
36 Greater Fondren SW
37 Westbury
38 Willowmeadows / Willowbend Area
39 Fondren Gardens
40 Central Southwest
41 Fort Bend / Houston
42 IAH / Airport
43 Kingwood Area
44 Lake Houston

Super Neighborhoods

Data Source: COH Planning & Development. Department

Publication Date: May 2004



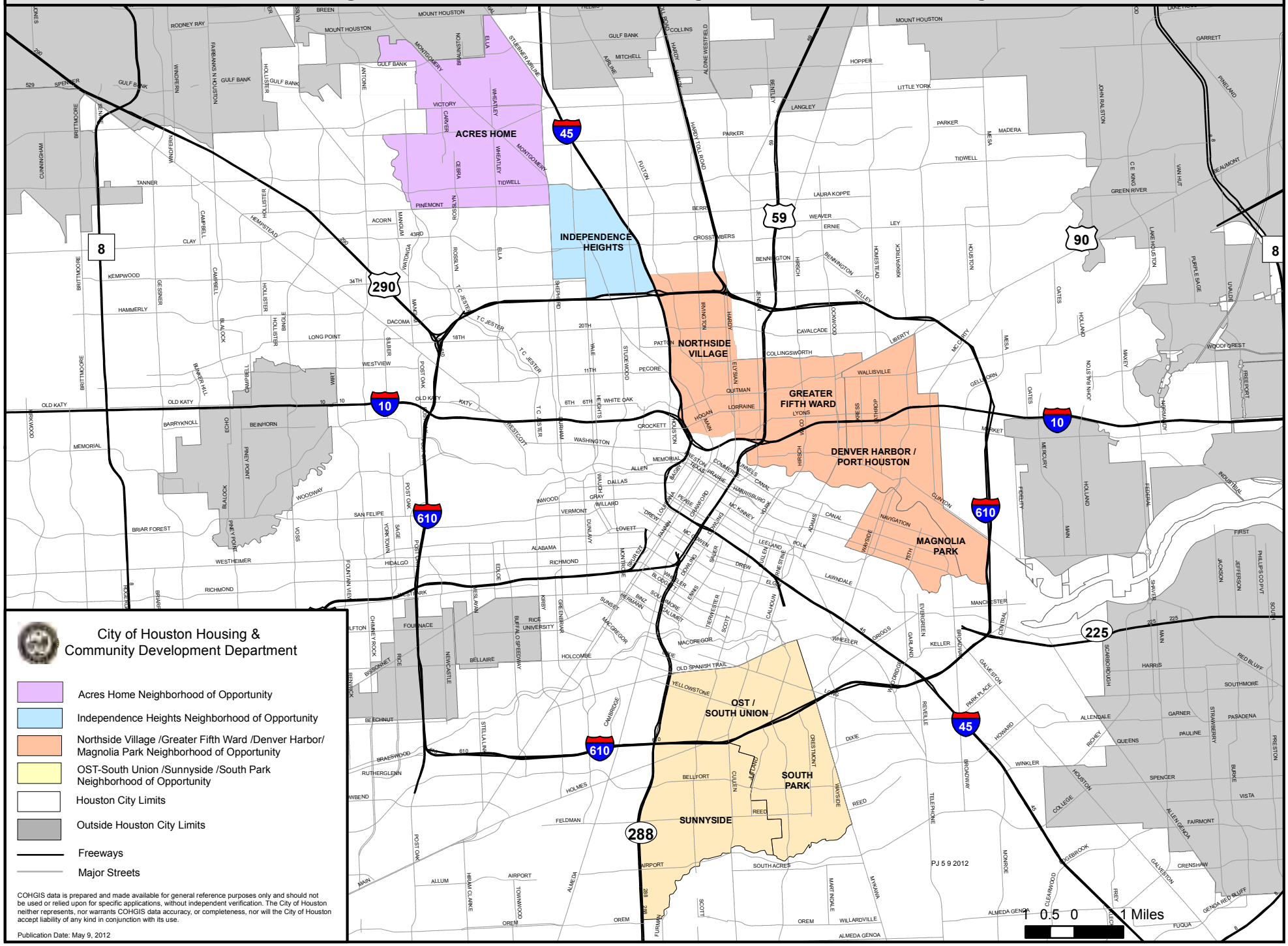
COHGIS data is prepared and made available for general reference purposes only and should not be used or relied upon for specific applications, without independent verification. The City of Houston neither represents, nor warrants COHGIS data accuracy, or completeness, nor will the City of Houston accept liability of any kind in conjunction with its use.

45 Northside / Northline
46 Eastex / Jensen Area
47 East Little York / Homestead
48 Trinity / Houston Gardens
49 East Houston
50 Settegast
51 Northside
52 Kashmere Gardens
53 El Dorado / Oates Prairie
54 Hunterwood
55 Greater Fifth Ward
56 Denver Harbor / Port Houston
57 Pleasantville Area
58 Northshore
59 Clinton Park Tri-Community
60 Fourth Ward
61 Downtown
62 Midtown
63 Second ward
64 Greater Eastwood
65 Harrisburg / Manchester
66 Binz
67 Greater Third Ward
68 OST / South Union
69 Gulfway / Pine Valley
70 Pecan Park
71 Sunnyside
72 South Park
73 Golfcrest / Bellfort / Reveille
74 Park Place
75 Meadow Brook / Allendale
76 South Acres / Crestmont Park
77 Minnetex
78 Greater Hobby Area
79 Edgebrook Area
80 South Belt / Ellington
81 Clear Lake
82 Magnolia Park
83 Macgregor
84 Spring Shadows
85 Spring Branch Central
86 Spring Branch East
87 Greenway / Upper Kirby Area
88 Lawndale / Wayside

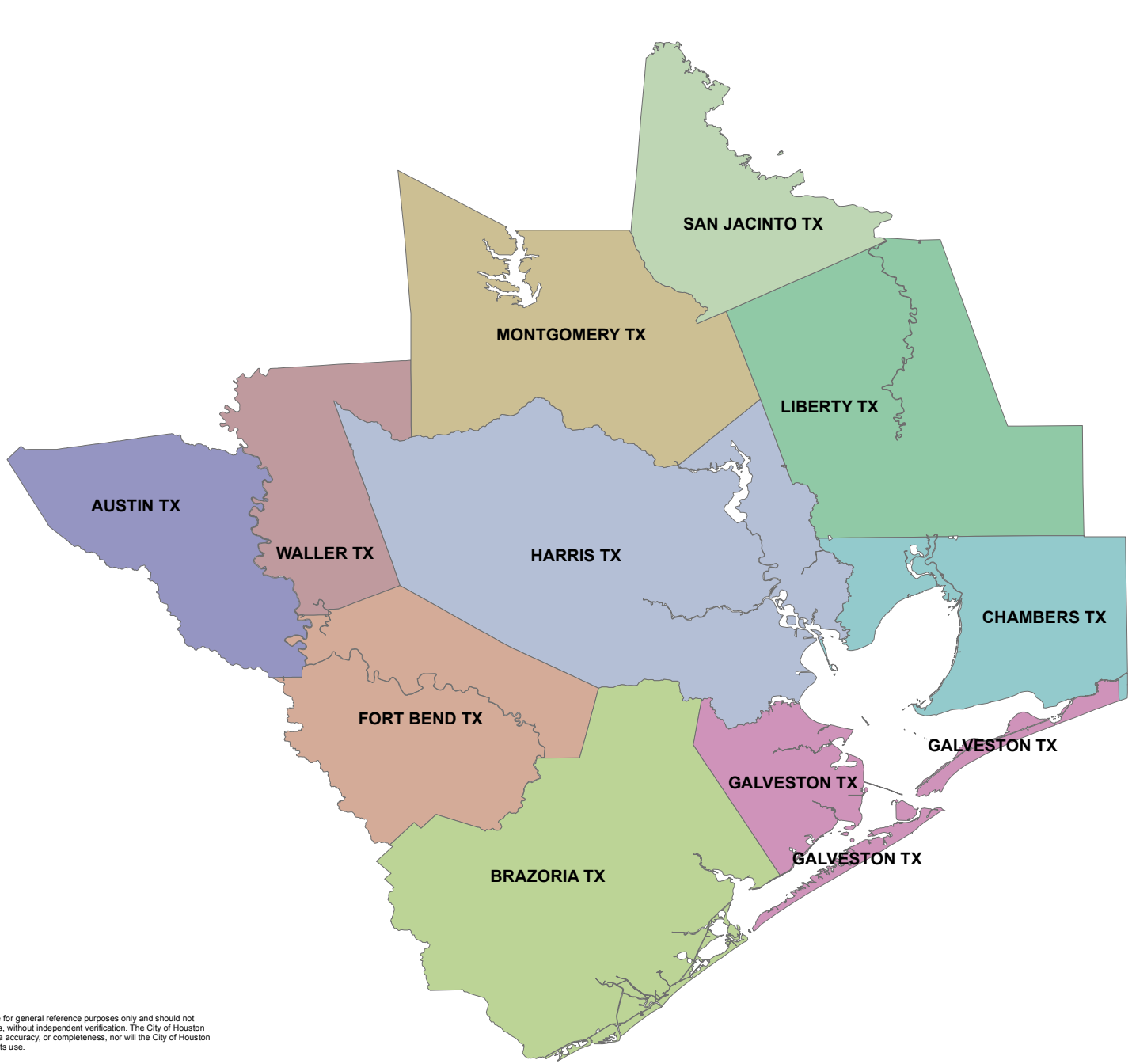
N

0 1 2 4 Miles

Neighborhoods of Opportunity for Disaster Recovery Round II



HOPWA
Eligible Metropolitan Statistical Areas



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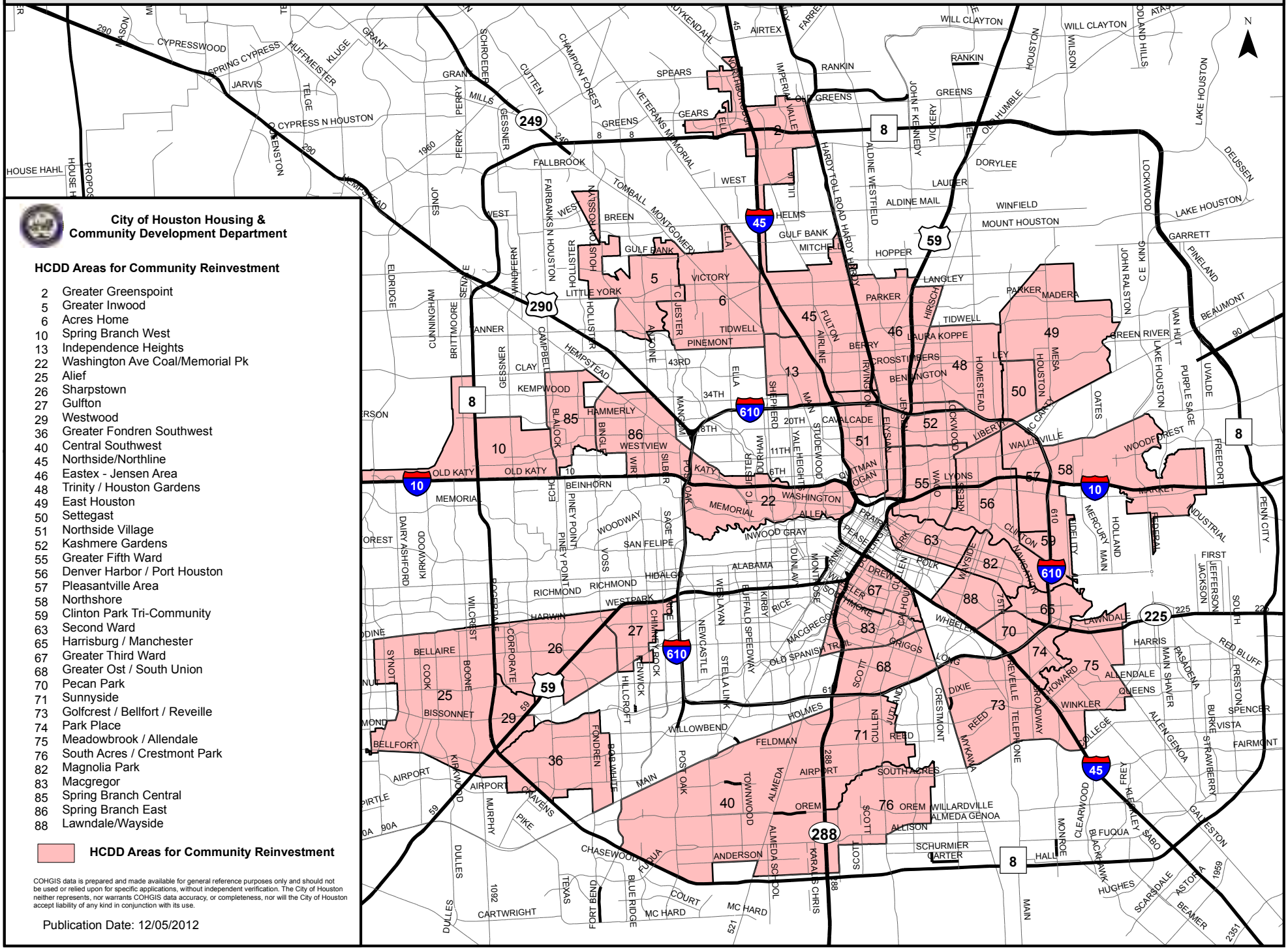
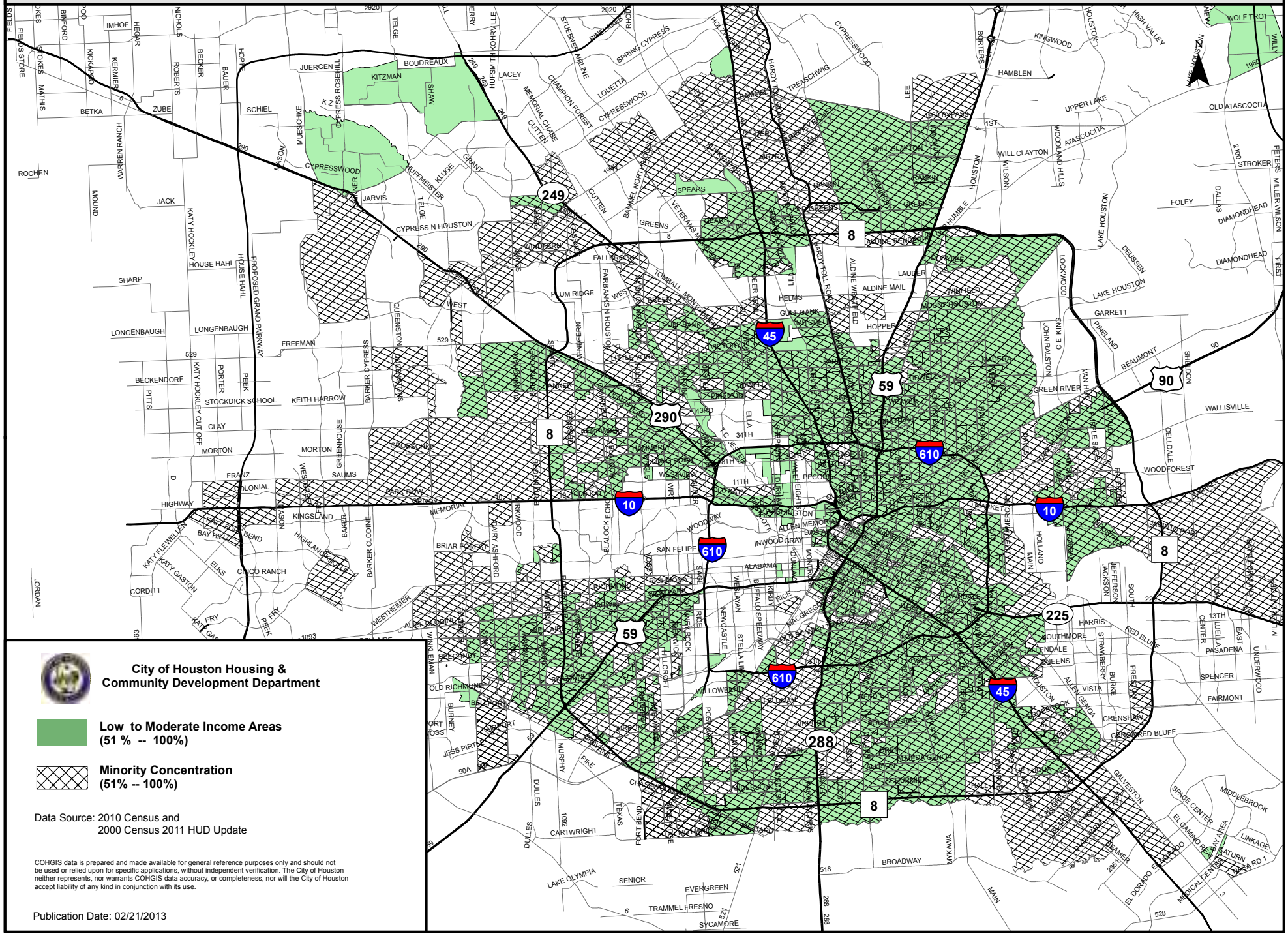
Publication Date: 10/14/2003

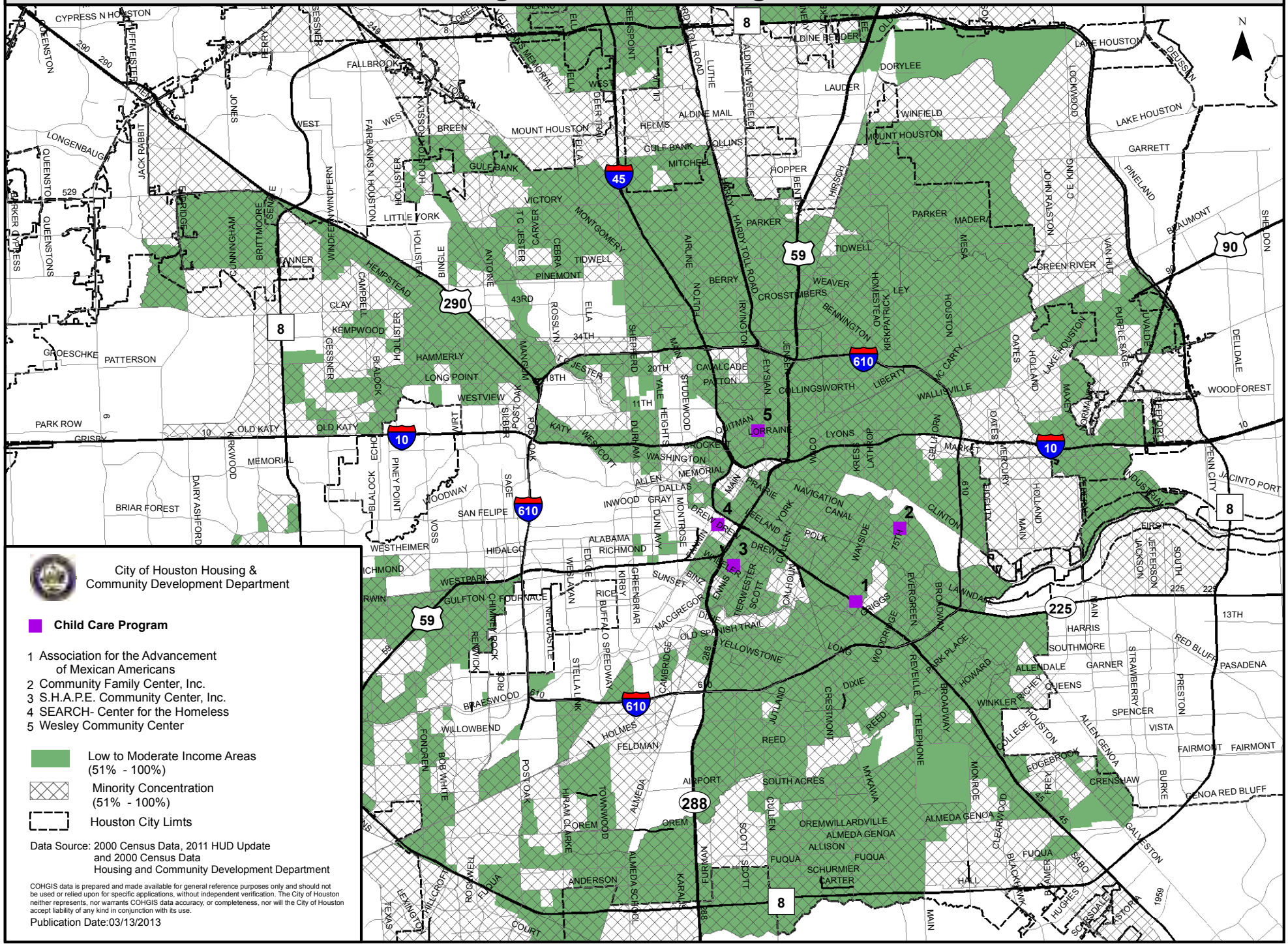
Areas for Community Reinvestment



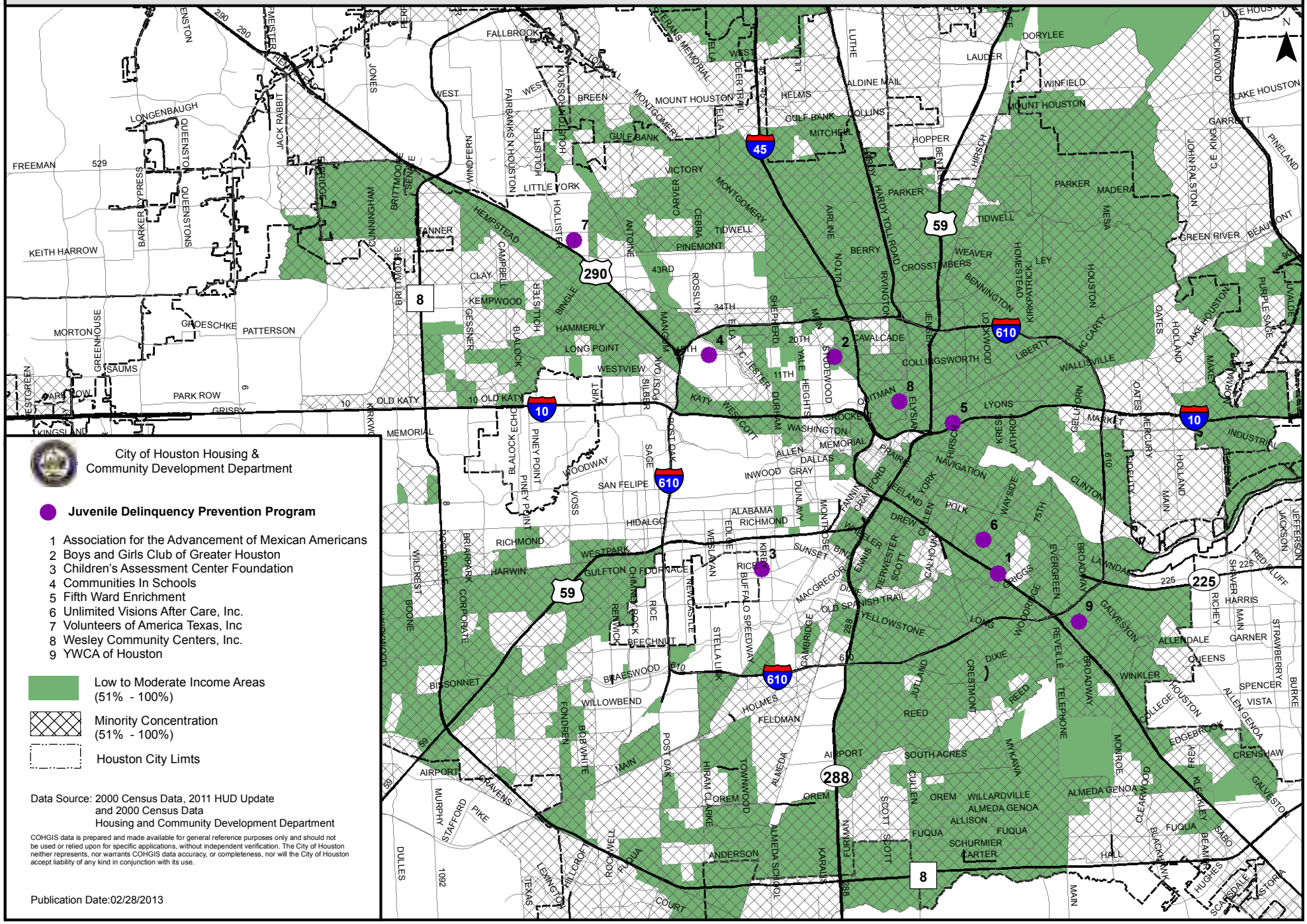
City of Houston
Minority Concentration in Low to Moderate Income Areas



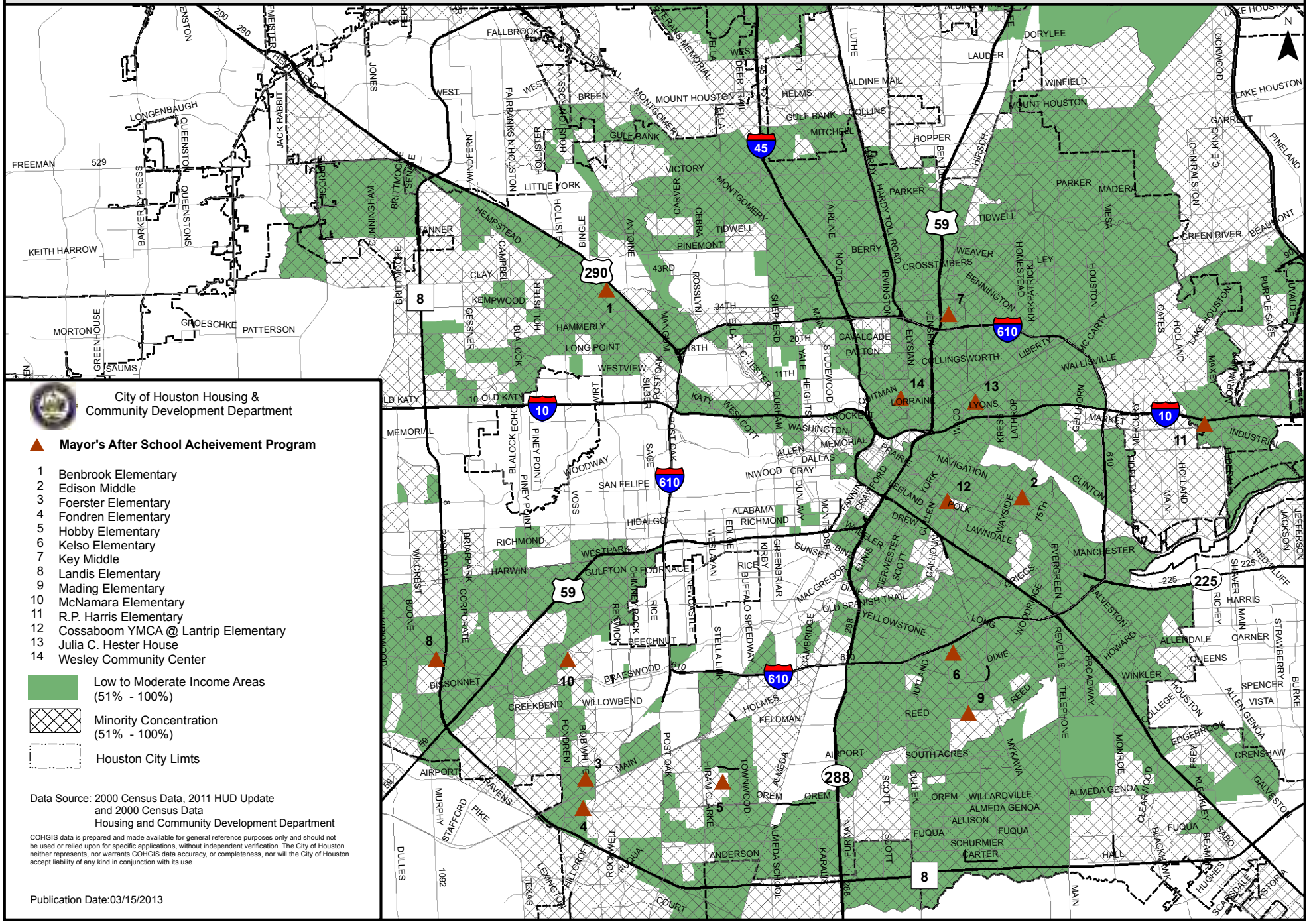
Child Care Program - CDBG Program Year 2013 - 2014



Juvenile Delinquency Prevention Program - CDBG Program Year 2013 - 2014



Mayor's After School Achievement Program - CDBG Program Year 2012 - 2013



Youth Enrichment Program - CDBG Program Year 2012- 2013

City of Houston Housing &
Community Development Department

1 Alief
2 Almeda
3 Bessie Swindle
4 Burnett Bayland
5 Charlton Park
6 Clark
7 Clinton Park
8 Cloverland
9 Crestmont
10 Dezavala
11 Eastwood
12 Edgewood
13 Emancipation
14 Finnigan
15 Fonde
16 Freed
17 Garden Villas
18 Hartman
19 Highland
20 Hobart Taylor
21 Independence Heights
22 Ingrando
23 Jane Long
24 Judson Robinson Jr.
25 Judson W. Robinson
26 Lakewood
27 Lansdale
28 Lincoln
29 MacGregor
30 Mason
31 Meadowcreek
32 Melrose
33 Melrose
34 Montle Beach
35 Moody
36 Selena/Denver Harbor
37 Settegast
38 Shady Lane
39 Sharpstown
40 Sunnyside
41 Swiney
42 Tidwell
43 Townwood
44 Tuffly
45 Woodland

Youth Enrichment Program

Low to Moderate Income Areas (51% - 100%)

Minority Concentration (51% - 100%)

Houston City Limts

Data Source: 2000 Census Data, 2011 HUD Update and 2000 Census Data
Housing and Community Development Department

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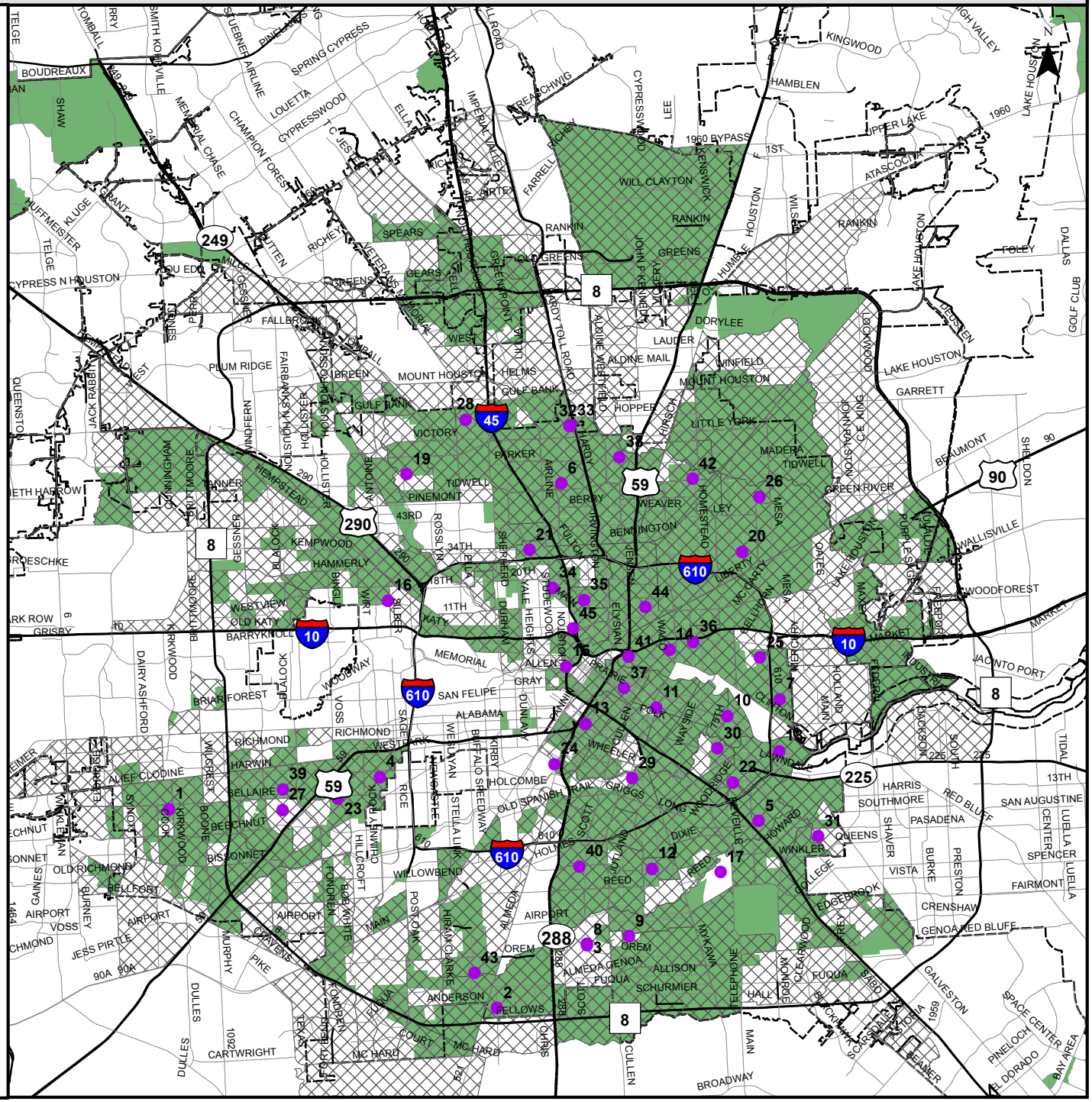
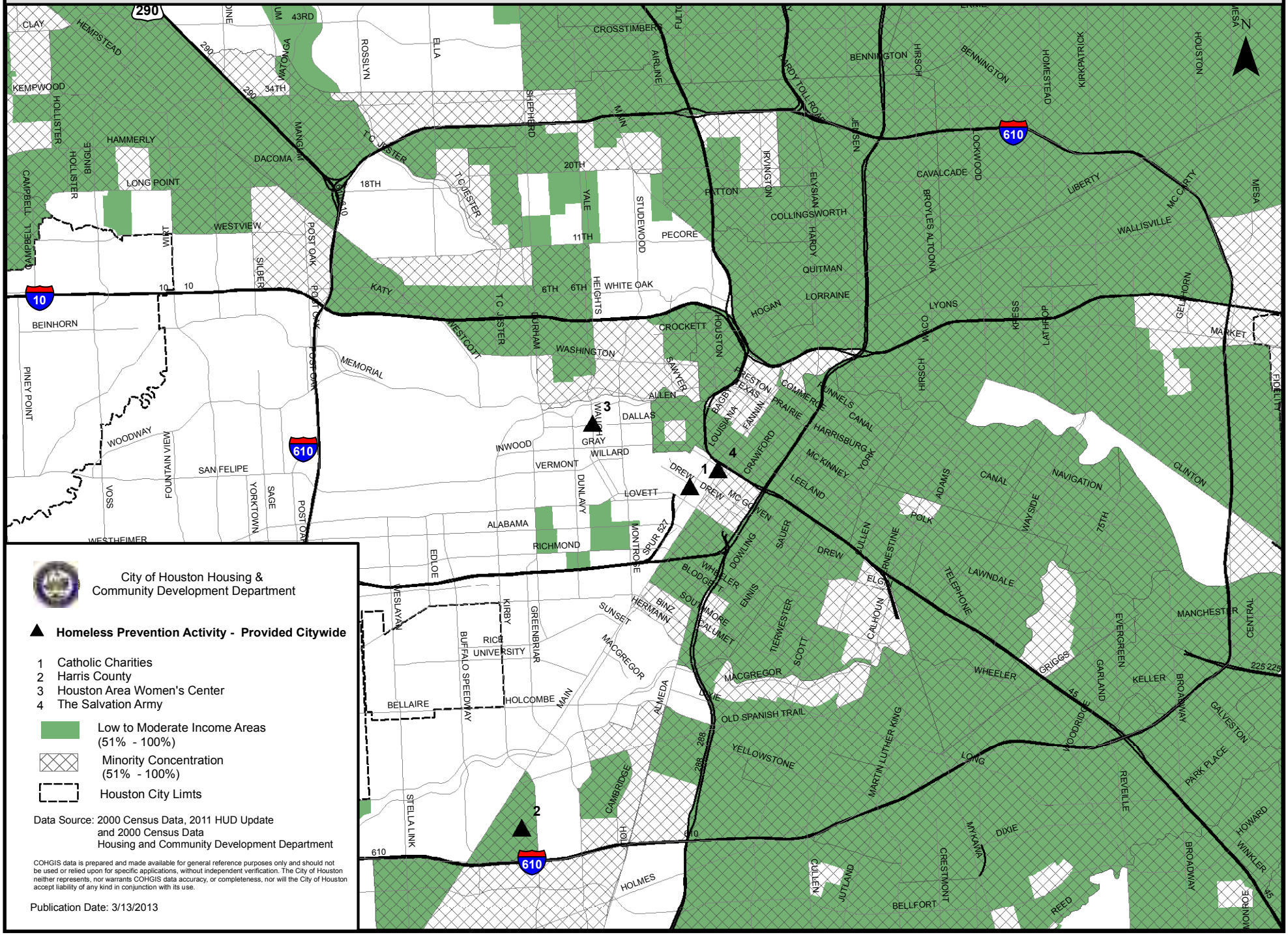
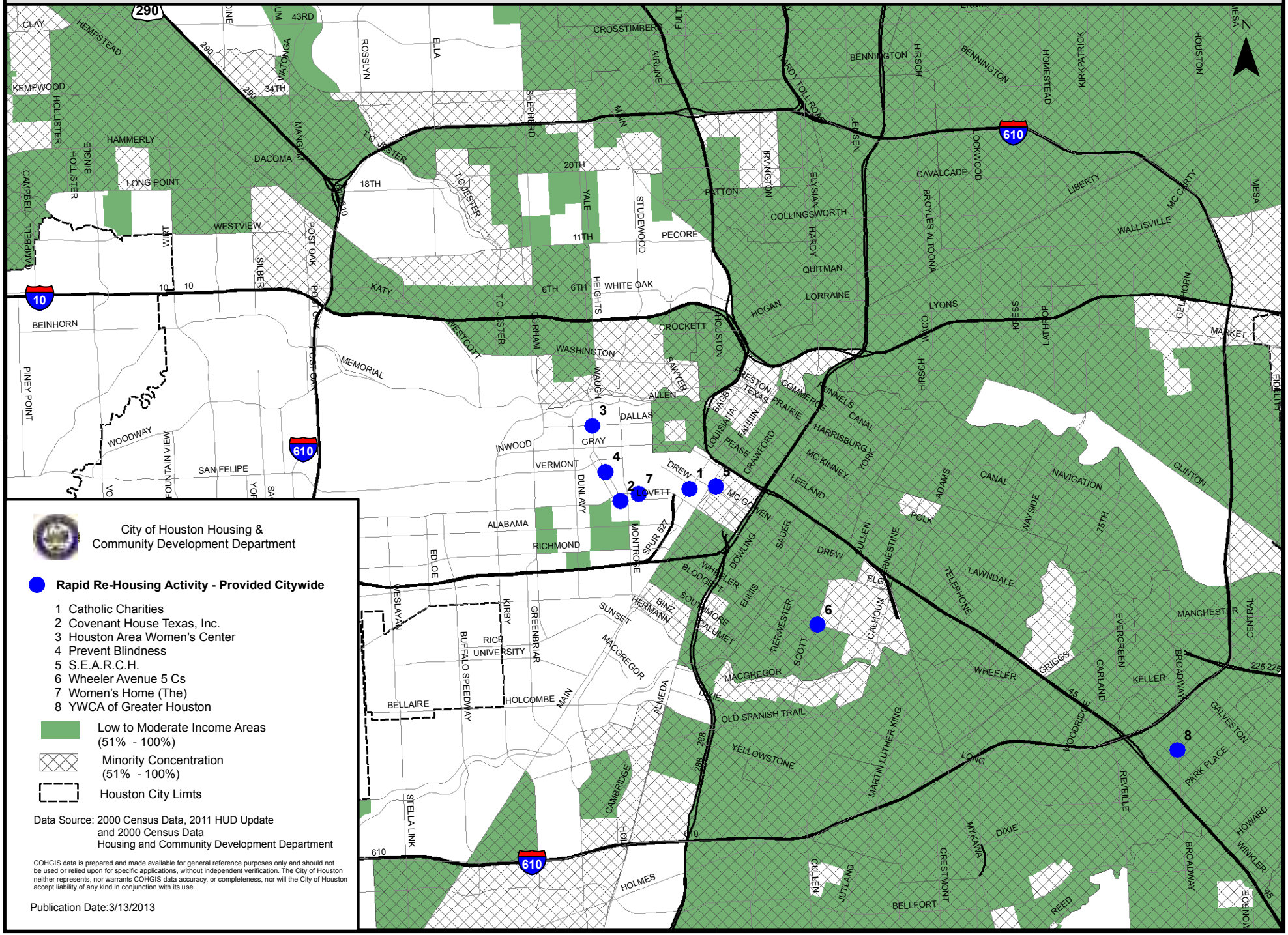
Publication Date:03/15/2013



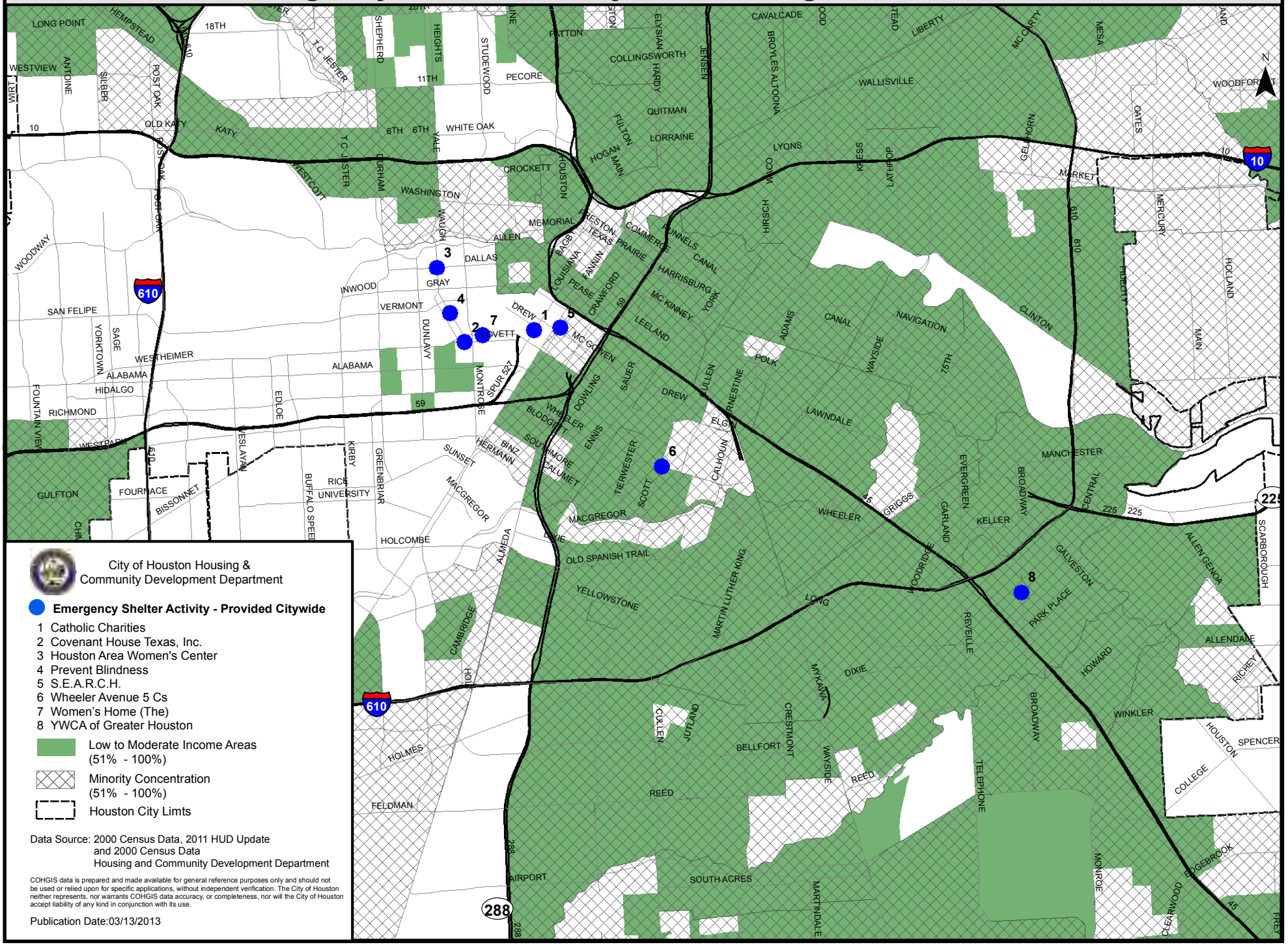
Homeless Prevention Activity - ESG Program Year 2013 - 2014



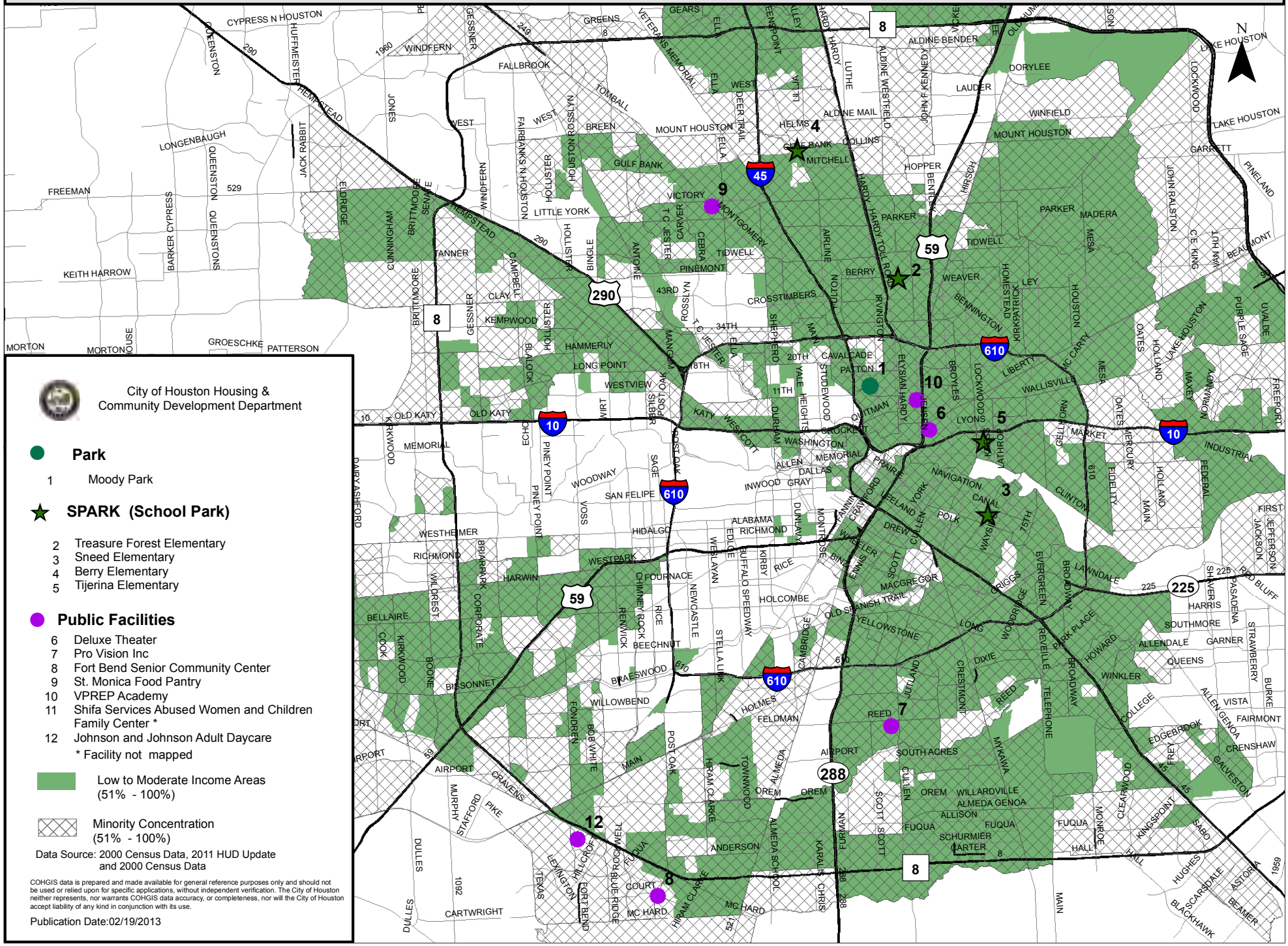
Rapid Re-Housing Activity - ESG Program Year 2013 - 2014



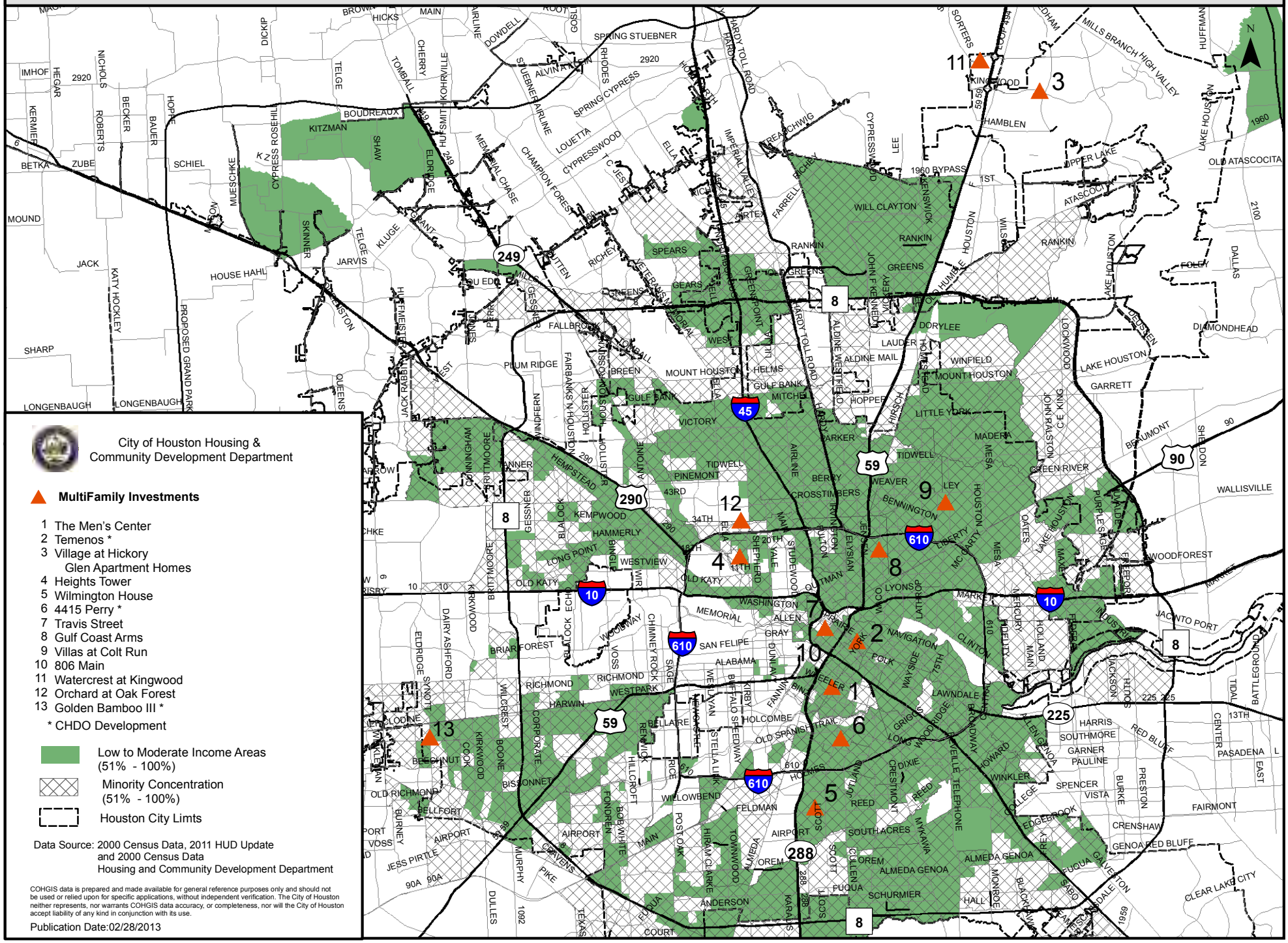
Emergency Shelter Activity - ESG Program Year 2013 - 2014



Municipal, Public and Private Facilities Investments Program Year 2013 - 2014



Multifamily Housing Investments - CDBG & HOME Program Year 2013 - 2014



Appendix #4: Grant Applications – SF-424s

Application for Federal Assistance

	2. Date Submitted	Applicant Identifier CDBG – B13-MC-48-0018
1. Type of Submission: Application: Non-Construction Pre application:	3. Date Received by State	State Application Identifier TX-W-20100430-0003-50
	4. Date Received by Federal Agency	Federal Identifier
5. Applicant Information		

Legal Name City of Houston DUNS #832431985	Organizational Unit Housing and Community Development Department
Address 601 Sawyer P. O. Box 1562 Houston, TX 77251	Contact Neal Rackleff, Director 713-868-8305
6. Employer Identification Number (EIN): 746001164	7. Type of Applicant: Municipal
8. Type of Application: Type: New	9. Name of Federal Agency: U.S. Department of Housing and Urban Development
10. Catalog of Federal Domestic Assistance Number: Catalog Number: 14.218 Assistance Title: Community Development Block Grant	11. Descriptive Title of Applicant's Project:
12. Areas Affected by Project: City of Houston	

13. Proposed Project:		14. Congressional Districts of:	
Start Date 07/01/13	End Date 06/30/14	a. Applicant	b. Project

15. Estimated Funding:	16. Is Application Subject to Review by State Executive Order 12372 Process? Review Status: Program not covered
a. Federal $ 21,804,744	
b. Applicant $0	
c. State $0	
d. Local $0	17. Is the Applicant Delinquent of Any Federal Debt? No
e. Other Prior Years Funding $0	
f. Program Income $ 197,721	
g. Total $22,002,465	

18. To the best of my knowledge and belief, all data in this application/pre application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.

a. Typed Name of Authorized Representative Annise D. Parker	b. Title Mayor	c. Telephone Number (832) 393-1011
d. Signature of Authorized Representative		e. Date Signed

Attest/Seal:

_____
City Secretary

Countersigned:

_____
City Controller

DATE OF COUNTERSIGNATURE: _____, 2013

APPROVED:

_____
Director
Housing and Community Development Department

APPROVED AS TO FORM:

_____
Senior City Attorney

CDBG Budget Page

CDBG Funds	Estimated Allocation (FY 2014)
Sources	
Projected CDBG Grant Award	21,804,744
Projected Program Income	197,721
Projected CDBG Funding	22,002,465
Uses	
Program Administration*	4,400,493
Public Services Public and Private**	3,215,864
ESG Match (1:1 - Includes In-Kind)**	473,949
Public Facilities and Improvements (Public/Private)	3,000,000
Single-Family Housing	2,300,000
Relocation	450,000
Lead-Based Paint	1,375,000
Multifamily Housing	1,500,000
Economic Development	2,578,624
Code Enforcement and Dangerous Buildings	2,708,535

**Program Administration up to 20% of Grant Amount + Projected Program Income*
***The City has been granfathered with a rate of 16.77% instead of 15%*

Application for Federal Assistance

	2. Date Submitted	Applicant Identifier HOME – M13-MC-48-0206
2. Type of Submission: Application: Non-Construction Pre application:	3. Date Received by State	State Application Identifier TX-W-20100430-0003-50
	4. Date Received by Federal Agency	Federal Identifier
5. Applicant Information		

Legal Name City of Houston DUNS #832431985	Organizational Unit Housing and Community Development Department
Address 601 Sawyer P. O. Box 1562 Houston, TX 77251	Contact Neal Rackleff, Director 713-868-8305
6. Employer Identification Number (EIN): 746001164	7. Type of Applicant: Municipal
8. Type of Application: Type: New	9. Name of Federal Agency: U.S. Department of Housing and Urban Development
10. Catalog of Federal Domestic Assistance Number: Catalog Number: 14.239 Assistance Title: HOME Investment Partnerships	11. Descriptive Title of Applicant's Project: Multifamily rehabilitation and construction
12. Areas Affected by Project:	

13. Proposed Project:		14. Congressional Districts of:	
Start Date 07/01/13	End Date 06/30/14	c. Applicant	d. Project

15. Estimated Funding:	16. Is Application Subject to Review by State Executive Order 12372 Process? Review Status: Program not covered
h. Federal $ 7,080,854	
i. Applicant $0	
j. State $0	
k. Local $0	17. Is the Applicant Delinquent of Any Federal Debt? No
l. Other Prior Years Funding $0	
m. Program Income $ 95,056	
n. Total $7,175,910	

18. To the best of my knowledge and belief, all data in this application/pre application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.

e. Typed Name of Authorized Representative Annise D. Parker	f. Title Mayor	g. Telephone Number (832) 393-1011
h. Signature of Authorized Representative		e. Date Signed

Attest/Seal:

_____
City Secretary

Countersigned:

_____
City Controller

DATE OF COUNTERSIGNATURE: _____, 2013

APPROVED:

_____
Director
Housing and Community Development Department

APPROVED AS TO FORM:

_____
Senior City Attorney

HOME Budget Page

HOME Funds	Estimated Allocation (FY 2014)
Sources	
Projected HOME Grant Award	$ 7,080,854
Projected Program Income	$ 95,056
Projected HOME Funding	$ 7,175,910
Uses	
Planning and Administration*	$ 717,591
Multifamily Development**	$ 4,265,819
Homebuyer Assistance	$ 2,192,500

**Planning and Administration up to 10% of Grant Amount + Projected Program Income*
***20% CHDO set aside included*

Application for Federal Assistance

	2. Date Submitted	Applicant Identifier HOPWA – TXH13-F004
3. Type of Submission: Application: Non-Construction Pre application:	3. Date Received by State	State Application Identifier TX-W-20100430-0003-50
	4. Date Received by Federal Agency	Federal Identifier
5. Applicant Information		

Legal Name City of Houston DUNS #832431985	Organizational Unit Housing and Community Development Department
Address 601 Sawyer P. O. Box 1562 Houston, TX 77251	Contact Neal Rackleff, Director 713-868-8305
6. Employer Identification Number (EIN): 746001164	7. Type of Applicant: Municipal
8. Type of Application: Type: New	9. Name of Federal Agency: U.S. Department of Housing and Urban Development
10. Catalog of Federal Domestic Assistance Number: Catalog Number: 14.241 Assistance Title: Housing Opportunities for Persons with AIDS	11. Descriptive Title of Applicant's Project: Housing services, homelessness prevention for individuals and families affected by AIDS and HIV.
12. Areas Affected by Project: Cities of Houston, Pasadena and Baytown; Counties of Austin, Brazoria, Chambers, Fort Bend, Galveston, Harris, Liberty, Montgomery, San Jacinto, and Waller	

13. Proposed Project:		14. Congressional Districts of:	
Start Date 07/01/13	End Date 06/30/14	e. Applicant	f. Project

15. Estimated Funding:	16. Is Application Subject to Review by State Executive Order 12372 Process? Review Status: Program not covered
o. Federal $ 6,815,657	
p. Applicant $0	
q. State $0	
r. Local $0	17. Is the Applicant Delinquent of Any Federal Debt? No
s. Other Prior Years Funding $0	
t. Program Income $0	
u. Total $6,815,657	

18. To the best of my knowledge and belief, all data in this application/pre application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.

i. Typed Name of Authorized Representative Annise D. Parker	j. Title Mayor	k. Telephone Number (832) 393-1011
l. Signature of Authorized Representative		e. Date Signed

Attest/Seal:

_____
City Secretary

Countersigned:

_____
City Controller

DATE OF COUNTERSIGNATURE: _____, 2012

APPROVED:

_____
Director
Housing and Community Development Department

APPROVED AS TO FORM:

_____
Senior City Attorney

HOPWA Budget Page

HOPWA Funds	Estimated Allocation (FY 2014)
Sources	
Projected HOPWA Grant Award	$ 6,815,657
Uses	
Operating Costs	$ 954,192
Supportive Services	$ 1,363,131
Project or Tenant Based Rental Assistance	$ 2,195,167
Short-term Rent, Mortgage, & Utility Subsidies	$ 1,567,601
Resource Identification/Technical Assistance	$ 54,000
Total Programs/Projects	$ 6,134,091
Grantee Administration (3% of Estimated Grant Amount) -- HCDD	$ 204,470
Sponsor Administration (7% of Estimated Grant Amount) -- Subgrantees	$ 477,096
Total Program Administration	$ 681,566
Total - HOPWA Activities	$ 6,815,657

Application for Federal Assistance

	2. Date Submitted	Applicant Identifier ESG – S13-MC48-0003
4. Type of Submission: Application: Non-Construction Pre application:	3. Date Received by State	State Application Identifier TX-W-20100430-0003-50
	4. Date Received by Federal Agency	Federal Identifier
5. Applicant Information		

Legal Name City of Houston DUNS #832431985	Organizational Unit Housing and Community Development Department
Address 601 Sawyer P. O. Box 1562 Houston, TX 77251	Contact Neal Rackleff, Director 713-868-8305
6. Employer Identification Number (EIN): 746001164	7. Type of Applicant: Municipal
8. Type of Application: Type: New	9. Name of Federal Agency: U.S. Department of Housing and Urban Development
10. Catalog of Federal Domestic Assistance Number: Catalog Number: 14.231 Assistance Title: Emergency Solutions Grant	11. Descriptive Title of Applicant's Project:
12. Areas Affected by Project: City of Houston and Harris County	

13. Proposed Project:		14. Congressional Districts of:	
Start Date 07/01/13	End Date 06/30/14	g. Applicant	h. Project

15. Estimated Funding:	16. Is Application Subject to Review by State Executive Order 12372 Process? Review Status: Program not covered
v. Federal $ 2,131,015	
w. Applicant $0	
x. State $0	
y. Local $0	17. Is the Applicant Delinquent of Any Federal Debt? No
z. Other Prior Years Funding $0	
aa. Program Income $0	
bb. Total $2,131,015	

18. To the best of my knowledge and belief, all data in this application/pre application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.

m. Typed Name of Authorized Representative Annise D. Parker	n. Title Mayor	o. Telephone Number (832) 393-1011
p. Signature of Authorized Representative		e. Date Signed

Attest/Seal:

Attest/Seal:

_____
City Secretary

Countersigned:

_____
City Controller

DATE OF COUNTERSIGNATURE: _____, 2013

APPROVED:

_____
Interim Director
Housing and Community Development Department

APPROVED AS TO FORM:

_____
Senior City Attorney

ESG Budget Page

Proposed Use of ESG Funds	Estimated Allocation (FY 2014)
Sources	
Projected ESG Grant Award	2,131,015
Uses	
HMIS* (Emergency Solutions Grant)	117,000
Emergency Shelter** (Emergency Solutions Grant)	832,500
Homeless Prevention (Emergency Solutions Grant)	400,500
Rapid Rehousing (Emergency Solutions Grant)	621,189
Administration (5% cap for Shelter and 7.5% cap for Solutions)	159,826

Appendix # 5: Certifications

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -

   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -

   (a) Abide by the terms of the statement; and

   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

_____ _____

Signature/Authorized Official Date

_____

Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____ , _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

   However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

   The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

_____ _____

Signature/Authorized Official Date

_____

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

> The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

_____ _____

Signature/Authorized Official Date

_____

Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

_____ _____

Signature/Authorized Official Date

_____

Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,

2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

_____ _____

Signature/Authorized Official Date

_____

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

601 Sawyer, Houston, Harris, Texas, 77007

900 Bagby, Houston, Harris, Texas, 77002

901 Bagby, Houston, Harris, Texas, 77002

Check X if there are workplaces on file that are not identified here.

This information with regard to the drug-free workplace is required by 24 CFR part 21.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Appendix # 6: ESG Guidelines

City of Houston
Emergency Solutions Grant Program
Written Standards

I. Introduction

The City of Houston (City) Housing and Community Development Department (HCDD) has developed the following standards for providing assistance with Emergency Solutions Grants (ESG) funds as required by 24 CFR 576.400 (e). These are initial standards that have been created in coordination with the Houston/Harris County Continuum of Care which includes Harris County, the City of Houston, Fort Bend County and the City of Pasadena.

These standards represent goals for providing services for the community and the entire continuum, though the City expects that the standards will become more expansive as the City gains more experience with and collects more data from services provided with the Emergency Solutions Grants program. These standards are in accordance with the interim rule for the Emergency Solutions Grants Program released by the U.S. Department of Housing and Urban Development on December 4, 2011 and the final rule for the definition of homelessness also released by the U.S. Department of Housing and Urban Development on December 4, 2011.

II. Evaluating eligibility for families and individuals

Intake and Assessment

Case managers will use the Continuum wide assessment tool to review client situation, understand eligibility and begin the process of determining length of assistance. Any client assessed for potential assistance with ESG funds must meet the criteria to become eligible for homelessness prevention or rapid re-housing assistance. New clients entering into shelter must undergo a complete assessment to understand client needs, barriers and match the client to the most appropriate services provider. The following outlines the individual characteristics of clients qualifying for homelessness prevention or rapid re-housing.

Homelessness Prevention

Clients receiving assistance must have proof of residence within the City service area. Additional details on the service area can be found in the Consolidated Plan or Annual Action Plan available on HCDD's website http://www.houstontx.gov/housing/index.html .

Total household income must be below 30 percent of Area Family Income (AFI) for the area at initial assessment. Clients must provide documentation of household income, including documentation of unemployment and a zero income affidavit for clients without income.

All clients must meet the following HUD criteria for defining at risk of homelessness for individuals or families, unaccompanied children and youth or families with children and youth where youth are defined as up to age 25:

- Has moved because of economic reasons two or more times during the 60 days immediately preceding application for assistance (Individuals and Families)

- Is living in the home of another because of economic hardship (Individuals and Families)

- Has been notified that their right to occupy their current housing or living situations will be terminated within 21 days after the date of application for assistance (Individuals and Families)

- Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals (Individuals and Families)

- Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room (Individuals and Families)

- Is exiting a publicly funded institution or system of care (Individuals and Families)

- Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the City's approved Consolidated Plan (Individuals and Families)

- A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statue (Unaccompanied children and youth)

- An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her. (Families with children and youth)

Individuals who fall under the HUD Category 2 or 3 for Homelessness also qualify for homelessness prevention assistance. Like those that fall under the At-Risk of Homelessness definition, clients must also live in the service area and qualify by income. The categories are listed below:

Category 2 – Imminent risk of homelessness is an individual or family who will imminently lose their primary nighttime residence, provided that:

- Residence will be lost within 14 days of the date of application for homeless assistance;

- No subsequent residence has been identified; and

- The individual or family lacks the resources or support networks needed to obtain other permanent housing

Category 3 – Homeless under another federal statute includes unaccompanied youth under the age of 25 or families with children and youth, who do not otherwise qualify as under this definition but who:

- Are defined as homeless under another federal statute child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statue

- Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application;

- Have experienced persistent instability as measured by two moves or more during the preceding 60 days; and

- Can be expected to continue in such status for an extended period of time due to special needs or barriers.

Rapid Re-housing

Any client receiving rapid re-housing assistance must meet the HUD criteria for determining homelessness as either literally homeless or fleeing/attempting to flee domestic violence. The categories are listed below:

Category 1 – Literally homeless is an individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:

- Has a primary nighttime residence that is a public or private place not meant for human habitation;

- Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); or

- Is exiting an institution where (s)he has resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution

Category 4 – Fleeing domestic violence includes any individual or family who:

- Is fleeing, or is attempting to flee, domestic violence

- Has no other residence; and

- Lacks the resources or support networks to obtain other permanent housing

Shelter Clients

Homeless clients entering into the shelter system must meet the HUD criteria for homelessness detailed above under rapid re-housing in Categories 1-4: literally homeless, at imminent risk of homelessness, homeless under another federal statute, or fleeing/attempting to flee domestic violence. Clients will be prioritized within the emergency shelter system based on need, available resources and geographic area.

III. Coordinating services

Coordination among providers

All ESG subrecipients (shelter, homelessness prevention and rapid re-housing providers) within the Houston/Harris County Continuum of Care service area are expected to work collaboratively to coordinate funding that addresses the needs of the entire continuum. To achieve these goals, the City requires that all subrecipient service providers will:

- Participate in a coordinated assessment system, where client entry into the entire system can begin at any point. Service providers will use a common assessment tool that will allow providers to enter data on a client and provide transfer information when a client fits the services of another provider, without having to engage in another assessment. Reasons for client transfer can include better fit in a specialized program, the correct geographic service area, and available resources within the community.

- Establish a staff member as a point of contact for other case managers and members of the service provider continuum of care. The contact should be a position that sees little turnover and is familiar with organizational resources and up to date on current organizational capacity to accept and serve clients, such as a supervisor or manager. This contact should be able to provide information for other housing case managers on what current programs and resources are available to clients entering into the provider system through their organization. This contact will reduce or eliminate the need for clients to seek out additional assistance based on referrals from any ESG recipient agency.

- Attend all coordinated training for case managers within the homeless provider system. Each subrecipient is expected to send at least one staff member and share all lessons learned with all housing case management staff. The City, in collaboration with the Coalition for the Homeless Houston/Harris County, Harris County, Fort Bend County and the City of Pasadena, will coordinate training for ESG staff so that case clients within the region are receiving the same quality of service across providers. The members of the Continuum will also host regular meetings of subrecipient program staff to share best practices and engage in collective problem solving as the community works toward an integrated system for clients. Meetings will be facilitated by the Coalition for the Homeless of Houston/Harris County staff.

Mainstream and targeted homeless providers

To encourage the coordination of existing services while limiting duplication of services and overlapping federally funded programs, the City, with the Coalition for the Homeless for Houston/Harris County and both mainstream and targeted homelessness service providers will execute Memorandums of Understanding (MOUs) to streamline entry into programs and increase access to such services. The MOUs will vary from setting aside spots within other programs for ESG clients to providing direct access to and eliminating the need for reassessment into other programs.

IV. Client prioritization

The City will use a shared assessment form that will target those clients with the most barriers to housing. Each barrier will have an allotment of points, and the higher score (and more barriers) the more likely the client will receive services. The assessment of barriers is based on an objective review of each client's current situation using the tool rather than the subjective opinion of a case manager assessing each client's needs. All clients will be required to have a minimum score to receive assistance.

Homelessness prevention

The City prioritizes clients, who are currently in their own housing, especially families with young children who have limited housing options but high needs for homelessness prevention funding.

Rapid re-housing

The City anticipates targeting clients who are experiencing any of the following, as the most likely recipients for rapid re-housing assistance:

1. People who are in need of SSI/SSDI benefits in order to be able to pay for long-term housing;
2. People who are victims of domestic violence; and
3. People who have recently been released from incarceration.

IV. Participant share

The City will not have a minimum amount or percentage that will be applied to client contributions across all service providers. In addition, the City will not allow providers to create a minimum participation amount for clients upon entry into any homelessness prevention or rapid re-housing program. Clients will be required to contribute a portion of their income in addition to their assistance once the client income has increased during program participation.

Once clients have an increase in income (access to additional resources, new or higher paying job within the household, etc.), the City subrecipients must allow a client to receive three months of the increased income before requiring client contributions, as long as the period of service fits

within the 24 month cap for assistance. Once clients have earned three months of additional income, on the fourth month the client is expected to contribute five percent of the cost of living expenses (rent and utilities, if both are being covered) for which they are receiving assistance. Clients may request a hardship waiver, through their case manager, to forgo the contribution that month. All hardship waivers must be reviewed and approved by a case management supervisor. If a client receives a hardship waiver, each month their situation will be reevaluated to begin contributions at five percent. Contributions will continue to increase by five percent each month but cannot exceed 30 percent of the client's adjusted monthly income. Clients may request a hardship waiver at any time, and will be asked to contribute at the amount for which the waiver was originally requested.

Duration and amount of assistance

The City policy is providers may not create limits for the amount of assistance that will be provided to clients. All subrecipients are expected to provide support to clients for the full time necessary to stabilize that client and provide for the likelihood of positive housing outcomes after assistance. The City will not permit subrecipients to set their own maximum standards for assistance, recognizing that the amount of assistance and duration of assistance can vary substantially among clients. All case managers are required to re-evaluate clients based on the following schedule. At that time, a case manager may decide to extend, decrease or discontinue providing assistance.

Homelessness Prevention clients must have reassessments at a minimum every 3 months. To continue to receive assistance, clients must be at or below 30 percent (≤ 30%) AFI. Rapid Re-Housing clients must have reassessments at least annually, though case managers will be required to have regular home and office visits with clients, as described in the duration and amounts section.

A client is eligible to receive assistance up to the full 24 months in a 3 year period as determined by the certification process required for all ESG clients. The City anticipates very few clients will need or be eligible to receive this level of assistance. It is the case manager's responsibility to document client need and ensure that ESG is the most appropriate assistance for this client.

The City and all members of the Houston/Harris County continuum intend to update and refine the process once HMIS has more robust data specifically for ESG clients.

Duration and amount of Housing Stabilization and/or Relocation Services

The City will not allow ESG funds to be used for credit repair and legal services to be eligible activities. The City has found limited access to this resource by clients and providers and will instead encourage the use of mainstream service providers and establish them as part of the system of providers with formal relationship.

Any subrecipient of ESG assistance must provide housing location assistance for clients as a part of its full housing relocation and stabilization services to ESG clients. Subrecipients are expected to have at least one staff member dedicated to finding appropriate housing and developing

relationships with affordable housing providers so that ESG clients have access to housing choice through the subrecipient, rather than the expectation that clients must navigate the system on their own.

As part of the requirement for comprehensive case management for housing stability, all case managers are expected to complete, at a minimum, an in-person follow up with clients 30 days after exiting the program. In addition, it is expected that case managers maintain a reasonable case load to be able to provide quality housing case management to each of her or his clients. Housing case managers for rapid re-housing and homelessness prevention clients should carry a case load of 20 – 25 clients. If a case manager is focused solely on very high barrier or chronically homeless clients, the case load should not exceed 15 clients. These ratios ensure that case managers are able to give quality housing case management to address the needs of their clients and provide the level of service expected of ESG funded housing case managers.

For programs providing rapid re-housing assistance to clients with high barriers and mental illness and/or substance abuse, the City requires that case managers must conduct home visits at least bi-weekly for the first three months receiving assistance. Homelessness prevention clients must have an initial home visit when first approved for assistance and subsequent house visits with each recertification every three months. It is expected that case managers will conduct office visits with homelessness prevention clients between home visits, at least once per month. Case managers and program managers are encouraged to provide more than the minimum required services through case management.

The City recognizes that high deposits for rental application and initial utility services is often an incentive for providing services or housing to clients with high barriers to housing or who may be seen as a risk. Subrecipients are allowed to include rental and utility deposits as part of the housing relocation and stabilization services. Security deposits can be for up to two months of rent for the client. Deposits may remain with the client if they are stably housed once the subrecipient has completed providing assistance. If a client leaves a program before completing assistance or leaves their housing prior to completing case management, the subrecipient must track and return the deposit.

The City expects all case managers and housing specialists to work closely with housing providers and establish trusting relationships among landlords in a way that will encourage property owners and managers to wave application fees for rental properties. Recognizing that this will not always be possible, application fees can be included as a housing relocation cost, so long as the client is only completing one application at a time. The City will not fund the fees for multiple applications simultaneously.

Appendix # 7: Citizen Participation Plan

CITY OF HOUSTON

CITIZEN PARTICIPATION PLAN

FOR THE

CONSOLIDATED PLAN

March 2010

Amended ~~August 2012~~May 2013

601 Sawyer

Houston, TX 77007

713-868-8300

~~1-800-735-2989~~713-864-2734 (TTY)

Web: www.houstontx.gov

Introduction

The purpose of the Citizen Participation Plan (CPP) is to establish a viable means by which citizens of the City of Houston (City), public agencies, and other interested parties can actively participate in the development of ~~activities undertaken with Community Development Block Grant funds~~the Consolidated Plan, Annual Action Plan, Substantial Amendments, and the Consolidated Annual Performance and Evaluation Report (CAPER) and to ~~sets~~set forth the jurisdiction's policies and procedures for citizen participation.

The ~~Citizen Participation Plan~~CPP has been prepared and implemented pursuant to federal regulations (U. S. Department of Housing and Urban Development (HUD) Regulations 24 CFR Part 91.105) and the City of Houston's desire to encourage and support public participation in the development of the Consolidated Plan (and subsequent annual updates to the Consolidated Plan).

The actions delineated in this ~~Citizen Participation Plan~~CPP relate to the planning and expenditure of funds provided to the City by the ~~U. S. Department of Housing and Urban Development (~~HUD~~)~~ Office of Community Planning and Development (CPD). CPD ~~entitlement~~formula funds received by the City include the Community Development Block Grant (CDBG), ~~Home~~HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG) – formerly Emergency Shelter Grant ~~(ESG), and~~, Housing Opportunities for People with AIDS (HOPWA), Economic Development Incentive (EDI), Section 108, and other such grants as may be added by law.

Encouragement of Citizen Participation

It is the intent of the City of Houston to encourage and facilitate the participation of residents in the formulation of priorities, strategies, and funding allocations related to the Consolidated Plan, Substantial Amendments to the Plan, and the Performance Report process, emphasizing involvement by low- and moderate-income persons, especially those living in slum and blighted areas, areas where the funds are proposed to be used, and low- and moderate-income neighborhoods. The City encourages the participation of local and regional institutions, the Continuum of Care, and other organizations (including businesses, developers, nonprofit organizations, philanthropic organizations, and community/faith-based organizations) in the process of developing and implementing the Consolidated Plan~~.~~ and Annual Action Plan. The City also encourages participation of all citizens including minority populations, people who do not speak English and persons with disabilities.

To encourage citizen participation emphasizing the involvement of low- and moderate-income residents, the City of Houston will continue to work with public housing authorities, neighborhood task forces, and organizations representing the City's lower income areas and persons.

The ~~Plan~~CPP assures that citizens, non-profit organizations, and other interested parties are afforded adequate opportunity to review and comment on plans, programs, activities, and reports covering the City's federally funded housing and community development programs.

Technical Assistance

The City will provide technical assistance, as requested, to ~~lower~~ groups representing low- and moderate-income ~~groups to develop~~persons developing proposals for housing and community development activities in the City of Houston. The technical assistance will be offered any time proposals for the use of funding are requested.

Access to Records

The City of Houston will provide the public with reasonable and timely access to information and records relating to the data or content of the Consolidated Plan, as well as the proposed, actual, and past use of funds covered by this ~~Citizen Participation Plan~~CPP and as subject to local, state, and federal public information laws.

The public will be provided reasonable access to housing assistance records, subject to state and local laws regarding privacy and obligations of confidentiality. Confidential documents will be set apart from public information, and any requestors of this information will be promptly informed. The public will have access to records for at least five years from the publication date of the requested document.

In the spirit of encouraging public participation, copies of standard documents, as described below, will be provided to the public. These materials will be available in a form accessible to persons with disabilities, upon request to the ~~CDBG Program Administrator~~Planning and Grants Management Division at (713) 868-8300.

All standard Housing and Community Development Department documents will be placed on file in the City of Houston, Housing and Community Development Department file room located at 601 Sawyer, 3rd floor, Houston, Texas 77007.

Copies of standard documents will be available for review at the following locations:

- Housing and Community Development Department – 601 Sawyer, Suite 400
- ~~City of Houston Secretary's Office – 900 Bagby, Parking Level~~
- City of Houston Website – www.houstonhousing.org
- ~~City of Houston Main Public Library~~

Additional single copies of these standard documents may be obtained from the Housing and Community Development Department at no charge.

The following standard public documents are available during regular business hours:

- This Citizen Participation Plan
- ~~Proposed and~~ Final Consolidated Plans
- ~~Proposed and~~ Final Consolidated Action Plans
- ~~Proposed and~~ Final Substantial Amendments to an Annual Action or Consolidated Plan or EDI grants
- ~~Draft and~~ Final Consolidated Annual Performance Reports (CAPER)

Public Hearings

Public hearings will be held at key stages of the process to obtain the public's views and to provide the public, to the greatest extent possible, with responses to their questions and comments. The City holds public hearings to obtain input regarding community needs during development of a Consolidated Plan, to review proposed uses of the funds in each Annual Action Plan, and to review program performance.

Pursuant to HUD regulations, the City of Houston will conduct a minimum of two (2) public hearings prior to submission of the Consolidated Plan~~,~~ to ensure participation in grant development. At least one of these hearings will be held during the development of the Consolidated Plan, before the proposed Consolidated Plan is published for comment. The HCDD Director~~ of the Housing and Community Development Department~~, in consultation with staff, will establish the time and location of all public hearings and meetings concerning CPD entitlement funds received by the City including ~~Community Development Block Grant (CDBG),Home Investment Partnerships (HOME), Emergency Shelter Grant (ESG), Housing Opportunities for People with AIDS (HOPWA), and Economic Development Incentive (EDI)~~CDBG, HOME, ESG, HOPWA, and EDI grants.

Public hearings shall be held after adequate (14 days) notice in at least one newspaper of general circulation at times and locations convenient to potential or actual beneficiaries~~,~~ and with accommodations for the disabled. At all public hearings, upon an advance request, translators will be available for those who do not speak or understand English and for the hearing impaired.

Meetings and Public Hearings Schedule

October~~ – Notification to Public Service Agencies and citizens for submission of funding requests. Notice of funds available (NOFA) is advertised in public notices.~~

/November/December – First Public Hearing on Consolidated Plan is held (performance review and citizens express their needs during plan development)

~~January – City Council Districts Public Hearings on Budget, Capital Improvement, and Consolidated Plan~~

March – Draft of Consolidated Plan is made available to public and the ~~30-day~~ review period of no less than 30 days begins

March/April – Second Public Hearing on Consolidated Plan is held (citizens express concerns or agreement on draft Plan and proposed uses of funds)

April/May –~~ Submittal of~~ Consolidated Plan is submitted to HUD

September – Draft of Consolidated Annual Performance Evaluation Report (CAPER) is made available to public, a 15 day review period is held, and ~~submittal~~the CAPER is submitted to HUD

Development of the Consolidated Plan

Before the Consolidated Plan (and annual updates) is adopted by the City Council and submitted to HUD (i.e., mid-May), the City will make the ~~plan~~Plan available to citizens, public agencies, and other interested parties for review~~,~~ and will also establish the means to submit comments. Information made accessible to the public will include the amount of grant funds the City expects to receive (including program income), the range of activities to be undertaken, and the anticipated number of low- and moderate~~-~~-income persons to benefit from funded activities.

Information will also include the steps the City will take to eliminate the need for displacement of persons. If displacement will occur due to any planned actions, the City will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, as described in 49 CFR Part 24.

The City will publish its draft ~~and final~~ Consolidated Plan (and annual updates) so that all affected residents will have sufficient opportunity to review and comment on the plan. A summary describing the contents and purpose of the Consolidated Plan (and annual updates) will be published in one or more newspapers of general circulation. ~~To the extent feasible, the draft plan document will be posted on the City's website. Additionally, the~~The entire ~~plan~~draft Plan will be made available for review at the following locations:

- Housing and Community Development Department – 601 Sawyer, Suite 400
- City of Houston Secretary's Office – 900 Bagby, Parking Level
- City of Houston Website – www.houstonhousing.org
- City of Houston Main Public Library

A reasonable number of free copies will be available at the City of Houston, Housing and Community Development Department (601 Sawyer, 4th floor~~).~~), upon request.

A summary of public comments or views received in writing, or orally at the public hearings, and considered by the City of Houston while preparing for the final consolidated plan will be attached to the final Consolidated Plan. A summary of public comments or views received that will not be considered will also be included along with the reasons they have not been accepted.

Amendments to the Consolidated Plan / Annual Action Plan and EDI / Section 108 Grant Application

From time-to-time, it may be necessary for the City to process a "Substantial Amendment" to the Five Year Consolidated Plan or the ~~One Year~~Annual Action ~~Plans~~Plan to allow for new CDBG, HOME, ESG, HOPWA, ~~HOME,~~ or EDI funded activities~~;~~, modification of existing activities~~;~~, or changes to other CPD program administrative actions.

Any proposed amendment that is considered a "Substantial Amendment" is subject to the Citizen Participation process~~,~~ and requires formal action by the City Council~~,~~ and approval by HUD. A thirty (30) day public notice is published to provide the opportunity for the public to review and comment on the proposed substantial amendments. The City will consider all comments or views received from the public concerning proposed substantial amendments in

accordance with 24 CFR 91.105 (c) (3). A summary of these comments and views, including comments or views not accepted (and the reason why) shall be attached to the substantial amendment.

It should be noted that the process for amending the EDI / Section 108 Grant Application (EDI) differs substantially from that used for the Consolidated Plan / Annual Action Plan and other Section 108 programs. First, in accordance with Item 8 of the executed Grant Agreement between the City of Houston and HUD, the EDI can only be amended with prior written permission of HUD. In the case of the Consolidated Plan / Annual Action Plan or other Section 108 programs, HUD is notified of the amendment following City Council approval. Second, federal regulations require that a public hearing be held when amending the EDI Grant Application. However, no such requirement is attached to the amendment process for the Consolidated Plan / Annual Action Plan or other Section 108 programs. For any program amended, verbal and written citizen comments will be summarized and submitted to HUD along with HCDD's response to each. EDI is subject to all CPP requirements applicable to the Consolidated Plan / Annual Action Plan where they are the same as specifically required under 24 CFR §570.704.

For the purpose of this ~~Citizen Participation Plan~~CPP, amendments to the Consolidated Plan are divided into ~~four~~two categories: Substantial Amendments~~,~~ and Minor Amendments~~, One-Percent Amendments, and Emergency Amendments.~~.

~~The City of Houston is required by HUD [24 CFR 91.505 (b)] to identify the criteria to be used in determining if a proposed action will be considered a "Substantial Amendment". The following criteria will be used by the City:~~

Substantial Amendments

When using funds from any program covered by the Consolidated Plan (except for EDI as discussed above), the following criteria will be used by the City for determining what constitutes a "Substantial Amendment" to its approved Annual Action Plan and/or Consolidated Plan:

1. Addition of a new activity~~ or project~~[1] not previously described in ~~its~~the Consolidated or Action Plan
2. Deletion of an activity ~~or project~~ previously described in ~~its~~the Consolidated or Action Plan
3. Change in the purpose, scope, location or beneficiaries of an activity or ~~project~~ previously described in ~~its~~the Consolidated or Action Plan
4. Change in allocation priorities or change in the ~~use~~method of ~~CDBG~~distribution of funds ~~from one eligible activity or project to another previously described in its Consolidated or Action Plan~~

~~5.~~ Change in an activity ~~or project~~ (previously described in its Consolidated or Action Plan)

~~6.~~5. ~~***Total***~~ ***total*** ***dollar amount*** *allocated* or budgeted by more than 25 percent

[1] Activity: The first level of HUD categories of activities at 24 CFR 570.201-206 and listed on the Annual Action Plan budget page that accompanies the SF-424 Form, i.e. public services, public facilities and improvements, etc.

Minor Amendments

Minor ~~amendments represents~~Amendments, including the Administrative Transfer and the Line Item Transfer, are not Substantial Amendments and do not require a citizen participation process.

Administrative Transfers represent any action that changes ~~to the Consolidated Plan or Action Plan that do not qualify as "substantial amendments", and are more~~ an activity budget by less than ~~1~~25 percent~~ change in funding including correction of errors in the original plan.~~. Minor amendments require the signature of the City of Houston, ~~Housing and Community Development Department director or designated representative, but do not require public notice of 30 days or City Council approval.~~

~~One-Percent Amendments~~

~~A one-percent amendment represents any change in the funded amount of a project that is one-percent or less change. These amendments require the signature of the City of Houston, Housing and Community Development Department director~~HCDD Director, or designated representative, but do not require public notice of 30 days or City Council approval.

~~**Emergency Amendments**~~

~~From time-to-time a natural disaster (such as, hurricane) or other catastrophic occurrence within the City of Houston may necessitate a Consolidated Plan amendment which could meet the definition of a Substantial Amendment. The City of Houston may request and obtain from HUD a complete waiver or reduction in days of the required 30 days public notice period for Substantial Amendments. These amendments require the signature of the City of Houston, Housing and Community Development Department director or designated representative, adherence to state and local laws regarding contracts and procurement, and HUD waiver and/or approval, but do not require public notice of 30 days.~~
Line Item Transfers represent the movement of funds within a line item and not from activity to another activity. They require neither a 30-day public comment period nor City Council approval. Line Item Transfers may be signed off at the HCDD Senior Management level.

Anti-Displacement and Relocation

All of the City of Houston's Consolidated Plan activities are designed to eliminate (or minimize) the occurrence of displacement. Program guidelines and limitations are structured so that temporary displacement is unlikely.

Tenants in occupied rental properties are made aware of their rights with respect to displacement and relocation. Property owners are made aware of their rights and responsibilities: property owners must assume the financial responsibility for the displacement and relocation of their tenants.

If an *involuntary* displacement should occur, it is the City of Houston's policy to provide housing referral assistance and, if required, make relocation payments in accordance with local, state, and federal law.

Consolidated Annual Performance Evaluation Report (CAPER)

The City is required to submit a CAPER for its CPD programs to HUD no later than 90 days from the end of a program year (i.e. late – September). In general, the CAPER describes how funds were actually spent and the extent to which these funds were used for activities that benefitted low- and moderate-income people.

The City will publish notice that its CAPER is available for review so the public will have sufficient opportunity to review and comment on the report. The notice will be published in one or more local newspapers of general circulation. There will be a 15 day public comment period prior to the submission of the CAPER to HUD.

The City will consider all comments or views received in preparing the performance report. A summary of these comments and views shall be attached to the performance report.

Complaints

Written complaints from the public about the City's Citizen Participation, Consolidated Plan/Annual Action Plan, Substantial Amendments, or Performance Reports process will receive careful consideration and will be answered in writing within 15 business days (where practicable).

Written complaints should be sent to the Director's Office, Attn: Grants Manager at the following address: City of Houston, Housing and Community Development Department, 601 Sawyer, Suite 400, Houston, Texas 77007.

Appendix # 8: Language Assistance Plan

CITY OF HOUSTON

Language Assistance Plan

FOR THE

HOUSING AND COMMUNITY DEVELOPMENT DEPARTMENT

DRAFT

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Houston, TX 77007

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713-864-2734 (TTY)

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Introduction

The City of Houston is an incredibly diverse community where residents speak many different languages. More than 45% of Houston's almost 2 million residents over the age of 5 years old speak a language other than English at home (2006-2010 American Community Survey). Many Houstonians, whose first language is not English, may have a limited ability to read, write, speak, or understand English and are considered limited English proficient or "LEP". LEP individuals may not have the same access to important housing and social services as those who are English proficient. LEP individuals may lack the social networks to connect them to housing services and programs; if connected to the programs, they may fail to comply with applicable responsibilities that could qualify them for programs and services. For these and other reasons, LEP individuals may encounter significant barriers to housing and social service programs.

Title VI of the Civil Rights Act of 1964 (Title VI) is the federal law that protects individuals from discrimination on the basis of their race, color, or national origin in programs that receive federal financial assistance. In certain situations, failure to ensure that persons who are LEP can effectively participate in or benefit from federally assisted programs may violate Title VI's prohibition against national origin discrimination.

Title VI requires organizations whose programs are federally funded to ensure meaningful access to those programs. In order to promote meaningful access for LEP persons to housing services and programs, the City of Houston Housing and Community Development Department (HCDD) prepared this Language Assistance Plan (LAP). HCDD administers various HUD funded activities through special grants and entitlement grants including Community Development Block Grant (CDBG), Home Investment Partnerships Grant (HOME), Housing Opportunities for Persons with AIDS (HOPWA), and Emergency Solutions Grant (ESG).

Federally assisted recipients are required to make reasonable efforts to provide language assistance to ensure meaningful access for LEP persons to the recipient's programs and activities. To do this, the recipient can:

1) Conduct a four-factor analysis

2) Develop a Language Access Plan (LAP)

3) Provide appropriate language assistance

The purpose of this LAP is to implement a process by which HCDD will provide greater access and participation in its services, programs, and activities for Houstonians with limited English proficiency. This LAP sets forth a framework of the language services and procedures that HCDD will implement in order to address the needs of LEP persons. In developing the LAP, HCDD followed guidelines issued by HUD including the recommended four-factor analysis to determine LEP needs and organizational capacity to meet those needs.

Development of the Plan

HCDD developed this LAP to help identify reasonable steps to ensure nondiscrimination for providing language assistance to persons with limited English proficiency who seek services and programs funded by HCDD. As defined in Executive Order 13166, LEP persons are those who do not speak English as their primary language and have limited ability to read, speak, write, or understand English.

This plan outlines how to identify a person who may need language assistance, the ways in which assistance may be provided, staff training that may be required, and how to notify LEP persons that assistance is available.

In order to prepare the document, the City undertook the four-factor analysis which takes into account the following:

1. The number or proportion of LEP persons eligible to be served or likely to be encountered by the programs and services provided through HCDD funding.

2. The frequency with which LEP persons come into contact with the CDBG programs and services.

3. The nature and importance of the CDBG programs and services to the person's life.

4. The resources available to City staff and overall costs to provide LEP assistance.

Four Factor Analysis

The four-factor analysis is recommended by HUD in order to determine the eligible LEP population and the level of need for language services to be provided to LEP individuals by HCDD. HCDD prioritizes language access by utilizing the list of most spoken citywide LEP languages based on Census data and internal research. HCDD provides services to LEP customers by various methods based upon the relative number of such persons and the frequency of contacts or anticipated contacts. Reasonable steps are taken to accomplish the goal of providing meaningful access to LEP persons within the cost limitations of HCDD funding.

Factor 1: The number or proportion of LEP persons eligible to be served or likely to be encountered by the programs and services provided through HCDD funding.

HCDD used the 2006-2010 American Community Survey 5-year estimates to determine the potential LEP population in the City of Houston. The following tables provide information about these populations.

Compared to the Houston MSA, Texas, and the U.S, the City of Houston has the highest percentage of multi-lingual residents with almost half of the residents 5 years or older speaking a language other than English at home. There is also a higher percentage of residents within the City of Houston that speak English "Less Than Very Well", even compared to the Houston-Sugar Land-Baytown Metropolitan Area.

Breakdown of Population 5 Years or Older – Language Spoken at Home

	United States	Texas	Houston-Sugar Land-Baytown Metro Area	City of Houston
Total Population	283,833,852	22,408,337	5,247,610	1,898,242
Speak English Only	79.9%	65.8%	63.5%	54.7%
Speak English "Very Well"	91.3%	85.6%	82.7%	75.7%
Speak English "Less Than Very Well"	8.7%	14.4%	17.3%	24.3%
Source: Table S1601, 2006-2010 American Community Survey				

The City of Houston is a diverse community. Houston residents speak more than 39 different languages. The most frequently spoken non-English languages are Spanish, Vietnamese and Chinese (2006-2010 American Community Survey, Table S16001).

Language Spoken at Home for the Population 5 Years or Older in the City of Houston

Language Spoken at Home	# of Persons	% of Population
Spanish or Spanish Creole	705,212	37.2%
Vietnamese	30,460	4.6%
Chinese	24,029	1.3%
African Languages	12,339	0.7%
French	9,142	0.5%
Hindi	7,515	0.4%
Arabic	7,414	0.4%
Urdu	7,176	0.4%
Source: Table S16001, 2006-2010 American Community Survey		

Estimating the number of residents with limited English proficiency is important when identifying the need for language services. The following shows the number of City of Houston residents that speak English "Less than Very Well". Those with limited English proficiency most frequently speak Spanish, Vietnamese, and Chinese.

Populations 5 Years or Older by Language That Speak English "Less than Very Well" in City of Houston

Language Spoken at Home	Population	Percent of Total Population
Spanish or Creole	398,597	21%
Vietnamese	19,364	1%
Chinese	13,162	1%
African Languages	3,369	0.2%
Arabic	2,776	0.1%
Korean	2,774	0.1%
Urdu	2,235	0.1%
Other Indic Languages	2,212	0.1%
Other Asian Languages	2,145	0.1%
French (incl. Patois, Cajun)	1,937	0.1%
Hindi	1,555	0.1%
Russian	1,456	0.1%
Persian	1,307	0.1%
Tagalog	1,187	0.1%
Source: Table S16001, 2006-2010 American Community Survey		

Residents living below the poverty line are in greater need of services that HCDD funding helps to provide, including housing and supportive services for low- and moderate-income persons. Examining the language spoken by the population living in poverty can help determine language needs of those in poverty and thus those most in need of HCDD services. In 2012 poverty is defined as those households who make $23,050 for a household of four (http://aspe.hhs.gov/poverty/12poverty.shtml/#thresholds). The Area Median Income (AMI) in the Houston Metropolitan Area for a family of four is $66,900 and the Poverty Line is approximately 34% of AMI (http://www.huduser.org/portal/datasets/il/il2012/2012summary.odn).

Poverty Status in the City of Houston by Language Spoken at Home for Population 5 Year and Over

	Population	Percent of Total Population	Percent of Population in Poverty
People in Poverty	370,028	20%	100%
Speak Spanish	176,833	9%	48%
Speak Asian and Pacific Island languages	11,433	1%	3%
Speak other Indo-European languages	8,848	0%	2%
Speak other languages	4,523	0%	1%
Source: Table B16009, 2006-2010 American Community Survey			

Summary of the U.S. Census American Community Survey Data:

- Almost half (45.3%) of the population living in the City of Houston speaks English and another language, which is a greater percentage compared to the United States (20.1%), Texas (34.2%), and the metropolitan area (36.5%).
- The most common languages spoken at home in the City of Houston are Spanish, Vietnamese and Chinese.
- Almost one quarter (24.3%) of the population living in the City of Houston speaks English "less than well", which is a greater percentage compared to the United States (8.7%), Texas (14.4%), and the metropolitan area (17.3%).

- More than one fifth (21%) of the population living in the City of Houston speaks Spanish and speaks English “less than well”. Two other languages have a significant number of people that speak English “less than well”: Chinese (1%) and Vietnamese (1%). All other languages, as identified in the Census, have populations less than 4,000 people that speak English “less than well”.
- Almost half (48%) of the people living in poverty in Houston speak Spanish at home.

Factor 2: The frequency with which LEP persons come into contact with HCDD programs and services.

There are three primary ways that residents, including LEP persons, contact HCDD to inquire about HCDD programming and assistance. First, HCDD's front desk staff person directs callers and visitors to the appropriate HCDD Division staff member or to other City Departments. Second, HCDD's Homebuyer's Hotline / Housing Call Center answers questions and serves as the first step to participation in HCDD's housing programs including the Single Family Home Repair Program and Homebuyer's Assistance Program. Finally, the Fair Housing Office receives calls from citizens needing assistance with landlord/tenant relations or other fair housing concerns. These HCDD staff members interact with potential clients, including LEP clients, on a daily basis over the phone and in-person. Other staff members have less frequent interaction with LEP persons.

There is limited data available regarding HCDD staff contacts with LEP persons. Conversations with HCDD program staff provide anecdotal evidence about the frequency of contacts of the various LEP language groups with HCDD programs. This anecdotal evidence suggests that staff most frequently come in contact with LEP Spanish speakers that need language assistance. The second most frequent contacts are with Vietnamese speakers; however, these contacts are much less compared with LEP Spanish speakers.

Factor 3: The nature and importance of the HCDD program, activity or service to the person's life.

The majority of contacts between HCDD staff and LEP persons are through phone calls, in-person meetings, and written communication. The following illustrates the contacts between HCDD Divisions and Offices and the services provided to the public.

Division/Office	Target Population	Core Service	Level of Importance to LEP Population
Homebuyer's Assistance Program	LMI homebuyers	Provides funding assistance to LMI households purchasing homes	High
Single Family Home Repair Program	LMI homeowners	Provides funding assistance to LMI homeowners to repair, rehab, or rebuild their current home	High
Fair Housing Office	Residents or potential residents of Houston	Assists residents of the Houston area who believe they have experienced discrimination under the Fair Housing Act	High
Public Services Program	LMI residents, homeless persons, HOPWA persons and other special needs populations; subrecipients	Funds nonprofits and city departments in support of housing and related supportive service programs	High
Public Information Office	City of Houston residents, stakeholders, City Council	Provides information about HCDD activities	High
Municipal & Private/Public Facilities	LMI residents and special needs populations; subrecipients	Funds subrecipient's rehabbing and constructing public facilities (parks, neighborhood facilities) and funds other city departments for lead abatement and code enforcement activities	Medium
Multifamily/ Commercial Construction	LMI residents in need of housing; special needs populations in need of housing; developers	Funds non-profit and for-profit developers to rehab or build affordable housing or create economic development opportunities	Medium
Planning and Grants Management	Internal staff and Houston residents	Ensures HCDD's compliance with all applicable laws and regulations and plans for future activities by soliciting public input	Medium
Compliance and Monitoring	Internal staff, subrecipients, developers, and contractors	Monitors HCDD contracts, activities associated with Section 3, Davis-Bacon, MBE, Environmental Assessments, Fair Housing	Low
Finance	Internal staff	Handles financial reporting, budgeting, payment processing, and IT Services	Low
Administration and Procurement	Internal staff	Handles records management, facilities, administrative services, and procurement activities	Low

Factor 4: The resources available to city staff and overall costs to provide LEP assistance.

HCDD utilizes a combination of multilingual staff members and procured vendors as professional, competent translators and interpreters. HCDD staff address most language assistance needs. Staff assist with over-the-phone and in-person interpretation, as well as, some document translation services. The City of Houston implements a bilingual pay system in which employees are identified as translators (Bilingual Pay Policy for Municipal Employees, Administrative Policy No. 3-9). HCDD staff have access to a list of bilingual HCDD employees that can assist with interpretation through the Staff Directory, which is updated regularly. If HCDD bilingual staff members are not proficient in the language needed, the City's Human Resources Department may assist in locating a bilingual staff person that can assist with translation from another city department. These are low cost methods of providing language services.

The City of Houston's 3-1-1 service request line has a contract with Language Line, which assists with over-the-phone translation services in over 170 languages and is available twenty-four hours a day. HCDD staff may use the Language Line. In addition, HCDD may contract with area vendors to provide in-person interpretation upon request in advance of public hearings. HCDD will procure qualified area vendors for written translation, when needed and as funding allows.

In the future, HCDD may research establishing partnerships with community organizations or educational institutions to provide volunteer interpreter services for clients as an alternative to procured interpreter services.

Language Assistance Measures

In order to promote equal access to HCDD programs and services by LEP individuals, HCDD will implement the following array of language assistance services. Actions will be implemented and monitored by HCDD staff.

HCDD will provide the appropriate level of oral interpretation and written translation services based on the four factor analysis. Members of most language groups will at least have the ability to receive oral translation services through 3-1-1's Language Line if an HCDD or City staff member cannot provide oral translation services adequately. However, due to financial constraints and undemonstrated need at this time for certain program documents to receive written translation, HCDD will focus its resources on providing written translations for LEP populations with the greatest language needs.

Oral Interpretation Services

The need for oral interpretation services arises in one of two ways: either HCDD staff receive a telephone call or a client visits the HCDD office or a public hearing. The following describes the process that HCDD will use when receiving LEP clients through telephone communication or in-person visits.

Telephone Communication

1. The HCDD Staff Directory indicates individuals within HCDD who can speak a non-English language and receive bilingual pay. The Staff Directory is available to all HCDD staff.

2. A staff member who receives a call from an LEP client will assess the primary language needed by the client. If that staff member is listed as bilingual in the Staff Directory and speaks a language relevant to the LEP caller, then that staff member will assist the client. If communication becomes difficult for any reason, the staff member will transfer the call to another HCDD employee identified on the Staff Directory as having language skills relevant to the LEP caller.

3. If a HCDD staff member receives a call from a LEP client and does not speak the language of the caller, the staff member will transfer the call to another member who is listed in the Staff Directory with relevant language skills.

4. If there is not an HCDD staff member indicated on the Staff Directory that speaks a language relevant to the LEP caller, then the staff member can use the 3-1-1 Language Line to communicate with the caller.

In-Person Client Visit

LEP clients visit the HCDD office for meetings with program staff, mostly regarding the Homeowner Repair Program. Other LEP clients may visit the office for general information about HCDD programs.

1. For in-person client visits, the front-desk staff member is the first point of contact with the client. Front desk staff will assess the language needs of in-person LEP visitors. Staff will be equipped with HUD's "I Speak" language card to facilitate language identification, if necessary. After identifying the relevant language, front desk staff will refer to the Staff Directory to identify staff that may best assist the client.

2. The identified staff member will meet with the LEP individual and provide oral translation services.

3. If no HCDD staff members can effectively assist an LEP individual, then the staff member may utilize the 3-1-1 Language Line.

4. If needed, HCDD may ask for assistance from other city staff that receive bilingual pay and speak languages other than those spoken by HCDD staff. The City's Human Resources Department will assist with identifying other city staff that may assist with language needs including in-person interpretation services.

Public Hearing Translation Assistance
When needed, HCDD will contract with area vendors to provide in-person interpretation upon request in advance of public hearings. HCDD may provide in-person interpretation for Public Hearings without advanced request, as need is anticipated and as funding is available.

Written Translation Services

HUD guidance specifies that "vital" documents be translated for eligible LEP persons. HUD's Final Guidance defines vital documents as "any document that is critical for ensuring meaningful access to the recipients' major activities and programs by beneficiaries generally and LEP persons specifically". HUD characterizes a document as vital depending "upon the importance of the program, information encounter, or service involved, and the consequence to the LEP person if the information in question is not provided accurately or in a timely manner" (Federal Register / Vol. 72, No. 13 / Monday, January 22, 2007 / Notices p. 2732). However, this does not mean that a "vital" document should automatically receive written translation. Under the four factor framework, the frequency of contact with the document and organizational resources must also be considered.

HCDD does not currently collect data on which documents and programs are most accessed by each LEP language group, and so it is difficult to assess the frequency with which LEP clients access certain HCDD programs. As identified in the four factor analysis, anecdotal evidence through conversations with HCDD program staff suggest that Spanish speakers have had the greatest demand for language services, in particular the housing programs. In the future, data collection will be enhanced to allow HCDD to more accurately assess LEP needs within programs. The data will be used to refine the Plan during future reviews to more effectively serve LEP clients.

If oral translation, other program material, or a summary of a written document cannot be provided, a written translation of the document may best serve LEP individuals. The following provides a framework that will be used to assess which program documents qualify for written translation into non-English languages.

Framework for Providing Written Translation Materials		
	Document does not need translation	Document may need translation
Can the document be translated orally?	Yes	No
Do LEP clients frequently access the document?	No	Yes
Is the document the only material available for increasing LEP client access to housing programs and social services?	No	Yes

Working with Subrecipients of Federal Funding

HUD guidance specifies that subrecipients of HUD funding are subject to LEP guidelines. HCDD administers various forms of HUD funding to nonprofit and other community organizations. HCDD will collaborate with these organizations so that they understand LEP guidelines.

Since HCDD funds a diverse group of organizations providing a wide range of services, there is not one approach to developing each nonprofit's LAP. In addition, subrecipients may not have the resources and may choose not to develop a written LAP, however organizations without a written plan must still ensure meaningful access to their programs and activities by LEP persons. HCDD will work with subrecipients who elect not to develop a written plan, to find alternative ways to illustrate and record their plans to provide meaningful access to LEP individuals.

Staff Training and Coordination

HCDD will provide training on the required assistance actions under the Language Assistance Plan for HCDD employees. This will include:

1. Training: As needed, training will be scheduled for all employees to review the Language Assistance Plan elements, review new procedures related to the LAP, and inform staff of their responsibilities relative to LEP persons. On an ongoing basis, periodic refresher training will be provided to staff who regularly interact with HCDD clients. Discussion about the LAP and procedures will be periodically reviewed during Management Staff meetings so that they may reinforce its importance and ensure its implementation.

2. Coordination: The Planning and Grants Management Division is responsible for updating of the LEP analysis and the LAP, addressing staff and public questions and issues related to LEP matters, and providing ongoing training.

Providing Notice to LEP Persons: Marketing and Outreach

Marketing efforts are instrumental to ensuring that LEP clients seeking language assistance for housing programs and social services receive appropriate and quality language services. Additional marketing and outreach efforts may uncover latent demand for LAP services, which may be tracked through future data collection efforts. To ensure that LEP persons are aware of the language services available to them, HCDD will take the following actions:

- Provide notice of language services available in documents and for HCDD visitors
- Place the "I Speak Card" in the HCDD lobby available for visitors to use
- Incorporate multilingual messages into HCDD outreach documents
- Post translated marketing materials and written documents on the HCDD website
- Work with community organizations and other stakeholders to inform LEP persons of available language assistance services
- Place public hearing announcements or information about programs and services on non-English media outlets, such as immigrant community newspapers or radio stations

Record Keeping and Evaluation

To continue to provide effective services for LEP clients, HCDD will monitor its progress and adjust this LAP as necessary to client needs. As a part of the HCDD reporting process, the LAP will be reviewed annually and updated, if needed. The review will assess:

- Whether there have been any significant changes in the composition or language needs of LEP populations
- Any issues or problems related to serving LEP persons which may have emerged during the past year
- Identification of any recommended actions to provide more responsive effective language services

Collecting, analyzing, and maintaining data is one way that HCDD may assess the effectiveness of the LAP. HCDD will explore ways to regularly collect and maintain data on each encounter with an LEP client. The data may include the following:

- Method of communication (telephone or in-person)
- Type of language needed (Spanish, Vietnamese, Chinese, etc.)
- Type of language service needed (oral or written translation)
- The program being accessed (homebuyer, housing rehab, landlord/tenant)
- Outcome (how was the issue resolved and how long did it take)

Since it will be part of the HCDD's overall planning process, the annual LAP review and update process will facilitate public review and comment. HCDD may also utilize its annual survey to query residents about their LEP needs.

Appendix # 9: Public Hearing and Public Outreach Materials

TELL US ABOUT YOUR COMMUNITY NEEDS!

THE HOUSING AND COMMUNITY DEVELOPMENT DEPARTMENT (HCDD) IS SURVEYING YOUR COMMUNITY'S NEEDS.

The information from the Community Needs Survey will guide HCDD in the annual process of allocating millions of federal dollars to activities that serve low- and moderate-income Houstonians, which include but are not limited to: affordable housing, single-family home repair, economic development, neighborhood facility improvements, and programs serving people with special needs. HCDD will be using new and innovative technology to assess demographic, individual and community needs, in addition to our budget priorities for the 2013 Action Plan for Federal Entitlement Grants.

Monday — October 29, 2012 6-8 p.m.
ACRES HOMES MULTI-SERVICE CENTER
6719 W. Montgomery Houston, TX 77091

For public transportation use
METRO: ACRES HOMES TRANSIT CENTER

Thursday — November 8, 2012 6-8 p.m.
CITY HALL ANNEX
900 Bagby Houston, TX 77002

For public transportation use
METRO: 6, 18, 36, 40, 50

The public may also communicate their respective community needs by:

1. **PRESENTING PUBLIC COMMENTS ON THE RECORD AT A HEARING;** and or
2. **WRITE A LETTER TO:** Housing and Community Development Department, 601 Sawyer, 4th Floor, Houston, TX 77007 Attn: Andrea Jones, Public Hearing Coordinator (andrea.jones@houstontx.gov). Letter must be received by Monday, November 12, 2012.

For questions or more information, or if you require special accommodations to attend the Public Hearings: Interpreter, Captioning, Sign Language, or Other, please call **Andrea Jones** at **713-868-8300**.

EQUAL HOUSING OPPORTUNITY @HoustonHCDD 10.15.12

CITY OF HOUSTON
HOUSING AND COMMUNITY DEVELOPMENT

¡DÍGANOS CUALES SON LAS NECESIDADES DE SU COMUNIDAD!

EL DEPARTAMENTO DE VIVIENDA Y DESARROLLO COMUNITARIO (HCDD) ESTÁ REALIZANDO UNA ENCUESTA ACERCA DE LAS NECESIDADES DE SU COMUNIDAD.

La información de la Encuesta Acerca de las Necesidades de la Comunidad dirigirá a HCDD en el proceso anual de asignar millones de dólares federales para actividades que prestan servicio a los Houstonianos de ingresos bajos o moderados, los cuales incluyen pero no se limitan a: vivienda económica, reparación de vivienda unifamiliar, desarrollo económico, mejoras de instalaciones del vecindario, y programas que prestan servicios a personas con necesidades especiales. HCDD utilizará tecnología nueva e innovadora para determinar las necesidades demográficas, individuales y de la comunidad, además de nuestras prioridades del presupuesto del Plan de Acción 2013 para las Concesiones Federales por Derecho.

Lunes — Octubre 29, 2012 6-8 p.m.
ACRES HOMES MULTI-SERVICE CENTER
6719 W. Montgomery Houston, TX 77091

Para transportación pública utilice
METRO: ACRES HOMES TRANSIT CENTER

Jueves — Noviembre 8, 2012 6-8 p.m.
CITY HALL ANNEX
900 Bagby Houston, TX 77002

Para transportación pública utilice
METRO: 6, 18, 36, 40, 50

El publico también puede comunicar las necesidades de su comunidad respectiva de las siguientes formas:

1. **PRESENTE SUS COMENTARIOS PÚBLICOS EN EL REGISTRO EN LA AUDIENCIA**; y/o
2. **ESCRIBA UNA CARTA AL :** Departamento de Vivienda y Desarrollo Comunitario, 601 Sawyer St., 4th Floor, Houston, TX 77007
   Att: Andrea Jones, Coordinadora de la Audiencia Publica - andrea.jones@houstontx.gov
   Su carta debe ser recibida para el Lunes 12 de Noviembre.

Si tiene preguntas o necesita mas información, o si necesita arreglos especiales para asistir a las Audiencias Públicas: Intérprete, Subtitular, Lenguaje de Señas, u otro, por favor llame a **Andrea Jones al 713-868-8300.**

EQUAL HOUSING OPPORTUNITY @HoustonHCDD 10.16.12

CITY OF HOUSTON
HOUSING AND COMMUNITY DEVELOPMENT

PUBLIC NOTICE

The City of Houston's Housing and Community Development Department (HCDD) has initiated work on the 2013 Annual Action Plan and has scheduled its Fall Public Hearings on the Plan for Monday, October 29, 2012, at 6:00 p.m. in the Acres Homes Multi-Service Center (6719 W. Montgomery, Houston, TX 77091), and Thursday, November 8, 2012, at 6:00 p.m. in the former Council Chambers at City Hall Annex (900 Bagby, Houston, TX 77002 Public Level). The purpose of these two (2) hearings is to gather the public's input on community needs and budget priorities to help prepare the 2013 Annual Action Plan, due in May 2013.

Contact HCDD if special arrangements are needed (Interpreter, Captioning, Sign Language, or Other). The public may also write a letter to the attention of Andrea Jones, 601 Sawyer Street, 4th Floor, Houston, TX 77007 (andrea.jones@houstontx.gov) by Monday, November 12th. For more information on the Public Hearings, please contact Andrea Jones (713) 868-8300 or access HCDD's Web site at www.houstonhousing.org.

Aviso Publico

El Departamento de Vivienda y Desarrollo Comunitario (HCDD) de La Ciudad de Houston ha iniciado la preparacion del Plan de Accion 2013 y ha programado sus Audiencias Publicas del Otono acerca del Plan el Lunes, Octubre 29, 2012, a las 6:00p.m. en el Acres Homes Multi-Service Center (6719 W. Montgomery, Houston, TX 77091), y el Jueves, Noviembre 8, 2012, a las 6:00 p.m. en la sala anteriormente conocida como Council Chambers en City Hall Annex (900 Bagby, Houston, TX, 77002 Nivel Publico). El proposito de estas dos (2) audiencias es recibir la aportacion del publico acerca de las necesidades de la comunidad y prioridades del presupuesto para ayudar a preparar el Plan de Accion Anual 2013, que se sometera en Mayo 2013.

Contacte a HCDD si necesita arreglos especiales (Interprete, Subtitular, Lenguaje de Senas, u otro). El publico tambien puede escribir una carta y enviarla a Andrea Jones, 601 Sawyer Street, 4th piso, Houston, TX 77007 (andrea.jones@houstontx.gov) para el Lunes 12 de Noviembre. Para mas informacion sobre las audiencias publicas, por favor comuniquese con Andrea Jones (713) 868-8300 o reviese el sitio web de HCDD en www.houstonhousing.org.

ACCOUNTANTS

ML

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Member AICPA

MICHAEL LOVE, CPA

Email: mlovecpa@yahoo.com

www.michaellovecpa.net

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Houston, TX 77054

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713-666-2932 Fax

ATTORNEYS

Jolanda "Jo" Jones

Criminal Defense Lawyer

713-874-1111

attorneyjo@aol.com

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Public Notice

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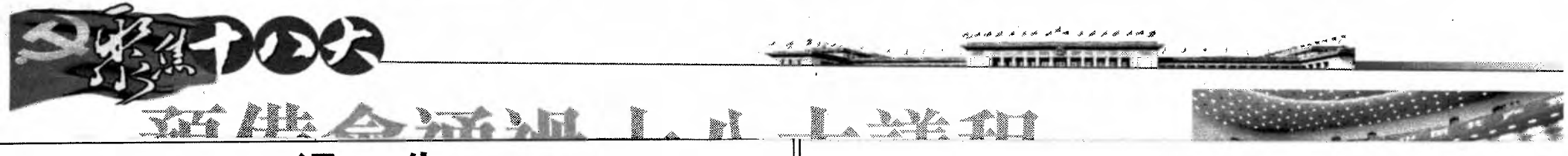


總統發去賀電，祝賀其連任。佩斯科夫說：“當美方確認收到這封賀電後，大家就能知道此信的具體內容了。我們希望繼續發展俄美關係，並促進世界安全、穩定。”此外，佩斯科夫還稱，普京打算近日專門打電話向歐巴馬表示祝賀。

俄總理梅德韋傑夫7日在被問到對美國總統大選結果的評價時也表示：“獲得連任的歐巴馬是位可理解和有確定性的夥伴。我們的國際關係很好，俄羅斯希望與美國保持正常國家關係，這對國際局勢極重要。”梅德韋傑夫同時還不忘渲揚落敗的尼姆尼，他說：“我很高興，這個有重要影響力國家的總統不是那位稱‘俄羅斯是頭號敵人’的人。”

隨著歐巴馬的連任，俄美關係真正開啟了“普奧時代”。那麼，在“三進宮”的普京和“二進宮”的歐巴馬帶領下，俄美關係又會朝那個方向發展呢？美國駐俄羅斯大使麥克弗7日在微博中說：“4年前，歐巴馬政府確定了對俄羅斯的戰略，這個戰略現在還會繼續實施。”

俄《俄羅斯在全球化世界中》雜誌主編盧基揚諾夫認為，歐巴馬比羅姆尼和美國絕大多數政治家更深刻地認識到世界格局的變化，更清醒地意識到美國原來的做法已過時。盧基揚諾夫還特別提到一個細節，即今年3月26日，歐巴馬在與時任俄總統的梅德韋傑夫在首爾核峰會期間曾有過“悄悄話”，但不小心被媒體得知。

如是歐洲海彈防禦系統，都是可以解決的，但重要的是他要給我空間。”他認為，歐巴馬很可能是故意給媒體放消息，表示他如果連任將在處理國際事務上更加靈活。

盧基揚諾夫稱，歐巴馬連任後將繼續對俄執行“關係重啟”政策。這一政策其實在普京今年5月再次就任俄總統後陷入了“停滯期”，至於這個政策在“普奧時代”將被貼上什麼標簽，仍需觀察。

俄羅斯普列漢諾夫經濟學院院長馬爾科夫也認為，歐巴馬的連任對俄有利，對俄美關係的穩定和發展有利。他認為，歐巴馬的智囊團裏反俄人士少，歐巴馬本人的冷戰思維少。歐巴馬視俄羅斯為解決一

”。馬爾科夫稱，歐巴馬本人更注重國家領導人的“個人領袖氣質”，普京就是具有“領袖氣質”的領導人。所以，歐巴馬和普京更容易建立起私人關係，這將對俄美關係的總體改善有益。普京2012年9月在接受《今日俄羅斯》記者採訪時說：“我認為，歐巴馬是個真誠的人，他的確想推動世界向好的方向發展。在歐巴馬當政的4年時間裏，俄美關係改善了。”和此人一樣，俄多名國際問題專家都斷言，毫無疑問，克里姆林宮在這次美國大選中是支援歐巴馬的。

Photo credit: 2013 AP Photo/Pool

通　告

休斯頓住房和社區發展部(HCDD)將開始2013年年度行動計劃，並且安排了兩次秋季公開聽證會。2012年10月29日，星期一下午6點在Acres Homes綜合服務中心(6719 W. Montgomery, Houston, TX 77091)，2012年11月8日，星期四下午6點在前Annex市政廳理事會會議室(900 Bagby, Houston, TX 77002公共層面). 這兩次的聽證會目的在於收集社區需要和預算方面的公衆意見，為2013年5月到期的年度行動計劃做準備。

如需要特別安排請聯繫HCDD (口譯，字幕，手語或其它方面)。此外，市民也可以在11月12日星期一以前寫信給安德烈•瓊斯(Andrea Jones)，信件寄到601 Sawyer Street, 4th Floor, Houston, TX 77007，或電子郵件(andrea.jones@houstontx .gov)。關於公開聽證會的更多信息，請於安德烈•瓊斯(Andrea Jones)聯絡，聯絡電話(713) 868–8300，或到HCDD網站www.houstonhousing.org查看。

德克萨斯州哈里斯郡城市交通运输管理局（地铁公司）公开会议通知

特此通知，德克萨斯州哈里斯郡城市交通运输管理局（Metropolitan Transit Authority of Harris County, TX）（地铁公司）的董事会将在2012年11月14日（星期三）中午进行公开会议，听取公众有关2013年2月提议变动的意见，其中包括以下内容：

2013年1月服务更改，德克萨斯州休斯顿1900缅因街，77002 (June 2013 Service Change, 1900 Main Street, Houston, TX 77002)。您还可将您的意见传真至713-652-7956，标题注明为2013年1月服务变动（January 2013 Service Change）或访问地铁公司的网站www.RideMETRO.org提交意见。还可拨打地铁公司客户服务热线713-635-4000提交您的意见。

应在公开会议截止日期和时间之前将意见提交至地铁公司

身体不便人士帮助

对于身体不便人士，可提供辅助功能服务或其他格式的

ĐỜI SỐNG • HEALTH

Are Cancer Patients' Hopes For Chemo Too High?

At least two thirds of people with advanced cancer in a new survey believed the chemotherapy they're receiving might cure them, even though the treatment is only being given to buy some time or make them comfortable.

"Their expectations are way out of line with reality," Dr. Deborah Schrag of the Dana-Farber Cancer Institute in Boston told Reuters Health.

Her team reports in the New England Journal of Medicine that 69 percent who were terminally ill with lung cancer, and 81 percent with fatal colorectal cancer, did not understand that their chemotherapy was not at all likely to eliminate their tumors.

When lung cancer or colorectal cancer has spread, chemotherapy may extend survival for weeks or months at a cost of some substantial side effects.

Perhaps ironically, the patients who had the nicest things to say about their doctors' ability to communicate with them were less likely to understand the purpose of their chemotherapy than patients who had a less-favorable opinion of their communication with their physician.

"This is not about bad doctors and it's not about unintelligent patients," said Schrag. "This is a complex communication dynamic. It's hard to talk to people and tell them we can't cure your cancer" because doctors find it uncomfortable to hammer home grim news and patients don't want to believe it.

"If patients actually have unrealistic expectations of a cure from a therapy that is administered with palliative intent, we have a serious problem of miscommunication that we need to address," write Drs. Thomas Smith and Dan Longo of Johns Hopkins University School of Medicine in a commentary accompanying the study.

"This may explain why two months before death, half of all patients with lung cancer have not heard any of their doctors use the word 'hospice,'" they write.

TONING DOWN OPTIMISM 'HARD'

The study "suggests we need to spend a little more time" explaining the hard facts to patients, said Dr. Hossein Borghaei, an oncologist at the Fox Chase Cancer Center in Philadelphia, who was not involved in the research. "Medical oncologists are going to need to tone down their optimism and enthusiasm, but it's hard."

The findings are based on interviews with 1,193 patients, or their surrogates, who had been diagnosed with cancer that had spread. All were receiving chemotherapy.

"The fact that 20 to 30 percent of respondents recognized that chemotherapy was not at all likely to cure them shows that at least some patients were able to accept this reality and to acknowledge it to an interviewer," the researchers wrote.

"These are not trivial issues. Chemotherapy near the end of life is still common, does not improve survival, and is one preventable reason why 25 percent of all Medicare funds are spent in the last year of life," write Smith and Longo.

"There is a lot of harm in not having patients understand the finality of the disease," said Borghaei. Chemo drugs "are very powerful, they have a lot of side effects, the chemotherapy is going to harm you more than it helps you, and it can actually shorten your life. All of this should be taken into account."

Smith and Longo said the results are probably due, in varying degrees, to patients not being told their disease is incurable, patients not being told in a way that let them understand, patients choosing to not believe the message, or patients being too optimistic. "It is probably a combination of all four possibilities," they said.

Borghaei said the study "doesn't take into account what patients bring to the table when they are diagnosed with incurable cancer."

Many patients insist that they are going to beat the odds once they hear the news.

"What are you supposed to do, stand in front of someone with advanced disease and argue with them?" said Borghaei. "It's not productive. But I hear that all the time, especially from the younger patients."

"I think it serves as a stark reminder to physicians: just slow down, maybe take a few minutes to realize how hard this is," said Schrag. "Recognize that this is not one conversation, but typically a series of conversations to see if they've understood it, and how they're acting on it."

When a cure is extremely unlikely, "We have to make sure people have the opportunity to plan and prepare for what is much more likely to happen," she said.

People More Likely To Do CPR In Wealthier Areas

People in wealthier white or integrated neighborhoods are more likely to try to save a cardiac arrest victim using CPR than people in other neighborhoods, according to a large U.S. study funded by the Centers for Disease Control and Prevention.

"Where you drop literally can determine your likelihood of having someone stop and do CPR, and it changes from one side of the street to the other," coauthor Dr. Comilla Sasson of the University of Colorado School of Medicine told Reuters Health.

Although the racial makeup of a neighborhood was a factor, "it's probably socioeconomic status that matters more than racial composition," she said.

About 300,000 people collapse from cardiac arrest each year, and other research has suggested that ethnic or socioeconomic conditions influence the chance that a bystander will start CPR.

"We've seen for many years that certain communities have a higher likelihood of a patient getting CPR," Dr. Bryan McNally of Emory University in Atlanta, another coauthor, told Reuters Health. "This is pointing out that within communities there is variation in the local or neighborhood area."

The findings, reported in the New England Journal of Medicine, are based on 14,225 cases of cardiac arrest from 29 non-rural parts of the U.S.

Using Census data, the researchers separated the sites of each collapse into two categories: high income, where the median household income was $40,000 or more, and low income. A neighborhood was given an ethnic classification if that group made up more than 80% of the population.

The overall chance of a cardiac arrest victim getting CPR from a bystander was about 29 percent.

Based on the results, if a bystander is available to help and "a person who falls down in a primarily-white higher-income neighborhood, their chance of getting CPR is 55 percent," said Sasson.

In a high-income integrated neighborhood, the chance was 49 percent, and it was 45 percent in a high-income black neighborhood.

"If that person crosses the street and goes into an African-American poor neighborhood, the percentage goes down to 35 percent," Sasson said.

She said information from focus groups suggests that one reason the rate may be lower in poorer neighborhoods is the cost of CPR training, which can be up to $250 for a class. "If you're making $20,000 a year, that's 15 percent of your monthly income. A lot of folks would love to learn it, but they can't."

FEW SURVIVE

"Once the barriers to CPR training and performance are understood, it may be possible to design more linguistically appropriate and culturally sensitive CPR training programs for llow-income neighborhoods.

Thông Báo Cộng Đồng

BỘ GIA CƯ VÀ PHÁT TRIỂN CỘNG ĐỒNG PHỤC VỤ CƯ DÂN HOUSTON (HCDD) đãthiếtlậpQuátrìnhKếHoạchHoạtĐộngthườngniêncủamùa ThuvàbắtđầulênlịchtrìnhchobuổiđiềntrầnvàongàyThứHai, Ngày 29 Tháng 10, 2021 vào 6:00 giờchiềutại the Acres Homes Multi-Service Center (6719 W. Montgomery, Houston, TX 77091) vàThứNăm , Ngày 8 Tháng 11, 2012 vào 6:00 giờchiều tai former Council Chambers at (Ủy Ban ThànhPhố) City Hall Annex (900 Bagby, Houston, TX, 77002 Public Level. Mụcđíchcủahai (2) buổiđiềntrầnlàthuthập ý kiến củ acôngchúngtrênnhucầucủacộngđồngvàxemxétưutiênchongânsáchđểchuẩn bi cho**Dựthảokếhoạchhoạtđộngthường niênnăm 2013**, đếnkỳhạnThángNăm 2013

Liênhệvới HCDD đểsắpxếpđặcbiệtcầnthiết (Phiêndịch, phụđề, ngônngữkýhiệu, hoặckhác). Côngchúngcũngcóthểviếtmộtlátưcho Andrea Jones tại địachi601 Sawyer, Tầng 4, Houston, TX 77.007 (andrea.jones @ houstontx.gov) ngàycuốilàThứ 2 tháng 11 12. Đểbiếtthêmthông tin vềcácbuổiđiềutrầncôngkhai, xinvuilòngliênhệvới Andrea Jones (713) 868-8300 hoặctruycập website HCDD tại www.houstonhousing.org.

Public Notice

The City of Houston's Housing and Community Development Department (HCDD) has initiated work on the 2013 Annual Action Plan and has scheduled its Fall Public Hearings on the Plan for Monday, October 29, 2012, at 6:00 p.m. in the Acres Homes Multi-Service Center (6719 W. Montgomery, Houston, TX 77091), and Thursday, November 8, 2012, at 6:00 p.m. in the former Council Chambers at City Hall Annex (900 Bagby, Houston, TX, 77002 Public Level). The purpose of these two (2) hearings is to gather the public's input on community needs and budget priorities to help prepare the 2013 Annual Action Plan, due in May 2013.

Contact HCDD if special arrangements are needed (Interpreter, Captioning, Sign Language, or Other). The public may also write a letter to the attention of Andrea Jones, 601 Sawyer Street, 4th Floor, Houston, TX 77007 (andrea.jones@houstontx.gov) by Monday, November 12th. For more information on the Public Hearings, please contact Andrea Jones (713) 868-8300 or access HCDD's website at www.houstonhousing.org.

TIN • HOUSTON

Everest Institutes In Houston Announce New GED® Test Prep And Completion Program

Two Everest Institute campuses in Houston announce Everest Advantage, a GED test preparation and credential completion program that is free and open to the public. Anyone interested in enrolling in the program should contact Everest toll-free at 1-888-201-6547.

According to the GED Testing Service, 40 million adults in the United States lack a high school diploma or GED test credential, including 3,571,240 in Texas. Adults without a high school diploma face tremendous obstacles to achieving their economic potential. On average, their unemployment rates are higher and their wages lower than those with a GED credential or high school diploma. Their access to post-secondary education and training, a requirement for many jobs in today's competitive economy, is also severely restricted.

Everest has the knowledge and experience—the classrooms, curriculum and instructors—to help adult learners obtain this critical credential. "We're proud to offer our community a program that opens the doors of educational and economic opportunity to non-traditional students," said Everest Institute-Greenspoint president Tony Rich. "A program such as Everest GED Advantage can change the course of many lives."

"I applaud Everest for recognizing and responding to this challenge. We have a monumental task of moving 40 million adults into jobs with salaries capable of supporting their families," said Randy Trask, president of GED Testing Service. "This program could be a model for educational institutions and community organizations across the nation."

Adults wishing to obtain a GED test credential face many challenges, including waitlists for state-sponsored adult education programs in nearly all states and other financial hurdles. Everest GED Advantage eliminates obstacles by providing free GED preparation, a free Official GED Practice Test, and by covering all testing costs for adults who successfully complete the program.

According to GED Testing Service research, students who complete a formal test preparation program and pass the Official GED Practice Test have higher pass rates than those who study independently. Everest GED Advantage offers a tailored program of study that includes tutoring sessions, classroom instruction, and weekly meetings with an advisor to assess progress. Services vary by campus.

To enroll in Everest GED Advantage, participants must be at least 18 years old and pass an assessment test. The program is free and there is no obligation to enroll in any Everest degree or diploma program.

Everest GED Advantage is offered at 58 Everest campuses across the United States.

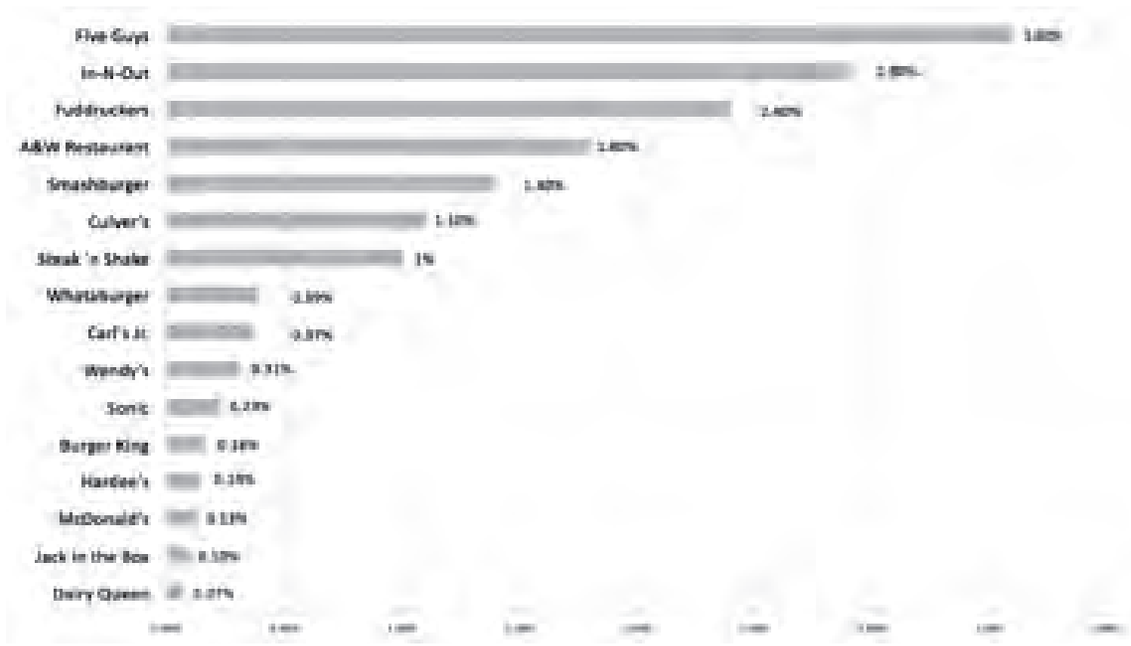
Everest Institute-Greenspoint is located at 255 Northpoint Drive, Suite 100 Houston, TX 77060 and offers a variety of programs including dental assistant, IT support specialist, medical administrative assistant and medical assistant.

Everest Institute–Houston Hobby is located at 7151 Office City Drive, Suite 100 Houston, TX 77087 and offers a variety of programs including dental assistant, medical administrative assistant, medical assistant and medical Insurance billing and coding.

About Everest

Everest Colleges and Institutes provide career-oriented diploma and degree programs in the healthcare, criminal justice, skilled trades, business, paralegal, and information technology fields. Everest in Texas has approximately 5,700 students at nine campuses located in Austin, Dallas, Arlington, Fort Worth, Houston, and San Antonio. Everest has over 100 schools in the U.S. and Canada, and is owned by Corinthian Colleges, Inc., one of the largest post-secondary education companies in North America. For more information, visit www.everest.edu. Programs vary by campus. For more information about our graduation rates, the median debt of students who completed the program and other important information, please visit our website at www.everest.edu/disclosures.

Five Guys Voted Favorite Burger Chain, McDonald's Near Bottom



LAtimes.com - Five Guys Burgers and Fries topped a new survey of favorite burger chains, leaving bigger chains such as McDonald's and Burger King in the dust.

Market Force Information questioned 7,600 consumers, calculated the fan favorite based on total votes and then factored in the number of locations for each chain.

In every region, Five Guys led the list. Overall, In-N-Out was second, followed by Fuddruckers, A&W and Smashburger.

Dairy Queen ranked last in the group of 16 chains considered. Burger King, Hardee's, McDonald's and Jack in the Box rounded out the bottom five.

Five Guys ranked best in categories including food quality and taste, service, cleanliness and atmosphere. The only category in which it failed to rank No. 1 was best overall value; In-N-Out won that category.

Smashburger, a newcomer in the so-called better burger industry, ranked second in every category except overall value.

McDonald's and Hardee's shared the bottom rankings for overall value. Jack in the Box was last in the food quality and cleanliness categories. Hardee's was deemed to have the worst service, while Dairy Queen's atmosphere was voted as the most lacking.

"Five Guys does zero advertising, but by delivering consistently great customer experience, they're able to build a word-of-mouth buzz that continues to bring new customers through the door," said Market Force Chief Marketing Officer Janet Eden-Harris in a statement.

In another customer survey this summer, McDonald's came in last for customer satisfaction. The American Consumer Satisfaction Index found pizza chain Papa John's the most fulfilling, followed by Subway and Little Caesars.

Burger King and KFC also left patrons wanting more, according to the survey.

THỜI TIẾT • WEATHER

THU	FRI	SAT	SUN	MON	TUE	WED
Thứ Năm	Thứ Sáu	Thứ Bảy	Chủ Nhật	Thứ Hai	Thứ Ba	Thứ Tư
October 25	October 26	October 27	October 28	October 29	October 30	October 31
25 Thang 10	26 Thang 10	27 Thang 10	28 Thang 10	29 Thang 10	30 Thang 10	31 Thang 10
Cloudy mây	Storms bão	Cloudy mây	Sunny nắng	Sunny nắng	Sunny nắng	Sunny nắng
High/Low cao/thấp	High/Low cao/thấp	High/Low cao/thấp	High/Low cao/thấp	High/Low cao/thấp	High/Low cao/thấp	High/Low cao/thấp
88°/67°	**72**°/53°	**69**°/47°	**72**°/47°	**73**°/48°	**75**°/57°	**79**°/60°

Jones, Andrea - HCD

From: CITYOFHOUSTON@HOUSTONTX.GOV on behalf of City of Houston Housing and Community Development
Sent: Friday, October 26, 2012 4:09 PM
To: Jones, Andrea - HCD
Subject: Tell Us About Your Community's Needs!

Tell Us About Your Community's Needs!

Public Hearings
Monday, October 29, 2012 at 6 p.m.
Thursday, November 8, 2012 at 6 p.m.

The City of Houston Housing and Community Development Department (HCDD) is hosting two public hearings as part of a survey to determine your community's greatest needs.

The information obtained from the community needs survey will guide the HCDD 2013 Annual Action Plan process of allocating millions of federal dollars to activities serving low and moderate-income Houstonians. Such activities include, but are not limited to affordable housing, single-family home repair, economic development, neighborhood facility improvements, homelessness and programs serving people with special needs.

The public hearings will be held on:

Monday, October 29, 2012
6 p.m.
Acres Homes Multi-Service Center
6719 West Montgomery Rd.
Houston 77091

Thursday, November 8, 2012
6 p.m.
City Hall Annex
900 Bagby
Houston 77002

The public may communicate their respective community needs by:

1. Presenting public comments on the record at the hearings; and/or
2. Writing a letter to HCDD, 601 Sawyer, 4th Floor, Houston, TX 77007 Attn: Andrea Jones, Public Hearing Coordinator, which must be received by Monday, November 12, 2012.

For questions, more information, or to request special accommodations, contact Andrea Jones at andrea.jones@houstontx.gov or 713.868.8300.

Register for CitizensNet

Add *cityofhouston@houstontx.gov* to your address book to ensure proper delivery of CitizensNet emails and to prevent spam filters from blocking the emails. Follow these *instructions.*

Change your email address or Update your record

View *CitizensNet Archive*

Go to *www.houstontx.gov* for information about City of Houston activities/events.

Fan Us, Mayor's Facebook • Follow Us on Twitter • Watch Us on YouTube

Disclaimer: All information, excluding your email address, is generally subject to public disclosure under the Texas Public Information Act (*See* Chapter 552 of the Texas Government Code).

Thank you for your interest in CitizensNet.
Mailing Address: City of Houston | P.O. Box 1562 | Houston, Texas 77251
City Hall Address: City of Houston | 901 Bagby | Houston, Texas 77002

Unsubscribe from CitizensNet

Council Members:	Helena Brown • Jerry Davis • Ellen R. Cohen • Wanda Adams • Mike Sullivan • Al Hoang • Oliver Pennington • Edward Gonzalez • James G. Rodriguez • Mike Laster • Larry V. Green • Stephen C. Costello • Andrew C. Burks, Jr. • Melissa Noriega • C.O. “Brad” Bradford • Jack Christie
Controller:	Ronald C. Green

PRESENTATION OVERVIEW

- Overview
- Grant Process
- 2012 Action Plan Review
- 2011 Accomplishments
- 2013 Action Plan Citizen Input Survey
- 3 Minute Break
- Public Comments

Overview

HOUSING AND COMMUNITY DEVELOPMENT DEPARTMENT

- HCDD administers grants funded through the U.S. Department of Housing and Urban Development (HUD)
- Funding priorities are:
  - Affordable Housing
  - Supportive Services
  - Infrastructure / Neighborhood Services
  - Economic Development
- HCDD receives Entitlement Grants and Special Grants

ENTITLEMENT GRANTS

CDBG finances housing, public services, public facilities and improvements, and economic development.

HOME promotes public-private partnerships to expand the stock of affordable housing opportunities for renters and homeowners.

HOPWA funds the provision of housing and social services for persons with HIV/AIDS and their families.

ESG finances the provision of shelter and services to the homeless population or those at risk of becoming homeless.

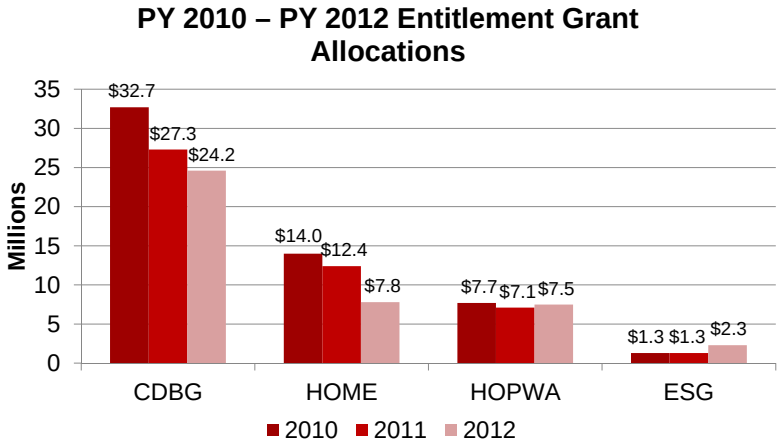
2012 HUD ENTITLEMENT GRANTS ALLOCATIONS

GRANT	AMOUNT
Community Development Block Grant (CDBG)	$24,227,493
CDBG Projected Program Income	$466,878
Home Investment Partnership Grant (HOME)	$7,855,880
HOME Projected Program Income	$163,379
Housing Opportunities for Persons With AIDS (HOPWA)	$7,572,952
Emergency Solutions Grant (ESG)	$2,367,794
TOTAL	**$42,654,376**

Note: 2013–2014 allocations have not been released.

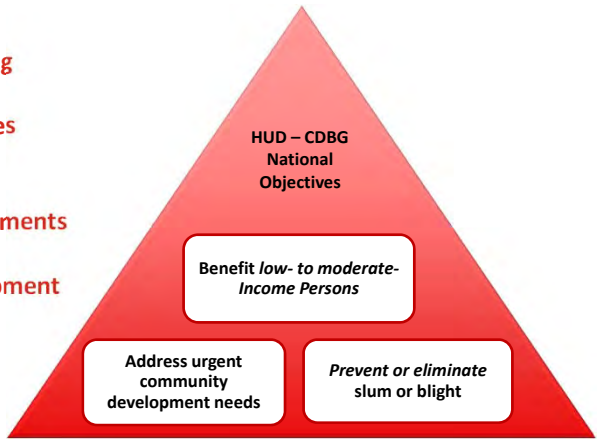
GRANT ALLOCATIONS

The chart below illustrates the 12% reduction in CDBG and 37% reduction in HOME to the City of Houston from PY 2011 to PY 2012.



ENTITLEMENT GRANT FUNDING PRIORITIES

- Affordable Housing
- Supportive Services
- Neighborhood Facilities/Improvements
- Economic Development



ELIGIBLE ACTIVITIES

- Clearance, Rehab, Reconstruction, and Construction of Buildings (Including Housing)
- Relocation
- Demolition
- Acquisition of Real Property
- Disposition of Real Property
- Interim Assistance
- Down Payment Assistance
- Housing Development
- Commercial Rehabilitation
- Rental Rehabilitation
- Public Services
- Code Enforcement
- Tenant Based Rental Assistance
- Public Facilities and Improvements
- Economic Development Assistance for For-Profit Business
- Technical Assistance
- Administration

INELIGIBLE ACTIVITIES

- Buildings for conduct of general government
- General government expense
- Political activities
- Income payments

LOW- AND MODERATE-INCOME PERSONS

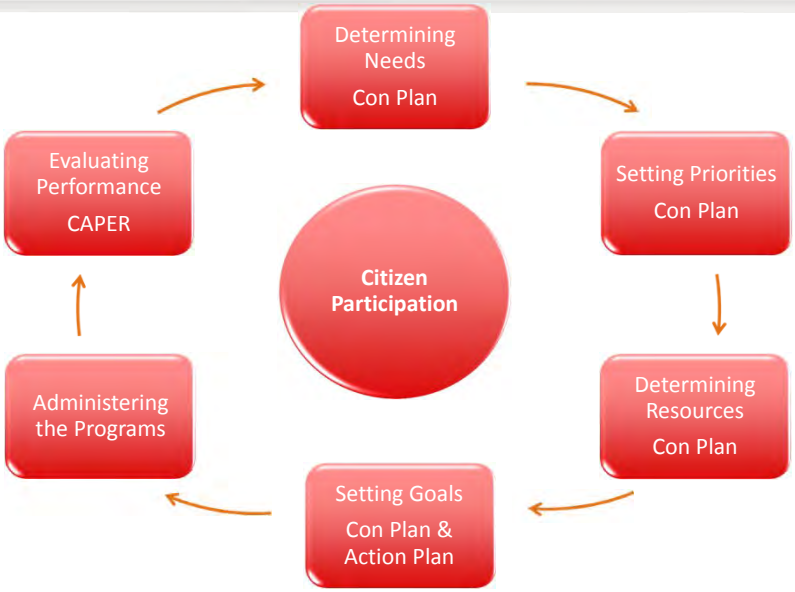
2012 Program Income Limits – 80% AMI (Area Median Income)

Household Size	Income Limit
1	$37,450
2	$42,800
3	$48,150
4	$53,500
5	$57,800
6	$62,100
7	$66,350
8	$70,650

Source: HUD – Effective 12.1.2011

Grant Process

SUMMARY OF ENTITLEMENT GRANT PROCESS



WHAT IS THE ANNUAL ACTION PLAN?

The Annual Action Plan is the:

- **Application for Entitlement Grant Funds**
- **Planning Process to Allocate Funds**
- **Annual Budget of Entitlement Grant Funds**
- **Goals and Activities for Entitlement Funds**

2012 Action Plan Review

July 1, 2012 – June 30, 2013

2012 ENTITLEMENT GRANT ACTIVITIES & FUNDING

Community Development Block Grant (CDBG)	
Neighborhood Facilities	$2,758,415
Retrofitting for Accessibility for the Disabled	$1,000,000
Single Family Home Repair (SFHR) Program	$4,221,353
SFHR Program Delivery Costs	$1,000,000
Relocation SFHR Program	$300,000
Lead-Based Paint Testing SFHR Program	$100,000
Lead-Based Paint Matching Grant	$975,000
Public Services	$4,141,246
Economic Development	$2,000,000
Code Enforcement	$3,009,483
Program Delivery Cost (Legal)	$250,000
Program Administration	$4,938,874
Total	**$24,694,371**

2012 ENTITLEMENT GRANT ACTIVITIES & FUNDING

HOME Investment Partnerships (HOME)	
Multi-Family Construction & Rehabilitation	$5,614,444
Multi-Family Project Delivery Cost	$400,000
Community Housing Development Organizations (CHDO)	$1,202,889
Planning and Administration	$801,926
Total	$8,019,259

2012 ENTITLEMENT GRANT ACTIVITIES & FUNDING

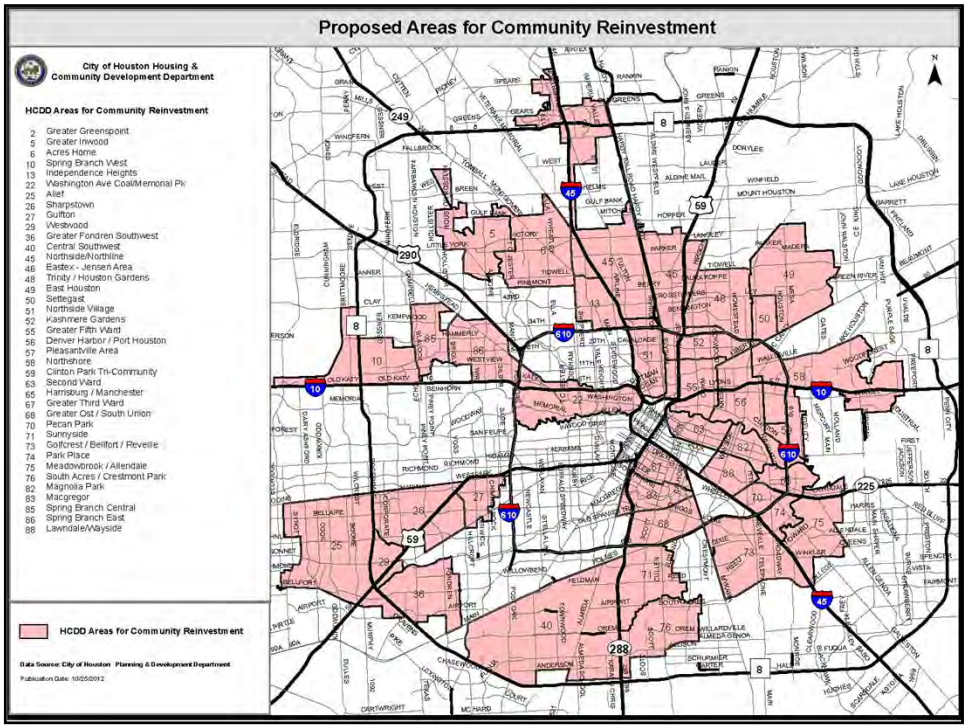
Housing Opportunities for Persons with AIDS (HOPWA)	
Supportive Services	$1,454,143
Project or Tenant-based Rental Assistance	$2,427,395
Short-Term, Rent Mortgage & Utility Assistance	$1,879,207
Operating Costs	$1,008,707
Resource Identification /Technical Assistance	$46,206
Sponsor Administration (Subgrantees)	$530,106
Grantee Administration (HCDD)	$227,188
Total	$7,572,952

2012 ENTITLEMENT GRANT ACTIVITIES & FUNDING

Emergency Solutions Grant (ESG)	
HMIS	$130,229
Emergency Shelter	$1,183,897
Homeless Prevention	$438,042
Rapid Rehousing	$438,042
Administration	$177,584
Total	$2,367,794

PROPOSED AREAS OF COMMUNITY REINVESTMENT

- **Establish current Code Enforcement Areas as newly defined Areas of Community Reinvestment**
- **Special consideration may be given to CDBG and HOME projects that fall into these areas**
- **Areas of Community Reinvestment will:**
  - **Help leverage federal dollars with other funding sources**
  - **Foster investment in areas with the most need**



2011 Accomplishments

July 1, 2011 – June 30, 2012

WHAT WERE HCDD'S ACCOMPLISHMENTS IN 2011-2012?

- Health services were provided to 15,598 patients at the Sunnyside Health Center
- Home-delivered meals and transportation services were provided to 3,252 seniors through the Elderly Services Program
- Essential services were provided to 3,653 homeless clients through SEARCH
- 1,039 youth were served through the Mayor's Afterschool Achievement Program

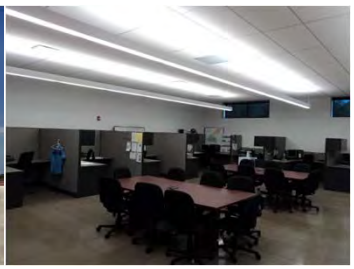
Mayor's Afterschool Achievement Program

WHAT WERE HCDD'S ACCOMPLISHMENTS IN 2011-2012?

Funded South Gessner Patrol Division Facility Project

- $8.7 million – $2.5 million in CDBG funds
- LEED Certified – Leadership in Energy & Environment Design (U.S. Green Building Council – USBGC)
- Serve approximately 124,722 low- and moderate-income residents in the area

South Gessner Patrol Division



Offices in the South Gessner Patrol Division

WHAT WERE HCDD'S ACCOMPLISHMENTS IN 2011-2012?

Jane Cizik Garden Place (Women's Home)

- LEED certified 88-unit apartment complex
- Offers permanent housing for women recovering from homelessness, mental illness, and/or substance abuse

Jane Cizik Garden Place

2013 Action Plan

July 1, 2013 – June 30, 2014

CALENDAR FOR 2013 ACTION PLAN

Oct/Nov 2012	Public Hearings Monday, October 29, 2012 Thursday, November 8, 2012
Oct 2012–Mar 2013	Solicit Input and Develop Plan
March 2013	Two Public Hearings
Mar–Apr 2013	Review of 2013 Draft Plan
Late Apr/Early May 2013	Plan Submitted for Council Approval
May 15, 2013	Plan Submitted to HUD

WE NEED YOUR INPUT!

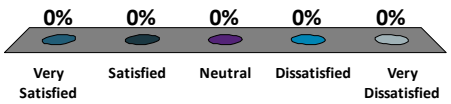
- Survey will update the annual priorities originally set in 2010-2014 Consolidated Plan
- Questions addressing Houston's housing, supportive services, facilities, infrastructure, and economic development needs
- Everyone will receive a response card
- Response card will be collected at the end of the survey
- All responses will remain confidential and will only be reported in aggregate with the Department's submission to HUD

TEST Question:
Which do you like better?

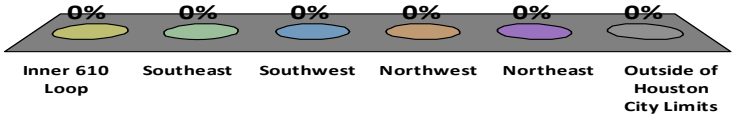
1. Cats
2. Dogs

How satisfied are you with the overall conditions of your neighborhood?

1. Very Satisfied
2. Satisfied
3. Neutral
4. Dissatisfied
5. Very Dissatisfied



Where do you live?



AFFORDABLE HOUSING

- Affordability is defined by HUD as a household that pays no more than 30% of its annual income for housing – mortgage, insurance, and utilities
- HCDD primarily serves low- and moderate-income persons

Avenue CDC Fulton Gardens Senior Housing, Hiebert Photography

Habitat for Humanity in Fifth Ward

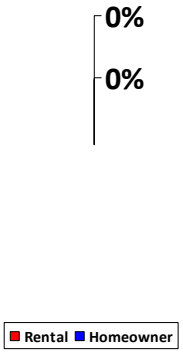
The Reserve at White Oak – Renovated 336-unit complex

Does Houston need more affordable housing?

1. Yes
2. No

What type of affordable housing does Houston need?

1. Rental
2. Homeowner



Should affordable housing be available in neighborhoods throughout Houston?

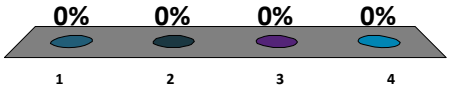
1. Yes
2. No

PRIORITY SLIDES

- The next few slides will ask you to rate the needs in Houston
- Please read the entire question before answering
- You will have the option of selecting the three top needs
- Select the highest need first, the second highest need second, and the third highest need last
- Your choices will be weighted with the most weight on your first choice

Test Question:
Rank your favorite Houston professional sports teams. (**Select your top three**)

1. Astros
2. Dynamo
3. Rockets
4. Texans



What are the top three types of **affordable housing** needed in Houston? (select three)

1.	Constructing new rental apartments	0%
2.	Providing financial assistance for homeownership (down-payments)	0%
3.	Providing financial assistance to renters for rent, utilities, or security deposits	0%
4.	Providing financial assistance to homeowners for mortgage or utilities	0%
5.	Reducing exposure to lead in homes	0%
6.	Repairing existing rental apartments	0%
7.	Repairing homeowner housing	0%
8.	Repairing single-family rental homes	0%

Which three groups are most in need of **affordable housing** in Houston? (select three)

1.	Developmentally disabled	0%
2.	Elderly	0%
3.	Homeless	0%
4.	Low- and moderate-income persons	0%
5.	Persons with alcohol/drug addictions	0%
6.	Persons with HIV/AIDS	0%
7.	Physically disabled	0%
8.	Severe mental illness	0%
9.	Victims of domestic violence	0%

SUPPORTIVE SERVICES

H.E.A.R.T.'s Educational Program

Project Access's Healthcare for the Homeless

Public Library's Mobile Laboratory

Child Care Council's Child Care Program

What are the top three **supportive services** needed in Houston? (select three)

1.	Child Care Services	0%
2.	Health Services	0%
3.	Housing Counseling	0%
4.	Job Training	0%
5.	Legal Services	0%
6.	Transportation Services	0%
7.	Youth Services	0%
8.	General Social Services Not Mentioned Above	0%

Which three groups are most in need of **supportive services** in Houston? (select three)

1.	Developmentally disabled	0%
2.	Elderly	0%
3.	Homeless	0%
4.	Persons with alcohol/drug addictions	0%
5.	Persons with HIV/AIDS	0%
6.	Physically disabled	0%
7.	Severe mental illness	0%
8.	Victims of domestic violence	0%

NEIGHBORHOOD FACILITIES & NEIGHBORHOOD SERVICES

Glenbrook Park – Renovated Pool Area

HCDD participates in Easter Seals Houston's homebuyer education class

Ibn Sina Medical Clinic

Code Enforcement and Addressing Substandard Structures

What are the top three **neighborhood facilities** needed in Houston? (select three)

1.	Child Care Centers	0%
2.	Facilities Promoting Community Safety (Fire Stations, Police Stations)	0%
3.	Health Facilities / Clinics	0%
4.	Libraries	0%
5.	Multi-Service Centers	0%
6.	Parks / Recreation Facilities	0%
7.	Senior Centers	0%
8.	Youth Centers	0%
9.	Other Facilities	0%

What are the top three **neighborhood services** needed in Houston? (select three)

1.	Demolition of Substandard Buildings	0%
2.	Enforcement of Cleanliness and Safety Codes	0%
3.	Fair Housing Education	0%
4.	Historic Preservation	0%
5.	Homebuyer / Homeowner Counseling	0%
6.	Neighborhood Crime Awareness / Prevention	0%
7.	Tenant / Landlord Counseling	0%
8.	Tree Planting / Beautification	0%

INFRASTRUCTURE & ECONOMIC DEVELOPMENT

Example of a CDBG eligible activity - COH Public Works Drainage Improvement Activities

Since 1986, HBDI's small business loan support and technical assistance has helped grow businesses and create lobs in Houston's low to moderate-income neighborhoods.

What are the top three **infrastructure improvements** needed in Houston? (select three)

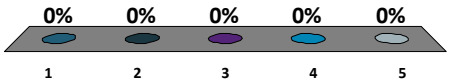
1.	Flood Drainage Improvements	0%
2.	Pedestrian Improvements	0%
3.	Public Transportation Facility Improvements	0%
4.	Sewer Line Improvements	0%
5.	Solid Waste Disposal Facility Improvements	0%
6.	Street Widening	0%
7.	Water Line Improvements	0%

What are the top three **economic development** needs in Houston? (select three)

1.	Job creation / retention	0%
2.	Employment training	0%
3.	Façade improvements on commercial or industrial buildings	0%
4.	Land acquisition for economic development	0%
5.	New construction of commercial or industrial buildings	0%
6.	Rehabilitation of commercial or industrial buildings	0%
7.	Small business loans	0%

Please rank the following in order of importance. (select three)

1. Affordable Housing
2. Supportive Services
3. Neighborhood Facility Improvements and Neighborhood Services
4. Infrastructure Improvements
5. Economic Development



QUESTIONS ABOUT YOU

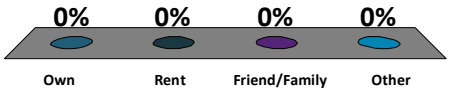
- **The following questions will ask you to describe yourself**
- **All answers will be confidential and only reported in aggregate**
- **These questions are important to determine who HCDD is reaching when gathering public input**

Are you a… (select all that apply)

1.	Business owner?	0%
2.	Commercial property owner?	0%
3.	Community advocate?	0%
4.	Health provider?	0%
5.	Housing provider?	0%
6.	Landlord?	0%
7.	Social service provider?	0%
8.	None of the above	0%

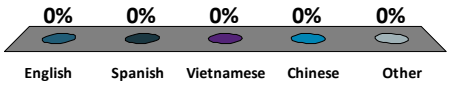
Do you…

1. Own your home?
2. Rent your home?
3. Stay with a friend or family member?
4. Have other living arrangements?



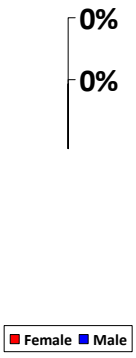
What language do you speak the most at home?

1. English
2. Spanish
3. Vietnamese
4. Chinese
5. Other



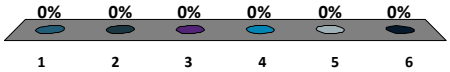
Which of the following best describes you?

1. Female
2. Male



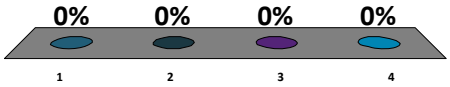
How old are you?

1. 18 to 24 years old
2. 25 to 34 years old
3. 35 to 44 years old
4. 45 to 54 years old
5. 55 to 64 years old
6. 65 and older



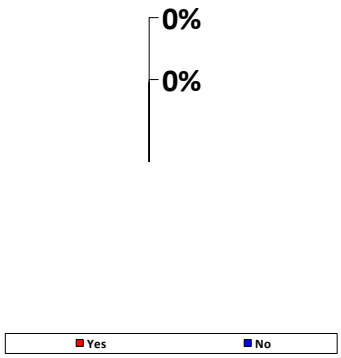
What is your marital status?

1. Married
2. Not married
3. Widow / Widower
4. Separated / Divorced



Are you Hispanic / Latino?

1. Yes
2. No

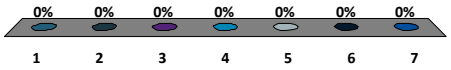


Which of the following best describes you? Select **all** that apply.

1.	African-American/Black	0%
2.	Asian	0%
3.	American Indian/Alaskan Native	0%
4.	Native Hawaiian/Other Pacific Islander	0%
5.	White	0%
6.	Multiracial	0%

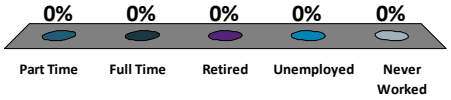
What is your highest level of education?

1. 8th grade or less
2. Some high school
3. High school graduate / GED
4. Some college
5. College Graduate
6. Some Post-Graduate Work
7. Post-Graduate Degree



What is your employment status?

1. Part time
2. Full time
3. Retired
4. Unemployed
5. Never worked

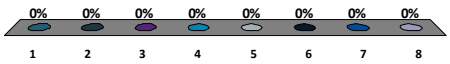


QUESTIONS ABOUT YOUR HOUSEHOLD

- A household includes persons living in the same housing unit
- The following questions will ask you to describe you and everyone in your household
- Answers will be confidential and only reported in aggregate

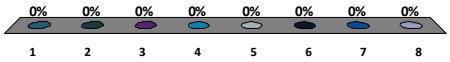
What is your household size?

1. 1 Member
2. 2 Members
3. 3 Members
4. 4 Members
5. 5 Members
6. 6 Members
7. 7 Members
8. 8 Members or More



What is your household's yearly income?

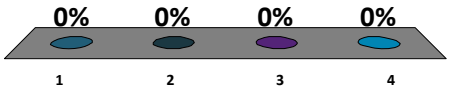
1. $15,000 and under
2. $15,001 – $25,000
3. $25,001 – $35,000
4. $35,001 – $45,000
5. $45,001 – $55,000
6. $55,001 – $65,000
7. $65,001 – $75,000
8. $75,001 and up



What is the make up of your household?

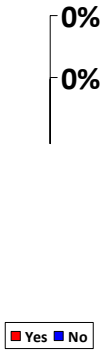
1. Adults only
2. One adult plus children
3. Two adults plus children
4. Three adults or more plus children

Children are 18 and under



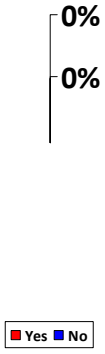
Are you or anyone in your household physically/mentally/developmentally disabled?

1. Yes
2. No



Are you or someone in your household a Veteran?

1. Yes
2. No

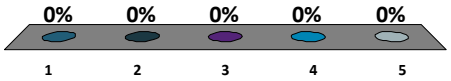


Are you or anyone in your household currently serving in the Armed Forces?

1. Yes
2. No

Has anyone in your household had any of the following problems with buying or renting property in Houston in the past 2 years? Select **all** that apply.

1. Credit Issues
2. Limited Income
3. Could not get a loan
4. Discrimination on the basis of race, gender, religion, marital status, family size, or disability status
5. None of the above



THANK YOU

Thank you for participating in the Community Needs Survey.

The data generated from the Fall Public Hearings survey, and other community meetings and presentations will be made available to review at the 2013 Spring Public Hearings.

PUBLIC COMMENTS
2013 ACTION PLAN

THANK YOU
CITY OF HOUSTON
HOUSING AND
COMMUNITY
DEVELOPMENT
Thank you for attending the public hearing.
The next Public Hearings for the 2012 Annual Action Plan will be held in March 2013. Stay tuned!
JOIN THE CONVERSATION!
FACEBOOK.COM/HOUSTONHCDD
TWITTER.COM/HOUSTONHCDD

Surveying Your Community Needs
Public Hearing on Federal Entitlement
Grants for the 2013 Action Plan

Welcome

Thank you for attending the Community Needs Public Hearing for the 2013 Action Plan. To ensure equal opportunity for all, and to accommodate the number of people who may wish to speak, general public hearing guidelines are provided below:

General Guidelines

1. Hearing Testimony must be for the Federal Entitlement Grants (CDBG, HOME, ESG, and HOPWA).
2. Mutual respect, courtesy, and patience are the hearing's guiding concepts.
3. As a courtesy please inform Hearing attendees that you are recording the proceedings.
4. Please do not interrupt anyone while they are speaking.
5. Please remain quiet so others and the transcriber can hear; please leave the room for side discussions.
6. Please refrain from addressing the audience or asking for audience participation.
7. Please turn off cell phones and pagers or set them to vibrate.

Sign-In Guidelines

1. All hearing attendees are asked to register at the hearing sign-in table.

2. Attendees wishing to testify should indicate their desire to do so by signing the Speakers Lists.

3. Attendees testifying on behalf of a group should note the name of the group in the appropriate box.

4. Attendees wishing to testify must personally sign in.

5. The hearing sign-in table will also be the central contact point for any attendees who have questions about the hearing process.

Testimony Guidelines

6. Those who checked the box to testify will be called forward to speak by the Hearing Officer in the order they signed in.

7. **Each speaker is allowed 3 minutes to testify.**

8. Yielding time to another person is not allowed.

9. **Attendees who are unable to fully testify within the 3 minute time-frame are encouraged to submit additional testimony in writing for the record. Written and verbal comments have equal weight in the process.**

10. Testimony will be recorded and transcribed for the record.

11. If time permits at the end of public testimony, a general call for anyone who has not yet testified will be made. This would include those who had signed up and might have missed their earlier call to testify and other persons who had not previously testified.

12. **The hearing is held for the purpose of receiving important testimony. It is not a question-and-answer session. Questions will be referred, as appropriate. Responses to comments will be posted on HCDD's website www.houstonhousing.org in March, 2013**

Appendix # 10: Public Comment Summary

The Public Comment Summary is forthcoming and will be added after the spring public comment period is completed.

Appendix # 11: Public Comments Received

ABUNDANCE LIVING, INC.

SOLE 501(c)(3) NON-PROFIT ORGANIZATION
HELPING OTHERS, TO HELP THEMSELVES!

November 8, 2012

Housing and Community Development Department
601 Sawyer St., 4th Floor
Houston, TX 77007

Re: Letter of Intent to apply for Community Development Block Grant

To Whom It May Concern:

Abundance Living Inc. has been in business since 2002 as a non-profit corporation. We currently hold a Department of State Health Services license to provide residential substance abuse treatment to 40 clients at a time and to provide outpatient substance abuse treatment. We also have a contract with Texas Department of Criminal Justice and Department of Assistive and Rehabilitation Services.

Abundance Living Inc.'s desire is to expand our services from 40 to 80 beds and to increase the quality of services offered to our clients.

Sincerely,

Wanda Seals
Executive Director

8512 Wheatley St. Houston, TX 77088 281-591-0082 office 832-217-3195 fax

October 29, 2012

Alief Area

Dear Ms. Jones,

I received an e-mail notice where the City of Houston
HCCD is hosting a public hearing to determine your community's greatest needs.

I live in the **Keegans Glen** community located in the 1100 block of W. Bellfort/Kirkwood.
I have lived here for 30 years and have seen my community go from a beautiful ,thriving, safe community to that of increasing crime, trashy business owners, tons of Game Rooms, itinerate vendors and graffiti!

I have been in contact with the Office of City Council and various departments within City of Houston for over 10 years, trying to partner with them in maintaining integrity in Keegans Glen ,a community of about 1,200 homes. Keegans has over- grown areas where the trees lean as if they could just fall on top of your vehicle, roads are bad, lots of unkempt apartment complexes throughout the community, illegal dumping , overgrown bayou's and residents who could care less about deed violations.

With all the cuts to city services communities like mine seem to suffer most. We find it to be advantageous to both the City and the community to form partnerships in these times. This would give the city extra eyes (reports of crime)and hands (community clean ups) on the streets .However, we have found it very difficult to do this in the past. For many years we had not been able to get code violations enforced, common areas mowed and TRIMMED, and clippings removed or any support from any city office. This had a negative effect on Keegans . About a year ago I met **Ms. Mayra Hypolite** with Neighborhood Protection at our National Night Out event. I told her of our issues and she gave me her personal number to call and see what we could do to resolve the issues. The next morning I saw where she had sent me an email recapping our conversation from the night before. I couldn't believe it...maybe she's really serious about helping! I called her and she changed the appearance in our community immediately! She worked closely with us in resolving code violations and would come to the community and physically ride with Board Members through the neighborhood. She would write addresses of code violations and generally within 48 hours those violations would be cured!!! This is what we need! Unfortunately for us, Ms. Hypolite has been moved to another position.

I have said all of this to say: **What our community needs is to have contacts in every department that services a community.** Their names should be listed by assigned zip code. They should have a reasonable response time(not months or never) and what they are responsible for, ie, commercial code violations, residential, dumping, roads, downed signs, maintenance of common areas etc.
Citizens should not have to get the run around on who does what when trying to keep their neighborhood clean and safe. All neighborhoods should get the same level of service and **city wide code enforcements** should be implemented not just enforced in certain areas (ex:Parking in yards/grass).

Thank you in advance for your continued involvement.

Dora Miller

Dora Miller

[redacted]
Houston, Tx 77099

Attn: Andrea Jones
Public Hearing Coordinator

HCDD
601 Sawyer, 4th Floor
Houston, Tx 77007

HOUSTON TX 770
01 OCT 2012 PM 5

U.S. POSTAGE
FOREVER
Celebration
FE002710010917
APC

October 27,2012

Andrea Jones, Public Hearing Coordinator
HCDD
601 Sawyer, 4th Floor
Houston, TX 77007

Dear Ms Jones,
I am contacting you to request assistance in the Pleasantville Community, Pleasant Village Apartments, 1922 Pleasantville Dr. Houston, Texas 77029.

The tenants of Pleasant Village Apartments do not have access to a solid waste/heavy trash dumpster.
I am enclosing pictures to show what tenants are facing when it comes o solid waste/heavy trash.
The condition is a health violation and breeding ground for rats, roaches and stray animals.
A heavy trash dumpster would assist the apartment community to maintain the property in a presentable condition.
Thanks for your time and consideration in this matter.

Sincerely,
Harvey Curry
Harvey Curry [redacted]
Houston, Texas [redacted]

Cc: File
Enclosures

NO
DUMPING
VIOLATORS
WILL BE
PROSECUTED

November 1, 2012

JOSEPH DARDAR III
[redacted]
HOUSTON TX [redacted]

HCDD
ATTN: ANDREA JONES, PUBLIC HEARING COORDINATOR
601 SAWYER ST, 4TH FLOOR
HOUSTON TX 77007-7523

Dear Ms. Jones,

I live in the City of Houston, in a community called Sunnyside Place and we have ditches. Can you believe that! A city like Houston still has areas that have ditches. I thought ditches went the way of outhouses. I pay the same tax rate as people who live in the River Oaks subdivision or any other high rent area in Houston, but do they have ditches in front of their houses??? I think not! The City needs to get rid of these ditches and put sidewalks in its place. We need the sidewalks so that we don't have to walk in the streets to get from one place to the next or so that we don't have to stand in the street to wait for the bus. Our children have to walk in the street to get to school. People from all over Houston use these ditches as the city dump. Too much illegal dumping takes place in theses ditches. As soon as it is cleaned up, more trash is dumped in these ditches. Everything from tires, mattresses, and tree stumps are put in these ditches. Earlier this year (around March 1, 2012), a woman's body was dumped in one of these ditches[1]. The body was placed under the trash that was dumped in the ditch. Get rid of the ditches and hopefully, that will get rid of the illegal dumping. Or cameras can be installed where most of the dumping takes place, so that the ones who do the illegal dumping can be caught.

Thanks for your time and I hope that the city will take care of my community's needs.

Sincerely,

Joseph Dardar III
Concerned Citizen

[1] http://www.khou.com/news/Womans-body-found-in-ditch-in-south-Houston-141107883.html

[1] http://in.news.yahoo.com/video/houston11news-15750765/woman-s-body-found-in-ditch-in-south-houston-28481950.html#crsl=%252Fvideo%252Fhouston11news-15750765%252Fwoman-s-body-found-in-ditch-in-south-houston-28481950.html

[1] http://www.click2houston.com/news/Woman-s-body-found-in-ditch/-/1735978/9198874/-/a1ul2e/-/index.html

November 1, 2012

City of Houston Housing and Community Development
Public Hearing Coordinator - Andrea Jones
601 Sawyer Street 4th Floor
Houston, Texas 77007

Dear City of Houston Housing and Community Development,

I am a new Houstonian, and Texan having just moved here from the East Coast in March 2011. I intend on staying here for at least the next five to ten years but I have several concerns about the city. The most alarming concern is the crime rate, every time I watch the news I hear about a recent robbery, burglary, car jacking, shooting, or murder. Unfortunately last summer, and even earlier this year my apartment complex was apart of a spree of robberies in several apartment units. Fortunately for me my apartment unit was not one of the units robbed. Its extremely concerning to me, because I thought the area that I live in was a low crime area (Energy Corridor- off Eldridge Parkway). I'm aware that there are many factors that affect the crime rate for an area, however its pretty clear to me that the whole city of Houston has a major issue with crime. I am amazed how within blocks of affluent areas, there are homeless people begging for change near a crime stricken block. I would venture to speculate that part of the reason there is such a high crime rate in the city, is because of a wide income disparity.

My second concern that I have is the debilitated roads, bridges, & highways in the city. Oftentimes driving to work and coming from work I have to swerve and bobble to avoid pot holes, gaps, & dips in the road. This places unnecessary wear and tear on my vehicle, & I know that over time this is extremely costly to me, to drive on damaged roads, bridges, & highways. A city as large as Houston should have adequate roads to drive on at a minimum. With the size of the Houston metropolitan area, I would have expected all the roads, bridges, & highways to be smoothly paved. This is a major blight to residents here because even though the cost of living is below the national average, the increased cost for vehicle repairs and maintenance eliminates any advantages being here from the low cost of living. Texas is a very large state, with several major interstates, and state highways. Houston should take the lead in expanding and fixing its roads, bridges, & highways-especially because Houston is the largest city in the state, and the fourth largest city in the country. It makes no sense for the streets, roads, bridges, & highways here in Houston to be so blighted that it causes damage to your vehicle just from driving on the roads here. There should definitely be more emphasis on infrastructure improvement in the city, that could potentially create even more jobs. Public transportation such as MetroRail, & the bus line are great, but other forms of transportation should also be expanded as well to make the city more accessible and less congested on the roadways.

Lastly, I am extremely concerned about the public school system, and educational system for the city of Houston. I am aware that the Governor cut education spending for fiscal year 2012, however I am still very concerned about the poor and under performing public school systems for the city as a whole. Currently, I am single & never have been married with no children, but I plan on starting a family and raising my family here in Houston. I am encouraged by the private schools, & charter schools available but I still think that there are not enough high quality public schools to meet the changing needs of a diverse, young population that will need an excellent education to succeed in the 21st century. I would love to see something implemented for early education for the low-income areas of the city and metro area. This would allow all children to have a good start from the very beginning of their lives. The main focus should be on preschool. That way by the time children reach eighteen years old, they are fully equipped and prepared to continue their education at a post-secondary school. I hope that within the next three to five years the crime, roads, & public school systems can be corrected, modernized, & transformed to make the city of Houston be a shining city of excellence.

Best Regards,

Mario L. Fields – Houston resident/concerned citizen

[redacted]
Houston, TX 77077

[redacted]

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City of Houston Housing & Community Deve
Attn: Andrea Jones
601 Sawyer St. 4th Floor
Houston, TX 77007

HOUSTON CENTER FOR INDEPENDENT LIVING (HCIL)

Public Hearing of the Houston Housing and Community Development Department

Houston City Hall Annex

November 8, 2012

Good Evening. I am Mary Lynn Vaughan. I am pleased to be here this evening to represent the Houston Center for Independent Living (HCIL) on behalf of our consumers, all of whom are people with disabilities.

The Houston Center for Independent Living is a private, non-profit, consumer-controlled, non-residential service organization for people with all types of disabilities living in the Houston, Harris County, area. Established in 1975 by people with disabilities, it is the only Center for Independent Living serving this targeted service area of 4.1 million people, 716,700 (18.7%) of whom are people with disabilities, (U.S. Census 2010). HCIL staff members, all of whom have disabilities themselves, provide peer support services that ultimately give others the tools to pursue independent living. HCIL offers information and workshops on topics such as housing options, finance and money management, personal attendant management, public transportation systems use, social security do's and don'ts, adaptations to one's residence, job readiness, and computer technology. We promote the full inclusion, equal opportunity, and participation of persons with disabilities in every aspect of community life. We believe that people with disabilities have the right to make choices affecting their lives, the right to take risks, the right to fail, and the right to succeed.

We want the Houston Housing and Community Development Department to know that we fully support your efforts to provide increased access to subsidies and other public funds designated for housing. We want to support your efforts to make accessible, affordable, and accessible housing more available for people with disabilities because citizens with disabilities cannot be fully independent until they have a home of their own. The Houston Center for Independent Living also wants to support your efforts to enforce current housing regulations which require housing to be made accessible to people with disabilities.

Please call on us to provide whatever assistance you need in your efforts to address barriers to people with disabilities who are making every effort to live independently.

Thank you.

To: **Andrea Jones**
Public Hearing Coordinator
City of Houston Housing and Community Development Department (HCDD)

From: Dorothy Ashley
[redacted]

Re: Almeda Plaza Neighborhood request to be put on the schedule for allocation of Federal Entitlement Grant

Rational:

The population of Almeda Plaza is composed of a mixture of racial and ethnic groups. Since the neighborhood was established back in the 50's, the homes have been occupied by several generations of different families and businesses. Because we have a large population of Senior Citizens on fixed income, as well as low and moderate income families, The Almeda Plaza Board and Almeda Plaza Civic Club believe the neighborhood, the residents, and the businesses could benefit from the following Federal Entitlement Grants:

- Repairs on Single family Homes
- Repairs on Senior Citizen's Homes
- Neighborhood Facility (Civic Club, Neighborhood Common Area, etc.)
- Structural Repairs on Senior Citizen's Homes
- Painting of Senior Citizen's& low-income to moderate Homes
- Fence Repairs for Senior Citizen's and low to moderate income (Helps with safety from attacks from stray animals as well as provide some protection from break-ins)
- Funds for Special Needs families and Senior Citizens

Please send me any information you have on what we, as a neighborhood and community, need to do to be eligible for the grants. This will be distributed to the Almeda Plaza Board and Almeda Plaza Civic Club. If there are additional things we need to do as a community and neighborhood, so that we can access the grant funds, please let me know. Also, if individual families, businesses, and Senior Citizens need to make individual application for the grant fund, please send us the procedure and applications when they are made available. You can sendinformation and applications to me at the above address. In addition, you can e-mail the information and applications to the e-mail addresses listed below.
[redacted]

Dorothy Ashley, Member
[redacted]

November 7, 2012

HCDD
601 Sawyer, 4th Floor
Houston, Texas 77007

Attn.: Ms. Andrea Jones

With regard to the upcoming public hearings regarding needs of the community, I offer the following comments....

I am a resident of the 2nd Ward, close to the intersection of Runnels and Jensen. Within walking distance of my house, is a Church, an Elementary school, St. Martha's Kitchen, Catholic Charities Shelter, Star of Hope, Guadalupe Plaza Park, The new Soccer Stadium, Minute Maid Park, Buffalo Bayou, James Bute Park and the park at Hill Street Bridge at Buffalo Bayou.

We have routine issues common to Houston with poor drainage on some streets, uneven and/or sunken sidewalks. Of these, I would say the sidewalks are the most serious considering the amount of foot traffic in the area. Where the sidewalks are in their worst condition, this is cause for pedestrians to walk in the street which leads to a safety concern, especially for our children and more senior citizens.

Our most pressing problem however, is the negative effect upon our neighborhood as result of the presence of a large population of the homeless and the poor, drawn to the area as result of the combination of the charitable organizations and the parks.

These organizations provide food and shelter to the unfortunate, which is good. The Parks in turn, provide a location to sleep, to gather, to act as a restroom ...although no restroom facilities are available.

The results include unsightly trash, odors and germs from the human waste, nudity, public consumption of alcohol, use of drugs, sexual acts, washing of clothing in the park fountains and more.

One might consider these issues as merely a law enforcement matter. In fact, the local police are aware of these issues and it is my perception that they have made efforts and/or making efforts to make a presence in the parks. However, this issue is probably low in importance when compared to the more threatening issues faced by our police and as such has only ranks as low priority.

The poor we will always have and will always need our help. This is foremost. To correct the side-effect issues, do we install public restrooms... can we increase the presence of our police patrols, do we provide educational training regarding neighborhood cleanliness, do we increase park maintenance.... I do not know.

However, I am willing to offer my time to work with our City officials and Police to try and determine best course of action/actions.

Respectfully Submitted,

Michael Adams

Michael Adams
[redacted]

HOUSTON
TX 770
07 NOV 2012
1:18 PM

[redacted]

The Near Northwest Management District
7603 Antoine
Houston Texas 77088
713-895-8021

City of Houston
Housing and Community Development Department (HCDC)
City Hall Annex
900 Bagby Street
Houston, Texas 77002
Public Hearing
Thursday, November 8, 2012

Subject: Federal Entitlement Grants for the 2013 Annual Action Plan: Prioritization and allocation of funds for activities that serve low/moderate---income Houstonians with affordable housing, single-family home repair, economic development, neighborhood facility improvements, homelessness and programs serving people with special needs.

PROGRAM PROPOSAL:

In April, 2011, the old Inwood Forest Golf & Country Club, containing 227 acres plus a clubhouse, swim facility, pro-shop, and other additional buildings was purchased by the City of Houston. The City of Houston announced a cooperative agreement to re-purpose the former golf course with Harris County Flood Control District (HCFCD). Under the plan approximately 220 acres of land would be converted into a flood mitigation and water detention area to help control flooding (see City of Houston Press Release, dated April 14, 2011). The master plan includes building and maintaining a series of storm water detention basins throughout the specified area. At the same time, during dry periods, the land would serve as needed green space, including pedestrian or jogging trails (as suggested by the Houston Parks Board).

The Near Northwest Management District, under a five year lease arrangement with the City of Houston, and hereafter referred to as NNMD, proposes to use the swimming facilities and a portion of the existing buildings on an 8.311 acre sight, as a recreational center serving the near communities of the area, while entering into a public-private partnership with other public entities to develop an outdoor "adaptive Sports & Recreational Center." The NNMD proposes to target (1) Veterans, (2) disabled Veterans, (3) people with physical disabilities, special needs, or other challenges, (4) residents of Houston's northwest quadrant, and (5) the underemployed. NNMD's goal is to develop a comprehensive, year-round outdoors "Health and Wellness Center" similar to the City of Houston's indoor West Gray Metropolitan Multi-Service Center. NNMD intends to work with other entities such as the Houston Parks Board, Parks Dept for the City of Houston, Harris County Flood Control District, and the State of Texas Parks Dept to leverage the substantial green space and trails and fully integrate the City's local able-bodied and physically challenged communities in an outdoors environment.

1. **In Phase One**, the NNMD proposes improvements to its swimming pool, showers, locker rooms and clubhouse facility as part of the implementation of its

outdoors "Adaptive Sports & Recreation" program. This will require the immediate installation of a swimming pool heater, retractable pool covers, and significant modifications to the swimming pool, pool deck, showers, locker rooms and clubhouse to ensure federal compliance with the Americans with Disabilities Act and state certification as a therapeutic facility. NNMD proposes to invite Veterans, disabled Veterans, children and adults with physical disabilities, special needs, or other challenges to experience therapeutic (outdoors heated pool@85-90 degrees) and recreational aquatics, including multi-level Learn-to-Swim and advanced swimming classes. They will also experience competitive and recreational sports and related aquatics programs (youth and masters/adult competitive swimming, triathlon & cross-training, exercise "boot camps", water exercise and aerobics, water polo, water basketball, water volleyball, snorkeling, scuba diving, underwater photography etc. The NNMD currently hosted the Northwest Flyers, a youth track club, at the facility. It was determined by the track club that the site is very adaptive and provides a great venue for youth to stretch their legs in an urban environment.

For the effective implementation of Phase 1 of this request, to include Design, Engineering, Adaptation and Construction, Equipment for Pool, Pool House and Deck and access ramp, $1,500,000 is needed.

Annual Operation with medically-qualified staffing and ongoing special training in specialized program development, Utilities and Insurance would roughly be $600,000 per year.

2. **In Phase Two**, NNMD intends to expand its outdoors "Adaptive Sports & Recreation" programming by enhancing its other recreational assets. Proposed improvements include upgrading the pedestrian trails used to host weekend high school cross country competitions. Other outdoor amenities that would be added or modified to accommodate wheelchairs and people with disabilities include the bike paths, basketball/tennis courts, and jogging trails for the blind.

   For Phase 2, Design, Engineering, Adaption and Construction, Equipment for Basketball and Tennis operations, $3,000,000 is needed

   Annual operations of special staffing and training, program development, utilities and insurance would roughly be $165,000 per year.

The spacious campus offers infinite recreational, competitive and quality of life programming opportunities.

3. **In Phase Three**, NNMD would expand the "Adaptive Sports & Recreation" program indoors into its "Health and Wellness Center." There already exist a site within the existing clubhouse that contains lockers, showers, and separate restroom facilities that could be the beginning of a fitness center providing exercise & aerobics, a meditation center, exercise & strength training, indoor/outdoor hydrotherapy, treadmills, Stairmasters, weights, cardiovascular equipment and personal training. With sufficient funding, the new construction could include an "indoor-outdoor" component that would further extend the use of the facility (folding/sliding glass doors, bubble or retractable roof, etc).

Phase 3 would require Design, Engineering, Adaptation and Construction of Gymnasium and Work-out rooms, equipment/maintenance and program development for roughly would be $750,000.

Staffing, training, insurance and utilities would roughly be $100,000 per year.

In summary amounts requested for this grant is $6,115,000 :

Phase 1	$1,500,000	Annual Exp	$600,000
Phase 2	$3,000,000	Annual Exp	$165,000
Phase 3	$ 750,000	Annual Exp	$100,000
Total Capital	$5,250,000	Total Annual Exp	$865,000

The proposed facilities would satisfy criteria that satisfy the following highlighted:

a. serve low- and moderate-income Houstonians with affordable housing (*sic* needs); or
b. provide single-family home repair; or
c. promote economic development; or
d. provide neighborhood facility improvements; or
e. support homelessness (*sic* programs); or
f. promote programs serving people with special needs.

Who will benefit from such a program: Veterans, the disabled, citizens of the City of Houston, the residents of Harris County, non-swimmers, disabled athletes, trainers.

Future partners: Department of Veteran's Affairs, State of Texas Parks, Houston Parks Board, City of Houston Parks Department, Texas Rehabilitation Institute, ParaOlympics, Greater Inwood Partnership, senior & disabled groups & advocates.

What the Facility could provide:
To provide Learn-To-Swim opportunities for Houstonians with special challenges, such as Special Olympics

To provide Learn-To-Swim opportunities for Houston's adults and senior citizens.
Water aerobics, Deep Water Exercise, Senior Olympics, triathalon training, cross-training, Exercise Boot Camps, US Masters Swimming Training

To provide Learn-To-Swim opportunities for Houston's inner city, minority youth (Hispanic and African-American) populations.

To provide structured physical education programs and competitive swimming opportunities for Houston's underserved communities, including daycare programs and pre-K and all school age populations.

To promote indoor hydrotherapy, physical therapy, and occupational therapy for the purposes of using heated water for pain relief, pain management and the injury treatment and rehabilitation, wound care and disabilities.

To upgrade, enhance and expand the outdoor aquatics facilities and aquatics programming at the facility currently called White Oak Conference Center.

To provide jobs and "hands-on" training for water safety, special education, allied health and fitness students; high school or college or continuing education units.

Support for adaptive aquatics and an "Adaptive Sports & Recreation Center."

a. The Grant Program/Olympic Opportunity Fund (OOF) for Disabled Veterans and Members of the Armed Forces provides grant funding to organizations to increase the number and quality of opportunities disabled Veterans and disabled members of the Armed Forces have to participate in physical activity within their home communities, as well as more advanced Paralympics sport programs at the regional and national levels. The OOF is administered through a partnership between the U.S. Olympic Committee (USOC) and the Department of Veterans Affairs (VA). (www.va.gov/adaptivesports.)

(1) Eligibility: eligible applicants include Paralympics Sports Clubs, colleges and universities, Veterans Service Organization (VSOs) and community-based organizations; additional consideration is given to programs that:

(a) Engage local VA staff and resources.
(b) Engage local Department of Defense (DoD) staff and resources.
(c) Provide the program offering(s) in an appropriate, community-integrated structure.
(d) Have a focused geographical attachment area to facilitate regular and frequent return participation
(e) Involve Paralympics sports.
(f) Are active Paralympics Sport Clubs.
(g) Have a demonstrated history of recruiting and serving significant numbers of disabled Veterans and
(h) Disabled members of the Armed Forces.
(i) Have secured matching funds or other tangible community support.

(2) Amount: $10,000-$25,000

b. The Department of Veterans Affairs (VA) National Veterans Sports Programs & Special Events Office is a frontline resource and supportive of efforts to get disabled Veterans actively participating in adaptive sports at VA facilities and in *Adaptive Sports Clinics at local gymnasiums or recreation centers,* and partnership with local Adaptive Sports Clubs. Adaptive Sports Clinics provide disabled Veterans with the tools they need to participate in physical

activity as a form of rehabilitation, recreation and recovery. They also play a critical role in empowering disabled Veterans to take charge of their own rehabilitation in a way that is enjoyable and fulfilling. Adaptive Sports Clinics prove to disabled Veterans that they can challenge themselves, get fit and obtain a sense of personal accomplishment and satisfaction. (www.va.gov/adaptivesports.)

(1) Providing Sports to Local Disabled Veterans. Many communities across the country are home to organizations dedicated to providing sports and recreation activities to people with physical, cognitive (e.g., PTSD, TBI) and visual disabilities, including disabled Veterans. Programs may be offered through your city's Parks and Recreation department, a local rehabilitation hospital, *or an independent organization that has been established in your area.* Each community-based program offers a variety of sports and activities and aims to serve all ages and all levels of ability. No previous experience is required when starting up with a local club and many organizations are able to offer adaptive equipment for you to try out during activities and practice sessions. (www.va.gov/adaptivesports.)

c. Department of Veterans Affairs (VA) Paralympics Program is a part of the VA's ongoing commitment to support rehabilitation and recovery of disabled Veterans through participation in adaptive sports. Its mission is to sustain participation in adaptive sports among disabled Veterans in local community-based sports programs. Secretary of Veterans Affairs Eric K. Shinseki concludes that "adaptive sports among disabled Veterans has many proven benefits such as increased independence, reduced dependency on pain and depression medication and stress reduction...Providing resources for disabled Veterans to participate or compete in adaptive sports supports the holistic wellness of Veterans, which is a key component of VA's Veteran-centric care." (www.va.gov/adaptivesports.)

d. USA Swimming is a "300,000-member service organization that promotes the culture of swimming by creating opportunities for swimmers and coaches of all backgrounds to participate and advance in the sport through clubs, events and education. The membership is comprised of swimmers from the age group level to the Olympic Team, as well as coaches and volunteers. Members can get involved through our more than 2,800 clubs across the nation. In 2007, the USA Swimming Foundation launched Make a Splash—a national child-focused water safety initiative, which aims to provide the opportunity for every child in America to learn to swim. The Foundation seeks to achieve this mission via a national awareness campaign, an affiliate coalition and a robust local partner program." (www.usaswimming.org/)

(1) Make a Splash Initiative. "With the common goal of promoting the importance of learn-to-swim and water safety, the *"Make a Splash Affiliate Coalition"* is comprised of aquatic industry leaders and community organizations who have joined forces with the USA Swimming Foundation to bring awareness and support to our Make a Splash initiative." (www.usaswimming.org/)

e. "United States Masters Swimming (USMS) is an organization of sportswomen and sportsmen founded in 1970 and dedicated to the premise that the lives of participants will be enhanced through aquatic physical conditioning." *(USMS Rule Book)* To that end, USMS has set its mission **"To promote health, wellness, fitness and competition for adults through swimming."** (*www.usms.org/)*

(1) Fitness Swimming. Less than one-third of USMS swimmers identify themselves as "competitors" -- but we all swim because we love swimming and want to be fit. Swimming is one of the most popular forms of aerobic exercise, and it is an excellent activity for anyone who wishes to get fit and stay fit. USMS provides resources and activities to help swimmers maintain a lifelong interest in swimming. The USMS Fitness Committee is dedicated to studying and developing fitness-swimming activities for the general membership at the national level. This committee is also dedicated to providing resources to educate adults on the fitness benefits of swimming. (*www.usms.org/)*

f. Special Olympics. "The mission of Special Olympics is to provide year-round sports training and athletic competition in a variety of Olympic-type sports for children and adults with intellectual disabilities, giving them continuing opportunities to develop physical fitness, demonstrate courage, experience joy and participate in a sharing of gifts, skills and friendship with their families, other Special Olympics athletes and the community." (www.specialolympics.org/)

g. City of Houston Parks and Recreation Department, through its existing "Paralympics Sports Club Houston" program "offers several sports and recreation programs designed for children and adults with physical disabilities. Sports training and competitions are offered throughout the year. Activities include wheelchair basketball, power soccer, quad rugby, swimming, wheelchair soccer, yoga, and tennis. The Metropolitan Multi-Service Center offers a five-lane, 25 yard heated indoor pool fully accessible by wheelchair and is equipped with a zero-depth entry ramp, handrail, and three chair lifts. Locker rooms and shower facilities are also available." (www.houstontx.gov/parks)

h. American Red Cross. For over twenty-five years the American Red Cross has conducted Adapted Aquatics Programs. The goal of the program is to teach individuals who are physically, neurologically and/or emotionally challenged the importance of water safety, how to swim and especially have FUN! Every year, volunteer instructors teach more than 50 individuals who are physically, neurologically and/or emotionally challenged how to swim. The program provides participants with the opportunity to meet challenges, experience success, work with others, and become part of a social group. Additionally, water exercise allows participants to utilize muscles that prohibit their movement on land. Participants are able to improve strength, coordination, and range of movement while having fun and learning how to swim. (www.redcross.org/)

The above proposal has been submitted for consideration and as application for the Federal Entitlement Grant 2013 Action Plan.

Very truly yours,

Dorothy Miller
Chair, Board of Directors
Near Northwest Management District

November 25, 2012

Public Hearing Coordinator
Housing and Community Development Department
601 Sawyer, 4th Floor
Houston, TX 77007
Attn: Andrea Jones

Dear Mrs. Jones,

My name is Tracy Myres and I am a resident of Sunnyside, as well as a member of Sunnyside on the Bayou (SOTB), which is a community-based group that advocates for changes and improvements needed in the Sunnyside community. We have a major issue in our community safety and transportation. First, there is a bridge behind our complex that is in terrible condition and needs to be fixed. We use this bridge often as it is our direct route to the one grocery store in the community and the multiservice center, but it is extremely unsafe. Even though we do not mind walking to the grocery store since transportation is extremely limited in our community, the street along this route has no lights! So, it is completely dark at night and unsafe to go to or return from the grocery store. This lack of lighting invites all kinds of violence and criminal activity in the area. Our community also needs crossing guards because our children have to get on the bus or walk to school and the roads are unsafe, plus the streets are incomplete. The final major issue would be the extreme lack of transportation.

It would be great if HUD could strongly consider addressing these issues in the very near future to make our community a better place to live. Please let me know if you have any questions. Thank you for your time and consideration.

Sincerely,

Tracy Myres

Tracy Myres
Resident of the Sunnyside Community
Member, Sunnyside on the Bayou (SOTB)
[redacted]

November 25, 2012

Public Hearing Coordinator
Housing and Community Development Department
601 Sawyer, 4th Floor
Houston, TX 77007
Attn: Andrea Jones

Dear Mrs. Jones,

My name is Judith Richards and I am a resident of Sunnyside, as well as a member of Sunnyside on the Bayou (SOTB). Our community is about to be demolished and it would be valued if a safer community is considered in the rebuild. Some ways to make the community safer would be to have lighting and parking. Also, there needs to be a park for our kids to play. Most of the children in our community are overweight and are not healthy at all! It would even be great for our community to have a gym where the kids and adults can exercise and become fit indoors, which adds to safety. Lastly, the children need transportation to go to various places such as the zoo and the museums, which are great exposures for our children. Overall, the primary issues of concern for our community are safety, safe places for physical activity, and transportation.

The community would like to see HUD consider these issues in future but immediate plans to make Sunnyside a better, safer, and healthier place to live and raise our children. Thank you for your time and consideration.

Sincerely,

Judith Richards

Judith Richard
Resident of the Sunnyside Community
Member, Sunnyside on the Bayou (SOTB)
[redacted]

November 25, 2012

Public Hearing Coordinator
Housing and Community Development Department
601 Sawyer, 4th Floor
Houston, TX 77007
Attn: Andrea Jones

Dear Mrs. Jones,

Our names are Anna Gary and April Jackson and we are residents of Sunnyside, as well as members of Sunnyside on the Bayou (SOTB). We are part of the Council Board for the Wilmington House. In the Sunnyside community, there is a tremendous need for transportation. The Wilmington House is about to be rebuilt and the residents would like for there to be some form of transportation to be provided for different events for our kids to participate in. In general, the community does not have enough activities for our children to participate in. Although we are able to gain access to activities outside of the community, there is no way to get there! We have so many kids that are missing out because many parents do not have transportation. It would be very valuable for a major improvement in transportation to be considered for our community.

Please let me know if you have any questions. Thank you for your time and consideration.

Sincerely,

Anna Gary

Anna Gary
Resident of the Sunnyside Community
President, Council Board of Wilmington House
Member, Sunnyside on the Bayou (SOTB)
[redacted]

April Jackson

April Jackson
Resident of the Sunnyside Community
Vice President, Council Board of Wilmington House
Member, Sunnyside on the Bayou (SOTB)
[redacted]

November 19, 2012

Public Hearing Coordinator
Housing and Community Development Department
601 Sawyer, 4th Floor
Houston, TX 77007
Attn: Andrea Jones

Dear Mrs. Jones,

My name is Vicki Garrett Pinkston and I am a resident of Sunnyside, as well as a member of Sunnyside on the Bayou (SOTB). SOTB is a community-based group that is devoted to advocating for the needs of the Sunnyside community. I would like to take this opportunity to communicate some of the priority needs of the Sunnyside community to be integrated into HUD's planning process. I live at 3022 Nita Street, 77051. Our community is Reedswood.
Some of my major concerns are:

Safety
When my son was five years old, he was involved in an auto/pedestrian accident attempting to cross Rosehaven onto Chimira. There is no crosswalk or striping on the road, nor is there any signage that this is a pedestrian crosswalk and there is an uncovered, very exposed bus stop on that corner.

More police presence would assist with petty burglaries, speeding vehicles and those vehicle that run stop signs and red lights.

Health and Wellness
We would love to have access to affordable healthy foods choices, such as safe walking/bike trails along Sims Bayou, community gardens, and restaurants other than fast unhealthy food and fried chicken.

Affordable housing
Safe affordable housing is greatly in need. We have some residents with criminal backgrounds that are prey for slum lords. We have the highest concentration of paroled sex offenders in the city. We are in need of accessibility to mortgages for persons with lower credit scores and new homes that are affordable.

Under-employment
Residents earning lower wages should be allowed to build wealth through different programs that allow working residents a specific period of time that they can receive SNAP benefits. Offer financial planning training.

The Sunnyside community would like to see these issues strongly considered as HUD continues to plan for initiatives that will better serve the community because these issues are actually being voiced by the community. I am available and willing to address any questions you may have in order to move forward on these issues. Thank you for your time and consideration.

Sincerely,

Vicki Garrett Pinkston

Vicki Garrett Pinkston
Resident of the Sunnyside Community
Spokesperson, Sunnyside on the Bayou (SOTB)
[redacted]

November 25, 2012

Public Hearing Coordinator
Housing and Community Development Department
601 Sawyer, 4th Floor
Houston, TX 77007
Attn: Andrea Jones

Dear Mrs. Jones,

My name is Michelle Robins and I am a resident of Sunnyside, as well as a member of Sunnyside on the Bayou (SOTB). SOTB is a community-based group that is devoted to advocating for the needs of the Sunnyside community. I would like to take this opportunity to inform you of some of the priority needs of the Sunnyside community so you can incorporate them into HUD's planning process. I live at 4200 Wilmington Street at the Wilmington House. A major problem in our community is that the kids in Wilmington House do not have a safe place to play or be active. There are constantly people from outside of the complex coming in at all times of the day and night. We have a miniature park but our kids cannot play there because older kids and young adults stay there loitering, smoking marijuana, and engaging in inappropriate activities. Since our complex is being rebuilt, it would be useful and timely to have a park for the kids so that they can get the exercise that they need and spend their time participating in useful and healthy activities. Our children are unhealthy and suffering from the effects of obesity for no reason. Children in this community are constantly wandering around at all times of the night and getting into trouble. This park would give us and our kids access to a safe place, especially if it is fenced in, includes a public restroom, remains locked after hours, and has a groundkeeper to maintain it. Another solution could be to build a recreation center so that our kids can be active regardless of the weather. Either of these options would help to increase safety in our community and help our children and parents to live healthier lives. However, this is not possible if our community does not have opportunities for us to be active in a safe environment.

Our community would be appreciative if this safety and health issue strongly considered as HUD plans for future efforts that will better serve the community. Please contact me if you have any questions. Thank you for your time and consideration.

Sincerely,

Michelle Robins

Michelle Robins
Resident of the Sunnyside Community
Spokesperson, Sunnyside on the Bayou (SOTB)
[redacted]

City of Houston Housing and Community Development
601 Sawyer, 4th Floor,
Houston, TX 77007
Attn: Andrea Jones,
Public Hearing Coordinator
andrea.jones@houstontx.gov
Tel: 713-868-8300.

Viet Quan Tran
[redacted]
Houston, Tx [redacted]
[redacted]

November 5th, 2012

Dear Mrs. Andrea Jones:

I would like to say Hello and best wish to your community service.
According to the Mayor's letter about tell us about your Community needs, I would like to input a request to repair Alief Tennis court and road that locates within Alief Recreation and Community Center, 11903 Bellaire blvd. The Tennis court surface has cracking and damaged foundation; balls bouncing are unpredicted direction due to uneven surface.

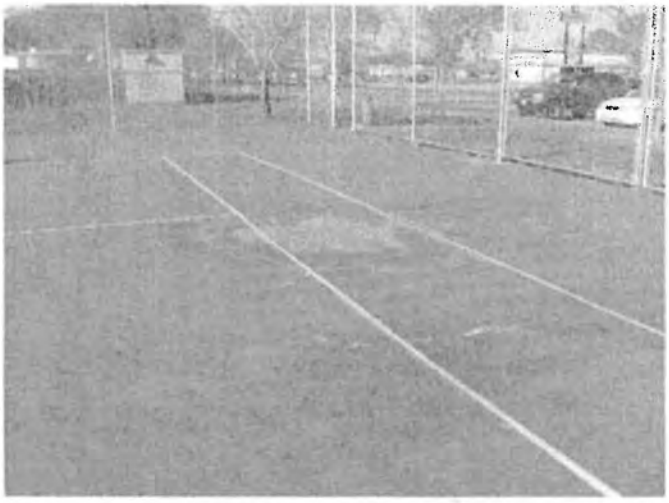
In the past three years, I have been requested to repair the tennis court surface thru Park and recreation department but I don't have any answer even my letters and phone calls that reached the office of State representative Hubert Vo and the council member Al Hoang for help. None of contacts that I have been communicated with has any proper answer. There are many reasons that I would like the Tennis court being repaired:

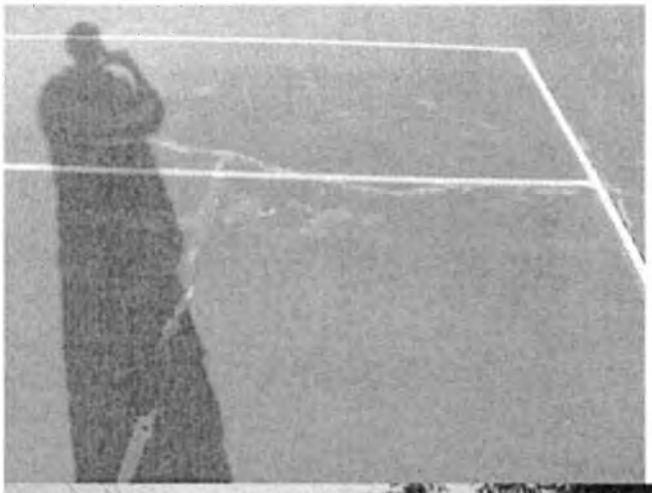
1. Have a place for children and adult to exercise with Tennis.
2. Beautification Alief area.
3. Motivate residents to exercise.

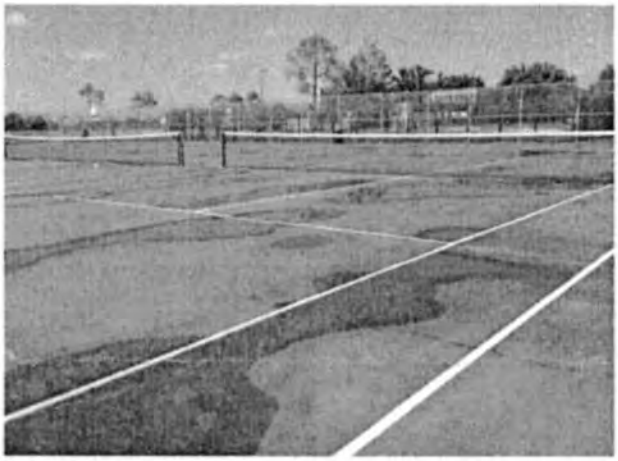
I would like to appreciate your listening and concern of my letter.

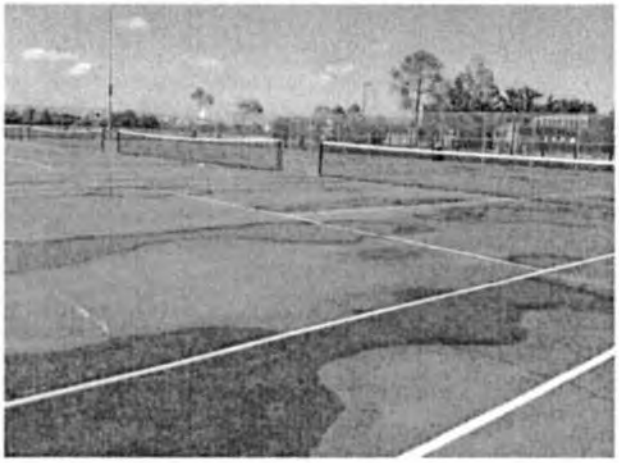
Sincerely yours,

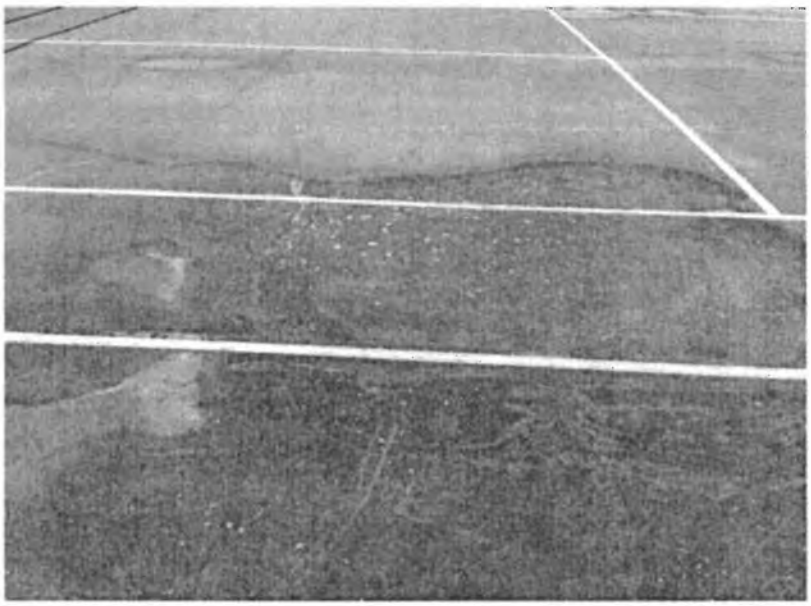
Viet Quan Tran











Appendix # 12: Ordinances

Ordinance No. 2012-331

City of Houston, Texas, Ordinance No. 2012-331

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCES NOS. 2004-685 PASSED JUNE 30, 2004, 2009-420 PASSED MAY 31, 2009, AND 2010-372 PASSED MAY 12, 2010, WHICH APPROVED AND AUTHORIZED THE SUBMISSION TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT OF THE 2004, 2009, AND 2010 CONSOLIDATED ANNUAL PLANS, INCLUDING THE COMMUNITY DEVELOPMENT BLOCK GRANT APPLICATIONS FOR THE 30TH, 35th, AND 36th PROGRAM YEARS; CONTAINING FINDINGS AND PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

WHEREAS, on June 30, 2004, pursuant to City of Houston ("City") Ordinance No. 2004-685, the City Council of the City of Houston ("City Council") approved and authorized the submission to the United States Department of Housing and Urban Development ("HUD") of the 2004 Consolidated Annual Plan ("2004 Annual Plan") including the Grant Application for the 30th CDBG Program Year; and

WHEREAS, on May 13, 2009, pursuant to City Ordinance No. 2009-420, the City Council approved and authorized the submission to HUD of the 2009 Consolidated Action Plan ("2009 Annual Plan") including the Grant Application for the 35th CDBG Program Year; and

WHEREAS, on May 12, 2010, pursuant to City Ordinance No. 2010-372, the City Council approved and authorized the submission to HUD of the 2010 Consolidated Action Plan ("2010 Annual Plan") including the Grant Application for the 36th CDBG Program Year; and

WHEREAS, the City now desires to further amend the 2004 Annual Plan, including the Grant Application for the 30th Program Year, by deleting the "Hester House" project (-$459,777) under the "Neighborhood Facilities Improvements" activity and increasing the "Neighborhood Facilities Improvements" activity (+$459,777), under the "Public Facilities and Improvements" category; and

WHEREAS, the City also desires to amend the 2009 Annual Plan, including the Grant Application for the 35th Program Year, by deleting the "Houston Food Bank" project (-$1,000,000) under the "Community Facilities Improvements" activity and increasing the "Community Facilities Improvements" activity (+$1,000,000), under the "Public Facilities and Improvements" category; and

WHEREAS, the City further desires to amend the 2010 Annual Plan, including the Grant Application for the 36th Program Year, by deleting the following activities/projects under the "Public Facilities and Improvements" category: "Houston Food Bank" (-$1,000,000) , "Harwin Park" (-$300,000), "SER Ninos Charter School" (-$300,000), "Montrose Counseling Center" (-$300,000), and "COH Tri Com Multi-Service Center" (-$600,000), and adding a new "Community Facilities" activity/project ($2,500,000), under the "Public Facilities and Improvements" category; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a grant agreement when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location, scope or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized its intent to further amend the 2004, 2009, and 2010 Annual Plans, through a Public Notice in the Houston Chronicle on March 16, 2012; and

WHEREAS, the public notice period expired on April 15, 2012, without any citizen's comments relating to the proposed changes set forth in this amendment; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and further amends Ordinances Nos. 2004-685 passed June 30, 2004, 2009-420 passed May 31, 2009, and 2010-372 passed May 12, 2010, relating to the 2004, 2009, and 2010 Annual Plans, respectively, in the manner indicated below.

Section 2. That by these substantial amendments, the City Council authorizes and approves the reallocation of funds under the Grant Applications for the 30th, 35th and 36th CDBG Program Years, as set forth below:

Plan Year	Line Item Category	Activity/Project	Action	Amount
2004	Public Facilities and Improvements	Neighborhood Facilities Improvements/ Hester House	Decrease/Delete	(-$459,777)
2004	Public Facilities and Improvements	Neighborhood Facilities Improvements	Increase/Add	(+$459,777)
2009	Public Facilities and Improvements	Community Facilities Improvements/ Houston Food Bank	Decrease/Delete	(-$1,000,000)

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a grant agreement when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location, scope or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized its intent to further amend the 2004, 2009, and 2010 Annual Plans, through a Public Notice in the Houston Chronicle on March 16, 2012; and

WHEREAS, the public notice period expired on April 15, 2012, without any citizen's comments relating to the proposed changes set forth in this amendment; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and further amends Ordinances Nos. 2004-685 passed June 30, 2004, 2009-420 passed May 31, 2009, and 2010-372 passed May 12, 2010, relating to the 2004, 2009, and 2010 Annual Plans, respectively, in the manner indicated below.

Section 2. That by these substantial amendments, the City Council authorizes and approves the reallocation of funds under the Grant Applications for the 30th, 35th and 36th CDBG Program Years, as set forth below:

Plan Year	Line Item Category	Activity/Project	Action	Amount
2004	Public Facilities and Improvements	Neighborhood Facilities Improvements/ Hester House	Decrease/Delete	(-$459,777)
2004	Public Facilities and Improvements	Neighborhood Facilities Improvements	Increase/Add	(+$459,777)
2009	Public Facilities and Improvements	Community Facilities Improvements/ Houston Food Bank	Decrease/Delete	(-$1,000,000)

2009	Public Facilities and Improvements	Community Facilities Improvements	Increase/Add	(+$1,000,000)
2010	Public Facilities and Improvements	Houston Food Bank	Decrease/Delete	(-$1,000,000)
2010	Public Facilities and Improvements	Harwin Park	Decrease/Delete	(-$300,000)
2010	Public Facilities and Improvements	SER Ninos Charter School	Decrease/Delete	(-$300,000)
2010	Public Facilities and Improvements	Montrose Counseling Center	Decrease/Delete	(-$300,000)
2010	Public Facilities and Improvements	COH Tri Com Multi-Service Center	Decrease/Delete	(-$600,000)
2010	Public Facilities and Improvements	Community Facilities	Increase/Add	(+$2,500,000)

Section 3. That in accordance with HUD regulations and the City's Citizen Participation Plan, the City is required to amend funding components of the Plan when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location, scope, or beneficiaries of an activity, or a priority has changed. By these amendments, the aforementioned reallocation of funds will result in a current allocation of funds under the CDBG Grant Applications as set forth under **Exhibit "A",** attached hereto and incorporated herein by reference.

Section 4. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in these amendments.

Section 5. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the CDBG program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes to the budget; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR CFR §91.505(c), if applicable.

Section 6. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 18th day of April, 2012.

ADOPTED this 18th day of April, 2012.

Annise D. Parker
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is _____.

_____
City Secretary

(Prepared by Legal Dept. Barbara J. Pierce DPA)
(BJP/TE/04/09/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Interim Director, Housing and Community Development Department
(L.D. File No.0291200023001)

g:\bet\ord\ordsubstantialamendments2004,2009,2010aap2012.doc

CAPTION PUBLISHED IN DAILY COURT REVIEW DATE: APR 24 2012

AYE	NO	
✓		**MAYOR PARKER**
• • • •	• • • •	**COUNCIL MEMBERS**
	✓	BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
✓		PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
✓		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

EXHIBIT A

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 7
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTIETH PROGRAM YEAR
July 1, 2004 through June 30, 2005

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
B & E	Storm Drainage Improvements/Ditch Regrading	$ 4,174,057.92		$ 4,174,057.92	
Various	Street Lights (Various Locations)	$ 25,000.00		$ 25,000.00	
Various	Re-Open Park Restrooms (Various Locations)	$ 150,000.00		$ 150,000.00	
Various	Park and SPARK (School-Park) Improvements	$ 3,737,985.00		$ 3,737,985.00	
I	Gregory School Cultural Center	$ 1,000,000.00		$ 1,000,000.00	
Various	Neighborhood Facilities Improvements	$ 1,534,082.86	$ 459,777.00	$ 1,993,859.86	
	Hester House	$ 459,777.00	$ (459,777.00)	$ -	
Houston Hope	Fire Equipment	$ 1,938,923.51		$ 1,938,923.51	
	Shifa Healthcare and Community Services USA	$ 120,667.63		$ 120,667.63	
	Sub-Total	**$ 13,019,826.29**	**$ -**	**$ 13,019,826.29**	**31.87%**
	HOUSING				
Various	Housing Assistance Programs	$ 3,669,568.51		$ 3,669,568.51	
	Sub-Total	**$ 3,669,568.51**	**$ -**	**$ 3,669,568.51**	**8.98%**
	PUBLIC SERVICES				
Various	Community Services	$ 5,216,743.57		$ 5,216,743.57	
Various	Emergency Shelter Grants (Match)	$ 622,846.00		$ 622,846.00	
	Sub-Total	**$ 5,839,589.57**	**$ -**	**$ 5,839,589.57**	**14.29%**
	PLANNING				
Various	Coalition for the Homeless	$ 133,416.00		$ 133,416.00	
	Sub-Total	**$ 133,416.00**	**$ -**	**$ 133,416.00**	**0.33%**
	ECONOMIC DEVELOPMENT				
Various	Economic Development Assistance Program	$ 2,200,000.00		$ 2,200,000.00	
	Micro Enterprise	$ 300,000.00		$ 300,000.00	
	Sub-Total	**$ 2,500,000.00**	**$ -**	**$ 2,500,000.00**	**6.12%**
	CLEARANCE				
Various	Dangerous Building/Code Enforcement	$ 6,276,632.00		$ 6,276,632.00	
Various	Administration/Legal Department	$ 545,000.00		$ 545,000.00	
	Sub-Total	**$ 6,821,632.00**	**$ -**	**$ 6,821,632.00**	**16.70%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	7
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTIETH PROGRAM YEAR
July 1, 2004 through June 30, 2005

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	INTERIM ASSISTANCE				
Houston Hope	Interim Assistance	$ 1,380,000.00		$ 1,380,000.00	
	Sub-Total	**$ 1,380,000.00**	**$ -**	**$ 1,380,000.00**	**3.38%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 6,247,100.00		$ 6,247,100.00	
N/A	Other Departments Administration	$ 1,119,300.00		$ 1,119,300.00	
	Sub-Total	**$ 7,366,400.00**		**$ 7,366,400.00**	**18.03%**
	TOTAL	**$ 40,730,432.37**	**$ -**	**$ 40,730,432.37**	**99.70%**

ESTIMATE OF THIRTIETH YEAR CDBG PROGAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 75,000.00
Multi-Family Housing Loan Repayments	$ 540,000.00
Affordable Housing Loan Repayments	$ 250,000.00
Small Business Revolving Loan Repayments	$ 1,150,000.00
Palm Center Operations	$ 950,000.00
Subrecipient	$ 25,000.00
Other Program Income	$ 10,000.00
Sub-Total	**$ 3,000,000.00**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 1,150,000.00
Palm Center Operations	$ 950,000.00
All other Programs: Housing, Public Facilities and Improvements, Public Services and CDBG Administration	$ 900,000.00
Sub-Total	**$ 3,000,000.00**

SOURCE OF PRIOR YEARS FUNDING

B-93 Economic Development	$ 50,000.00
B-96 Historical Preservation	$ 80,590.00
B-97 Public Service	$ 105,651.00
B-98 Street Overlay	$ 128,989.00
B-98 Housing Assistance	$ 180,032.00
B-98 Public Service	$ 50,000.00
B-99 Street Overlay	$ 945,838.00
B-99 Public Service	$ 50,000.00
B-00 Public Service	$ 50,000.00
Total	**$ 1,641,100.00**

PROPOSED USE OF PRIOR YEARS FUNDING

B-04 Storm Drainage Improvements/Ditch Regrading	$ 1,541,000.00
B-04 Public Service (Day Care)	$ 100,100.00
Total	**$ 1,641,100.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2005

Thirtieth Uear CDBG Allocation	$ 36,210,000.00
Estimated Program Income	$ 3,000,000.00
Prior Years Funding	$ 1,641,100.00
Total	**$ 40,851,100.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 4
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-FIFTH PROGRAM YEAR
July 1, 2009 through June 30, 2010

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
Various	Community Facilities Improvements	$ 1,298,171.00	$ 1,000,000.00	$ 2,298,171.00	
	Houston Food Bank	$ 1,000,000.00	$ (1,000,000.00)	$ -	
C	Houston Police Department - Fondren	$ 2,000,000.00		$ 2,000,000.00	
I	Stanaker Library	$ 500,000.00		$ 500,000.00	
Various	SPARKS/Park Improvements	$ 2,800,000.00		$ 2,800,000.00	
	Pro Vision Charter School	$ 500,000.00		$ 500,000.00	
	Fort Bend Senior Community Center	$ 250,000.00		$ 250,000.00	
	Sub-Total	**$ 8,348,171.00**	**$ -**	**$ 8,348,171.00**	**24.76%**
	HOUSING				
Various	Housing Assistance Programs	$ 6,842,797.00		$ 6,842,797.00	
	Sub-Total	**$ 6,842,797.00**	**$ -**	**$ 6,842,797.00**	**20.29%**
	PUBLIC SERVICES				
Various	Various Public Services	$ 4,881,804.00		$ 4,881,804.00	
Various	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	Sub-Total	**$ 5,581,804.00**	**$ -**	**$ 5,581,804.00**	**16.55%**
	PLANNING				
Various	Coalition for the Homeless	$ 133,415.00		$ 133,415.00	
	Sub-Total	**$ 133,415.00**	**$ -**	**$ 133,415.00**	**0.40%**
	ECONOMIC DEVELOPMENT*				
Various	Economic Development Assistance Program	$ 2,176,540.00		$ 2,176,540.00	
	Sub-Total	**$ 2,176,540.00**	**$ -**	**$ 2,176,540.00**	**6.46%**
	CLEARANCE				
Various	Dangerous Building/Code Enforcement	$ 3,616,700.00		$ 3,616,700.00	
Various	Administration/Legal Department	$ 866,300.00		$ 866,300.00	
	Sub-Total	**$ 4,483,000.00**	**$ -**	**$ 4,483,000.00**	**13.30%**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 6
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SIXTH PROGRAM YEAR
July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
B	Deluxe Theater	$ 1,500,000.00		$ 1,500,000.00	
I	Stanaker - Library	$ 500,000.00		$ 500,000.00	
C	Houston Police Department-Fondren	$ 500,000.00		$ 500,000.00	
D	Ibn Sina Clinic	$ 1,200,000.00		$ 1,200,000.00	
A	Korean Community Center	$ 500,000.00		$ 500,000.00	
D	SEHAH	$ 75,000.00		$ 75,000.00	
F	Star of Hope Mission	$ 300,000.00		$ 300,000.00	
H	Shifa Center	$ 200,000.00		$ 200,000.00	
	Schwartz Park	$ 350,000.00		$ 350,000.00	
VARIOUS	SPARKS Parks	$ 350,000.00		$ 350,000.00	
	Program Delivery Costs	$ 300,000.00		$ 300,000.00	
	Pro-Vision Charter School	$ 200,000.00		$ 200,000.00	
	Public Facilities and Improvements (Community Facilities) - Unallocated	$ 311,954.00		$ 311,954.00	
	Ft. Bend Senior Citizens Center	$ 250,000.00		$ 250,000.00	
	Community Facilities	$ 2,500,000.00	$ (295,667.25)	$ 2,204,332.75	
	SUB-TOTAL	**$ 9,036,954.00**	**$ (295,667.25)**	**$ 8,741,286.75**	**24.43%**
	HOUSING				
VARIOUS	Single Family Home Repair	$ 4,761,251.00		$ 4,761,251.00	
VARIOUS	Lead Based Paint	$ 1,060,000.00		$ 1,060,000.00	
VARIOUS	Single Family Home Repair Relocation	$ 431,546.00		$ 431,546.00	
VARIOUS	Rehabilitation Administration, Project Delivery	$ 1,090,000.00		$ 1,090,000.00	
	SUB-TOTAL	**$ 7,342,797.00**	**$ -**	**$ 7,342,797.00**	**20.52%**
	PUBLIC SERVICES				
VARIOUS	Various Public Services	$ 5,031,295.00	$ (55,384.90)	$ 4,975,910.10	
VARIOUS	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	SUB-TOTAL	**$ 5,731,295.00**	**$ (55,384.90)**	**$ 5,675,910.10**	**15.86%**
	PLANNING				
VARIOUS	Coalition for the Homeless	$ 133,415.00		$ 133,415.00	
	SUB-TOTAL	**$ 133,415.00**	**$ -**	**$ 133,415.00**	**0.37%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SIXTH PROGRAM YEAR
July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	ECONOMIC DEVELOPMENT				
VARIOUS	Economic Development Assistance Programs	$ 2,398,430.00	$ 351,052.15	$ 2,749,482.15	
	SUB-TOTAL	**$ 2,398,430.00**	**$ 351,052.15**	**$ 2,749,482.15**	**7.68%**
	CLEARANCE				
VARIOUS	Dangerous Building & Legal Department	$ 3,616,700.00		$ 3,616,700.00	
VARIOUS	Code Enforcement	$ 866,300.00		$ 866,300.00	
	SUB-TOTAL	**$ 4,483,000.00**	**$ -**	**$ 4,483,000.00**	**12.53%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 6,244,731.00		$ 6,244,731.00	
N/A	Other Departments Administration	$ 409,300.00		$ 409,300.00	
	SUB-TOTAL	**$ 6,654,031.00**	**$ -**	**$ 6,654,031.00**	**18.60%**
	TOTAL	**$ 35,779,922.00**	**$ -**	**$ 35,779,922.00**	**100.00%**

ESTIMATE OF THIRTY-SIXTH YEAR CDBG PROGRAM INCOME

Sources of Program Income	**Amount**
Multi-Family Housing Loan Repayments	$ 610,520.00
Small Business Revolving Loan Repayments	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
Other Program Income	$ -
Sub-Total	**$ 3,010,520.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration	$ 610,520.00
Sub-Total	**$ 3,010,520.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2011

Thirty-sixth Year CDBG Allocation	$ 32,769,402.00
Estimated Program Income	$ 3,010,520.00
Total	**$ 35,779,922.00**

Ordinance No. 2012-332

City of Houston, Texas, Ordinance No. 2012-332

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCES NOS. 1993-851 PASSED JULY 7, 1993, 1996-665 PASSED JUNE 26, 1996, 1997-848 PASSED JULY 1, 1997, 1998-874 PASSED JUNE 17, 1998, 1999-487 PASSED MAY 19, 1999, 2000-395 PASSED MAY 17, 2000, 2003-620 PASSED JUNE 25, 2003, 2004-685 PASSED JUNE 30, 2004, 2005-607 PASSED MAY 11, 2005, 2006-628 PASSED JUNE 14, 2006, 2007-648 PASSED JUNE 6, 2007, 2008-385 PASSED APRIL 30, 2008, 2009-420 PASSED MAY 13, 2009, AND 2010-372 PASSED MAY 12, 2010, WHICH APPROVED AND AUTHORIZED THE SUBMISSION TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT OF THE 1993, 1996, 1997, 1998, 1999, 2000, 2003, 2004, 2005, 2006, 2007, 2008, 2009, AND 2010 CONSOLIDATED ANNUAL PLANS, INCLUDING THE COMMUNITY DEVELOPMENT BLOCK GRANT APPLICATIONS FOR THE 19TH, 22ND, 23RD, 24TH, 25TH, 26TH, 29TH, 30TH, 31ST, 32ND, 33RD, 34TH 35TH AND 36TH PROGRAM YEARS; CONTAINING FINDINGS AND PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

WHEREAS, on July 17, 1993, pursuant to City of Houston ("City") Ordinance No. 1993-851, the City Council of the City of Houston ("City Council") approved and authorized the submission to the United States Department of Housing and Urban Development ("HUD") of the 1993 Consolidated Annual Plan ("1993 Annual Plan"), including the Grant Application for the 19th CDBG Program Year,; and

WHEREAS, on June 26, 1996, pursuant to City Ordinance No. 1996-665, City Council approved and authorized the submission to HUD of the 1996 Consolidated Annual Plan ("1996 Annual Plan"), including the Grant Application for the 22nd CDBG Program Year; and

WHEREAS, on July 1, 1997, pursuant to City Ordinance No. 1997-848, City Council approved and authorized the submission to HUD of the 1997 Consolidated Annual Plan ("1997 Annual Plan"), including the Grant Application for the 23rd CDBG Program Year; and

WHEREAS, on July 1, 1998, pursuant to City Ordinance No. 1998-474, City Council of approved and authorized the submission to HUD of the 1998 Consolidated Annual Plan ("1998 Annual Plan"), including the Grant Application for the 24th CDBG Program Year; and

WHEREAS, on July 1, 1999, pursuant to City Ordinance No. 1999-487, City Council of the City of Houston ("City Council") approved and authorized the submission to HUD of the 1999 Consolidated Annual Plan ("1999 Annual Plan"), including the Grant Application for the 25th CDBG Program Year; and

WHEREAS, on May 17, 2000, pursuant to City Ordinance No. 2000-395, the City Council approved and authorized the submission to HUD of the 2000 Consolidated Plan ("2000 Annual Plan"), including the Grant Application for the 26th CDBG Program Year; and

WHEREAS, on June 25, 2003, pursuant to City Ordinance No. 2003-620, City Council approved and authorized the submission to HUD of the 2003 Consolidated Annual Plan ("2003 Annual Plan"), including the Grant Application for the 29th CDBG Program Year; and

WHEREAS, on June 30, 2004, pursuant to City Ordinance No. 2004-685, City Council approved and authorized the submission to HUD of the 2004 Consolidated Annual Plan ("2004 Annual Plan"), including the Grant Application for the 30th CDBG Program Year; and

WHEREAS, on May 11, 2005, pursuant to City Ordinance No. 2005-607, City Council approved and authorized the submission to HUD of the 2005 Consolidated Plan ("2005 Annual Plan"), including the Grant Application for the 31st CDBG Program Year; and

WHEREAS, on June 14, 2006, pursuant to City Ordinance No. 2006-628, City Council approved and authorized the submission to HUD of the 2006 Consolidated Annual Plan ("2006 Annual Plan"), including the Grant Application for the 32nd CDBG Program Year; and

WHEREAS, on June 6, 2007, pursuant to City Ordinance No. 2007-648, City Council approved and authorized the submission to HUD of the 2007 Consolidated Action Plan ("2007 Annual Plan"), including the Grant Application for the 33rd CDBG Program Year; and

WHEREAS, on April 30, 2008, pursuant to City Ordinance No. 2008-385, City Council approved and authorized the submission to HUD of the 2008 Consolidated Action Plan ("2008 Annual Plan"), including the Grant Application for the 34th CDBG Program Year; and

WHEREAS, on May 13, 2009, pursuant to City Ordinance No. 2009-420, City Council approved and authorized the submission to HUD of the 2009 Consolidated Action Plan ("2009 Annual Plan"), including the Grant Application for the 35th CDBG Program Year; and

WHEREAS, on May 12, 2010, pursuant to City Ordinance No. 2010-372, City Council approved and authorized the submission to HUD of the 2010 Consolidated Action Plan ("2010 Annual Plan"), including the Grant Application for the 36th CDBG Program Year; and

WHEREAS, through the substantial amendments set forth below, the City desires to provide CDBG funds to Houston Business Development, Inc. ("HBDI") for a working capital advance in connection with the expansion of economic development activities; and

WHEREAS, the City now desires to further amend the 1993 Annual Plan by decreasing/deleting the "Housing Assistance Programs" activity (-$65,008.38) under the "Housing" category and increasing/adding the "Economic Development Assistance Programs" activity (+$65,008.38) under the "Economic Development" category; and

WHEREAS, the City further desires to amend the 1996 Annual Plan by decreasing/deleting the "Neighborhood Facilities - Acquisition, Design, Construction and Renovation" activity (-$15,949.38) under the "Public Facilities Improvements" category and

increasing/adding the "Economic Development Assistance Programs" activity (+$15,949.38) under the "Economic Development" category; and

WHEREAS, the City also desires to further amend the 1997 Annual Plan by decreasing/deleting the "Neighborhood Facilities Renovations" activity (-$6,141.79) under the Public Facilities and Improvements" category and the "Housing Assistance Programs" activity (-$0.78) under the "Housing" category, and increasing/adding the "Economic Development Assistance Programs" activity (+$6,142.57) under the "Economic Development" category; and

WHEREAS, the City further desires to amend the 1998 Annual Plan by decreasing/deleting the "Neighborhood Facilities Renovations" activity (-$1,173.65) under the "Public Facilities and Improvements" category and the "Housing Assistance Programs" activity (-$143,609.42) under the "Housing" category, and increasing/adding the "Economic Development Assistance Programs" activity (+$144,783.07) under the "Economic Development" category; and

WHEREAS, the City also desires to further amend the 1999 Annual Plan by decreasing/deleting the "Neighborhood Facilities Renovations" activity (-$10,848.18) under the "Public Facilities and Improvements" category and increasing/adding the "Economic Development Assistance Programs" activity (+$10,848.18) under the "Economic Development" category; and

WHEREAS, the City desires to further amend the 2000 Annual Plan by decreasing/deleting the "Neighborhood Facilities Renovations/Branch Library (Kashmere)" activity (-$6,235.73) under the "Public Facilities and Improvements" category and increasing/adding the "Economic Development Assistance Programs" activity (+$6,235.73) under the "Economic Development" category; and

WHEREAS, the City further desires to amend the 2003 Annual Plan by decreasing/deleting the "Housing Assistance Programs" activity (-$311,583.05) under the "Housing" category and increasing/adding the "Economic Development Assistance Programs" activity (+$311,583.05) under the "Economic Development" category; and

WHEREAS, the City also desires to further amend the 2004 Annual Plan by decreasing/deleting the "Dangerous Buildings/Code Enforcement" activity (-$272,271.71) under the "Clearance" category and the "Housing Assistance Programs" activity (-$983,910.93) under the "Housing" activity, and increasing/adding the "Economic Development Assistance Programs" activity (+$1,256,182.64) under the "Economic Development" category; and

WHEREAS, the City desires to further amend the 2005 Annual Plan by decreasing/deleting the "Dangerous Buildings/Code Enforcement" activity (-$50,562.65) under the "Clearance" activity and increasing/adding the "Economic Development Assistance Programs" activity (+$50,562.65) under the "Economic Development" category; and

WHEREAS, the City further desires to amend the 2006 Annual Plan by decreasing/deleting the "Neighborhood Facilities Improvements" activity (-$23,365.91) under the "Public Facilities and Improvements" category and increasing/adding the "Economic Development Assistance Programs" activity (+$23,365.91) under the "Economic Development" category; and

WHEREAS, the City also desires to further amend the 2007 Annual Plan by decreasing/deleting the "Neighborhood Facilities Improvements" activity (-$5,599.36) under the "Public Facilities and Improvements" category, the "Dangerous Buildings/Code Enforcement" activity (-$5,965.10) and "Administration/Legal Department" activity (-$13,310.95) under the "Clearance" category, and increasing/adding the "Economic Development Assistance Programs" activity (+$24,875.41) under the "Economic Development" category; and

WHEREAS, the City desires to further amend the 2008 Annual Plan by decreasing/deleting the following activities: "Neighborhood Facilities Improvements" activity (-$13,761.94) under the "Public Facilities and Improvements" category, "Dangerous Buildings/Code Enforcement" (-$50,641.12) and "Administration/Legal Department" (-$122,180.68) under the "Clearance" category, the "Housing Assistance Programs" activity (-$75,300.26) under the "Housing" category, and increasing/adding the "Economic Development Assistance Programs" activity (+$261,884.00) under the "Economic Development" category; and

WHEREAS, the City further desires to amend the 2009 Annual Plan by decreasing/deleting the following activities: "Communities Facilities Improvements" activity (-$169,925.00) under the "Public Facilities and Improvements" category, the "Dangerous Buildings/Code Enforcement" activity (-$267,179.91) and "Administration/Legal Department" activity (-$34,421.97) under the "Clearance" category, and increasing/adding the "Economic Development Assistance Programs" activity (+$471,526.88) under the "Economic Development" category; and

WHEREAS, the City also desires to further amend the 2010 Annual Plan by decreasing/deleting the "Community Facilities" activity (-$295,667.25) under the "Public Facilities and Improvements" category, the "Community Services" project of the "Various Public Services" activity (-$55,384.90) under the "Public Services" category, and increasing/adding the "Economic Development Assistance Programs" activity (+$351,052.15) under the "Economic Development" category; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a grant agreement when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, scope, location or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized its intent to further amend the 1993, 1996, 1997, 1998, 1999, 2000, 2003, 2004, 2005, 2006, 2007, 2008, 2009, and 2010 Annual Plans, through a Public Notice in the Houston Chronicle on March 16, 2012; and

WHEREAS, the public notice period expired on April 15, 2012, without any citizen's comments relating to the proposed changes set forth in this amendment; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and further amends Ordinances Nos. 1993-851 passed July 17, 1993,1996-665 passed June 26, 1996, 1997-848 passed July 1, 1997, 1998-874 passed June 17, 1998, 1999-487 passed May 19, 1999, 2000-395 passed May 17, 2000, 2003-620 passed June 25, 2003, 2004-685 passed June 30, 2004, 2005-607 passed May 11, 2005, 2006-628 passed June 14, 2006, 2007-648 passed June 6, 2007, 2008-385 passed April 30, 2008, 2009-420-passed May 13, 2009, and 2010-372 passed May 12, 2010, relating to the 1993, 1996, 1997, 1998, 1999, 2000, 2003, 2004, 2005, 2006, 2007, 2008, 2009, and 2010 Annual Plans, respectively, in the manner indicated below.

Section 2. That by these substantial amendments, the City Council authorizes and approves the reallocation of funds under the Grant Application/Projected Use of Funds Budget for the 19th, 22nd, 23rd, 24th, 25th, 26th, 29th, 30th, 31st, 32nd, 33rd, 34th, 35th, and 36th CDBG Program Years, as set forth below:

Plan Year	Line Item Category	Activity/Project	Action	Amount
1993	Housing	Housing Assistance Programs	Decrease/ Delete	(-$65,008.38)
1993	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$65,008.38)
1996	Public Facilities and Improvements	Neighborhood Facilities- Acquisition, Design, Construction, and Renovation	Decrease/ Delete	(-$15,949.38)

1996	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$15,949.38)
1997	Public Facilities and Improvements	Neighborhood Facilities Renovations	Decrease/ Delete	(-$6,141.79)
1997	Housing	Housing Assistance Programs	Decrease/ Delete	(-$0.78)
1997	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$6,142.57)
1998	Public Facilities and Improvements	Neighborhood Facilities Renovations	Decrease/ Delete	(-$1,173.65)
1998	Housing	Housing Assistance Programs	Decrease/ Delete	(-$143,609.42)
1998	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$144,783.07)
1999	Public Facilities and Improvements	Neighborhood Facilities Renovations	Decrease/ Delete	(-$10,848.18)
1999	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$10,848.18)

2000	Public Facilities and Improvements	Neighborhood Facilities Renovations/ Branch Library (Kashmere)	Decrease/ Delete	(-$6,235.73)
2000	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$6,235.73)
2003	Housing	Housing Assistance Programs	Decrease/ Delete	(-$311,583.05)
2003	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$311,583.05)
2004	Clearance	Dangerous Buildings/ Code Enforcement	Decrease/ Delete	(-$272,271.71)
2004	Housing	Housing Assistance Programs	Decrease/ Delete	(-$983,910.93)
2004	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$1,256,182.64)
2005	Clearance	Dangerous Buildings/ Code Enforcement	Decrease/ Delete	(-$50,562.65)
2005	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$50,562.65)

2006	Public Facilities and Improvements	Neighborhood Facilities Improvements	Decrease/ Delete	(-$23,365.91)
2006	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$23,365.91)
2007	Public Facilities and Improvements	Neighborhood Facilities Improvements	Decrease/ Delete	(-$5,599.36)
2007	Clearance	Dangerous Buildings/ Code Enforcement	Decrease/ Delete	(-$5,965.10)
2007	Clearance	Administration/ Legal Department	Decrease/ Delete	(-$13,310.95)
2007	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$24,875.41)
2008	Public Facilities and Improvements	Neighborhood Facilities Improvements	Decrease/ Delete	(-$13,761.94)
2008	Clearance	Dangerous Buildings/ Code Enforcement	Decrease/ Delete	(-$50,641.12)
2008	Clearance	Administration/ Legal Department	Decrease/ Delete	(-$122,180.68)
2008	Housing	Housing Assistance Programs	Decrease/ Delete	(-$75,300.26)
2008	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$261,884.00)

2009	Public Facilities and Improvements	Community Facilities Improvements	Decrease/ Delete	(-$169,925.00)
2009	Clearance	Dangerous Buildings/ Code Enforcement	Decrease/ Delete	(-$267,179.91)
2009	Clearance	Administration/ Legal Department	Decrease/ Delete	(-$34,421.97)
2009	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$471,526.88)
2010	Public Facilities and Improvements	Community Facilities	Decrease/ Delete	(-$295,667.25)
2010	Public Services	Various Services/ Community Services	Decrease/ Delete	(-$55,384.90)
2010	Economic Development	Economic Development Assistance Programs	Increase/ Add	(+$351,052.15)

Section 3. That in accordance with HUD regulations and the City's Citizen Participation Plan, the City is required to amend funding components of the Plan when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location, scope, or beneficiaries of an activity, or a priority has changed. By these amendments, the aforementioned reallocation of funds will result in a current allocation of funds under the CDBG Grant Applications as set forth under **Exhibit "A",** attached hereto and incorporated herein by reference.

Section 4. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in these amendments.

Section 5. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to

make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the CDBG program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes to the budget; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR CFR §91.505(c), if applicable.

Section 6. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 18th day of April, 2012.

ADOPTED this 18th day of April, 2012.

Annise D. Parker
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is _____.

_____
City Secretary

(Prepared by Legal Dept. Barbara J. Pierce)
(BJP/TE/04/09/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Interim Director, Housing and Community Development Department
(L.D. File No.0291200025001)

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CAPTION PUBLISHED IN DAILY COURT REVIEW
DATE:
APR 24 2012

AYE	NO	
✓		**MAYOR PARKER**
• • • •	• • • •	**COUNCIL MEMBERS**
	✓	BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
✓		PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
✓		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

EXHIBIT A

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	8
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
NINETEENTH PROGRAM YEAR
July 1, 1993 through June 30, 1994

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	ACQUISITION				
	Acquisition	$ 400,790.00		$ 400,790.00	
	Sub-Total	**$ 400,790.00**		**$ 400,790.00**	**1.16%**
	PUBLIC FACILITIES AND IMPROVEMENTS				
	Street Overlays/Improvements	$ 1,442,990.00		$ 1,442,990.00	
2,3,11,13,15,16, 17,19,21,23,24	Park and SPARK (School-Park) Improvements	$ 3,132,624.00		$ 3,132,624.00	
1,3,4,5,7,8,10,11 ,12,15,16,18,19, 20,22,23,32	Neighborhood Facilities - Renovation, Acquisition, Equipment, Construction and Design	$ 5,483,407.11		$ 5,483,407.11	
	Southwest Multi-Service Center	$ 49,034.03		$ 49,034.03	
4,11	Fire Equipment	$ 597,265.65		$ 597,265.65	
	Storm Drainage/Ditch Regrading	$ 50,000.00		$ 50,000.00	
	Harris County Cemetery	$ 25,965.97		$ 25,965.97	
	Sub-Total	**$ 10,781,286.76**	**$ -**	**$ 10,781,286.76**	**31.23%**
	HOUSING				
All	Housing Assistance Programs	$ 3,751,913.75	$ (65,008.38)	$ 3,686,905.37	
All	Housing Administration (Housing Improvements, Relocation, Fair Housing, Legal Services)	$ 1,360,512.56		$ 1,360,512.56	
	Non-Residential Non-Profit Rehabilitation (Jane Long Middle School)	$ 300,000.00		$ 300,000.00	
11,14	Public Housing Administration	$ 1,886,771.39		$ 1,886,771.39	
	Sub-Total	**$ 7,299,197.70**	**$ (65,008.38)**	**$ 7,234,189.32**	**20.95%**
	PUBLIC SERVICES				
All	Community Services	$ 4,513,307.74		$ 4,513,307.74	
All	Street Lights	$ 348,286.00		$ 348,286.00	
	Sub-Total	**$ 4,861,593.74**	**$ -**	**$ 4,861,593.74**	**14.08%**
	PLANNING				
All	Homeless Assistance	$ 140,000.00		$ 140,000.00	
	Sub-Total	**$ 140,000.00**	**$ -**	**$ 140,000.00**	**0.41%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	8
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
NINETEENTH PROGRAM YEAR
July 1, 1993 through June 30, 1994

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	NON-PROFIT CAPACITY PLANNING				
24	Community Revitalization	$ 98,755.17		$ 98,755.17	
	Sub-Total	**$ 98,755.17**	**$ -**	**$ 98,755.17**	**0.29%**
	ECONOMIC DEVELOPMENT				
21,All	Economic Development Assistance Program	$ 1,816,000.00	$ 65,008.38	$ 1,881,008.38	
	Sub-Total	**$ 1,816,000.00**	**$ 65,008.38**	**$ 1,881,008.38**	**5.45%**
	PROPERTY DISPOSITION				
15,23	Property Disposition/Management	$ 83,742.00		$ 83,742.00	
	Sub-Total	**$ 83,742.00**	**$ -**	**$ 83,742.00**	**0.24%**
	CLEARANCE				
All	Dangerous Building/Code Enforcement Administration/Legal Department	$ 3,506,764.32		$ 3,506,764.32	
	Sub-Total	**$ 3,506,764.32**	**$ -**	**$ 3,506,764.32**	**10.16%**
	PROGRAM ADMINISTRATION				
All	CDBG Program Administration	$ 5,536,921.31		$ 5,536,921.31	
	Sub-Total	**$ 5,536,921.31**	**$ -**	**$ 5,536,921.31**	**16.04%**
	TOTAL	**$ 34,525,051.00**	**$ -**	**$ 34,525,051.00**	**100.00%**

ESTIMATE OF TWENTIETH YEAR CDBG PROGAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 660,607
Other Housing Program Income	$ 16,986
Target of Opportunity Loan Repayments	$ 67,339
Small Business Revolving Loan Repayments	$ 444,798
Palm Center Operations	$ 193,624
Multi-Family Sales/Operating Expense	$ 84,113
Total Program Income	**$ 1,467,467**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 1,000,000
Small Business Revolving Corporation (Administration)	$ 70,000
Park Improvements	$ 363,260
Neighborhood Facility Renovations	$ 34,207
Sub-Total	**$ 1,467,467**

TOTAL FUNDING DOLLARS AVAILABLE
1993

Nineteenth Year CDBG Allocation	$ 32,431,000
Eighteenth Year Supplemental Allocation	$ 4,000
Estimated Program Income	$ 1,467,467
Return to the Letter of Credit	$ 622,584

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	8
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
NINETEENTH PROGRAM YEAR
July 1, 1993 through June 30, 1994

C.D. AREA	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
TOTAL			$ 34,525,051	

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-SECOND PROGRAM YEAR
July 1, 1996 through June 30, 1997

C.D. AREA	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
PUBLIC FACILITIES AND IMPROVEMENTS				
Park Improvements and SPARKS (School-Park) Program	$ 9,195,892.22		$ 9,195,892.22	
Neighborhood Facilities - Acquisition, Design, Construction and Renovation	$ 3,994,906.33	$ (15,949.38)	$ 3,978,956.95	
Fire Station #15 (Construction)	$ 2,100,000.00		$ 2,100,000.00	
Southwest Houston Multi-Service Center	$ 620,652.94		$ 620,652.94	
Street Improvements	$ 115,971.04		$ 115,971.04	
Historic Preservation	$ 81,054.00		$ 81,054.00	
Storm Drainage/Ditch Regrading	$ 80,590.00		$ 80,590.00	
Fire Equipment	$ 28.50		$ 28.50	
Harris County Cemetery	$ 41,399.84		$ 41,399.84	
Sub-Total	**$ 16,230,494.87**	**$ (15,949.38)**	**$ 16,214,545.49**	**40.77%**
HOUSING				
Housing Assistance Programs	$ 7,211,133.10		$ 7,211,133.10	
Sub-Total	**$ 7,211,133.10**	**$ -**	**$ 7,211,133.10**	**18.13%**
PUBLIC SERVICES				
Community Services	$ 4,591,933.11		$ 4,591,933.11	
Emergency Shelter Grant (Match)	$ 649,000.00		$ 649,000.00	
Street Lights	$ 250,000.00		$ 250,000.00	
Sub-Total	**$ 5,490,933.11**	**$ -**	**$ 5,490,933.11**	**13.80%**
PLANNING				
Coalition for the Homeless	$ 111,000.00		$ 111,000.00	
Sub-Total	**$ 111,000.00**	**$ -**	**$ 111,000.00**	**0.28%**
ECONOMIC DEVELOPMENT				
Economic Development Assistance Program	$ 1,650,000.00	$ 15,949.38	$ 1,665,949.38	
Sub-Total	**$ 1,650,000.00**	**$ 15,949.38**	**$ 1,665,949.38**	**4.15%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-SECOND PROGRAM YEAR
July 1, 1996 through June 30, 1997

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	CLEARANCE				
	Dangerous Building, Code Enforcement, Administration, Legal Department	$ 3,799,839.26		$ 3,799,839.26	
	Sub-Total	**$ 3,799,839.26**	**$ -**	**$ 3,799,839.26**	**9.55%**
	PROGRAM ADMINISTRATION				
All	CDBG Program Administration	$ 5,281,599.66		$ 5,281,599.66	
	Sub-Total	**$ 5,281,599.66**	**$ -**	**$ 5,281,599.66**	**13.28%**
	TOTAL	**$ 39,775,000.00**	**$ -**	**$ 39,775,000.00**	**100.00%**

USES OF PROGRAM INCOME

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Fund	$ 500,000
Small Business Development Corporation Operations	$ 300,000
Housing Assistance Programs	$ 700,000
Neighborhood Facilities	$ 1,100,000
Economic Development Program	$ 350,000
Historic Preservation	$ 161,946
Park Improvements	$ 27,000
Street Improvements	$ 154,054
Total Uses of Program Income	**$ 3,293,000**

TOTAL FUNDING DOLLARS AVAILABLE
1996

Twenty-second Year CDBG Allocation	$ 36,482,000
Estimated Program Income	$ 3,293,000
TOTAL	**$ 39,775,000**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	4
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-THIRD PROGRAM YEAR
July 1, 1997 through June 30, 1998

	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
ACQUISITION OF REAL PROPERTY				
Single Family, Mult-Family and Land Acquisition	$ 180,027.00		$ 180,027.00	
Sub-Total	**$ 180,027.00**		**$ 180,027.00**	**0.47%**
PUBLIC FACILITIES AND IMPROVEMENTS				
Park Improvements	$ 2,000,000.00		$ 2,000,000.00	
SPARK (School-Park) Program	$ 661,000.00		$ 661,000.00	
Library Improvement Projects	$ 2,484,843.10		$ 2,484,843.10	
Multi-Service Centers Construction Denver Harbor Third Ward	$ 7,985,000.00		$ 7,985,000.00	
Neighborhood Facilities Renovations	$ 1,954,850.35	$ (6,141.79)	$ 1,948,708.56	
Storm Drainage/Ditch Regrading	$ 105,651.00		$ 105,651.00	
Fire Equipmemt	$ 119,329.90		$ 119,329.90	
Harris County Cemetery	$ 33,274.97		$ 33,274.97	
Sub-Total	**$ 15,343,949.32**	**$ (6,141.79)**	**$ 15,337,807.53**	**39.63%**
HOUSING				
Housing Assistance Programs	$ 7,133,902.28	$ (0.78)	$ 7,133,901.50	
Sub-Total	**$ 7,133,902.28**	**$ (0.78)**	**$ 7,133,901.50**	**18.43%**
PUBLIC SERVICES				
Community Services	$ 4,618,713.77		$ 4,618,713.77	
Emergency Shelter Grant (Match)	$ 540,000.00		$ 540,000.00	
Street Lights	$ 250,000.00		$ 250,000.00	
Sub-Total	**$ 5,408,713.77**	**$ -**	**$ 5,408,713.77**	**13.98%**
PLANNING				
Coalition for the Homeless	$ 111,000.00		$ 111,000.00	
Sub-Total	**$ 111,000.00**	**$ -**	**$ 111,000.00**	**0.29%**
ECONOMIC DEVELOPMENT				
Economic Development Assistance Program	$ 1,550,000.00	$ 6,142.57	$ 1,556,142.57	
Sub-Total	**$ 1,550,000.00**	**$ 6,142.57**	**$ 1,556,142.57**	**4.02%**
CLEARANCE				
Dangerous Building, Code Enforcement, Administration, Legal Department	$ 3,893,000.00		$ 3,893,000.00	
Sub-Total	**$ 3,893,000.00**	**$ -**	**$ 3,893,000.00**	**10.06%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	4
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-THIRD PROGRAM YEAR
July 1, 1997 through June 30, 1998

	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
PROGRAM ADMINISTRATION				
CDBG Program Administration	$ 5,080,407.63		$ 5,080,407.63	
Sub-Total	**$ 5,080,407.63**	**$ -**	**$ 5,080,407.63**	**13.13%**
TOTAL	**$ 38,701,000.00**	**$ -**	**$ 38,701,000.00**	**100.00%**

ESTIMATE OF TWENTY-THIRD YEAR CDBG PROGAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 550,000.00
Multi-Family Housing Loan Repayments	$ 25,000.00
Target of Opportunity Loan Repayments	$ 45,000.00
Small Business Revolving Loan Repayments	$ 550,000.00
Palm Center Operations	$ 275,000.00
Subrecipient	$ 30,000.00
Other Program Income	$ 25,000.00
Proceeds from Sale of Commercial Buildings	$ 469,500.00
Reimbursement to the Grant	$ 840,500.00
Total Program Income	**$ 2,810,000.00**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 400,000.00
Small Business Revolving Corporation Operations	$ 470,000.00
Housing Assistance Program	$ 630,000.00
Construction of Multi-Service Centers	$ 910,000.00
Acquisition of Real Property	$ 400,000.00
Sub-Total	**$ 2,810,000.00**

TOTAL FUNDING DOLLARS AVAILABLE
1997

Twenty-Third Year CDBG Allocation	$ 35,891,000.00
Estimated Program Income	$ 2,810,000.00
TOTAL	**$ 38,701,000.00**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	3
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-FOURTH PROGRAM YEAR
July 1, 1998 through June 30, 1999

	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
PUBLIC FACILITIES AND IMPROVEMENTS				
Park Improvements	$ 2,475,000.00		$ 2,475,000.00	
SPARK (School-Park) Program	$ 700,000.00		$ 700,000.00	
Street Overlays	$ 4,177,572.09		$ 4,177,572.09	
Fire Equipment	$ 1,209,364.98		$ 1,209,364.98	
Library Improvements	$ 1,614,706.38		$ 1,614,706.38	
Art Restoration	$ 70,000.00		$ 70,000.00	
Neighborhood Facilities Renovations	$ 2,383,470.86	$ (1,173.65)	$ 2,382,297.21	
Storm Drainage/Ditch Regrading	$ 359,021.00		$ 359,021.00	
Harris County Cemetery	$ 2,789.39		$ 2,789.39	
Sub-Total	**$ 12,991,924.70**	**$ (1,173.65)**	**$ 12,990,751.05**	**35.78%**
HOUSING				
Housing Assistance Programs	$ 7,804,855.44	$ (143,609.42)	$ 7,661,246.02	
Sub-Total	**$ 7,804,855.44**	**$ (143,609.42)**	**$ 7,661,246.02**	**21.10%**
PUBLIC SERVICES				
Community Services	$ 4,488,178.81		$ 4,488,178.81	
Emergency Shelter Grant (Match)	$ 700,000.00		$ 700,000.00	
Street Lights	$ 50,000.00		$ 50,000.00	
Sub-Total	**$ 5,238,178.81**	**$ -**	**$ 5,238,178.81**	**14.43%**
PLANNING				
Coalition for the Homeless	$ 110,966.48		$ 110,966.48	
Sub-Total	**$ 110,966.48**	**$ -**	**$ 110,966.48**	**0.31%**
ECONOMIC DEVELOPMENT				
Economic Development Assistance Program	$ 1,450,000.00	$ 144,783.07	$ 1,594,783.07	
Allen Landing Development	$ 45,556.00		$ 45,556.00	
Sub-Total	**$ 1,495,556.00**	**$ 144,783.07**	**$ 1,640,339.07**	**4.52%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	3
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-FOURTH PROGRAM YEAR
July 1, 1998 through June 30, 1999

	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
CLEARANCE				
Dangerous Building, Code Enforcement, Administration, Legal Department	$ 3,130,000.00		$ 3,130,000.00	
Sub-Total	**$ 3,130,000.00**	**$ -**	**$ 3,130,000.00**	**8.62%**
PROGRAM ADMINISTRATION				
CDBG Program Administration	$ 5,537,518.57		$ 5,537,518.57	
Sub-Total	**$ 5,537,518.57**	**$ -**	**$ 5,537,518.57**	**15.25%**
TOTAL	**$ 36,309,000.00**	**$ -**	**$ 36,309,000.00**	**100.00%**

ESTIMATE OF TWENTY-THIRD YEAR CDBG PROGAM INCOME

Sources of Program Income		**Amount**
Houston Housing Improvement Program Loan Repayments	$	475,000.00
Multi-Family Housing Loan Repayments	$	25,000.00
Target of Opportunity Loan Repayments	$	50,000.00
Small Business Revolving Loan Repayments	$	500,000.00
Palm Center Operations	$	400,000.00
Subrecipient	$	30,000.00
Other Program Income	$	20,000.00
Total Program Income	**$**	**1,500,000.00**
Projected Use of Program Income		**Amount**
Small Business Revolving Loan Funds	$	550,000.00
Small Business Revolving Corporation Operations	$	950,000.00
Sub-Total	**$**	**1,500,000.00**

TOTAL FUNDING DOLLARS AVAILABLE
1998

Twenty-fourth Year CDBG Allocation	$	34,809,000.00
Estimated Program Income	$	1,500,000.00
TOTAL	**$**	**36,309,000.00**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-FIFTH PROGRAM YEAR
July 1, 1999 through June 30, 2000

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
	Street Overlays/Improvements	$ 2,071,962.00		$ 2,071,962.00	
I, H, D	Fire Equipment Stations	$ 3,004,207.12		$ 3,004,207.12	
	Neighborhood Facilities Renovations	$ 1,537,282.55	$ (10,848.18)	$ 1,526,434.37	
	SPARK (School-Park) Program	$ 699,990.00		$ 699,990.00	
	Park Improvements	$ 1,548,183.35		$ 1,548,183.35	
H	Carnegie Branch Library	$ 844,298.99		$ 844,298.99	
	Storm Drainage/Ditch Regrading	$ 945,838.00		$ 945,838.00	
	Harris County Cemetery	$ 49,227.04		$ 49,227.04	
	Sub-Total	**$ 10,700,989.05**	**$ (10,848.18)**	**$ 10,690,140.87**	**27.58%**
	HOUSING				
	Housing Assistance Programs	$ 11,756,914.15		$ 11,756,914.15	
	Sub-Total	**$ 11,756,914.15**	**$ -**	**$ 11,756,914.15**	**30.33%**
	PUBLIC SERVICES				
	Community Services	$ 4,600,783.45		$ 4,600,783.45	
	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	Street Lights	$ 49,965.00		$ 49,965.00	
	Sub-Total	**$ 5,350,748.45**	**$ -**	**$ 5,350,748.45**	**13.80%**
	PLANNING				
	Coalition for the Homeless	$ 110,999.00		$ 110,999.00	
	Sub-Total	**$ 110,999.00**	**$ -**	**$ 110,999.00**	**0.29%**
	ECONOMIC DEVELOPMENT				
	Economic Development Assistance Program	$ 1,783,765.00	$ 10,848.18	$ 1,794,613.18	
	Allen's Landing Development	$ 250,000.00		$ 250,000.00	
	Sub-Total	**$ 2,033,765.00**	**$ 10,848.18**	**$ 2,044,613.18**	**5.27%**
	CLEARANCE				
	Dangerous Building/Code Enforcement Administration/Legal Department	$ 3,200,000.00		$ 3,200,000.00	
	Sub-Total	**$ 3,200,000.00**	**$ -**	**$ 3,200,000.00**	**8.26%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-FIFTH PROGRAM YEAR
July 1, 1999 through June 30, 2000

C.D. AREA	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
PROGRAM ADMINISTRATION				
CDBG Program Administration	$ 4,663,061.62		$ 4,663,061.62	
Other Departments Administration	$ 947,302.73		$ 947,302.73	
Sub-Total	**$ 5,610,364.35**	**$ -**	**$ 5,610,364.35**	**14.47%**
TOTAL	**$ 38,763,780.00**	**$ -**	**$ 38,763,780.00**	**100.00%**

ACTUAL TWENTY-FIFTH YEAR CDBG PROGAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 423,918.00
Mult-Family Housing Loan Repayments	$ 188,081.00
Target of Opportunity Loan Repayments	$ 322,421.00
Small Business Revolving Loan Repayments	$ 833,765.00
Palm Center Operations	$ 1,032,728.00
Subrecipient	$ 50,000.00
Sale of Buildings	$ 104,686.00
Day Care Services	$ 141,496.00
Reimbursements to CDBG	$ 272,722.00
Other Program Income	$ 375,963.00
Sub-Total	**$ 3,745,780.00**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 833,765.00
Small Business Development Corporations Operations	$ 950,000.00
Housing Assistance Programs	$ 1,050,000.00
Park Improvements (Ingrando Park)	$ 412,015.00
Allen's Landing Development	$ 250,000.00
Houston Census	$ 250,000.00
Sub-Total	**$ 3,745,780.00**

TOTAL FUNDING DOLLARS AVAILABLE
1999

Twenty-fifth Year CDBG Allocation	$ 35,018,000.00
Program Income	$ 3,745,780.00
TOTAL	**$ 38,763,780.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 5
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-SIXTH PROGRAM YEAR
July 1, 2000 through June 30, 2001

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
	Street Overlays/Improvements	$ 2,533,708.50		$ 2,533,708.50	
B, E, F, G, H, I	Park Improvements/SPARK (School-Park) Program	$ 2,432,138.37		$ 2,432,138.37	
B	Neighborhood Facilities Renovations/Branch Library (Kashmere)	$ 3,989,653.99	$ (6,235.73)	$ 3,983,418.26	
	Fire Equipment	$ 3,138.70		$ 3,138.70	
	Harris County Cemetery	$ 53,438.10		$ 53,438.10	
	Sub-Total	**$ 9,012,077.66**	**$ (6,235.73)**	**$ 9,005,841.93**	**23.12%**
	HOUSING				
	Housing Assistance Programs	$ 11,156,051.00		$ 11,156,051.00	
	Sub-Total	**$ 11,156,051.00**	**$ -**	**$ 11,156,051.00**	**28.64%**
	PUBLIC SERVICES				
	Community Services	$ 5,143,442.33		$ 5,143,442.33	
	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	Homeless Program Operations	$ 200,000.00		$ 200,000.00	
	Sub-Total	**$ 6,043,442.33**	**$ -**	**$ 6,043,442.33**	**15.52%**
	PLANNING				
	Coalition for the Homeless	$ 111,000.00		$ 111,000.00	
	Sub-Total	**$ 111,000.00**	**$ -**	**$ 111,000.00**	**0.28%**
	ECONOMIC DEVELOPMENT				
	Economic Development Assistance Program	$ 2,761,602.00	$ 6,235.73	$ 2,767,837.73	
	Sub-Total	**$ 2,761,602.00**	**$ 6,235.73**	**$ 2,767,837.73**	**7.11%**
	CLEARANCE				
	Dangerous Building/Code Enforcement Administration/Legal Department	$ 3,688,614.01		$ 3,688,614.01	
	Sub-Total	**$ 3,688,614.01**	**$ -**	**$ 3,688,614.01**	**9.47%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	5
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-SIXTH PROGRAM YEAR
July 1, 2000 through June 30, 2001

C.D. AREA	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
PROGRAM ADMINISTRATION				
CDBG Program Administration	$ 5,220,000.00		$ 5,220,000.00	
Other Departments Administration	$ 955,000.00		$ 955,000.00	
Sub-Total	**$ 6,175,000.00**	**$ -**	**$ 6,175,000.00**	**15.85%**
TOTAL	**$ 38,947,787.00**	**$ -**	**$ 38,947,787.00**	**100.00%**

ACTUAL TWENTY-SIXTH YEAR CDBG PROGAM INCOME

Sources of Program Income	**Amount**
Small Business Revolving Loan Repayments	$ 1,462,075.00
Palm Center Operations	$ 1,099,527.00
Multi-Family Housing Loan Repayments	$ 972,471.00
Houston Housing Improvement Program Loan Repayments	$ 341,769.00
Target of Opportunity Loan Repayments	$ 43,935.00
Other Program Income	$ 41,010.00
Sub-Total	**$ 3,960,787.00**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 1,462,075.00
Palm Center Operations	$ 1,099,527.00
All other Programs: Housing, Public Facilities and Improvements, Public Services and CDBG Administration	$ 1,399,185.00
Sub-Total	**$ 3,960,787.00**

TOTAL FUNDING DOLLARS AVAILABLE
2000

Twenty-sixth Year CDBG Allocation	$ 34,987,000.00
Program Income	$ 3,960,787.00
TOTAL	**$ 38,947,787.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 6
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-NINTH PROGRAM YEAR
July 1, 2003 through June 30, 2004

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
Various	Street Overlays (Neighborhoods to Standard Areas) and Improvements	$ 3,061,158.89		$ 3,061,158.89	
A, C, D, F, H, I	Park and SPARK (School-Park) Improvements	$ 3,317,026.00		$ 3,317,026.00	
B, C, D, F, H, I	Library (Security) Improvements	$ 1,057,000.00		$ 1,057,000.00	
B	Fifth Ward Multi-Service Center	$ 300,000.00		$ 300,000.00	
B	Kashmere Multi-Service Center	$ 277,000.00		$ 277,000.00	
I	Magnolia Multi-Service Center	$ 450,000.00		$ 450,000.00	
Various	Neighborhood Facilities	$ 249,177.68		$ 249,177.68	
Various	Art in Public Places	$ 78,930.00		$ 78,930.00	
Various	Tree Planting	$ 50,000.00		$ 50,000.00	
Various	Fire Equipment	$ 4,815,504.00		$ 4,815,504.00	
	Harris County Cemetery	$ 4,322.49		$ 4,322.49	
	Shifa Healthcare and Community Services USA	$ 167,349.39		$ 167,349.39	
	Sub-Total	**$ 13,827,468.45**	**$ -**	**$ 13,827,468.45**	**34.37%**
	HOUSING				
	Housing Assistance Programs	$ 5,018,462.11	$ (311,583.05)	$ 4,706,879.06	
	Sub-Total	**$ 5,018,462.11**	**$ (311,583.05)**	**$ 4,706,879.06**	**11.70%**
	PUBLIC SERVICES				
Various	Community Services	$ 5,088,044.33		$ 5,088,044.33	
Various	Emergency Shelter Grants (Match)	$ 709,554.00		$ 709,554.00	
Various	Homeless Management Information System	$ 62,584.00		$ 62,584.00	
	Sub-Total	**$ 5,860,182.33**	**$ -**	**$ 5,860,182.33**	**14.57%**
	PLANNING				
	Coalition for the Homeless	$ 48,416.00		$ 48,416.00	
	Sub-Total	**$ 48,416.00**	**$ -**	**$ 48,416.00**	**0.12%**
	ECONOMIC DEVELOPMENT				
	Economic Development Assistance Program	$ 4,225,000.00	$ 311,583.05	$ 4,536,583.05	
	Sub-Total	**$ 4,225,000.00**	**$ 311,583.05**	**$ 4,536,583.05**	**11.28%**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 6
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
TWENTY-NINTH PROGRAM YEAR
July 1, 2003 through June 30, 2004

C.D. AREA		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	CLEARANCE				
	Dangerous Building/Code Enforcement	$ 3,831,000.00		$ 3,831,000.00	
	Administration/Legal Department	$ 524,401.11		$ 524,401.11	
	Sub-Total	**$ 4,355,401.11**	**$ -**	**$ 4,355,401.11**	**10.83%**
	PROGRAM ADMINISTRATION				
	CDBG Program Administration	$ 5,817,396.35		$ 5,817,396.35	
	Other Departments Administration	$ 1,075,603.65		$ 1,075,603.65	
	Sub-Total	**$ 6,893,000.00**	**$ -**	**$ 6,893,000.00**	**17.13%**
	TOTAL	**$ 40,227,930.00**	**$ -**	**$ 40,227,930.00**	**100.00%**

ACTUAL TWENTY-NINTH YEAR CDBG
PROGAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 148,885.00
Multi-Family Housing Loan Repayments	$ 776,016.00
Affordable Housing Loan Repayments	$ 320,544.00
Small Business Revolving Loan Repayments	$ 804,427.00
Palm Center Operations	$ 1,139,260.00
Subrecipient	$ 19,900.00
Other Program Income	$ 26,898.00
Sub-Total	**$ 3,235,930.00**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 804,427.00
Palm Center Operations	$ 1,139,260.00
All other Programs: Housing, Public Facilities and Improvements, Public Services and CDBG Administration	$ 1,292,243.00
Sub-Total	**$ 3,235,930.00**

TOTAL FUNDING DOLLARS AVAILABLE
2003

Twenty-ninth Year CDBG Allocation	$ 36,992,000.00
Program Income	$ 3,235,930.00
TOTAL	**$ 40,227,930.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 8
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTIETH PROGRAM YEAR
July 1, 2004 through June 30, 2005

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
B & E	Storm Drainage Improvements/Ditch Regrading	$ 4,174,057.92		$ 4,174,057.92	
Various	Street Lights (Various Locations)	$ 25,000.00		$ 25,000.00	
Various	Re-Open Park Restrooms (Various Locations)	$ 150,000.00		$ 150,000.00	
Various	Park and SPARK (School-Park) Improvements	$ 3,737,985.00		$ 3,737,985.00	
I	Gregory School Cultural Center	$ 1,000,000.00		$ 1,000,000.00	
Various	Neighborhood Facilities Improvements	$ 1,993,859.86		$ 1,993,859.86	
Houston Hope	Fire Equipment	$ 1,938,923.51		$ 1,938,923.51	
	Shifa Healthcare and Community Services USA	$ 120,667.63		$ 120,667.63	
	Sub-Total	**$ 13,140,493.92**	**$ -**	**$ 13,140,493.92**	**32.17%**
	HOUSING				
Various	Housing Assistance Programs	$ 3,669,568.51	$ (983,910.93)	$ 2,685,657.58	
	Sub-Total	**$ 3,669,568.51**	**$ (983,910.93)**	**$ 2,685,657.58**	**6.57%**
	PUBLIC SERVICES				
Various	Community Services	$ 5,216,743.57		$ 5,216,743.57	
Various	Emergency Shelter Grants (Match)	$ 622,846.00		$ 622,846.00	
	Sub-Total	**$ 5,839,589.57**	**$ -**	**$ 5,839,589.57**	**14.29%**
	PLANNING				
Various	Coalition for the Homeless	$ 133,416.00		$ 133,416.00	
	Sub-Total	**$ 133,416.00**	**$ -**	**$ 133,416.00**	**0.33%**
	ECONOMIC DEVELOPMENT				
Various	Economic Development Assistance Program	$ 2,200,000.00	$ 1,256,182.64	$ 3,456,182.64	
	Micro Enterprise	$ 300,000.00		$ 300,000.00	
	Sub-Total	**$ 2,500,000.00**	**$ 1,256,182.64**	**$ 3,756,182.64**	**9.19%**
	CLEARANCE				
Various	Dangerous Building/Code Enforcement	$ 6,276,632.00	$ (272,271.71)	$ 6,004,360.29	
Various	Administration/Legal Department	$ 545,000.00		$ 545,000.00	
	Sub-Total	**$ 6,821,632.00**	**$ (272,271.71)**	**$ 6,549,360.29**	**16.03%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	8
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTIETH PROGRAM YEAR
July 1, 2004 through June 30, 2005

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	INTERIM ASSISTANCE				
Houston Hope	Interim Assistance	$ 1,380,000.00		$ 1,380,000.00	
	Sub-Total	**$ 1,380,000.00**	**$ -**	**$ 1,380,000.00**	**3.38%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 6,247,100.00		$ 6,247,100.00	
N/A	Other Departments Administration	$ 1,119,300.00		$ 1,119,300.00	
	Sub-Total	**$ 7,366,400.00**		**$ 7,366,400.00**	**18.03%**
	TOTAL	**$ 40,851,100.00**	**$ (0.00)**	**$ 40,851,100.00**	**100.00%**

ESTIMATE OF THIRTIETH YEAR CDBG PROGAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 75,000.00
Multi-Family Housing Loan Repayments	$ 540,000.00
Affordable Housing Loan Repayments	$ 250,000.00
Small Business Revolving Loan Repayments	$ 1,150,000.00
Palm Center Operations	$ 950,000.00
Subrecipient	$ 25,000.00
Other Program Income	$ 10,000.00
Sub-Total	**$ 3,000,000.00**

Projected Use of Program Income	**Amount**
Small Business Revolving Loan Funds	$ 1,150,000.00
Palm Center Operations	$ 950,000.00
All other Programs: Housing, Public Facilities and Improvements, Public Services and CDBG Administration	$ 900,000.00
Sub-Total	**$ 3,000,000.00**

SOURCE OF PRIOR YEARS FUNDING

B-93 Economic Development	$ 50,000.00
B-96 Historical Preservation	$ 80,590.00
B-97 Public Service	$ 105,651.00
B-98 Street Overlay	$ 128,989.00
B-98 Housing Assistance	$ 180,032.00
B-98 Public Service	$ 50,000.00
B-99 Street Overlay	$ 945,838.00
B-99 Public Service	$ 50,000.00
B-00 Public Service	$ 50,000.00
Total	**$ 1,641,100.00**

PROPOSED USE OF PRIOR YEARS FUNDING

B-04 Storm Drainage Improvements/Ditch Regrading	$ 1,541,000.00
B-04 Public Service (Day Care)	$ 100,100.00
Total	**$ 1,641,100.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2005

Thirtieth Uear CDBG Allocation	$ 36,210,000.00
Estimated Program Income	$ 3,000,000.00
Prior Years Funding	$ 1,641,100.00
Total	**$ 40,851,100.00**

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-FIRST PROGRAM YEAR
July 1, 2005 through June 30, 2006

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of REVISED CURRENT ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
B,D,H	Street Overlays	$ 2,828,258.64		$ 2,828,258.64	
D,H,I	Park/SPARK Improvements	$ 2,586,569.00		$ 2,586,569.00	
	Tony Marron Park	$ 89,829.00		$ 89,829.00	
	Mason Park Pavillion	$ 79,867.00		$ 79,867.00	
	**Neighborhood Facilities Improvements	$ 2,467,860.93		$ 2,467,860.93	
	**Blight Removal	$ 365,485.00		$ 365,485.00	
	Library Improvements	$ 994,000.00		$ 994,000.00	
F	Southwest Multi-Service Center	$ 557,171.39		$ 557,171.39	
	Harris County Cemetery	$ 109,003.61		$ 109,003.61	
	DeLuxe Theater	$ 421,739.81		$ 421,739.81	
	Sub-Total	**$ 10,499,784.38**	**$ -**	**$ 10,499,784.38**	**26.76%**
	HOUSING				
Various	Housing Assistance Programs	$ 5,153,861.19		$ 5,153,861.19	
	Multi-Family Housing Acquisition/Rehabilitation/New Construction/Relocation	$ 1,605,700.41		$ 1,605,700.41	
	Sub-Total	**$ 6,759,561.60**	**$ -**	**$ 6,759,561.60**	**17.22%**
	PUBLIC SERVICES				
Various	Community Services	$ 4,498,016.46		$ 4,498,016.46	
	Macedonia	$ 180,507.67		$ 180,507.67	
	Day Labor	$ 99,674.78		$ 99,674.78	
Various	Emergency Shelter Grants (Match)	$ 686,190.00		$ 686,190.00	
	Solid Waste (Garbage Trucks)	$ 278,385.00		$ 278,385.00	
	Entrepreneurial Job Training	$ 139,500.00		$ 139,500.00	
	S.C.O.R.E	$ 57,347.10		$ 57,347.10	
	Texas Southern	$ 154,715.60		$ 154,715.60	
	HEART Project	$ 288,271.00		$ 288,271.00	
	Sub-Total	**$ 6,382,607.61**	**$ -**	**$ 6,382,607.61**	**16.26%**
	INCOME PAYMENTS				
Various	Rapid Re-Housing	$ 70,906.00		$ 70,906.00	
	Sub-Total	**$ 70,906.00**	**$ -**	**$ 70,906.00**	**0.18%**
	PLANNING				
Various	Coalition for the Homeless	$ 126,234.83		$ 126,234.83	
	Sub-Total	**$ 126,234.83**	**$ -**	**$ 126,234.83**	**0.32%**
	ECONOMIC DEVELOPMENT				
Various	Economic Development Assistance Program	$ 4,205,845.54	$ 50,562.65	$ 4,256,408.19	
Various	Economic Development - Business Stabilzation Loans	$ 328,000.00		$ 328,000.00	
	Sub-Total	**$ 4,533,845.54**	**$ 50,562.65**	**$ 4,584,408.19**	**11.68%**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 9
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-FIRST PROGRAM YEAR
July 1, 2005 through June 30, 2006

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of REVISED CURRENT ALLOCATION
	CLEARANCE				
Various	Dangerous Building	$ 2,848,765.50		$ 2,848,765.50	
	Code Enforcement	$ 866,300.00	$ (50,562.65)	$ 815,737.35	
Various	Administration/Legal Department	$ 545,000.00		$ 545,000.00	
	Sub-Total	**$ 4,260,065.50**	**$ (50,562.65)**	**$ 4,209,502.85**	**10.73%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 5,468,761.54		$ 5,468,761.54	
N/A	Other Departments Administration	$ 1,142,300.00		$ 1,142,300.00	
	Sub-Total	**$ 6,611,061.54**	**$ -**	**$ 6,611,061.54**	**16.85%**
	TOTAL	**$ 39,244,067.00**	**$ -**	**$ 39,244,067.00**	**100.00%**

ESTIMATE OF THIRTY-FIRST YEAR CDBG PROGRAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 60,000.00
Multi-Family Housing Loan Repayments	$ 500,000.00
Affordable Housing Loan Repayments	$ 250,000.00
Small Business Revolving Loan Repayments	$ 970,000.00
Palm Center Operations	$ 1,185,000.00
Subrecipient	$ 25,000.00
Other Program Income	$ 10,000.00
Sub-Total	**$ 3,000,000.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 970,000.00
Palm Center Operations	$ 1,185,000.00
All other Programs: Housing, Public Facilities and Improvements, Public Services and CDBG Administration	$ 845,000.00
Sub-Total	**$ 3,000,000.00**

SOURCE OF PRIOR YEARS FUNDING

B-93 Public Facility	$ 231,763.80
B-94 Housing Assistance	$ 370,668.78
B-96 Public Facility	$ 6,755.76
B-96 Housing Assistance	$ 104,717.92
B-97 Public Facility	$ 11,827.53
B-97 Housing Assistance	$ 119,973.00
B-98 Housing Assistance	$ 117,502.87
B-99 Public Facility	$ 359,695.15
B-99 Housing Assistance	$ 496,135.88
B-99 Public Service	$ 123,438.43
B-00 Public Facility	$ 57,520.88
Total	**$ 2,000,000.00**

PROPOSED USE OF PRIOR YEARS FUNDING

B-04 Neighborhood Facilities Improvements	$ 2,000,000.00
Total	**$ 2,000,000.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2006

Thirty-first Year CDBG Allocation	$ 34,244,067.00
Estimated Program Income	$ 3,000,000.00
Prior Years Funding	$ 2,000,000.00
Total	**$ 39,244,067.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 3
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SECOND PROGRAM YEAR
July 1, 2006 through June 30, 2007

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
B,D,H, I	Street Overlays	$ 1,310,000.00		$ 1,310,000.00	
A,B,C,E,F,H,I	Park/SPARK Improvements	$ 2,349,214.00		$ 2,349,214.00	
C,D,F,I	Storm Drainage Improvements	$ 4,686,560.00		$ 4,686,560.00	
E,I	Neighborhood Facilities Improvements	$ 3,170,000.00	$ (23,365.91)	$ 3,170,000.00	
Houston Hope	Water and Sewer Service Connections	$ 503,410.11		$ 503,410.11	
	Sub-Total	**$ 12,019,184.11**	**$ (23,365.91)**	**$ 12,019,184.11**	**31.37%**
	HOUSING				
Various	Housing Assistance Programs	$ 4,819,244.50		$ 4,819,244.50	
Various	Workout Multi-Family Project	$ 88,310.00		$ 88,310.00	
N/A	Housing Program Administration	$ 1,709,035.39		$ 1,709,035.39	
	Sub-Total	**$ 6,616,S89.89**	**$ -**	**$ 6,616,589.89**	**17.27%**
	PUBLIC SERVICES				
Various	Community Services	$ 5,122,896.00		$ 5,122,896.00	
Various	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	Sub-Total	**$ 5,822,896.00**	**$ -**	**$ 5,822,896.00**	**15.20%**
	PLANNING				
Various	Coalition for the Homeless	$ 133,415.00		$ 133,415.00	
	Sub-Total	**$ 133,415.00**	**$ -**	**$ 133,415.00**	**0.35%**
	ECONOMIC DEVELOPMENT				
Various	Economic Development Assistance Program	$ 2,126,540.00	$ 23,365.91	$ 2,149,905.91	
	Sub-Total	**$ 2,126,540.00**	**$ 23,365.91**	**$ 2,149,905.91**	**5.55%**
	CLEARANCE				
Various	Dangerous Building/Code Enforcement	$ 3,616,700.00		$ 3,616,700.00	
Various	Administration/Legal Department	$ 866,300.00		$ 866,300.00	
	Sub-Total	**$ 4,483,000.00**	**$ -**	**$ 4,483,000.00**	**11.70%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 6,584,371.00		$ 6,584,371.00	
N/A	Other Departments Administration	$ 529,300.00		$ 529,300.00	
	Sub-Total	**$ 7,113,671.00**	**$ -**	**$ 7,113,671.00**	**18.57%**
	TOTAL	**$ 38,315,296.00**	**$ -**	**$ 38,338,661.91**	**100.00%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	3
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SECOND PROGRAM YEAR
July 1, 2006 through June 30, 2007

COUNCIL DISTRICT	CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of CURRENT REVISED ALLOCATION

ESTIMATE OF THIRTY-SECOND YEAR CDBG PROGRAM INCOME

Sources of Program Income	**Amount**
Houston Housing Improvement Program Loan Repayments	$ 85,000.00
Multi-Family Housing Loan Repayments	$ 268,460.00
Affordable Housing Loan Repayments	$ 500,000.00
Small Business Revolving Loan Repayments	$ 658,894.00
Palm Center Operations	$ 1,467,646.00
Subrecipient	$ 10,000.00
Other Program Income	$ 10,000.00
Sub-Total	**$ 3,000,000.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 658,894.00
Palm Center Operations	$ 1,467,646.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration and Small Business Revolving Loan Fund	$ 873,460.00
Sub-Total	**$ 3,000,000.00**

SOURCE OF PRIOR YEARS FUNDING

B-96 Housing	$ 3,000.00
B-98 Housing - Water Sewer	$ 100,000.00
B-98 Neighborhood Facilities	$ 59,300.00
B-99 Housing - Emergency Repair	$ 17,075.00
B-99 SPARKS (School-Park) Program	$ 50,000.00
B-00 Pubilc Facilities - Street Overlays	$ 25,000.00
B-01 Neighborhood Facilities - Police	$ 11,719.00
B-02 Pubilc Facilities - Street Overlays	$ 582,701.35
B-02 Park Improvements	$ 50,000.43
B-02 SPARKS (School-Park) Program	$ 49,214.00
B-03 Pubilc Facilities - Street Overlays	$ 17,081.84
B-03 Multi-Family Acquisition/Rehab	$ 11,158.89
B-04 Multi-Family Acquisition/Rehab	$ 3,081,595.49
B-05 Pubilc Facilities - Street Overlays	$ 500,000.00
Total	**$ 4,557,846.00**

PROPOSED USE OF PRIOR YEARS FUNDING

B-06 SPARKS (School-Park) Program	$ 99,214.00
B-06 Street Overlays	$ 1,310,000.00
B-06 Clearance/Dangerous Buildings Administration/Legal Dept.	$ 1,472,332.00
B-06 Citizens Assistance Office	$ 120,000.00
B-06 Day Care	$ 82,500.00
B-06 Juvenile Delinquency Prevention	$ 300,000.00
B-06 Administration (Day Care/Juvenile Delinquency)	$ 17,500.00
B-06 Graffiti Removal	$ 90,000.00
B-06 H.E.A.R.T.	$ 200,000.00
B-06 Clearance/Code Enforcement	$ 866,300.00
Total	**$ 4,557,846.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2007

Thirty-second Year CDBG Allocation	$ 30,757,450.00
Estimated Program Income	$ 3,000,000.00
Prior Years Funding	$ 4,557,846.00
Total	**$ 38,315,296.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 4
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-THIRD PROGRAM YEAR
July 1, 2007 through June 30, 2008

COUNCIL DISTRICT	PUBLIC FACILITIES AND IMPROVEMENTS	Current Allocation	Revision	New Allocation	% of Current Allocation
	PUBLIC FACILITIES AND IMPROVEMENTS				
D	Fire Station 24	$ 2,000,000.00		$ 2,000,000.00	
A,B,C,E,H	SPARK Improvements	$ 300,000.00		$ 300,000.00	
All	Neighborhood Facilities Improvements	$ 1,339,388.00	$ (5,599.36)	$ 1,333,788.64	
B, I	Park Improvements	$ 595,000.00		$ 595,000.00	
I	Magnolia Multi-Service Center	$ 3,053,000.00		$ 3,053,000.00	
	Lancaster Storm Drainage	$ 1,117,163.00		$ 1,117,163.00	
	DeLuxe Theater	$ 465,612.00		$ 465,612.00	
	Sub-Total	**$ 8,870,163.00**	**$ (5,599.36)**	**$ 8,864,563.64**	**26.25%**
	HOUSING				
Various	Housing Assistance Programs	$ 6,411,251.00		$ 6,411,251.00	
	Sub-Total	**$ 6,411,251.00**	**$ -**	**$ 6,411,251.00**	**18.97%**
	PUBLIC SERVICES				
Various	Community Services	$ 4,913,350.00		$ 4,913,350.00	
Various	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	Sub-Total	**$ 5,613,350.00**	**$ -**	**$ 5,613,350.00**	**16.61%**
	PLANNING				
Various	Coalition for the Homeless	$ 133,415.00		$ 133,415.00	
	Sub-Total	**$ 133,415.00**	**$ -**	**$ 133,415.00**	**0.39%**
	ECONOMIC DEVELOPMENT				
Various	Economic Development Assistance Program	$ 2,126,540.00	$ 24,875.41	$ 2,151,415.41	
	Sub-Total	**$ 2,126,540.00**	**$ 24,875.41**	**$ 2,151,415.41**	**6.29%**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 4
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-THIRD PROGRAM YEAR
July 1, 2007 through June 30, 2008

COUNCIL DISTRICT	PUBLIC FACILITIES AND IMPROVEMENTS	Current Allocation	Revision	New Allocation	% of Current Allocation
	CLEARANCE				
Various	Dangerous Building/Code Enforcement	$ 3,616,700.00	$ (5,965.10)	$ 3,610,734.90	
Various	Administration/Legal Department	$ 866,300.00	$ (13,310.95)	$ 852,989.05	
	Sub-Total	**$ 4,483,000.00**	**$ (19,276.05)**	**$ 4,463,723.95**	**13.27%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 5,742,190.00		$ 5,742,190.00	
N/A	Other Departments Administration	$ 409,300.00		$ 409,300.00	
	Sub-Total	**$ 6,151,490.00**	**$ -**	**$ 6,151,490.00**	**18.21%**
	TOTAL	**$ 33,789,209.00**	**$ (0.00)**	**$ 33,789,209.00**	**100.00%**

ESTIMATE OF THIRTY-THIRD YEAR CDBG PROGRAM INCOME

Sources of Program Income	Amount
Houston Housing Improvement Program Loan Repayments	$ 85,000.00
Multi-Family Housing Loan Repayments	$ 268,460.00
Affordable Housing Loan Repayments	$ 500,000.00
Small Business Revolving Loan Repayments	$ 658,894.00
Palm Center Operations	$ 1,467,646.00
Subrecipient	$ 10,000.00
Other Program Income	$ 10,000.00
Sub-Total	**$ 3,000,000.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 1,156,340.00
Palm Center Operations	$ 970,200.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration and Small Business Revolving Loan Fund	$ 873,460.00
Sub-Total	**$ 3,000,000.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2008	
Thirty-third Year CDBG Allocation	$ 30,789,209.00
Estimated Program Income	$ 3,000,000.00
Prior Years Funding	$ -
Total	**$ 33,789,209.00**

PREPARED: April 9, 2012
APPROVED:
AMENDMENT: 6
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-FOURTH PROGRAM YEAR
July 1, 2008 through June 30, 2009

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of REVISED CURRENT ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
D	Fire Station 24	$ 2,400,000.00		$ 2,400,000.00	
B	Trinity Gardens Drainage Improvements	$ 3,000,000.00		$ 3,000,000.00	
Various	Neighborhood Facilities Improvements	$ 2,157,690.62	$ (13,761.94)	$ 2,143,928.68	
Various	SPARKS/Parks	$ 350,000.00		$ 350,000.00	
	Harris County Cemetery	$ 425,677.89		$ 425,677.89	
	Shifa Healthcare and Community Services USA	$ 64,802.49		$ 64,802.49	
	Sub-Total	**$ 8,398,171.00**	**$ (13,761.94)**	**$ 8,384,409.06**	**24.91%**
	HOUSING				
Various	Housing Assistance Programs	$ 6,811,251.00	$ (75,300.26)	$ 6,735,950.74	
	Sub-Total	**$ 6,811,251.00**	**$ (75,300.26)**	**$ 6,735,950.74**	**20.20%**
	PUBLIC SERVICES				
Various	Community Services	$ 4,913,350.00		$ 4,913,350.00	
Various	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	Sub-Total	**$ 5,613,350.00**	**$ -**	**$ 5,613,350.00**	**16.65%**
	PLANNING				
Various	Coalition for the Homeless	$ 133,415.00		$ 133,415.00	
	Sub-Total	**$ 133,415.00**	**$ -**	**$ 133,415.00**	**0.40%**
	ECONOMIC DEVELOPMENT*				
Various	Economic Development Assistance Program	$ 2,126,540.00	$ 261,884.00	$ 2,388,424.00	
	Sub-Total	**$ 2,126,540.00**	**$ 261,884.00**	**$ 2,388,424.00**	**6.31%**
	CLEARANCE				
Various	Dangerous Building/Code Enforcement	$ 3,616,700.00	$ (50,641.12)	$ 3,566,058.88	
Various	Administration/Legal Department	$ 866,300.00	$ (122,180.68)	$ 744,119.32	
	Sub-Total	**$ 4,483,000.00**	**$ (172,821.80)**	**$ 4,310,178.20**	**13.30%**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-FOURTH PROGRAM YEAR
July 1, 2008 through June 30, 2009

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	REVISED CURRENT ALLOCATION	% of REVISED CURRENT ALLOCATION
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 5,742,190.00		$ 5,742,190.00	
N/A	Other Departments Administration	$ 409,300.00		$ 409,300.00	
	Sub-Total	**$ 6,151,490.00**	**$ -**	**$ 6,151,490.00**	**18.24%**
	TOTAL	**$ 33,717,217.00**	**$ -**	**$ 33,717,217.00**	**100.00%**

ESTIMATE OF THIRTY-FOURTH YEAR CDBG PROGRAM INCOME

	Amount
Sources of Program Income	
Houston Housing Improvement Program Loan Repayments	$ 18,000.00
Multi-Family Housing Loan Repayments	$ 282,000.00
Affordable Housing Loan Repayments	$ 180,000.00
Small Business Revolving Loan Repayments	$ 726,540.00
Palm Center Operations	$ 1,400,000.00
Subrecipient	$ 20,000.00
Sale of Armory	$ 416,966.00
Sale of Property to YMCA	$ 461,068.00
Other Program Income	$ 413,945.00
Sub-Total	**$ 3,918,519.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 1,100,000.00
Palm Center Operations	$ 900,000.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration and Small Business Revolving Loan Fund	$ 1,918,519.00
Sub-Total	**$ 3,918,519.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2009

Thirty-fourth Year CDBG Allocation	$29,798,698.00
Estimated Program Income	$ 3,918,519.00
Prior Years Funding	
Total	**$33,717,217.00**

PREPARED:	April 9, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SIXTH PROGRAM YEAR
July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	ECONOMIC DEVELOPMENT*				
VARIOUS	Economic Development Assistance Programs	$ 2,398,430.00	$ 351,052.15	$ 2,749,482.15	
	SUB-TOTAL	**$ 2,398,430.00**	**$ 351,052.15**	**$ 2,749,482.15**	**7.68%**
	CLEARANCE				
VARIOUS	Dangerous Building & Legal Department	$ 3,616,700.00		$ 3,616,700.00	
VARIOUS	Code Enforcement	$ 866,300.00		$ 866,300.00	
	SUB-TOTAL	**$ 4,483,000.00**	**$ -**	**$ 4,483,000.00**	**12.53%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 6,244,731.00		$ 6,244,731.00	
N/A	Other Departments Administration	$ 409,300.00		$ 409,300.00	
	SUB-TOTAL	**$ 6,654,031.00**	**$ -**	**$ 6,654,031.00**	**18.60%**
	TOTAL	**$ 35,779,922.00**	**$ -**	**$ 35,779,922.00**	**100.00%**

ESTIMATE OF THIRTY-SIXTH YEAR CDBG PROGRAM INCOME

Sources of Program Income	**Amount**
Multi-Family Housing Loan Repayments	$ 610,520.00
Small Business Revolving Loan Repayments	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
Other Program Income	$ -
Sub-Total	**$ 3,010,520.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration	$ 610,520.00
Sub-Total	**$ 3,010,520.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2011

Thirty-sixth Year CDBG Allocation	$ 32,769,402.00
Estimated Program Income	$ 3,010,520.00
Total	**$ 35,779,922.00**

Ordinance No. 2012-433

Controller's Office

To the Honorable Mayor and City Council of the City of Houston:

I hereby certify, with respect to the money required for the contracts, agreements, obligation or expenditure contemplated by the ordinance set out below that:

( ) Funds have been encumbered out of funds previously appropriated for such purpose.

( ) Funds have been certified and designated to be appropriated by separate ordinance to be approved prior to the approval of the ordinance set out below.

( ) Funds will be available out of current or general revenue prior to the maturity of any such obligation.

( ) No pecuniary obligation is to be incurred as a result of approving the ordinance set out below.

( ) That the money required for the expenditure or expenditures specified below is in the treasury, in the fund or funds specified below, and is not appropriated for any purposes.

( ) A certificate with respect to the money required for the expenditure or expenditures specified below is attached hereto and incorporated hereby by this reference.

Amendments - See Ord. 2011-0281

(✓) Other - Grant Funds Available

Date: 5-8 **, 2012** **City Controller of the City of Houston**

FUND REF: 5000-3200- **AMOUNT:** -0- **ENCUMB. NO.:** GN3250-12

City of Houston, Texas, Ordinance No. 2012- 433

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCE NO. 2011-281 PASSED AND APPROVED ON APRIL 25, 2011, WHICH APPROVED AND AUTHORIZED THE SUBMISSION OF THE 2011 ANNUAL ACTION PLAN, INCLUDING THE APPLICATION FOR THE EMERGENCY SOLUTIONS GRANT ("ESG") PROGRAM, TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

WHEREAS, on April 25, 2011, pursuant to City of Houston ("City") Ordinance No. 2011-281, the City Council of the City of Houston ("City Council") approved and authorized the submission of the 2011 Annual Action Plan ("2011 Annual Plan"), which included among other things a Grant Application ("Grant Application") for the Emergency Solutions Grant ("ESG") Program; and

WHEREAS, the total amount of the 2011 ESG Program Budget ("Budget") attached to the Grant Application under 2011 Annual Plan was $1,844,610.00; and

WHEREAS, the City, through the Housing and Community Development Department ("HCDD"), is now eligible to receive additional ESG funds from the 2011 Program Year to carry out new activities and increase funding for others; and

WHEREAS, the City is requesting a substantial amendment to the Budget to reflect an increase in funding to carry out activities under the following categories: Homeless Management Information System ($37,334.00), Rapid Re-Housing ($403,167.00), Prevention ($217,090.00), and Administration ($89,200.00); and

WHEREAS, HCDD has publicized its intent to amend the 2011 Annual Plan, relating to the ESG Program, through a posting on the HCDD website on April 10, 2012 and in the Houston Chronicle on April 13, 2012; and

WHEREAS, the public notice period expires on May 9, 2012, and at this time no citizen's comments have been received; updates to HUD will follow, as necessary; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the findings contained in the preamble of this Ordinance are determined to be true and correct and are hereby adopted as part of this Ordinance.

Section 2. That the City Council hereby amends the 2011 Annual Plan, authorized by City Ordinance No. 2011-281 on April 25, 2011, specifically the 2011 ESG Program Budget, in the manner set forth below:

Activity/Project	**Action**	**Amount**
Homeless Management Information System	Increase/Add	+ $37,334.00
Rapid Re-Housing	Increase/Add	+$403,167.00

Prevention	Increase/Add	+$217,090.00
Administration	Increase/Add	+ $89,200.00
	Total	**$746,791.00**

Section 3. That in accordance with HUD regulations and the City's Citizen Participation Plan, the City is required to amend funding components of the Plan when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location, scope, or beneficiaries of an activity, or a priority has changed. By these amendments, the aforementioned addition of funds will result in a current allocation of funds under the ESG Grant Application as set forth under **Exhibit "A",** attached hereto and incorporated herein by reference.

Section 4. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in these amendments.

Section 5. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the ESG program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes to the budget; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR CFR §91.505(c), if applicable.

Section 6. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 9th day of May, 2012.

APPROVED this _____ day of _____, 2012.

_____
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is MAY 15 2012.

_____
City Secretary

(Prepared by Legal Dept. _____)
(TE/05/02/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Interim Director, Housing and Community Development Department
(L.D. File No.0291100022003)

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AYE	NO	
✓		MAYOR PARKER
••••	••••	COUNCIL MEMBERS
	✓	BROWN
	ABSENT	DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
✓		PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
ABSENT ON BUSINESS		COSTELLO
✓		BURKS
	ABSENT	NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

CAPTION PUBLISHED IN DAILY COURT REVIEW
DATE: MAY 15 2012

EXHIBIT A

PREPARED: May 2, 2012
APPROVED:
AMENDMENT: 1
ORDINANCE NO:

2011 EMERGENCY SOLUTIONS GRANT BUDGET
PROJECTED USE OF FUNDS BUDGET FOR
July 1, 2011 through June 30, 2012

USE OF FUNDS	ORIGINAL ALLOCATION	ADDITIONAL FUNDING	REVISED ALLOCATION	% of CURRENT ALLOCATION
Administration	$ 66,381	$ 89,200	$ 155,581	7.50%
Operations	$ 464,671	$ -	$ 464,671	22.40%
Essential and Supportive Services	$ 398,288	$ -	$ 398,288	19.20%
Prevention	$ 398,288	$ 217,090	$ 615,378	29.67%
Homeless Management Information System	$ -	$ 37,334	$ 37,334	1.80%
Rapid Re-housing	$ -	$ 403,167	$ 403,167	19.44%
TOTAL ESG ALLOCATION	**$ 1,327,628**	**$ 746,791**	**$ 2,074,419**	**100.00%**

ESG IN-KIND CONTRIBUTIONS

HCDD CDBG Match	$ 700,000
Subgrantee Match	$ 144,610
TOTAL IN-KIND CONTRIBUTIONS	$ 844,610

Ordinance No. 2012-627

City of Houston, Texas, Ordinance No. 2012-627

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCES NOS. 2002-512 PASSED JUNE 12, 2002, 2004-685 PASSED JUNE 30, 2004, 2006-628 PASSED JUNE 14, 2006, 2007-648 PASSED JUNE 6, 2007, 2008-385 PASSED APRIL 30, 2008, 2009-420 PASSED MAY 13, 2009, 2010-372 PASSED MAY 12, 2010, AND 2011-281 PASSED APRIL 25, 2011, WHICH APPROVED AND AUTHORIZED THE SUBMISSION TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT OF THE 2002, 2004, 2006, 2007, 2008, 2009, 2010 AND 2011 CONSOLIDATED ANNUAL PLANS, INCLUDING THE HOME INVESTMENT PARTNERSHIPS ("HOME") PROGRAM GRANT APPLICATIONS; CONTAINING FINDINGS AND PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

WHEREAS, on June 12, 2002, pursuant to City of Houston ("City") Ordinance No. 2002-512, the City Council of the City of Houston ("City") approved and authorized the submission to the United States Department of Housing and Urban Development ("HUD") of the 2002 Consolidated Annual Plan ("2002 Annual Plan"), including a Grant Application for the HOME Investment Partnerships ("HOME") Program; and

WHEREAS, on June 30, 2004, pursuant to City Ordinance No. 2004-685, City Council approved and authorized the submission to HUD of the 2004 Consolidated Annual Plan ("2004 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, on June 14, 2006, pursuant to City Ordinance No. 2006-628, City Council approved and authorized the submission to HUD of the 2006 Consolidated Annual Plan ("2006 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, on June 6, 2007, pursuant to City Ordinance No. 2007-648, City Council approved and authorized the submission to HUD of the 2007 Consolidated Action Plan ("2007 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, on April 30, 2008, pursuant to City Ordinance No. 2008-385, City Council approved and authorized the submission to HUD of the 2008 Consolidated Action Plan ("2008 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, on May 13, 2009, pursuant to City Ordinance No. 2009-420, City Council approved and authorized the submission to HUD of the 2009 Consolidated Action Plan ("2009 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, on May 12, 2010, pursuant to City Ordinance No. 2010-372, City Council approved and authorized the submission to HUD of the 2010 Consolidated Plan ("2010 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, on April 25, 2011, pursuant to City Ordinance No. 2011-281, City Council approved and authorized the submission to HUD of the 2011Annual Action Plan ("2011 Annual Plan"), including a Grant Application for the HOME Program; and

WHEREAS, due to the inability of several Community Development Housing Organizations ("CHDOs") to build and sell a number of single family homes under contracts with the City, unused HOME funds need to be reallocated to an alternative activity under which the funds may be readily expended; and

WHEREAS, the City, upon the recommendation of the Housing and Community Development Department, now desires to make substantial amendments to the aforementioned 2002, 2004, 2006, 2007, 2008, 2009, 2010 and 2011 Annual Plans, including the HOME Grant Applications, to decrease funding under various CHDO activities and reallocate the funds to the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity more specifically set forth below; and

WHEREAS, the City desires to further amend the 2002 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operations" activity (-$35,376.55) and increasing the "Acquisition/Rehabilitation/New Construction Multi-Family Units" activity (+$35,376.55) under the HOME Grant Application; and

WHEREAS, the City also desires to further amend the 2004 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operations" activity (-$11,372.48) and "Program Admin/CHDO Operating Costs" activity (-$50,000.00), and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$61,372.48) under the HOME Grant Application; and

WHEREAS, the City further desires to amend the 2006 Annual Plan by decreasing the "Single Family Development" activity (-$310,000.00) and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$310,000.00) under the HOME Grant Application; and

WHEREAS, the City also desires to further amend the 2007 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operations" activity (-$19,981.40) and the "CHDO Pre-Development Loans (Part of CHDO Set-Aside)" activity (-$185,500.00), and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$205,481.40) under the HOME Grant Application; and

WHEREAS, the City desires to further amend the 2008 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operations" activity (-$69,423.74) and the "Single Family Housing Development/New Construction" activity (-$800,000.00), and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$869,423.74) under the HOME Grant Application; and

WHEREAS, the City further desires to amend the 2009 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operations" activity (-$246,731.67) and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$246,731.67) under the HOME Grant Application; and

WHEREAS, the City also desires to further amend the 2010 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operations" activity (-$300,000.00) and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$300,000.00) under the HOME Grant Application; and

WHEREAS, the City also desires to further amend the 2011 Annual Plan by decreasing the "Community Housing Development Organizations (CHDOs) Operating Expenses" activity (-$628,714.00) and the "Community Housing Development Organizations (CHDOs) Pre-Development Assistance" activity (-$188,614.00), and increasing the "Multi-Family Acquisition/Rehabilitation/New Construction/Relocation" activity (+$817,328.00) under the HOME Grant Application; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a grant agreement when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, scope, location or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized its intent to further amend the 2002, 2004, 2006, 2007, 2008, 2009, 2010, and 2011 Annual Plans, through a Public Notice in the Houston Chronicle on May 22, 2012; and

WHEREAS, the public notice period expired on June 21, 2012, without any citizen's comments relating to the proposed changes set forth in this amendment; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and further amends Ordinances Nos. 2002-512 passed June 12, 2002, 2004-685 passed June 30, 2004, 2006-628 passed June 14, 2006, 2007-648 passed June 6, 2007, 2008-385 passed April 30, 2008, 2009-420 passed May 13, 2009, 2010-372 passed May 12, 2010, and 2011-281 passed April 25, 2011, relating to the 2002, 2004, 2006, 2007, 2008, 2009, 2010 and 2011 Annual Plans, respectively, including the HOME Grant Applications, in the manner indicated below.

Section 2. That by these substantial amendments the City Council authorizes and approves the reallocation of funds under the Grant Applications for the HOME Program, as set forth below:

Plan Year	Activity/Project	Action	Amount
2002	Community Housing Development Organizations (CHDOs) Operations	Decrease	(-$35,376.55)
2002	Acquisition/ Rehabilitation/ New Construction Multi-Family Units	Increase	(+$35,376.55)
2004	Community Housing Development Organizations (CHDOs) Operations	Decrease	(-$11,372.48)
2004	Program Administration/ CHDO Operating Costs	Decrease	(-$50,000.00)
2004	Multi-Family Acquisition/ Rehabilitation/ New Construction/ Relocation	Increase	(+$61,372.48)
2006	Single Family Development	Decrease	(-$310,000.00)
2006	Multi-Family/ Acquisition Rehabilitation/	Increase	(+$310,000.00)

	New Construction/ Relocation		
2007	Community Housing Development Organizations (CHDOs) Operations	Decrease	(-$19,981.40)
2007	CHDO Pre-Development Loans (Part of CHDO Set-Aside)	Decrease	(-$185,500.00)
2007	Multi-Family Acquisition/ Rehabilitation/ New Construction/ Relocation	Increase/	(+$205,481.40)
2008	Community Housing Development Organizations (CHDOs) Operations	Decrease	(-$69,423.74)
2008	Single Family Development/ New Construction	Decrease	(-$800,000.00)
2008	Multi-Family Acquisition/ Rehabilitation New Construction/ Relocation	Increase	(+$869,423.74)
2009	Community Housing Development Organizations	Decrease	(-$246,731.67)

	(CHDOs) Operations		
2009	Multi-Family Acquisition/ Rehabilitation/ New Construction/ Relocation	Increase	(+$246,731.67)
2010	Community Housing Development Organizations (CHDOs) Operations	Decrease	(-$300,000.00)
2010	Multi-Family Acquisition/ Rehabilitation/ New Construction/ Relocation	Increase	(+$300,000.00)
2011	Community Housing Development Organizations (CHDOs) Operating Expenses	Decrease	(-$628,714.00)
	Community Housing Development Organizations Pre-Development Assistance		(-$188,614.00)
2011	Multi-Family Acquisition/ Rehabilitation/	Increase	(+$817,328.00)

New Construction/
Relocation

Total **$2,845,713.84**

Section 3. That in accordance with HUD regulations and the City's Citizen Participation Plan, the City is required to amend funding components of the Plan when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location, scope, or beneficiaries of an activity, or a priority has changed. By these amendments, the aforementioned reallocation of funds will result in a current allocation of funds under the HOME Grant Applications as set forth under **Exhibit "A",** attached hereto and incorporated herein by reference.

Section 4. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in these amendments.

Section 5. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the HOME program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes to the budget; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR CFR §91.505(c), if applicable.

Section 6. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 3rd day of July, 2012.

ADOPTED this _____ day of _____, 2012.

_____
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is JUL 09 2012.

_____
City Secretary

(Prepared by Legal Dept. _____)
(BJP/TE/06/26/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Director, Housing and Community Development Department
(L.D. File No.)

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AYE	NO	
ABSENT-OUT OF CITY CITY BUSINESS		MAYOR PARKER
••••	••••	COUNCIL MEMBERS
ABSENT-CITY BUSINESS		BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
ABSENT-ON PERSONAL BUSINESS		HOANG
✓		PENNINGTON
✓	MAYOR PRO TEM PRESIDING	GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
ABSENT DUE TO BEING ILL		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

CAPTION PUBLISHED IN DAILY COURT REVIEW
DATE: JUL 09 2012

EXHIBIT A

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 4
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS
Allocation of Funds
July 1, 2002 through June 30, 2003

HOME Projects	Original Allocation	*Reallocation	Current Allocation
Acquisition/New Construction Single-Family Units	$ 5,148,000.00		$ 5,148,000.00
Acquisition/Rehabilitation/New Construction Multi-Family Units	$ 7,014,000.00	$ 35,376.55	$ 7,049,376.55
Community Housing Development Organizations (CHDO's) Operations	$ 100,000.00	$ (35,376.55)	$ 64,623.45
Single Family Remediation/Down Payment Assistance	$ 547,753.84		$ 547,753.84
Program Administration	$ 1,362,000.00		$ 1,362,000.00
Total	$ 14,171,753.84	$ -	$ 14,171,753.84

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 7
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2004 through June 30, 2005

HOME Projects	Original Allocation	*Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment Assistance - New Homes	$ 2,657,769.00		$ 2,657,769.00	14.13%
Single-Family Down Payment Assistance - Existing Homes	$ 2,245,000.00		$ 2,245,000.00	11.94%
Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 10,238,582.00	$ 61,372.48	$ 10,299,954.48	54.76%
Tenant Based Rental Assistance	$ -		$ -	0.00%
Community Housing Development Organizations (CHDO's) Operations	$ 100,000.00	$ (11,372.48)	$ 88,627.52	0.47%
Program Administration	$ 1,688,700.00		$ 1,688,700.00	8.98%
Program Administration/CHDO Operating Costs	$ 1,466,075.58	$ (50,000.00)	$ 1,416,075.58	7.53%
Multi-Family Acquisition/Rehabilitation/ Construction/Single Family Development	$ 259,335.89		$ 259,335.89	1.38%
Single Family Remediation/Down Payment Assistance	$ 152,810.52		$ 152,810.52	0.81%
Total	$ 18,808,272.99	$ -	$ 18,808,272.99	100.00%

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 4
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2006 through June 30, 2007

HOME Projects	Original Allocation	Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment/Closing Cost Assistance - New-Existing Homes	$ 3,940,944.00		$ 3,940,944.00	23.13%
*Single-Family Housing Rehabilitation/Remediation/New Construction	$ 3,200,000.00		$ 3,200,000.00	18.78%
*Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 7,341,199.68	$ 310,000.00	$ 7,651,199.68	44.91%
Single Family Development	$ 1,044,954.00	$ (310,000.00)	$ 734,954.00	4.31%
Community Housing Development Organizations (CHDO's)	$ 100,000.00		$ 100,000.00	0.59%
Program Administration	$ 1,410,000.00		$ 1,410,000.00	8.28%
Total	**$ 17,037,097.68**	**$ -**	**$ 17,037,097.68**	**100.00%**

*The required fifteen percent (15%) set-aside for CHDO-sponsored projects will be funded from this activity.

American Dream Down Payment Initiative finances acquistion of new homes.

Sources of Estimated Program Income:

Houston Housing Improvement Program Loan Repayment	$ 170,000.00
Multi-family Housing Loan Repayments	$ 330,000.00
Total	**$ 500,000.00**

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 2
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2007 through June 30, 2008

HOME Projects	Original Allocation	Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment/Closing Cost Assistance - New-Existing Homes	$ 5,026,633.00		$ 5,026,633.00	37.01%
*Single-Family Housing Rehabilitation/Remediation/New Construction			$ -	0.00%
Single Family Development	$ 1,000,000.00		$ 1,000,000.00	7.36%
Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 3,885,074.00	$ 205,481.40	$ 4,090,555.40	30.12%
CHDO Set Aside	$ 1,837,015.00		$ 1,837,015.00	13.53%
CHDO Pre-Development Loans (Part of CHDO Set Aside)	$ 200,000.00	$ (185,500.00)	$ 14,500.00	0.11%
Community Housing Development Organizations (CHDO's) Operations	$ 300,000.00	$ (19,981.40)	$ 280,018.60	2.06%
Program Administration	$ 1,331,376.00		$ 1,331,376.00	9.80%
Total	**$ 13,580,098.00**	**$ -**	**$ 13,580,098.00**	**100.00%**

American Dream Down Payment Initiative finances acquistion of new homes.

Sources of Estimated Program Income:

Houston Housing Improvement Program Loan Repayment	$ 50,000.00
Multi-family Housing Loan Repayments	$ 150,000.00
Total	**$ 200,000.00**

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 2
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2008 through June 30, 2009

HOME Projects	Original Allocation	Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment/Closing Cost Assistance - New-Existing Homes	$ 5,275,000.00		$ 5,275,000.00	40.17%
*Single-Family Housing Development/New Construction	$ 1,150,000.00	$ (800,000.00)	$ 350,000.00	2.67%
*Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 4,883,151.00	$ 869,423.74	$ 5,752,574.74	43.81%
Community Housing Development Organizations (CHDO's) Operations	$ 550,000.00	$ (69,423.74)	$ 480,576.26	3.66%
Program Administration	$ 1,272,000.00		$ 1,272,000.00	9.69%
Total	**$ 13,130,151.00**	**$ -**	**$ 13,130,151.00**	**100.00%**

*The required fifteen (15%) set-aside for CHDO sponsored projects will be funded from these activities

American Dream Down Payment Initiative finances acquistion of new homes.

Sources of Estimated Program Income:

Single Family Housing Loan Repayments	$ 138,000.00
Multi-family Housing Loan Repayments	$ 162,000.00
Total	**$ 300,000.00**

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 1
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2009 through June 30, 2010

HOME Projects	Original Allocation	Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment/Closing Cost Assistance - New-Existing Homes	$ 5,382,611.00		$ 5,382,611.00	37.38%
Program Delivery Costs	$ 400,000.00		$ 400,000.00	2.78%
*Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 6,919,407.00	$ 246,731.67	$ 7,166,138.67	49.77%
Community Housing Development Organizations (CHDO's) Operations	$ 300,000.00	$ (246,731.67)	$ 53,268.33	0.37%
Program Administration	$ 1,396,673.00		$ 1,396,673.00	9.70%
Total	**$ 14,398,691.00**	**$ -**	**$ 14,398,691.00**	**100.00%**

*The required fifteen (15%) set-aside for CHDO sponsored projects will be funded from these activities

Sources of Estimated Program Income:

Multi-family Housing Loan Repayments	$ 214,572.00
Other Program Income	$ 85,428.00
Total	**$ 300,000.00**

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 1
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2010 through June 30, 2011

HOME Projects	Original Allocation	Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment/Closing Cost Assistance - New-Existing Homes	$ 5,382,611.00		$ 5,382,611.00	37.47%
*Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 6,890,323.00	$ 300,000.00	$ 7,190,323.00	50.05%
Community Housing Development Organizations (CHDO's) Operations	$ 300,000.00	$ (300,000.00)	$ -	0.00%
Program Delivery Costs	$ 400,000.00		$ 400,000.00	2.78%
Program Administration	$ 1,393,441.00		$ 1,393,441.00	9.70%
Total	**$ 14,366,375.00**	**$ -**	**$ 14,366,375.00**	**100.00%**

*The required Fifteen (15%) set-aside for CHDO sponsored projects will be funded from these activities

Sources of Estimated Program Income:

Multi-family Housing Loan Repayments	$ 128,803.00
Other Program Income	$ 171,197.00
Total	**$ 300,000.00**

PREPARED: June 19, 2012
APPROVED:
AMENDMENT: 1
ORDINANCE NO:

PROJECTED USE OF HOME FUNDS

Allocation of Funds

July 1, 2011 through June 30, 2012

HOME Projects	Original Allocation	Reallocation	Current Allocation	% of Current Allocation
Single-Family Down Payment/Closing Cost Assistance				
New or Existing Homes	$ 4,300,999.00		$ 4,300,999.00	34.20%
***Multi-Family Acquisition/Rehabilitation/New Construction/Relocation**				
Multi-Family Acquisition/Rehabilitation/New Construction/Relocation	$ 3,978,386.00	$ 817,328.00	$ 4,795,714.00	38.14%
***Community Housing Development Organizations (CHDO's)**				
Single/Multi-Family Set-Aside	$ 1,886,142.00		$ 1,886,142.00	15.00%
Pre-Development Assistance	$ 188,614.00	$ (188,614.00)	$ -	0.00%
CHDO Operating Expenses	$ 628,714.00	$ (628,714.00)	$ -	0.00%
Program Delivery Costs				
Multi-Family	$ 234,000.00		$ 234,000.00	1.86%
Homebuyer Assistance	$ 100,000.00		$ 100,000.00	0.80%
Planning and Program Administration				
Planning and Program Administration	$ 1,257,428.00		$ 1,257,428.00	10.00%
Total	**$ 12,574,283.00**	**$ -**	**$ 12,574,283.00**	**100.00%**

HOME Allocation	$ 12,414,905.00
Sources of Estimated Program Income:	
Multi-family Housing Loan Repayments	$ 159,378.00
Other Program Income	$ -
Total	**$ 159,378.00**
TOTAL	$ 12,574,283.00

*The required Fifteen (15%) set-aside for CHDO sponsored projects will be funded from these activities

Ordinance No. 2012-685

Controller's Office

To the Honorable Mayor and City Council of the City of Houston:

I hereby certify, with respect to the money required for the contracts, agreements, obligation or expenditure contemplated by the ordinance set out below that:

( ) Funds have been encumbered out of funds previously appropriated for such purpose.

( ) Funds have been certified and designated to be appropriated by separate ordinance to be approved prior to the approval of the ordinance set out below.

( ) Funds will be available out of current or general revenue prior to the maturity of any such obligation.

( ) No pecuniary obligation is to be incurred as a result of approving the ordinance set out below.

( ) That the money required for the expenditure or expenditures specified below is in the treasury, in the fund or funds specified below, and is not appropriated for any other purposes.

( ) A certificate with respect to the money required for the expenditure or expenditures specified below is attached hereto and incorporated hereby by this reference.

(✓) Other - Grant Funds Available Loan Application Submittal

Date: 7-24, 2012

Ronald C. Green
City Controller of the City of Houston

Fund Ref: N/A Amount: N/A Encumb. No: GN32051-13

City of Houston, Texas, Ordinance No. 2012 - 685

AN ORDINANCE AUTHORIZING THE CITY OF HOUSTON TO SUBMIT A REQUEST TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT TO BORROW UP TO $1,800,000.00 OF SECTION 108 GUARANTEED LOAN FUNDS AND TO ALLOCATE UP TO $5,550,000.00 OF EDI GRANT FUNDS TO PARTIALLY FINANCE THE REDEVELOPMENT OF THE BUILDING LOCATED AT 806 MAIN STREET, HOUSTON, TEXAS; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, the City Council ("City Council") of the City of Houston ("City") finds that, pursuant to Ordinance No. 95-349, passed and adopted on March 29,1995, the City Council established a Special Development Loan Program, which enabled the City to promote large-scale private redevelopment efforts within the boundaries of the area designated as the Enhanced Enterprise Community ("EEC"); and

WHEREAS, the City Council finds that the building located at 806 Main, Houston, Texas ("Project") is located within the boundaries of the EEC; and

WHEREAS, the City Council finds that, by letter dated July 25, 1995, the United States Department of Housing and Urban Development ("HUD") approved the City's application for $175,000,000.00 in guaranteed loan assistance, pursuant to Title I of the Housing and Community Development Act of 1974, as amended ("Act"), and Part 570 of Title 24, Code of Federal Regulations ("Section 108 Guaranteed Loan Funds"), subject to a written determination by HUD that a proposed project meets HUD's applicable eligibility and national objective requirements; and

WHEREAS, the City Council finds that, by Grant No. E-95-EZ-0006, pursuant to the Act and Part 570 of Title 24, Code of Federal Regulations, HUD agreed to provide grants to the City in an amount of $22,000,000.00 ("EDI Grant Funds ") for various purposes, including the payment of interest on Section 108 Guaranteed Loan Funds and the establishment of a debt service reserve for the repayment of Section 108 Guaranteed Loan Funds; and

WHEREAS, the City Council finds that Borrower seeks a $7,350,000.00 loan ("Project Loan") to partially fund the acquisition of the Project for redevelopment, which Loan would be funded with $1,800,000.00 of Section 108 Guaranteed Loan Funds ("Section 108 Funds") and $5,550,000.00 of EDI Grant Funds ("EDI Funds"); and

WHEREAS, the City Council finds that the completion of the Project will significantly enhance the value of the taxable real property in the vicinity of the Project, will be of general benefit to the City, and will provide a stimulus to other economic development in the City's central business district; and

WHEREAS, the City Council finds that the restoration and rehabilitation of the Project will create approximately 177 full time jobs, including at least 150 full time jobs for low and moderate-income persons; and

WHEREAS, the City Council finds that the Project will increase the economic growth of the City by providing needed additional hotel rooms in the downtown area; and

WHEREAS, the City Council finds that the submittal of an application to HUD to borrow up to $1,800,000.00 of Section 108 Guaranteed Loan Funds and to allocate up to $5,550,000.00 of EDI Grant Funds ("HUD Application") to fund the Project Loan is in the

best interest of the City, provided that the terms and documentation of the Project Loan shall be subject to separate approval by the City Council; **NOW, THEREFORE,**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the findings and recitals contained in the preamble of this Ordinance are determined to be true and correct and are hereby adopted as part of this Ordinance.

Section 2. That the City Council hereby approves and authorizes the contract, agreement or other undertaking described in the title of this Ordinance, in substantially the form as shown in the document which is attached hereto and incorporated herein by this reference. The Mayor is hereby authorized to execute such document and all related documents on behalf of the City of Houston, provided that the terms and documentation of the Project Loan shall be subject to separate approval by the City Council. The City Secretary is hereby authorized to attest to all such signatures and to affix the seal of the City to all such documents. The City Attorney is hereby authorized to take all action necessary to enforce legal obligations under said contract without further authorization from City Council.

Section 3. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 1st **day of** August**, 2012.**

APPROVED this _____ day of _____, 2012.

_____
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is AUG 07 2012.

Anna Russell
City Secretary

CAPTION PUBLISHED IN DAILY COURT REVIEW
DATE: AUG 07 2012

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(Prepared by Legal Dept. _____)
(RFB 7/18/2012) Senior Assistant City Attorney

(Requested by Neal Rackleff, Director, Housing and Community Development Department)

(L.D. File No. 0291200045001)

AYE	NO	
✓		**MAYOR PARKER**
• • • •	• • • •	**COUNCIL MEMBERS**
	✓	BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
	✓	SULLIVAN
✓		HOANG
✓		PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
ABSENT-ON PERSONAL BUSINESS		BURKS
✓		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

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OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

*1. Type of Submission:	*2. Type of Application	* If Revision, select appropriate letter(s)
☐ Preapplication	☒ New	
☒ Application	☐ Continuation	*Other (Specify)
☐ Changed/Corrected Application	☐ Revision	

3. Date Received: 4. Applicant Identifier:

5a. Federal Entity Identifier: *5b. Federal Award Identifier:

State Use Only:

6. Date Received by State: 7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: City of Houston

*b. Employer/Taxpayer Identification Number (EIN/TIN): 74-6001164

*c. Organizational DUNS: 832431985

d. Address:

*Street 1: 601 Sawyer
Street 2:
*City: Houston
County: Harris
*State: Texas
Province:
*Country: USA
*Zip / Postal Code 77002

e. Organizational Unit:

Department Name: Housing and Community Development Department

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr. *First Name: Neal
Middle Name:
*Last Name: Racklefff
Suffix:

Title: Interim Director

Organizational Affiliation: Section 108/ EDI for EEC

*Telephone Number: (713) 868-8300 Fax Number: (713) 864-4135

*Email: housingdirector@houstontx.gov

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

***9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.246; 14.248

CFDA Title:

EDI: Section 108 Loan Guarantee

***12 Funding Opportunity Number:**

*Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Enhanced Enterprise Community

***15. Descriptive Title of Applicant's Project:**

Establishment of JW Marriott in EEC

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

16. Congressional Districts Of:

*a. Applicant: 18 *b. Program/Project: 18

17. Proposed Project:

*a. Start Date: 9/1/2012 *b. End Date: 9/1/2014

18. Estimated Funding ($):

*a. Federal	7,350,000
*b. Applicant	
*c. State	
*d. Local	
*e. Other	65,950,200
*f. Program Income	
*g. TOTAL	73,300,200

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on _____

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☒ c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Ms. *First Name: Annise

Middle Name:

*Last Name: Parker

Suffix:

*Title: Mayor

*Telephone Number: (832) 393-1011 Fax Number:

* Email: annise.parker@houstontx.gov

*Signature of Authorized Representative: *Date Signed:

Authorized for Local Reproduction

Standard Form 424 (Revised 10/2005)
Prescribed by OMB Circular A-102

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

SECTION 108 LOAN GUARANTEES
ENTITLEMENT PUBLIC ENTITY CERTIFICATIONS

In accordance with Section 108 of the Housing and Community Development Act of 1974, as amended, (the Act") and with 24 CFR § 570.704(b) the public entity certifies that:

(i) it possesses the legal authority to submit the application for assistance under 24 CFR Part 570, Subpart M ("Subpart M") and to use the guaranteed loan funds in accordance with the requirements of Subpart M.

(ii) Its governing body has duly adopted or passed as an official act a resolution, motion or similar action authorizing the person identified as the official representative of the public entity to submit the application and amendments thereto and all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the public entity to act in connection with the application to provide such additional information as may be required.

(iii) Before submission of its application to HUD, the public entity has:

(A) Furnished citizens with information required by § 570.704(a) (2) (i);

(B) Held at least one public hearing to obtain the views of citizens on community development and housing needs; and

(C) Prepared its application in accordance with § 570.704 (a) (1) (iv) and made the application available to the public.

(iv) It is following a detailed citizen participation plan which meets the requirements described in § 570.704 (a) (2).

(v) The public entity will affirmatively further fair housing, and the guaranteed loan funds will be administered in compliance with:

(A) Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352, 42 U.S.C. 2000d et *seq.);* and

(B) The Fair Housing Act (42 U.S.C. 3601-20).

(vi) In the aggregate, at least 70 percent of all CDBG funds, as defined at § 570.3(e), to be expended during the one, two, or three consecutive years specified by the public entity for its CDBG program will be for activities which benefit low and moderate income persons, as described in criteria at § 570.208(a).

(vii) It will comply with the requirements governing displacement, relocation, real property acquisition, and the replacement of low and moderate- income housing described in **§ 570.606.**

(viii) It will comply with the requirements of § 570.200(c) (2) with regard to the use of special assessments to recover the capital costs of activities assisted with guaranteed loan funds.

(ix) It will comply with the other provisions of the Act and with other applicable laws.

(x) (Where applicable, the public entity may also include the following additional certification.) It lacks sufficient resources from funds provided under Subpart M or program income to allow it to comply with the provisions of § 570.200(c) (2), and it must therefore assess properties owned and occupied by moderate income persons, to recover the guaranteed loan funded portion of the capital cost without paying such assessments in their behalf from guaranteed loan funds.

Certification of Efforts to Obtain Other Financing

The City of Houston hereby assures and certifies with respect to its application for a loan guarantee pursuant to Section 108 of the Housing and Community Development Act of 1974, as amended, that it has made efforts to obtain financing for the activities described herein without the use of such guarantee, it will maintain documentation of such efforts for the term of the loan guarantee, and it cannot complete such financing consistent with the timely execution of the project without such guarantee.

Certification of Legal Authority to Pledge Grants

The public entity hereby certifies and assures with respect to its application for a loan guarantee pursuant to Section 108 of the Housing and Community Development Act of 1974, as amended, that it possesses the legal authority to make the pledge of grants required under 24 CFR 570.705(b)(2).

_____ _____

Signature of Public Official Date

Name: Annise Parker

Title: Mayor

Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing and Urban Development

OMB Approval No. 2510-0011 (exp. 08/31/2006)

Instructions. (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

Applicant/Recipient Information

Indicate whether this is an Initial Report ☑ **or an Update Report** ☐

1. Applicant/Recipient Name, Address, and Phone (include area code):	2. Social Security Number or Employer ID Number:
City of Houston: 601 Sawyer, Houston, TX 77002	746-00-1164
3. HUD Program Name	**4. Amount of HUD Assistance Requested/Received**
Section 108 Loan Guaranty; Economic Development Initiative	$7,350,000.00

5. State the name and location (street address, City and State) of the project or activity:
806 Main; Houston, TX

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3).
☑ **Yes** ☐ **No**

2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD) , involving the project or activity in this application, in excess of $200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9
☑ **Yes** ☐ **No.**

If you answered "**No**" to either question 1 or 2, **Stop**! You do not need to complete the remainder of this form. ***However***, you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

Department/State/Local Agency Name and Address	Type of Assistance	Amount Requested/Provided	Expected Uses of the Funds

(**Note**: Use Additional pages if necessary.)

Part III Interested Parties. You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds $50,000 or 10 percent of the assistance (whichever is lower).

Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first)	Social Security No. or Employee ID No.	Type of Participation in Project/Activity	Financial Interest in Project/Activity ($ and %)
Development Solutions, Inc.	521-63-2775	Consultant	0
806 Main Hotel, LLC		Owner	100%

(**Note**: Use Additional pages if necessary.)

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed $10,000 for each violation.
I certify that this information is true and complete.

Signature:	Date: (mm/dd/yyyy)
X	

Form **HUD-2880** (3/99)

Certification Regarding Debarment and Suspension

U.S. Department of Housing and Urban Development

Certification A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief that its principals;

a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal debarment or agency;

b. Have not within a three-year period preceding this proposal, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

d. Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Certification (A)

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was place when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms **covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded**, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of these regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines this eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph (6) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.

Certification B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Certification (B)

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

4. The terms **covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded**, as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of these regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph (5) of these instructions, if a participant in a lower covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies including suspension and/or debarment.

Applicant City of Houston	Date
Signature of Authorized Certifying Official	Title Mayor

form **HUD-2992** (3/98)

Disclosure of Lobbying Activities

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352
(See reverse side for Instructions and Public Reporting burden statement)

1. Type of Federal Action
[e] a. contract
b. grant
c. cooperative agreement
d. loan
e. loan guarantee
f. loan insurance

2. Status of Federal Action
[b] a. bid/offer/application
b. initial award
c. post-award

3. Report Type
[a] a. initial filing
b. material change
For Material Change Only
year (yyyy) _____ quarter _____
date of last report (mm/dd/yyyy) _____

4. Name and Address of Reporting Entity
[x] Prime [ ] Subawardee Tier _____, if known:

Congressional District, if known 18

5. If Reporting Entity in No. 4 is Subawardee, enter Name and Address of Prime

Congressional District, if known

6. Federal Department/Agency
Department of Housing and Urban Development

7. Federal Program Name/Description
Section 108 Loan Guaranty: Economic Development Initiative

CFDA Number, if applicable 14.248, 14.246

8. Federal Action Number, if known

9. Award Amount, if known
$ 7,350,000

10a. Name and Address of Lobbying Registrant
(if individual, last name, first name, MI)
N/A

b. Individuals Performing Services (including address if different from No. 10a.)
(last name, first name, MI)
N/A

(attach continuation sheet(s) if necessary)

11. Amount of Payment (check all that apply)
$ N/A [ ] actual [ ] planned

12. Form of Payment (check all that apply)
[ ] a. cash
[ ] b. in-kind; specify: nature _____
value _____

13. Type of Payment (check all that apply)
[ ] a. retainer
[ ] b. one-time fee
[ ] c. commission
[ ] d. contingent fee
[ ] e. deferred
[ ] f. other (specify) _____

14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11

(attach continuation sheet(s) if necessary)

15. Continuation sheets attached [ ] **Yes** [ ] **No**

16. Information requested through this form is authorized by Sec.319, Pub. L. 101-121, 103 Stat. 750, as amended by sec. 10; Pub. L. 104-65, Stat. 700 (31 U.S.C. 1352). This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature _____
Print Name Annise Parker
Title Mayor
Telephone No. 832-393-1011
Date (mm/dd/yyyy) _____

Federal Use Only:

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Standard Form-LLL (7/97)

Certification of Consistency with the Consolidated Plan

U.S. Department of Housing and Urban Development

I certify that the proposed activities/projects in the application are consistent with the jurisdiction's current, approved Consolidated Plan. (Type or clearly print the following information:)

Applicant Name: City of Houston

Project Name: 806 Main

Location of the Project: 806 Main Street
Houston, TX

Name of the Federal Program to which the applicant is applying: Section 108 Loan Guarantee Program

Name of Certifying Jurisdiction: City of Houston

Certifying Official of the Jurisdiction Name: Annise Parker

Title: Mayor

Signature: _____

Date: _____

form **HUD-2991** (3/98)

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

City of Houston

Program/Activity Receiving Federal Grant Funding

Section 108 Loan Guaranty Program; 806 Main

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federalagency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.)

Check here ☐ if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.
Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official	Title
Annise Parker	Mayor
Signature	Date
X	

form **HUD-50070** (3/98)
ref. Handbooks 7417.1, 7475.13, 7485.1 & .3

Ordinance No. 2012-698

City of Houston, Texas, Ordinance No. 2012-698

AN ORDINANCE AMENDING THE CITY OF HOUSTON CITIZEN PARTICIPATION PLAN ("CPP") FOR THE CONSOLIDATED PLAN AUTHORIZED PURSUANT TO ORDINANCE NO. 2010-372 PASSED MAY 12, 2010, TO ADD INFORMATION RELATING TO THE ECONOMIC DEVELOPMENT INITIATIVE/SECTION 108 PROGRAM; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

WHEREAS, on May 12, 2010 pursuant to Ordinance No. 2010-372, the City Council ("City Council") of the City of Houston ("City") approved and authorized the submission of the 2010 Consolidated Plan to the United States Department of Housing and Urban Development, which included the City of Houston Citizen Participation Plan for the Consolidated Plan ("CPP"); and

WHEREAS, references to The Economic Development Initiative ("EDI")/Section 108 Program were not included in the 2010 CPP; and

WHEREAS, the Housing and Community Development Department ("HCDD") now requests City Council to amend the CPP to: 1) change the heading on page 5 from "Amendments to the Consolidated Plan" to "Amendments to the Consolidated Plan/Annual Action Plan and ED1/Section 108 Grant Application"; and 2) add the following language as a third paragraph under the revised heading:

> "It should be noted that the process for amending the EDI/Section 108 Grant Application ("EDI") differs substantially from that used for the Consolidated Plan/Annual Plan and Other Section 108 programs. First, in accordance with Item 8 of the executed Grant Agreement between the City of Houston and HUD, the EDI can only be amended with prior written permission of HUD. In the case of the Consolidated Plan/Annual Action Plan or Other Section 108 programs, HUD is notified of the amendment following City Council approval. Second, federal regulations require that a public hearing be held when amending the EDI Grant Application. However, no such requirement is attached to the amendment process for the Consolidated Plan/Annual Action Plan or other Section 108 programs. For any program amended, verbal and written citizen comments will be summarized and submitted to HUD along with HCDD's response to each. EDI is subject to all CPP requirements applicable to the Consolidated Plan/Annual Action Plan where they are the same as specifically required under 24 CFR §570.704."

WHEREAS, HCDD has publicized its intent to amend the CPP in the manner stated above through a posting in the Houston Chronicle on July 7, 2012; and

WHEREAS, the public notice period expired on August 6, 2012, and at this time no citizen's comments have been received; updates to HUD will follow, as necessary; **NOW,**

THEREFORE,

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the findings contained in the preamble of this Ordinance are determined to be true and correct and are hereby adopted as part of this Ordinance.

Section 2. That the City Council hereby amends the CPP, authorized on May 12, 2010 pursuant to Ordinance No. 2010-372, in the manner set forth in **EXHIBIT A** as attached hereto and incorporated herein by reference.

Section 3. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in these amendments.

Section 4. That the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR §91.505(c), if applicable.

Section 5. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 8th **day of** August**, 2012.**

APPROVED this _____ day of _____, 2012.

_____
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is AUG 14 2012.

City Secretary

(Prepared by Legal Dept. Barbara J. Pierce DFA
(TE/08/01/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Director, Housing and Community Development Department
(L.D. File No.)

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AYE	NO	
✓		MAYOR PARKER
••••	••••	COUNCIL MEMBERS
	✓	BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
	ABSENT-ON PERSONAL BUSINESS	PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
✓		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

CAPTION PUBLISHED IN DAILY COURT REVIEW DATE: AUG 14 2012

EXHIBIT A

CITY OF HOUSTON

CITIZEN PARTICIPATION PLAN

FOR THE

CONSOLIDATED PLAN

March 2010

Amended August 2012

Housing & Community Development Department

City of Houston

601 Sawyer

Houston, TX 77007

713-868-8300

Web: www.houstontx.gov

Introduction

The purpose of the Citizen Participation Plan (CPP) is to establish a viable means by which citizens of the City of Houston (City), public agencies and other interested parties can actively participate in the development of activities undertaken with Community Development Block Grant funds and to sets forth the jurisdiction's policies and procedures for citizen participation.

The Citizen Participation Plan has been prepared and implemented pursuant to federal regulations (U. S. Department of Housing and Urban Development (HUD) Regulations 24 CFR Part 91.105) and the City of Houston's desire to encourage and support public participation in the development of the Consolidated Plan (and subsequent annual updates to the Consolidated Plan).

The actions delineated in this Citizen Participation Plan relate to the planning and expenditure of funds provided to the City by the U. S. Department of Housing and Urban Development (HUD) Office of Community Planning and Development (CPD). CPD entitlement funds received by the City include the Community Development Block Grant (CDBG), Home Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for People with AIDS (HOPWA), Economic Development Incentive (EDI), Section 108, and other such grants as may be added by law.

Encouragement of Citizen Participation

It is the intent of the City of Houston to encourage and facilitate the participation of residents in the formulation of priorities, strategies and funding allocations related to the Consolidated Plan, Substantial Amendments to the Plan and the Performance Report process, emphasizing involvement by low and moderate income persons, especially those living in slum and blighted areas, areas where the funds are proposed to be used, and low and moderate income neighborhoods. The City encourages the participation of local and regional institutions and other organizations (including businesses, developers, and community/faith-based organizations) in the process of developing and implementing the Consolidated Plan. The City also encourages participation of all citizens including minority populations, people who do not speak English and persons with disabilities.

To encourage citizen participation emphasizing the involvement of low and moderate income residents the City of Houston will continue to work with public housing authorities, neighborhood task forces and organizations representing the City's lower income areas.

The Plan assures that citizens, non-profit organizations, and other interested parties are afforded adequate opportunity to review and comment on plans, programs, activities and reports covering the City's federally funded housing and community development programs.

Technical Assistance

The City will provide technical assistance as requested to lower income groups to develop proposals for housing and community development activities in the City of Houston. The technical assistance will be offered any time proposals for the use of funding are requested.

Access to Records

The City of Houston will provide the public with reasonable and timely access to information and records relating to the data or content of the Consolidated Plan, as well as the proposed, actual, and past use of funds covered by this Citizen Participation Plan.

The public will be provided reasonable access to housing assistance records, subject to state and local laws regarding privacy and obligations of confidentiality. Confidential documents will be set apart from public information, and any requestors of this information will be promptly informed.

In the spirit of encouraging public participation, copies of standard documents will be provided to the public. These materials will be available in a form accessible to persons with disabilities, upon request to the CDBG Program Administrator at (713) 868-8300.

All standard Housing and Community Development Department documents will be placed on file in the City of Houston, Housing and Community Development Department file room located at 601 Sawyer, 3rd floor, Houston, Texas 77007.

Copies of standard documents will be available for review at the following locations:

- Housing and Community Development Department – 601 Sawyer, Suite 400
- City of Houston Secretary's Office – 900 Bagby, Parking Level
- City of Houston Website – www.houstonhousing.org
- City of Houston Main Public Library

Additional single copies may be obtained from the Housing and Community Development Department at no charge.

The following standard public documents are available during regular business hours:

- This Citizen Participation Plan
- Proposed and Final Consolidated Plans
- Proposed and Final Consolidated Action Plans
- Proposed and Final Substantial Amendments to an Annual Action or Consolidated Plan or EDI grants
- Draft and Final Consolidated Annual Performance Reports (CAPER)

Public Hearings

Public hearings will be held at key stages of the process to obtain the public's views and to provide the public, to the greatest extent possible, with responses to their questions and comments. The City holds public hearings to obtain input regarding community needs during development of a Consolidated Plan, to review proposed uses of the funds in each Annual Action Plan, and to review program performance.

Pursuant to HUD regulations, the City of Houston will conduct a minimum of two (2) public hearings prior to submission of the Consolidated Plan, to ensure participation in grant development. The Director of the Housing and Community Development Department, in consultation with staff, will establish the time and location of all public hearings and meetings concerning CPD entitlement funds received by the City including Community Development Block Grant (CDBG),Home Investment Partnerships (HOME), Emergency Shelter Grant (ESG), Housing Opportunities for People with AIDS (HOPWA), and Economic Development Incentive (EDI) grants.

Public hearings shall be held after adequate (14 days) notice at times and locations convenient to potential or actual beneficiaries, and with accommodations for the disabled. At all public hearings, upon an advance request, translators will be available for those who do not speak or understand English and the hearing impaired.

Meetings and Public Hearings Schedule

October – Notification to Public Service Agencies and citizens for submission of funding requests. Notice of funds available (NOFA) is advertised in public notices.

November/December – First Public Hearing on Consolidated Plan (performance review and citizens express their needs during plan development)

January – City Council Districts Public Hearings on Budget, Capital Improvement, and Consolidated Plan

March – Draft of Consolidated Plan is made available to public and the 30 day review period begins

April – Second Public Hearing on Consolidated Plan (citizens express concerns or agreement on draft Plan)

April/May – Submittal of Consolidated Plan to HUD

September – Draft of Consolidated Annual Performance Evaluation Report (CAPER) is made available to public, a 15 day review period, and submittal to HUD

Development of the Consolidated Plan

Before the Consolidated Plan (and annual updates) is adopted by the City Council and submitted to HUD (i.e., mid-May), the City will make the plan available to citizens, public agencies, and other interested parties for review, and will also establish the means to submit comments. Information made accessible to the public will include the amount of grant funds the City expects to receive (including program income), the range of activities to be undertaken, and the anticipated number of low and moderate income persons to benefit from funded activities.

Information will also include the steps the City will take to eliminate the need for displacement of persons. If displacement will occur due to any planned actions, the City will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, as described in 49 CFR Part 24.

The City will publish its draft and final Consolidated Plan (and annual updates) so that all affected residents will have sufficient opportunity to review and comment on the plan. A summary describing the contents and purpose of the Consolidated Plan will be published in one or more newspapers of general circulation. To the extent feasible, the draft plan document will be posted on the City's website. Additionally, the entire plan will be made available for review at the following locations:

- Housing and Community Development Department – 601 Sawyer, Suite 400
- City of Houston Secretary's Office – 900 Bagby, Parking Level
- City of Houston Website – www.houstonhousing.org
- City of Houston Main Public Library

A reasonable number of free copies will be available at the City of Houston, Housing and Community Development Department (601 Sawyer, 4th floor).

Amendments to the Consolidated Plan / Annual Action Plan and EDI / Section 108 Grant Application

From time-to-time, it may be necessary for the City to process a "Substantial Amendment" to the Five Year Consolidated Plan or the One Year Action Plans to allow for new CDBG, ESG, HOPWA, HOME, or EDI funded activities; modification of existing activities; or other CPD program administrative actions.

Any proposed amendment that is considered a "Substantial Amendment" is subject to the Citizen Participation process, requires formal action by the City Council, and approval by HUD. A thirty (30) day public notice is published to provide the opportunity for the public to review and comment on the proposed substantial amendments. The City will consider all comments or views received from the public concerning proposed substantial amendments in accordance with 24 CFR 91.105 (c) (3). A summary of these comments and views, including comments or views not accepted (and the reason why) shall be attached to the substantial amendment.

It should be noted that the process for amending the EDI / Section 108 Grant Application (EDI) differs substantially from that used for the Consolidated Plan/ Annual Action Plan and other Section 108 programs. First, in accordance with Item 8 of the executed Grant Agreement between the City of Houston and HUD, the EDI can only be amended with prior written permission of HUD. In the case of the Consolidated Plan / Annual Action Plan or other Section 108 programs, HUD is notified of the amendment following City Council approval. Second, federal regulations require that a public hearing be held when amending the EDI Grant Application. However, no such requirement is attached to the amendment process for the Consolidated Plan / Annual Action Plan or other Section 108 programs. For any program amended, verbal and written citizen comments will be summarized and submitted to HUD along with HCDD's response to each. EDI is subject to all CPP requirements applicable to the Consolidated Plan / Annual Action Plan where they are the same as specifically required under 24 CFR §570.704.

For the purpose of this Citizen Participation Plan, amendments to the Consolidated Plan are divided into four categories: Substantial Amendments, Minor Amendments, One-Percent Amendments, and Emergency Amendments.

The City of Houston is required by HUD [24 CFR 91.505 (b)] to identify the criteria to be used in determining if a proposed action will be considered a "Substantial Amendment". The following criteria will be used by the City:

Substantial Amendments

1. Addition of a new activity or project not previously described in its Consolidated or Action Plan
2. Deletion of an activity or project previously described in its Consolidated or Action Plan
3. Change in the purpose, scope, location or beneficiaries of an activity or project previously described in its Consolidated or Action Plan
4. Change in the use of CDBG funds from one eligible activity or project to another previously described in its Consolidated or Action Plan
5. Change in an activity or project (previously described in its Consolidated or Action Plan)
6. ***Total dollar amount*** *allocated* or budgeted by more than 25 percent

Minor Amendments

Minor amendments represents any changes to the Consolidated Plan or Action Plan that do not qualify as "substantial amendments", and are more than 1 percent change in funding including correction of errors in the original plan. Minor amendments require the signature of the City of Houston, Housing and Community Development Department director or designated representative, but do not require public notice of 30 days or City Council approval.

One-Percent Amendments

A one-percent amendment represents any change in the funded amount of a project that is one-percent or less change. These amendments require the signature of the City of Houston, Housing and Community Development Department director or designated representative, but do not require public notice of 30 days or City Council approval.

Emergency Amendments

From time-to-time a natural disaster (such as, hurricane) or other catastrophic occurrence within the City of Houston may necessitate a Consolidated Plan amendment which could meet the definition of a Substantial Amendment. The City of Houston may request and obtain from HUD a complete waiver or reduction in days of the required 30 days public notice period for Substantial Amendments. These amendments require the signature of the City of Houston, Housing and Community Development Department director or designated representative, adherence to state and local laws regarding contracts and procurement, and HUD waiver and/or approval, but do not require public notice of 30 days.

Anti-Displacement and Relocation

All of the City of Houston's Consolidated Plan activities are designed to eliminate (or minimize) the occurrence of displacement. Program guidelines and limitations are structured so that temporary displacement is unlikely.

Tenants in occupied rental properties are made aware of their rights with respect to displacement and relocation. Property owners are made aware of their rights and responsibilities: property owners must assume the financial responsibility for the displacement and relocation of their tenants.
If an *involuntary* displacement should occur, it is the City of Houston's policy to provide housing referral assistance and, if required, make relocation payments in accordance with local, state and federal law.

Consolidated Annual Performance Evaluation Report (CAPER)

The City is required to submit a CAPER for its CPD programs to HUD no later than 90 days from the end of a program year (i.e. late – September). In general, the CAPER describes how funds were actually spent and the extent to which these funds were used for activities that benefitted low and moderate income people.

The City will publish notice that its CAPER is available for review so the public will have sufficient opportunity to review and comment on the report. The notice will be published in one or more local newspapers of general circulation. There will be a 15 day public comment period prior to the submission of the CAPER to HUD.

Complaints

Written complaints from the public about the City's Citizen Participation, Consolidated Plan/Annual Action Plan, Substantial Amendments, or Performance Reports process will receive careful consideration and will be answered in writing within 15 business days (where practicable).

Written complaints should be sent to the Director's Office at the following address: City of Houston, Housing and Community Development Department, 601 Sawyer, Suite 400, Houston, Texas 77007.

Ordinance No. 2012-699

City of Houston, Texas, Ordinance No. 2012 - 699

AN ORDINANCE AUTHORIZING THE CITY OF HOUSTON TO SUBMIT A REQUEST TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT TO AMEND THE CITY'S ECONOMIC DEVELOPMENT INITIATIVE (EDI) GRANT AGREEMENT AND ITS SECTION 108 PROGRAM TO ADD CERTAIN PROGRAM ACTIVITIES AND PERMITTED USES OF FUNDS, AND TO EXTEND, THROUGH AUGUST 31, 2015, THE DEADLINE TO DISBURSE THE BALANCE OF EDI GRANT FUNDS AND SECTION 108 GUARANTEED LOAN FUNDS; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, the City Council ("City Council") of the City of Houston ("City") finds that, pursuant to Ordinance No. 95-349, passed and adopted the 29th day of March, 1995, the City Council authorized the City to submit an application ("Application") to the United States Department of Housing and Urban Development ("HUD") requesting an Economic Development Initiative Grant (EDI) in the amount of $22,000,000.00 ("EDI Grant Funds") and related Section 108 Guaranteed Loan Authority in the amount of $175,000,000.00 ("Section 108 Guaranteed Loan Funds") pursuant to Title I of the Housing and Community Development Act of 1974, as amended ("Act"), and Part 570 of Title 24, Code of Federal Regulations; and

WHEREAS, the City Council finds that, by letter dated July 25, 1995, HUD approved the City's Application for the Section 108 Guaranteed Loan Funds, subject to a written determination by HUD that each proposed project meets HUD's applicable eligibility and national objective requirements, and that HUD and the City executed a Funding Approval/Agreement for Grant No. B-94-MC-48-0018 to evidence their agreements related thereto ("Section 108 Guarantee Commitment"); and

WHEREAS, the City Council finds that, by letter dated January 10, 1995, HUD agreed to provide to the City the EDI Grant Funds for various purposes, subject to the requirements set forth therein, and that HUD and the City subsequently executed an EDI Grant Agreement for Grant No. E-95-EZ-48-0006 to evidence their agreements related thereto ("EDI Grant Agreement", the activities covered by the Section 108 Guarantee Commitment and by the EDI Grant Agreement are collectively referred to as the "City's Section 108 Program"); and

WHEREAS, the City Council finds that, pursuant to Resolution No. 2001-2, passed and adopted the 24th day of January, 2001, the City Council authorized the City to submit a request to HUD to expand the limited allowable uses of EDI Grant Funds specified in the EDI Grant Agreement to include all permissible uses of Section 108 Guaranteed Loan Proceeds and that, in response to such request, by letter dated June 18, 2001, HUD granted permission for the City to pay for eligible activities listed under 24 CFR § 570.703,

provided, however, that such other eligible activities are part or supportive of the Approved Projects, as defined in the EDI Grant Agreement, and meet the national objective requirements at 24 CFR § 570.208; and

WHEREAS, the City Council finds that, in response to the City's letter dated August 30, 2006, HUD, by letter dated September 12, 2006, approved the City's request to re-allocate its EDI Grant Funds to certain programs, so that EDI Grant Funds would no longer be allocated to the Single-Family and Multi-Family housing loan programs; and

WHEREAS, the City Council finds that, pursuant to Ordinance No. 2007-176, passed and approved the 7th day of February, 2007, City Council approved the amendment of the City's Section 108 Program to omit housing projects; and

WHEREAS, the City Council finds that, in response to the City's letter dated June 27, 2011, HUD, by letter dated August 29, 2011, extended the deadline for disbursing the balance of EDI Grant Funds and Section 108 Guaranteed Loan Funds until August 31, 2012; and

WHEREAS, the City Council finds that that it is in the City's best interest to modify and/or clarify the terms of the EDI Grant Agreement and City's Section 108 Program to address the increasing need for affordable housing and economic development opportunities for Houston's low- and moderate-income population by requesting that HUD (i) confirm or modify the terms of the EDI Grant Agreement so that, subject to applicable HUD requirements, the approved uses ("Approved Uses") for which the EDI Grant Funds may be used in connection with the projects approved pursuant to the EDI Grant Agreement ("Approved Projects") shall be all Eligible Activities listed under 24 CFR § 570.703, including, without limitation, payment of interest on obligations guaranteed through the Section 108 program, payment of costs associated with private sector financing of debt obligations guaranteed under Section 108, economic development activities and debt service reserve to be used in accordance with the requirements of 24 CFR § 570.703(k); (ii) confirm or modify the terms of the EDI Grant Agreement so that the Approved Projects listed therein shall include (a) Single Family Rehabilitation and Replacement Program and (b) Multi-Family Housing Program; and (iii) to restore housing projects to the City's Section 108 Program; and

WHEREAS, the City Council finds that the City's Housing and Community Development Department ("HCDD") has publicized its intent to request an amendment to the City's Section 108 Program in accordance with its Citizen Participation Plan; and

WHEREAS, the City Council finds that the public comment period expired on August 6, 2012 and at this time no citizen's comments have been received; and

WHEREAS, the City Council finds that it is in the City's best interest to request that HUD extend the deadline for disbursing the balance of EDI Grant Funds and Section 108 Guaranteed Loan Funds until August 31, 2015; **NOW, THEREFORE,**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the findings and recitals contained in the preamble of this Ordinance are determined to be true and correct and are hereby adopted as part of this Ordinance.

Section 2. That the City Council hereby approves and authorizes the Mayor of the City to submit the following written requests to the U.S. Department of Housing and Urban Development:

1. That HUD confirm or amend the terms of the EDI Grant Agreement so that, subject to applicable HUD requirements, the approved uses ("Approved Uses") for which the EDI Grant Funds may be used in connection with the projects approved pursuant to the EDI Grant Agreement ("Approved Projects") shall be all Eligible Activities listed under 24 CFR § 570.703, including, without limitation, payment of interest on obligations guaranteed through the Section 108 program, payment of costs associated with private sector financing of debt obligations guaranteed under Section 108, economic development activities and debt service reserves to be used in accordance with the requirements of 24 CFR § 570.703(k);

2. That HUD confirm or amend the terms of the EDI Grant Agreement so that the Approved Projects listed therein shall include (a) Single Family Rehabilitation and Replacement Program and (b) Multi-Family Housing Program;

3. That HUD consent to the restoration of the following housing projects to the City's Section 108 Program, without the reallocation of funds for such projects until their requirements and guidelines have been established:

   a. Single Family Rehabilitation and Replacement Program: The City's current program offers housing rehabilitation grants to elderly and physically handicapped homeowners. Funds may be used to rehabilitate or reconstruct properties which are owner-occupied. The City will use the EDI-assisted Section 108 guarantee to expand its current program to provide loans to the working poor homeowners. The City will provide rehabilitation loans with the EDI-assisted Section 108 guarantee to aid in reducing interest rates and other financing barriers. To qualify for financing, the borrower will be required to meet basic thresholds and underwriting guidelines that will have been established at the time funds are reallocated for the program.

   b. Multi-Family Program: This program currently provides financing as a "gap" to private lender financing. The funds may be used for construction, acquisition and/or rehabilitation of projects. The City will expand its current program to attract additional private financing. The City's funds that are

available for this program are limited. EDI/Section 108 will be an additional source of funds to meet the current need for funding and it will also attract more private financing for the program. To qualify of financing, the borrower will be required to meet basic thresholds and underwriting guidelines that will have been established at the time funds are reallocated for the program.

4. That HUD extend the deadline for disbursing the balance of EDI Grant Funds and Section 108 Guaranteed Loan Funds until August 31, 2015.

Section 3. That the Mayor is hereby authorized to execute, on behalf of the City of Houston, all documents requested by HUD to effectuate the foregoing changes, provided, however, that if HUD requires any other material changes to any of the terms or conditions related to the use of Section 108 Guaranteed Loan Funds or EDI Grant Funds not specified herein, those changes will be presented to City Council for approval. The City Secretary is hereby authorized to attest to all such signatures and to affix the seal of the City to all such documents. The City Attorney is hereby authorized to take all action necessary to enforce legal obligations under said contract without further authorization from City Council.

Section 4. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 8th day of August, 2012.

APPROVED this _____ day of _____, 2012.

Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is AUG 14 2012.

City Secretary

(Prepared by Legal Dept. Rene J. Bell DPM)
(RFB 8/1/2012) Senior Assistant City Attorney

(Requested by Neal Rackleff, Director, Housing and Community Development Department)
(L.D. File No. 0291200045001)

CAPTION PUBLISHED IN DAILY COURT REVIEW DATE: AUG 14 2012

H:\806 Main\Section 108 and EDI\EDI Amendment\Ordinance\Final 080212-2.doc

AYE	NO	
✓		**MAYOR PARKER**
• • • •	• • • •	**COUNCIL MEMBERS**
	✓	BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
	ABSENT ON PERSONAL BUSINESS	PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
✓		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

Ordinance No. 2012-998

City of Houston, Texas, Ordinance No. 2012-998

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCES NOS. 2010-372 PASSED MAY 12, 2010, 2011-281 PASSED APRIL 25, 2011, AND 2012-353 PASSED APRIL 25, 2012, AS AMENDED, WHICH APPROVED AND AUTHORIZED THE SUBMISSION OF THE 2010 CONSOLIDATED PLAN, AND THE 2011 AND 2012 ANNUAL ACTION PLANS, RESPECTIVELY, TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT, INCLUDING GRANT APPLICATIONS FOR THE COMMUNITY DEVELOPMENT BLOCK GRANT ("CDBG") PROGRAM, AMONG OTHERS; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, on May 12, 2010 pursuant to Ordinance No. 2010-372, the City Council of the City of Houston ("City Council") approved and authorized the submission of the 2010 Consolidated Plan ("2010 Plan") to the United States Department of Housing and Urban Development ("HUD"), which 2010 Plan included a Grant Application for the 36th Program Year of the Community Development Block Grant ("CDBG") Program, as amended; and

WHEREAS, on April 25, 2011 pursuant to Ordinance No. 2011-281, the City Council City approved and authorized the submission of the 2011 Annual Action Plan ("2011 Plan") to HUD, which 2011 Plan included a Grant Application for the 37th Program Year of the CDBG Program, as amended; and

WHEREAS, on April 25, 2012 pursuant to Ordinance No. 2012-353, the City Council approved and authorized the submission of the 2012 Annual Action Plan to HUD, which 2012 Plan included a Grant Application for the 38th Program Year of the CDBG Program; and

WHEREAS, the Housing and Community Development Department ("HCDD") now desires to further amend the 2010 Plan, relating to the CDBG Grant Application for the 36th Program Year, to make the following changes to the Projected Use of Funds Budget: (i) decrease funding for the "Single Family Home Repair" activity (-$2,300,897) under the "Housing" category; and (ii) add and fund a new "Multifamily Acquisition/Rehabilitation/New Construction/Relocation" activity (+$2,300,897) under the "Housing" category; and

WHEREAS, HCDD also desires to amend the 2011 Plan, relating to the CDBG Grant Application for the 37th Program Year, to make the following changes to the Projected Use of Funds Budget: (i) decrease the funding for "Single Family Home Repair" activity (-$3,477,750) under the "Housing" category; and (ii) add and fund a new "Multifamily Acquisition/Rehabilitation/New Construction/Relocation" activity (+$3,477,750) under the "Housing" category; and

WHEREAS, HCDD further desires to amend the 2012 Plan, relating to the CDBG Grant Application for the 38th Program Year, to make the following changes to CDBG Budget Summary: (i) decrease funding for the "Single Family Home Repair" activity (-$4,221,353); (ii) add and fund a new "Multifamily Acquisition/Rehabilitation/New Construction/Relocation" activity (+$2,721,353); and (iii) increase funding for the "Neighborhood Facilities – Public/Private" activity (+$1,500,000); and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a grant agreement when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; (4) there is a change in the purpose, location or beneficiary of an activity; or (5) a priority is changed; and

WHEREAS, HCDD has publicized in the Houston Chronicle its intent to amend the 2010, 2011, and 2012 Plans, including the CDBG Projected Use of Funds Budget for the 36th and 37th CDBG Program Years, and the CDBG Budget Summary for the 38th Program Year; and

WHEREAS, the public notice period will expire on November 9, 2012, and at this time no citizen's comments have been received; updates to HUD will follow, as necessary; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and hereby amends the 2010, 2011 and 2012 Plans, including the Projected Use of Funds Budgets for the 36th and 37th CDBG Program Years and CDBG Budget Summary for 38th CDBG Program Year, authorized by Ordinance Nos. 2010-372 passed on May 12, 2010, 2011-281 passed on April 25, 2011, and 2012-353 passed on April 25, 2012, respectively, in the manner set forth below:

2010 Projected Use of Funds Budget

Activity	Budget Year	Action	Amount
Single Family Home Repair	2010	Decrease	(-$2,300,897)
Multifamily Acquisition/ Rehabilitation/New Construction/ Relocation	2010	Add/Increase	(+$2,300,897)

2011 Projected Use of Funds Budget

Single Family Home Repair	2011	Decrease	(-$3,477,750)
Multifamily Acquisition/ Rehabilitation/New Construction/ Relocation	2011	Add/Increase	(+$3,477,750)

2012 CDBG Project Summary

Single Family Home Repair	2012	Decrease	(-$4,221,353)
Multifamily Acquisition/ Rehabilitation/New Construction/ Relocation	2012	Add/Increase	(+$2,721,353)
Neighborhood Facilities (Public/Private)	2012	Increase	(+$1,500,000)

Section 2. That by this amendment, the aforementioned reallocation of funds will result in a current allocation of funds under the 2010, 2011, and 2012 Plans, including the Projected Use of Funds Budgets for the 36th and 37th CDBG Program Years and the CDBG Budget Summary for the 38th CDBG Program Year, as set forth under **EXHIBIT "A",** attached hereto and incorporated herein by reference.

Section 3. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in this amendment.

Section 4. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the CDBG program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes to the budget; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR §91.505 (c), as applicable.

Section 5. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 14th **day of** November**, 2012.**

APPROVED this _____ day of _____, 2012.

_____
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is NOV 20 2012.

_____
City Secretary

(Prepared by Legal Dept. Barbara J. Pierce DFA
(TE/10/22/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Director, Housing and Community Development Department
(L.D. File No.0291200097001)
FUND REF: No Funding Required
Grant Fund
Community Development Block Grant (C]

g:\bet\ord\ordsubstantialamedmentcppapp2010,2011&2012.doc

AYE	NO	
ABSENT-OUT OF CITY CITY BUSINESS		MAYOR PARKER
••••	••••	COUNCIL MEMBERS
	✓	BROWN
ABSENT-ON PERSONAL BUSINESS		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
✓		PENNINGTON
✓ MAYOR PRO TEM PRESIDING		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
✓		NORIEGA
✓		BRADFORD
ABSENT-CITY BUSINESS		CHRISTIE
CAPTION	ADOPTED	

CAPTION PUBLISHED IN DAILY COURT REVIEW DATE: NOV 20 2012

EXHIBIT A

PREPARED:	October 22, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SIXTH PROGRAM YEAR
July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
B	Deluxe Theater	$ 1,500,000.00		$ 1,500,000.00	
I	Stanaker - Library	$ 500,000.00		$ 500,000.00	
ALL	COH Tri Com Multi-Service Center	$ 600,000.00		$ 600,000.00	
C	Houston Police Department-Fondren	$ 500,000.00		$ 500,000.00	
ALL	Houston Food Bank	$ 1,000,000.00		$ 1,000,000.00	
D	Ibn Sina Clinic	$ 1,200,000.00		$ 1,200,000.00	
A	Korean Community Center	$ 500,000.00		$ 500,000.00	
I	Montrose Counseling Center	$ 300,000.00		$ 300,000.00	
D	SEHAH	$ 75,000.00		$ 75,000.00	
C	SER Ninos Charter school	$ 300,000.00		$ 300,000.00	
F	Star of Hope Mission	$ 300,000.00		$ 300,000.00	
H	Shifa Center	$ 200,000.00		$ 200,000.00	
F	Harwin Park	$ 300,000.00		$ 300,000.00	
	Schwartz Park	$ 350,000.00		$ 350,000.00	
VARIOUS	SPARKS Parks	$ 350,000.00		$ 350,000.00	
	Program Delivery Costs	$ 300,000.00		$ 300,000.00	
	Pro-Vision Charter School	$ 200,000.00		$ 200,000.00	
	Public Facilities and Improvements (Community Facilities) - Unallocated	$ 311,954.00		$ 311,954.00	
	Ft. Bend Senior Citizens Center	$ 250,000.00		$ 250,000.00	
	SUB-TOTAL	**$ 9,036,954.00**	**$ -**	**$ 9,036,954.00**	**25.26%**
	HOUSING				
VARIOUS	Single Family Home Repair	$ 4,761,251.00	$ (2,300,897.00)	$ 2,460,354.00	
VARIOUS	Lead Based Paint	$ 1,060,000.00		$ 1,060,000.00	
VARIOUS	Single Family Home Repair Relocation	$ 431,546.00		$ 431,546.00	
VARIOUS	Rehabilitation Administration, Project Delivery	$ 1,090,000.00		$ 1,090,000.00	
VARIOUS	Multifamily Acquisition/Rehabilitation/New Construction/Relocation		$2,300,897.00	$ 2,300,897.00	
	SUB-TOTAL	**$ 7,342,797.00**	**$ -**	**$ 7,342,797.00**	**20.52%**
	PUBLIC SERVICES				
VARIOUS	Various Public Services	$ 5,031,295.00		$ 5,031,295.00	
VARIOUS	Emergency Shelter Grants (Match)	$ 700,000.00		$ 700,000.00	
	SUB-TOTAL	**$ 5,731,295.00**	**$ -**	**$ 5,731,295.00**	**16.02%**

PREPARED:	October 22, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SIXTH PROGRAM YEAR
July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	PLANNING				
VARIOUS	Coalition for the Homeless	$ 133,415.00		$ 133,415.00	
	SUB-TOTAL	**$ 133,415.00**	**$ -**	**$ 133,415.00**	**0.37%**
	ECONOMIC DEVELOPMENT*				
VARIOUS	Economic Development Assistance Programs	$ 2,398,430.00		$ 2,398,430.00	
	SUB-TOTAL	**$ 2,398,430.00**	**$ -**	**$ 2,398,430.00**	**6.70%**
	CLEARANCE				
VARIOUS	Dangerous Building & Legal Department	$ 3,616,700.00		$ 3,616,700.00	
VARIOUS	Code Enforcement	$ 866,300.00		$ 866,300.00	
	SUB-TOTAL	**$ 4,483,000.00**	**$ -**	**$ 4,483,000.00**	**12.53%**
	PROGRAM ADMINISTRATION				
N/A	CDBG Program Administration	$ 6,244,731.00		$ 6,244,731.00	
N/A	Other Departments Administration	$ 409,300.00		$ 409,300.00	
	SUB-TOTAL	**$ 6,654,031.00**	**$ -**	**$ 6,654,031.00**	**18.60%**
	TOTAL	**$ 35,779,922.00**	**$ -**	**$ 35,779,922.00**	**100.00%**

ESTIMATE OF THIRTY-SIXTH YEAR CDBG PROGRAM INCOME

Sources of Program Income	Amount
Multi-Family Housing Loan Repayments	$ 610,520.00
Small Business Revolving Loan Repayments	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
Other Program Income	$ -
Sub-Total	**$ 3,010,520.00**
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
All other Programs:	
Public Facilities and Improvements, Public Services, CDBG Administration	$ 610,520.00
Sub-Total	**$ 3,010,520.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2011

Thirty-sixth Year CDBG Allocation	$ 32,769,402.00
Estimated Program Income	$ 3,010,520.00

PREPARED:	October 22, 2012
APPROVED:	
AMENDMENT:	6
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SIXTH PROGRAM YEAR
July 1, 2010 through June 30, 2011

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	Total				**$ 35,779,922.00**

PREPARED: October 22, 2012
APPROVED:
AMENDMENT: 2
ORDINANCE NO:

PROJECTED USE OF FUNDS BUDGET FOR
THIRTY-SEVENTH PROGRAM YEAR
July 1, 2011 through June 30, 2012

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	PUBLIC FACILITIES AND IMPROVEMENTS				
VARIOUS	Neighborhood Facilities (Public/Private)	$ 5,829,425.00		$ 5,829,425.00	
VARIOUS	Program Delivery Costs	$ 300,000.00		$ 300,000.00	
	SUB-TOTAL	**$ 6,129,425.00**	**$ -**	**$ 6,129,425.00**	**20.15%**
	HOUSING				
VARIOUS	Single Family Home Repair	$ 3,477,750.00	$ (3,477,750.00)	$ -	
VARIOUS	Single Family Home Repair Relocation	$ 300,000.00		$ 300,000.00	
VARIOUS	Program Delivery Costs	$ 1,800,000.00		$ 1,800,000.00	
VARIOUS	Lead Based Paint Testing (SFHR Program)	$ 350,000.00		$ 350,000.00	
VARIOUS	Lead Based Paint Matching Grant	$ 650,000.00		$ 650,000.00	
VARIOUS	Multifamily Acquisition/Rehabilitation/New Construction/Relocation		$ 3,477,750.00	$ 3,477,750.00	
	SUB-TOTAL	**$ 6,577,750.00**	**$ -**	**$ 6,577,750.00**	**21.63%**
	PUBLIC SERVICES				
VARIOUS	ESG - Match	$ 700,000.00		$ 700,000.00	
VARIOUS	Various Public Services	$ 4,400,472.00		$ 4,400,472.00	
	SUB-TOTAL	**$ 5,100,472.00**	**$ -**	**$ 5,100,472.00**	**16.77%**
	ECONOMIC DEVELOPMENT*				
VARIOUS	Economic Development Assistance Programs	$ 2,400,000.00		$ 2,400,000.00	
	SUB-TOTAL	**$ 2,400,000.00**	**$ -**	**$ 2,400,000.00**	**7.89%**
	NEIGHBORHOOD PROTECTION				
VARIOUS	Code Enforcement & Legal Department	$ 3,586,400.00		$ 3,586,400.00	
	SUB-TOTAL	**$ 3,586,400.00**	**$ -**	**$ 3,586,400.00**	**11.79%**
VARIOUS	**RETROFITTING FOR ACCESSIBILITY**				
	Neighborhood Facilities & Single Family Housing	$ 537,369.00		$ 537,369.00	
	SUB-TOTAL	**$ 537,369.00**	**$ -**	**$ 537,369.00**	**1.77%**

	PROGRAM ADMINISTRATION					
N/A	CDBG Program Administration	$ 5,562,790.00		$ 5,562,790.00		
	Coalition for the Homeless	$ 133,415.00		$ 133,415.00		
	Finance Department Administration	$ 86,649.00		$ 86,649.00		
N/A	Procurement - Legal & Audit Services	$ 300,000.00		$ 300,000.00		
	SUB-TOTAL	**$ 6,082,854.00**	**$ -**	**$ 6,082,854.00**		**20.00%**
	TOTAL	**$ 30,414,270.00**	**$ -**	**$ 30,414,270.00**		**100.00%**

ESTIMATE OF THIRTY-SEVENTH YEAR CDBG PROGRAM INCOME

Sources of Program Income	**Amount**
Multi-Family Housing Loan Repayments	
Small Business Revolving Loan Repayments	$ 671,711.00
Palm Center Operations	$ 1,300,000.00
Other Program Income	$ 1,100,000.00
Sub-Total	**$ -**
	$ 3,071,711.00
Projected Use of Program Income	
Small Business Revolving Loan Funds	$ 1,300,000.00
Palm Center Operations	$ 1,100,000.00
All other Programs:	
Neighborhood Facilities, Single Family Housing - Accessibility Retrofit	$ 537,369.00
Administration	$ 134,342.00
Sub-Total	**$ 3,071,711.00**

TOTAL FUNDING DOLLARS AVAILABLE FY 2012

Thirty-seventh Year CDBG Allocation	$ 27,342,559.00
Estimated Program Income	$ 3,071,711.00
Total	**$ 30,414,270.00**

PREPARED:	October 22, 2012
APPROVED:	
AMENDMENT:	1
ORDINANCE NO:	

PROJECTED USE OF FUNDS BUDGET FOR (CDBG BUDGET SUMMARY)
THIRTY-EIGHTH PROGRAM YEAR
July 1, 2012 through June 30, 2013

COUNCIL DISTRICT		CURRENT ALLOCATION	REALLOCATION	CURRENT REVISED ALLOCATION	% of CURRENT REVISED ALLOCATION
	CDBG ACTIVITIES				
VARIOUS	Neighborhood Facilities (Public/Private)	$ 2,758,415.00	$ 1,500,000.00	$ 4,258,415.00	
VARIOUS	Retrofitting for Accessibility	$ 1,000,000.00		$ 1,000,000.00	
VARIOUS	Single Family Home Repair Program	$ 4,221,353.00	$ (4,221,353.00)	$ -	
	Program Delivery Single Family Home Repair Program	$ 1,000,000.00		$ 1,000,000.00	
VARIOUS	Relocation for Single Family Home Repair Program	$ 300,000.00		$ 300,000.00	
VARIOUS	Lead-Based Paint Testing (Project Delivery for SFHR Program) -- transfer to Health Dept.	$ 100,000.00		$ 100,000.00	
VARIOUS	Lead-Based Paint Matching Grant - Transfer to Health Dept.	$ 975,000.00		$ 975,000.00	
VARIOUS	Multifamily Acquisition/Rehabilitation/New Construction Relocation		$ 2,721,353.00	$ 2,721,353.00	
VARIOUS	Economic Development Assistance Programs	$ 2,000,000.00		$ 2,000,000.00	
	Program Delivery (Department of Neighborhoods (DON) - Code Enforcement)	$ 2,791,483.00		$ 2,791,483.00	
	Program Delivery (Legal Services Supporting Code Enforcement)	$ 218,000.00		$ 218,000.00	
	Program Delivery Cost (Procurement Legal & Audit Services)	$ 250,000.00		$ 250,000.00	
	SUB-TOTAL	**$ 15,614,251.00**	**$ -**	**$ 15,614,251.00**	**63.23%**
	PUBLIC SERVICES				
VARIOUS	Public Services Activities	$ 3,559,751.00		$ 3,559,751.00	
VARIOUS	Public Services - ESG Match (1:1 – Includes In-Kind)	$ 581,495.00		$ 581,495.00	
	SUB-TOTAL	**$ 4,141,246.00**	**$ -**	**$ 4,141,246.00**	**16.77%**
	ADMINISTRATION				
	Legal Department (Transfer for Administration Costs)	$ 475,000.00		$ 475,000.00	
	Coalition for the Homeless of Houston/Harris County (HMIS)	$ 133,415.00		$ 133,415.00	
	Affirmatively Furthering Fair Housing Activity	$ 150,000.00		$ 150,000.00	
	CDBG Program Administration to HCDD	$ 4,180,459.00		$ 4,180,459.00	
	SUB-TOTAL	**$ 4,938,874.00**	**$ -**	**$ 4,938,874.00**	**20.00%**
	TOTAL	**$ 24,694,371.00**	**$ -**	**$ 24,694,371.00**	**100.00%**

PROJECTED THIRTY-EIGHTH CDBG AWARD - FY2013	
Thirty-eighth Year CDBG Allocation	$ 24,227,493.00
Estimated Program Income	$ 466,878.00
Total	**$ 24,694,371.00**

Ordinance No. 2012-1039

City of Houston, Texas, Ordinance No. 2012-1039

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCE NO. 2012-353 PASSED APRIL 25, 2012, WHICH APPROVED AND AUTHORIZED SUBMISSION OF THE 2012 ANNUAL ACTION PLAN TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT; CONTAINING FINDINGS AND OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, on April 25, 2012 pursuant to Ordinance No. 2012-353, the City Council of the City of Houston ("City Council") approved and authorized the submission of the 2012 Annual Action Plan ("2012 Plan") to the United States Department of Housing and Urban Development ("HUD"); and

WHEREAS, the Housing and Community Development ("HCDD") included a proposed Code Enforcement Areas map as a part of the 2012 Annual Action Plan to help historically underserved communities receive priority selection for code enforcement activities; and

WHEREAS, in the development of the Code Enforcement Areas map, certain demographic and income variables were considered, including Low to Moderate Income population; lots owned by the Land Assemblage Redevelopment Authority ("LARA"); number of HCDD funded commercial investments, multi-family and public facilities projects; concentration of multi-family housing stock; number of designated demolition sites; and number of Capital Improvement Plan projects; and

WHEREAS, through this process HCDD identified thirty-nine (39) Super Neighborhoods on the Code Enforcement Area Map, which was later approved by HUD; and

WHEREAS, HCDD now desires to make a substantial amendment the 2012 Annual Action Plan ("2012 Plan") in order to use the Code Enforcement Area map as a Community Reinvestment Area Plan and Map, which will enable the City to leverage local dollars with private investments through the State of Texas' Housing Tax Credit Program and to focus reinvestment into priority areas of the City which will ultimately arrest decline and lead to positive change in these areas; and

WHEREAS, this amendment will specifically amend the 2012 Plan by substituting new pages 34, 39, 40, 179 and 185, attached hereto under **EXHIBIT** "A" and incorporated herein by reference, to (1) amend the Geographic Distribution information under the "General Questions" section; (2) add an Appendix; and (3) make other changes as necessary to effectuate the intent of this amendment; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a Grant Agreement when (1) an activity is added; (2) an activity is deleted; (3)

there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; (4) there is a change in the purpose, location or beneficiary of an activity; or (5) a priority is changed; and

WHEREAS, the City also amends the Plan when it makes a material change to information included therein; and

WHEREAS, HCDD has publicized in the Houston Chronicle its intent to amend the 2012 Plan in the manner set forth above; and

WHEREAS, the public notice period expired on December 3, 2012, and at this time no citizen's comments have been received; however, updates to HUD will follow, as necessary; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the findings contained in the preamble of this Ordinance are determined to be true and correct and are hereby adopted as part of this Ordinance.

Section 2. That the City Council hereby authorizes a substantial amendment to the 2012 Plan authorized on April 12, 2012 pursuant to Ordinance No. 2012-353, by substituting new pages 34, 39, 40, 179 and 185, attached hereto under **EXHIBIT** "A" and incorporated herein by reference, to (1) amend the Geographic Distribution information under the "General Questions" section; (2) add an Appendix; and (3) make other changes as necessary to effectuate the intent of this amendment.

Section 3. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in this amendment.

Section 4. That the Mayor, through the Director of the HCDD, has determined:

(1) that a formal amendment may not be required by the United States Department of Housing and Urban Development ("HUD") nor the City Council of the City of Houston for such administrative changes; and

(2) that this document will serve as a transmittal to HUD in compliance with 24 CFR §91.505(c), if applicable.

Section 5. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage

and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 5th **day of** December**, 2012.**

APPROVED this _____ day of _____, 2012.

_____
Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is DEC 11 2012.

_____
City Secretary

(Prepared by Legal Dept. Barbara J. Pierce
(TE/11/21/12) Senior Assistant City Attorney
(Requested by Neal Rackleff, Director, Housing and Community Development Department
(L.D. File No.0291200102001)
FUND REF: No Funding Required
Grant Fund

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AYE	NO	
✓		MAYOR PARKER
••••	••••	COUNCIL MEMBERS
	✓	BROWN
✓		DAVIS
✓		COHEN
✓		ADAMS
✓		SULLIVAN
✓		HOANG
✓		PENNINGTON
✓		GONZALEZ
✓		RODRIGUEZ
✓		LASTER
✓		GREEN
✓		COSTELLO
✓		BURKS
✓		NORIEGA
✓		BRADFORD
✓		CHRISTIE
CAPTION	ADOPTED	

CAPTION PUBLISHED IN DAILY COURT REVIEW
DATE: DEC 11 2012

EXHIBIT A

implemented to engage in different park-related activities at individual school parks that serve the public in that area. SPARK park activities are determined by each individual school's needs.

Public Safety. Public safety activities include enforcing city codes related to open and dangerous buildings and support of law enforcement and fire protection to improve the quality of life in neighborhoods by decreasing substandard living conditions. Activities included in public safety initiatives are

- *Code Enforcement.* In 2011 HCDD combined two Letters of Agreement (LOAs) to bring clarity to Clearance and Code Enforcement activities funded by HCDD and carried out by the City's new Department of Neighborhoods. The new LOA specifies that CDBG funding will be used for only code enforcement activities in HCDD designated areas while demolition and clearance activities will be funded using only non-federal dollars. Through an analysis of several demographic and income elements of Houston's eight-eight (88) Super Neighborhoods, of which fifty-five (55) are located in LMI areas, HCDD has identified thirty-nine (39) Areas for Community Reinvestment. CDBG funds will be targeted for use in Areas for Community Reinvestment for code enforcement inspections and citations as part of a comprehensive effort to arrest decline in these areas.
- *Fire and Police Facilities.* HCDD collaborates with these two City departments to help fund safety-related equipment and infrastructure to facilitate and ensure the smooth operation and delivery of services to low- to moderate-income areas
  - Fire Department - HCDD provides CDBG funding for the construction or rehabilitation of fire stations and assists with purchase of new fire pumper trucks, ladder trucks, emergency vehicles, and other firefighting equipment.
  - Police Department - HCDD provides CDBG funding for construction or rehabilitation of police storefronts in low- to moderate-income areas with high crime activity.

Solicitation & Awards. This year, projects are solicited from the public for consideration through an open RFP posted on the HCDD web site, advertised in the newspaper, and announced at public hearings. Proposals are extensively evaluated for a number of criteria including, but not limited to

- Benefit to low- to moderate-income persons
- Justification of the need for the activity
- Cost reasonableness and effectiveness
- Community needs

Proposer financial participation, capacity, historical accomplishment, and management ability will also be considered. Activities presently administered by City departments may be prioritized, if the investment leverages additional funding and is in an area with additional reinvestment leading to further stabilization of an area.

Recipients Served. HCDD uses CDBG funds for activities addressing Neighborhood Facilities and Improvements. Projects meet HUD's LMA (Low-Mod Area) National Objective because activities are located in areas that have a majority of low- and moderate-income residents, or projects meet HUD's LMC (Low-Mod Limited Clientele) because over half of the recipients served by these activities are low- and moderate-income residents. Other improvements include accessibility improvements to public facilities, which will benefit residents with disabilities.

GENERAL QUESTIONS

Program Year 3 Action Plan General Questions response:

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.

Maps provided in the *Appendix* illustrate the known locations of proposed federally funded projects and activities for PY 2012, relative to designated low- and moderate-income areas. Locations of all 2012 projects and activities will be determined in detail after the solicitation process is completed and all subrecipients are identified. Other maps illustrate areas of minority concentration, City Council Districts, and Super Neighborhoods.

2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.

Allocation Priorities. HCDD has made estimated funding allocations for PY2012, based upon

- The priorities shown in the 2010-2014 Consolidated Plan
- Community input
- Qualified responses to request for proposals
- Analyses of prior years' budget and expenditure data
- City Council direction

Additional factors that impacted decisions targeting PY2012 funding to particular activities or specific geographic areas of the City are

- The stated needs, analysis, and objectives in the 2010-2014 Consolidated Plan
- Priorities stated in each respective program's solicitation and award guidelines
- Compliance with HUD entitlement grants' (CDBG, HOME, HOPWA, and ESG) rules and regulations
- Areas for Community Reinvestment

Geographic Distribution. Establishing Areas for Community Reinvestment allows HCDD staff to analyze those indicators that best help to provide data-driven recommendations for projects and activities that will foster investment in select geographic areas (Super Neighborhoods) that demonstrate the most need. The goal is to take the limited funding the HCDD receives, leverage it with private investment within these areas, to ultimately arrest decline and bring about positive outcomes in historically underserved communities.

Use: The Areas for Community Reinvestment will be applied to activities funded by the HOME Investment Partnerships Program (HOME), the Community Development Block Grant (CDBG) Program, and the Section 108 Loan Guarantee Program. When reviewing projects for funding, HCDD staff may give special consideration to projects that fall within the boundaries of the chosen areas or leverage additional funding, such as State Housing Tax Credits. The Housing and Community Development Department expects to expend at least $6 million in funds within these boundaries during PY2012, through a variety of eligible activities. HCDD adopted this map in the

PY 2011 for code enforcement activity and is seeking to extend it to other activities, including multifamily housing rehabilitation/new construction, homebuyer's assistance, single family home repair, public services, and the rehabilitation/new construction of public/private facilities.

Methodology: To determine the map of Areas for Community Reinvestment, HCDD has adopted the methodology utilized in development of the previously mentioned code enforcement activity map. HCDD staff conducted an analysis of several demographic and income elements of Houston's eighty-eight Super Neighborhoods and the following six characteristics were considered

- Low- to Moderate-Income (LMI) Population
- The Land Assemblage Redevelopment Authority (LARA) Owned Lots
- Commercial Investments (HCDD Funded) Multifamily and Public Facilities.
- Concentration of Multifamily Housing Stock (2000 Census Data)
- Designated Demolition Sites
- City of Houston Capital Improvement Plan (CIP) Projects

The newly named Areas for Community Reinvestment, which includes thirty-nine Super Neighborhoods, are shown in the Areas for Community Reinvestment Areas map shown in the Appendix section on page 185.

3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.

Obstacles to Addressing Underserved Needs. HCDD and the City of Houston have had the two-fold challenge of meeting the needs of the underserved and facing the stark budget realities of the recession in the larger economy. Obstacles to addressing the growing need of underserved low- and moderate-income residents include

- Citizens lack substantial funds or access to credit to initiate homeownership (downpayment/closing costs)
- The demand far surpasses the funding available for the various services required to adequately serve the needs of Houston's low- and moderate-income individuals and neighborhoods
- Households seeking mortgages having difficulty meeting underwriting requirements (credit worthiness, bank accounts)
- The ability for prospective households to sustain necessary income for homeownership;
- Households earning fixed incomes (Social Security, Disability)
- Due to language barriers or low educational attainment, many resident are unaware of the services available to them
- Working families also encounter the unique challenge of often "making too much money" to qualify for various public services

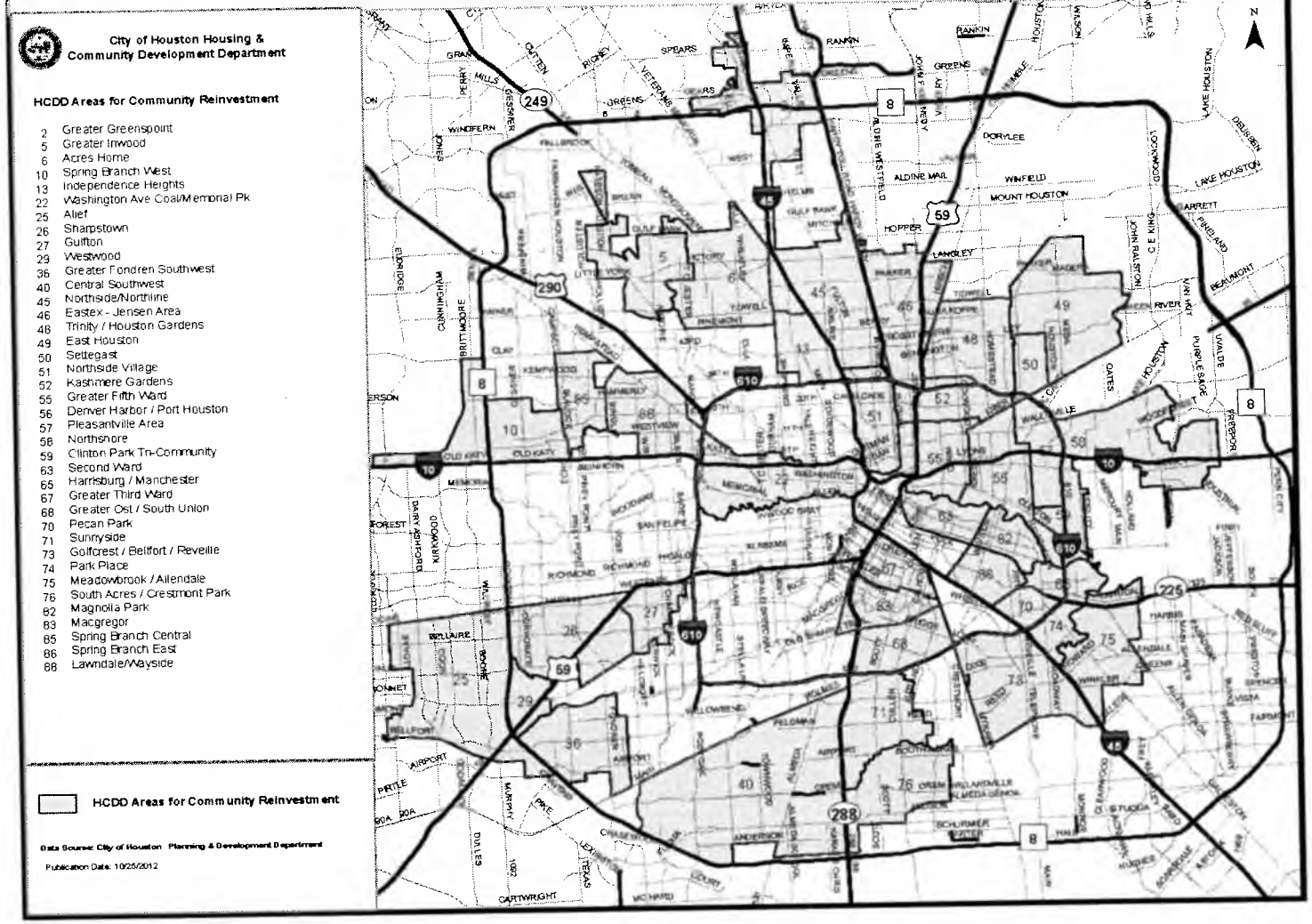
Actions to Address Obstacles. HCDD will explore the following strategies and actions during PY 2012 to address identified obstacles to meeting underserved needs

- Continue Homebuyer Assistance Programs to lower the cost of homeownership and provide funding for citizens that lack substantial funds to initiate homeownership.

Maps

1. LMI Areas and Areas of Minority Concentration
2. Ages 17 and Below in LMI areas
3. Ages 62 and Above in LMI areas
4. HOPWA Eligible Metropolitan Statistical Areas
5. 2012 City of Houston Council Districts
6. City of Houston, Super Neighborhoods
7. Map of Areas for Community Reinvestment
8. Maps of Public Services funded
9. Map of Public Facility Projects
10. Map of Multifamily Projects

Areas for Community Reinvestment



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