

Monthly Financial and Operations Report
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OFFICE OF THE CITY CONTROLLER
CITY OF HOUSTON
TEXAS

RONALD C. GREEN

To: Mayor Annise D. Parker
City Council Members

From: Ronald C. Green
City Controller

Date: December 2, 2011

Subject: October 2011
Financial Report

Attached is the Monthly Financial and Operations Report for the period ending October 31, 2011.

GENERAL FUND

The Controller's office is projecting an ending fund balance of \$118.9 million for FY2012. This is \$17.8 million lower than the projection of the Finance Department. The difference is due to a \$14.9 million higher revenue projection from the Finance Department and a \$2.9 million higher projection for the Sale of Capital Assets from the Finance Department. Based on our current projections, the fund balance will be \$2.1 million below the City's target of holding 7.5% of total expenditures, excluding debt service, in reserve. This amount includes the undesignation of the \$20 million in the Rainy Day Fund.

Our revenue projection increased \$5.7 million. Property Tax was increased \$2.8 million reflecting current property values. We also increased Sales Tax \$3 million to recognize September receipts, which were higher than expected.

The major differences are in six categories: (1) Property Tax revenues are \$2.4 million lower than the Finance Department due to Controllers using a collection rate of 97% versus 97.5% for Finance. (2) Sales Tax revenues are \$1.7 million lower, as the Controller's office is using Barton Smith's latest growth estimate, discounted by his stated margin of error, and recognizing July thru September receipt amounts over projection. (3) Finance is reporting Licenses and Permits \$5 million higher than the Controller's projection. The Controller's office recognizes the fee increases, but until we see the effect on the number of licenses we will not modify our projection. (4) Finance is reporting Charges for Services \$1.6 million higher than the Controller's projection. Ambulance fees are the largest single revenue in this category. (5) Finance is reporting Miscellaneous/Other revenues \$1.5 million higher than the Controller's projection. Many of these revenue sources are non-recurring. (6) Sale of Capital Assets are \$2.9 million lower than the Finance Department, as the Controller's Office has not recognized all proposed land sales, which have yet to be finalized and approved by Council.

Expenditure projections are \$5.5 million above the Adopted Budget. Our projection for Fire increased \$475,000 for personnel costs moved from Building Inspection Fund and Fire Station facilities costs. We decreased the projection for Police \$1 million for expenditures to be covered by Police Special Revenue funds. We also decreased General Government \$320,000 for costs funded in Fire, noted above.

Please remember that all FY2011 numbers are preliminary estimates and subject to change until the annual audit is completed and the Comprehensive Annual Financial Report is published.

Mayor Annise D. Parker
City Council Members
October 31, 2011 Monthly Financial and Operations Report

ENTERPRISE FUNDS

In the Aviation Operating Fund, we have decreased our projection for Operating Expenses \$386,000 for lower than expected Supplies and Services. We have also decreased our projection for Debt Service Interest transfer by \$5.7 million, along with a corresponding increase in Capital Improvement transfer. Unexpected funding sources have been identified which are able to be used to pay Debt Service Interest.

There were no material changes in the Convention & Entertainment Operating Fund, the Combined Utility Fund, the Dedicated Drainage & Street Renewal Fund, and the Stormwater Fund.

COMMERCIAL PAPER AND BONDS

The City's practice has been to maintain no more than 20% of the total outstanding debt for each type of debt in a variable rate structure. Convention and Entertainment maintains a higher percentage of variable rate debt due to agreements with the hotel corporation. As of October 31, 2011, the ratio of unhedged variable rate debt for each type of outstanding debt was:

General Obligation	7.6%
Combined Utility System	3.2%
Aviation	17.0%
Convention and Entertainment	18.3%

Respectfully submitted,



Ronald C. Green
City Controller



CITY OF HOUSTON

Finance Department

Annise D. Parker

Mayor

Finance Department
P.O. Box 1562
Houston, Texas 77251-1562

T. 713-221-0935
F. 713-837-9654
www.houstontx.gov

To: Mayor Annise D. Parker
City Council Members

Date: December 2, 2011

Subject: 4+8 Financial and
Operations Report

Attached is the 4+8 Financial and Operations Report for the period ending October 31, 2011. Fiscal Year 2012 projections are based on four months of actual results and eight months of projections.

General Fund

We are currently projecting ending fund balance of \$136.7 million, which is approximately \$2.3 million lower than last month. This is largely due to \$3.5 million lower than anticipated FY2011 unaudited ending fund balance.

Projection for Revenues and Other Sources increased by \$523,000 due to the following:

- Licenses and Permits increased by \$653,000 due to higher than anticipated special fire permits and additional administrative fees that was previously recorded in Building Inspection Fund
- Intergovernmental decreased by \$130,000 due to reduced state funding for Medicaid Title XX.

Projection for Expenditures and Other Uses decreased by \$633,000 mainly due to the following:

- \$1 million decrease in the Police Department due to the available funding in Police Special Revenue Fund to fund traffic enforcement as a result of higher than anticipated Burglar Alarm permits and False Alarm penalties revenue
- \$475,000 increase in the Fire Department due to personnel costs being transferred from Building Inspection Fund of \$155,000 and Fire station facilities repair costs of \$320,000 higher than budget
- Additionally, General Government decreased by \$320,000 to fund the Fire station facilities costs mentioned above.

Enterprise, Special Revenue and Other Funds

We are projecting no change in Enterprise Funds, Special Revenue Funds and all other funds from the 3+9 Report, with the exception of the following:

Aviation

Operating Expenditures decreased approximately \$386,000 mainly due to savings in supplies and services. As a result, Operating Transfers increased \$571,000.

Police Special Services

Revenues increased by \$1.1 million primarily due to higher than anticipated revenue from Burglar Alarm permits and False Alarm penalties. As a result, the expenditure projection increased by \$1 million to fund traffic enforcement.

If you have any questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink that reads "Kelly Dowe". The signature is written in a cursive style with a large, looping initial "K".

Kelly Dowe

Director

General Fund (Fund 1000)
Comparative Projections
Controller's Office and Finance
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012					Variance between Controller and Finance
		Adopted Budget	Current Budget	% of Budget	Controller's Projection	Finance Projection	
Revenues							
General Property Taxes	\$ 859,413	\$ 842,478	\$ 842,478	48%	\$ 849,971	\$ 852,339	2,368
Industrial Assessments	14,458	14,800	14,800	1%	13,850	14,800	950
Sales Tax	492,824	518,912	518,912	29%	517,194	518,912	1,718
Other Taxes	10,450	10,806	10,806	1%	10,760	10,806	46
Electric Franchise	98,107	99,694	99,694	6%	99,694	99,694	0
Telephone Franchise	46,722	44,483	44,483	3%	44,483	44,483	0
Gas Franchise	21,890	22,009	22,009	1%	22,009	22,009	0
Other Franchise	23,844	24,044	24,044	1%	23,437	24,307	870
Licenses and Permits	18,713	22,241	22,241	1%	17,896	22,894	4,998
Intergovernmental	58,894	11,161	11,161	1%	11,031	11,031	0
Charges for Services	38,166	40,365	40,365	2%	38,734	40,365	1,631
Direct Interfund Services	46,034	45,255	45,255	3%	45,464	45,464	0
Indirect Interfund Services	16,328	18,522	18,522	1%	18,836	18,836	0
Municipal Courts Fines and Forfeits	36,319	35,894	35,894	2%	35,000	35,894	894
Other Fines and Forfeits	2,902	2,562	2,562	0%	2,297	2,719	422
Interest	5,788	3,000	3,000	0%	3,500	3,000	(500)
Miscellaneous/Other	11,872	6,740	6,740	0%	5,555	7,090	1,535
Total Revenues	1,802,724	1,762,966	1,762,966	100%	1,759,711	1,774,643	14,932
Expenditures							
Administration & Regulatory Affairs	31,641	37,237	28,092	2%	28,092	28,092	0
City Council	5,007	5,736	5,736	0%	5,736	5,736	0
City Secretary	747	790	790	0%	790	790	0
Controller	7,389	6,843	6,843	0%	6,956	6,956	0
Finance	9,802	22,419	22,419	1%	22,419	22,419	0
Fire	448,175	419,309	419,652	23%	419,952	419,952	0
General Services	46,079	45,981	45,981	3%	45,981	45,981	0
Health and Human Services	45,614	39,551	39,556	2%	40,311	40,311	0
Housing and Community Dev.	860	620	620	0%	620	620	0
Houston Emergency Center	11,172	11,550	11,550	1%	11,550	11,550	0
Human Resources	3,152	3,169	3,169	0%	3,169	3,169	0
Information Technology	19,073	17,112	18,777	1%	18,777	18,777	0
Legal	16,974	13,719	13,719	1%	13,719	13,719	0
Library	35,305	32,440	32,470	2%	32,470	32,470	0
Mayor's Office	2,930	2,310	2,232	0%	2,232	2,232	0
Municipal Courts	22,837	23,412	21,955	1%	21,955	21,955	0
Neighborhoods	0	0	9,015	0%	9,274	9,274	0
Office of Business Opportunity	2,404	2,018	2,018	0%	2,087	2,087	0
Parks and Recreation	63,133	60,711	61,251	3%	66,084	66,084	0
Planning and Development	8,173	7,272	7,272	0%	7,272	7,272	0
Police	663,420	640,741	640,742	35%	643,042	643,042	0
Public Works and Engineering	83,464	37,519	37,519	2%	37,519	37,519	0
Solid Waste Management	65,543	65,543	65,543	4%	65,543	65,543	0
Total Departmental Expenditures	1,592,894	1,496,002	1,496,921	82%	1,505,550	1,505,550	0
General Government	87,144	102,294	110,568	6%	107,418	107,418	0
Total Expenditures Other Than Debt	1,680,038	1,598,296	1,607,489	88%	1,612,968	1,612,968	0
Budgeted Debt Service	232,544	229,700	220,507	12%	220,507	220,507	0
Transfer of Equipment to Departments	(11,707)	0	0	0%	0	0	0
Debt Service Transfer	220,837	229,700	220,507	0	220,507	220,507	0
Total Expenditures and Other Uses	1,900,875	1,827,996	1,827,996	100%	1,833,475	1,833,475	0
Net Current Activity	(98,151)	(65,030)	(65,030)		(73,764)	(58,832)	14,932
Other Financing Sources (Uses)							
Transfers from Other Funds	23,561	51,480	51,480		52,980	52,980	0
Sale of Capital Assets	13,766	13,550	13,550		10,655	13,550	2,895
Total Other Financing Sources (Uses)	37,327	65,030	65,030		63,635	66,530	2,895
Fund Balance							
Fund Balance - Beginning of Year	165,383	129,037	129,037		129,037	129,037	0
Changes to Designated Fund Balance*	20,000	0	0		0	0	0
Budgeted Increase/(Decrease) in Fund Balance	(60,824)	0	0		0	0	0
Change in Inventory/Prepaid Items/Imprest Cash	4,478	0	0		0	0	0
(Budget Gap)/Increase in Fund Balance**	0	0	0		(10,129)	7,698	17,827
Fund Balance, End of Year***	129,037	129,037	129,037		118,908	136,735	17,827

*The 2011 Budget provides for the Rainy Day Fund to be included with the General Fund, resulting in a \$20 million increase in the General Fund's Budget.

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$120,973 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$2,064 below 7.5% based on the Controller's projections for Fiscal Year 2012.

General Fund (Fund 1000)
 Controller's Office
 For the period ended October 31, 2011
 (amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Controller's Projection	Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD			
Revenues								
General Property Taxes	\$ 859,413	\$ 842,478	\$ 842,478	\$ 1,965	\$ 14,121	\$ 849,971	\$ 7,493	0.9%
Industrial Assessments	14,458	14,800	14,800	0	37	13,850	(950)	-6.4%
Sales Tax	492,824	518,912	518,912	40,282	165,411	517,194	(1,718)	-0.3%
Other Taxes	10,450	10,806	10,806	2,215	2,277	10,760	(46)	-0.4%
Electric Franchise	98,107	99,694	99,694	8,546	33,171	99,694	0	0.0%
Telephone Franchise	46,722	44,483	44,483	3,709	14,863	44,483	0	0.0%
Gas Franchise	21,890	22,009	22,009	1,834	7,336	22,009	0	0.0%
Other Franchise	23,844	24,044	24,044	2,010	8,251	23,437	(607)	-2.5%
Licenses and Permits	18,713	22,241	22,241	1,433	6,870	17,896	(4,345)	-19.5%
Intergovernmental	58,894	11,161	11,161	74	436	11,031	(130)	-1.2%
Charges for Services	38,166	40,365	40,365	3,106	12,210	38,734	(1,631)	-4.0%
Direct Interfund Services	46,034	45,255	45,255	3,786	13,624	45,464	209	0.5%
Indirect Interfund Services	16,328	18,522	18,522	749	5,075	18,836	314	1.7%
Municipal Courts Fines and Forfeits	36,319	35,894	35,894	2,659	8,119	35,000	(894)	-2.5%
Other Fines and Forfeits	2,902	2,562	2,562	267	927	2,297	(265)	-10.3%
Interest	5,788	3,000	3,000	423	1,077	3,500	500	16.7%
Miscellaneous/Other	11,872	6,740	6,740	419	1,933	5,555	(1,185)	-17.6%
Total Revenues	1,802,724	1,762,966	1,762,966	73,477	295,738	1,759,711	(3,255)	-0.2%
Expenditures								
Administration & Regulatory Affairs	31,641	37,237	28,092	1,360	12,896	28,092	0	0.0%
City Council	5,007	5,736	5,736	440	1,667	5,736	0	0.0%
City Secretary	747	790	790	62	240	790	0	0.0%
Controller	7,389	6,843	6,843	592	2,302	6,956	(113)	-1.7%
Finance	9,802	22,419	22,419	4,315	5,976	22,419	0	0.0%
Fire	448,175	419,309	419,652	33,074	131,141	419,952	(300)	-0.1%
General Services	46,079	45,981	45,981	3,539	12,473	45,981	0	0.0%
Health and Human Services	45,614	39,551	39,556	3,146	11,362	40,311	(755)	-1.9%
Housing and Community Dev.	860	620	620	38	272	620	0	0.0%
Houston Emergency Center	11,172	11,550	11,550	2,888	5,775	11,550	0	0.0%
Human Resources	3,152	3,169	3,169	297	1,032	3,169	0	0.0%
Information Technology	19,073	17,112	18,777	1,473	5,502	18,777	0	0.0%
Legal	16,974	13,719	13,719	1,068	4,212	13,719	0	0.0%
Library	35,305	32,440	32,470	3,519	10,734	32,470	0	0.0%
Mayor's Office	2,930	2,310	2,232	208	801	2,232	0	0.0%
Municipal Courts	22,837	23,412	21,955	1,574	6,904	21,955	0	0.0%
Neighborhoods	0	0	9,015	1,046	2,453	9,274	(259)	0.0%
Office of Business Opportunity	2,404	2,018	2,018	135	528	2,087	(69)	-3.4%
Parks and Recreation	63,133	60,711	61,251	4,642	18,442	66,084	(4,833)	-7.9%
Planning and Development	8,173	7,272	7,272	528	2,169	7,272	0	0.0%
Police	663,420	640,741	640,742	50,500	202,577	643,042	(2,300)	-0.4%
Public Works and Engineering	83,464	37,519	37,519	2,969	12,423	37,519	0	0.0%
Solid Waste Management	65,543	65,543	65,543	3,278	13,788	65,543	0	0.0%
Total Departmental Expenditures	1,592,894	1,496,002	1,496,921	120,691	465,669	1,505,550	(8,629)	-0.6%
General Government	87,144	102,294	110,568	5,888	17,941	107,418	3,150	2.8%
Total Expenditures Other Than Debt	1,680,038	1,598,296	1,607,489	126,579	483,610	1,612,968	(5,479)	-0.3%
Budgeted Debt Service	232,544	229,700	220,507	0	0	220,507	0	0.0%
Transfer of Equipment to Departments	(11,707)	0	0	0	0	0	0	0.0%
Debt Service Transfer	220,837	229,700	220,507	0	0	220,507	0	0.0%
Total Expenditures and Other Uses	1,900,875	1,827,996	1,827,996	126,579	483,610	1,833,475	(5,479)	-0.3%
Net Current Activity	(98,151)	(65,030)	(65,030)	(53,102)	(187,872)	(73,764)	(8,734)	
Other Financing Sources (Uses)								
Transfers from Other Funds	23,561	51,480	51,480	6,231	10,953	52,980	1,500	
Sale of Capital Assets	13,766	13,550	13,550	753	913	10,655	(2,895)	
Total Other Financing Sources (Uses)	37,327	65,030	65,030	6,493	11,866	63,635	(1,395)	
Fund Balance								
Fund Balance - Beginning of Year	165,383	129,037	129,037	129,037	129,037	129,037	0	
Changes to Designated Fund Balance*	20,000	0	0	0	0	0	0	
Budgeted Increase/(Decrease) in Fund Balance	(60,824)	0	0	(46,609)	0	0	0	
Change in Inventory/Prepaid Items/Imprest Cash	4,478	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	(10,129)	(10,129)	
Fund Balance, End of Year***	129,037	129,037	129,037	82,428	(46,969)	118,908	(10,129)	

*The 2011 Budget provides for the Rainy Day Fund to be included with the General Fund, resulting in a \$20 million increase in the General Fund's Budget.

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$120,973 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$2,064 below 7.5% based on the Controller's projections for Fiscal Year 2012.

General Fund (Fund 1000)
Finance
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection	Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD			
Revenues								
General Property Taxes	\$ 859,413	\$ 842,478	842,478	\$ 1,965	\$ 14,121	\$ 852,339	9,861	1.2%
Industrial Assessments	14,458	14,800	14,800	0	37	14,800	0	0.0%
Sales Tax	492,824	518,912	518,912	40,282	165,411	518,912	0	0.0%
Other Taxes	10,450	10,806	10,806	2,215	2,277	10,806	0	0.0%
Electric Franchise	98,107	99,694	99,694	8,546	33,171	99,694	0	0.0%
Telephone Franchise	46,722	44,483	44,483	3,709	14,863	44,483	0	0.0%
Gas Franchise	21,890	22,009	22,009	1,834	7,336	22,009	0	0.0%
Other Franchise	23,844	24,044	24,044	2,010	8,251	24,307	263	1.1%
Licenses and Permits	18,713	22,241	22,241	1,433	6,870	22,894	653	2.9%
Intergovernmental	58,894	11,161	11,161	74	436	11,031	(130)	-1.2%
Charges for Services	38,166	40,365	40,365	3,106	12,210	40,365	0	0.0%
Direct Interfund Services	46,034	45,255	45,255	3,786	13,624	45,464	209	0.5%
Indirect Interfund Services	16,328	18,522	18,522	749	5,075	18,836	314	1.7%
Municipal Courts Fines and Forfeits	36,319	35,894	35,894	2,659	8,119	35,894	0	0.0%
Other Fines and Forfeits	2,902	2,562	2,562	267	927	2,719	157	6.1%
Interest	5,788	3,000	3,000	423	1,077	3,000	0	0.0%
Miscellaneous/Other	11,872	6,740	6,740	419	1,933	7,090	350	5.2%
Total Revenues	1,802,724	1,762,966	1,762,966	73,477	295,738	1,774,643	11,677	0.7%
Expenditures								
Administration & Regulatory Affairs	31,641	37,237	28,092	1,360	12,896	28,092	0	0.0%
City Council	5,007	5,736	5,736	440	1,667	5,736	0	0.0%
City Secretary	747	790	790	62	240	790	0	0.0%
Controller	7,389	6,843	6,843	592	2,302	6,956	(113)	-1.7%
Finance	9,802	22,419	22,419	4,315	5,976	22,419	0	0.0%
Fire	448,175	419,309	419,652	33,074	131,141	419,952	(300)	-0.1%
General Services	46,079	45,981	45,981	3,539	12,473	45,981	0	0.0%
Health and Human Services	45,614	39,551	39,556	3,146	11,362	40,311	(755)	-1.9%
Housing and Community Dev.	860	620	620	38	272	620	0	0.0%
Houston Emergency Center	11,172	11,550	11,550	2,888	5,775	11,550	0	0.0%
Human Resources	3,152	3,169	3,169	297	1,032	3,169	0	0.0%
Information Technology	19,073	17,112	18,777	1,473	5,502	18,777	0	0.0%
Legal	16,974	13,719	13,719	1,068	4,212	13,719	0	0.0%
Library	35,305	32,440	32,470	3,519	10,734	32,470	0	0.0%
Mayor's Office	2,930	2,310	2,232	208	801	2,232	0	0.0%
Municipal Courts	22,837	23,412	21,955	1,574	6,904	21,955	0	0.0%
Neighborhoods	0	0	9,015	1,046	2,453	9,274	(259)	0.0%
Office of Business Opportunity	2,404	2,018	2,018	135	528	2,087	(69)	-3.4%
Parks and Recreation	63,133	60,711	61,251	4,642	18,442	66,084	(4,833)	-7.9%
Planning and Development	8,173	7,272	7,272	528	2,169	7,272	0	0.0%
Police	663,420	640,741	640,742	50,500	202,577	643,042	(2,300)	-0.4%
Public Works and Engineering	83,464	37,519	37,519	2,969	12,423	37,519	0	0.0%
Solid Waste Management	65,543	65,543	65,543	3,278	13,788	65,543	0	0.0%
Total Departmental Expenditures	1,592,894	1,496,002	1,496,921	120,691	465,669	1,505,550	(8,629)	-0.6%
General Government	87,144	102,294	110,568	5,888	17,941	107,418	3,150	2.8%
Total Expenditures Other Than Debt	1,680,038	1,598,296	1,607,489	126,579	483,610	1,612,968	(5,479)	-0.3%
Budgeted Debt Service	232,544	229,700	220,507	0	0	220,507	0	0.0%
Transfer of Equipment to Departments	(11,707)	0	0	0	0	0	0	0.0%
Debt Service Transfer	220,837	229,700	220,507	0	0	220,507	0	0.0%
Total Expenditures and Other Uses	1,900,875	1,827,996	1,827,996	126,579	483,610	1,833,475	(5,479)	-0.3%
Net Current Activity	(98,151)	(65,030)	(65,030)	(53,102)	(187,872)	(58,832)	6,198	
Other Financing Sources (Uses)								
Transfers from Other Funds	23,561	51,480	51,480	6,231	10,953	52,980	1,500	
Sale of Capital Assets	13,766	13,550	13,550	753	913	13,550	0	
Total Other Financing Sources (Uses)	37,327	65,030	65,030	6,493	11,866	66,530	1,500	
Fund Balance								
Fund Balance - Beginning of Year	165,383	129,037	129,037	129,037	129,037	129,037	0	
Changes to Designated Fund Balance*	20,000	0	0	0	0	0	0	
Budgeted Increase/(Decrease) in Fund Balance	(60,824)	0	0	(46,609)	0	0	0	
Change in Inventory/Prepaid Items/Imprest Cash	4,478	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	7,698	7,698	
Fund Balance, End of Year	129,037	129,037	129,037	82,428	(46,969)	136,735	7,698	

*The 2011 Budget provides for the Rainy Day Fund to be included with the General Fund, resulting in a \$20 million increase in the General Fund's Budget.

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

General Fund (Fund 1000)
 General Government
 For the period ended October 31, 2011
 (amounts expressed in thousands)

	FY2012							
	Unaudited Preliminary FY2011	Adopted Budget	Current Budget	Current Month	YTD	YTD as % of Current Budget	Controller's Projection	Finance Projection
Non-Dept. Exp. and Other Uses								
General Government								
Termination Pay - Civilian	0	5,424	5,424	0	1,072	19.8%	1,924	1,924
Insurance-Civilian (Retirees)	15,997	9,416	9,416	850	3,238	34.4%	9,416	9,416
Total Personnel Services	<u>15,997</u>	<u>14,840</u>	<u>14,840</u>	<u>850</u>	<u>4,310</u>	<u>29.0%</u>	<u>11,340</u>	<u>11,340</u>
Subrecipient Contract Services	150	0	0	0	0	0.0%	0	0
Banking Services	118	128	128	10	39	30.5%	128	128
Advertising Svcs	323	250	250	8	42	16.8%	250	250
Miscellaneous Support Services	0	0	0	0	0	0.0%	0	0
Accounting and Auditing Svcs	0	0	0	0	0	0.0%	0	0
Fuel	0	3,597	3,597	0	0	0.0%	3,597	3,597
Interfund Services	0	1,471	1,471	0	0	0.0%	1,471	1,471
Legal Services	2,679	1,485	1,485	34	291	19.6%	1,485	1,485
Management Consulting Svcs.	805	681	681	8	20	2.9%	681	681
Real Estate Lease	4,317	4,650	4,650	389	1,554	33.4%	4,650	4,650
METRO Commuter Passes	699	720	720	(1)	114	15.8%	720	720
Limited Purpose Annexation Pmts.	32,071	36,300	36,300	2,461	2,461	6.8%	36,300	36,300
Tax Appraisal Fees	7,779	7,890	7,890	0	3,824	48.5%	7,890	7,890
Elections	988	3,000	3,000	424	443	14.8%	3,000	3,000
Claims and Judgments	5,166	10,563	10,563	1,375	1,375	13.0%	10,563	10,563
Contingency/Reserve	0	4,100	3,181	0	0	0.0%	3,531	3,531
Misc Other Services and Charges	6,434	1,727	1,727	22	56	3.2%	1,727	1,727
Membership and Professional Fees	773	1,195	1,195	271	358	30.0%	1,195	1,195
Total Other Services and Charges	<u>62,302</u>	<u>77,757</u>	<u>76,838</u>	<u>5,001</u>	<u>10,577</u>	<u>13.8%</u>	<u>77,188</u>	<u>77,188</u>
Other Financing Uses								
Debt Service-Interest	933	3,645	3,645	25	172	4.7%	3,645	3,645
Transfers to Conv & Entertain	391	427	427	12	69	16.2%	427	427
Transfers to CUS	1,896	0	0	0	0	0.0%	0	0
Transfers to Special Revenues	5,625	5,625	14,818	0	2,813	19.0%	14,818	14,818
Total Other Financing Uses	<u>8,845</u>	<u>9,697</u>	<u>18,890</u>	<u>37</u>	<u>3,054</u>	<u>16.2%</u>	<u>18,890</u>	<u>18,890</u>
Total General Government	<u><u>87,144</u></u>	<u><u>102,294</u></u>	<u><u>110,568</u></u>	<u><u>5,888</u></u>	<u><u>17,941</u></u>	<u><u>16.2%</u></u>	<u><u>107,418</u></u>	<u><u>107,418</u></u>

General Fund
Statement of Cash Transactions
For the period ended October 31, 2011
(amounts expressed in thousands)

	Month Ended	FY2012 YTD
	\$ 160,729	\$ 75,932
Cash Balance, Beginning of Month		
RECEIPTS:		
Balance Sheet Transactions	11,613	50,993
TRANS Proceeds	-	223,399
Short-term Borrowings	-	-
Taxable Note Proceeds	-	-
Ad Valorem Tax	1,957	14,078
Industrial Assessments	-	421
Sales Tax	41,667	170,508
Bingo Tax	-	51
Mixed Beverage Tax	2,215	5,050
Electric Franchise Fees	372	33,491
Telephone Franchise Fees	-	11,488
Natural Gas Franchise Fees	-	7,336
Other Franchise Fees	845	7,074
Licenses and Permits	1,424	6,869
Intergovernmental	74	13,445
Charge for Services	3,102	12,549
Direct Interfund Services	3,786	14,826
Indirect Interfund Services	(6,001)	(1,732)
Municipal Courts Fines	1,992	8,626
Interfund - Pension Bond Proceeds	-	-
Interfund - Disaster Recovery Fund	-	-
Interfund - all other funds	-	-
Other Fines & Forfeitures	290	1,041
Interest Apportionment	172	1,077
Other	7,011	13,289
Total Receipts	<u>70,519</u>	<u>593,880</u>
DISBURSEMENTS:		
Balance Sheet Transactions	(7,641)	(34,916)
Vendor Payment	(21,022)	(64,490)
Payroll Expenses	(91,509)	(422,477)
Workers' Compensation	(1,056)	(4,745)
Operating Transfer Out	(2,899)	(17,596)
Supplies	46	(623)
Contract Services	(1,425)	(2,384)
Rental & Leasings	(424)	(2,231)
Utilities	(6,465)	(20,351)
TRANS Borrowing / Repayment	-	-
Taxable Note Principal and Interest	-	-
TIRZ Payment	-	-
Interfund - Transfer to Rainy Day Fund	-	-
Interfund - Transfer to Debt Service	-	-
Interfund - all other funds	(114)	(577)
Capital Outlay	-	-
Other	(1,366)	(2,051)
Total Disbursements	<u>(133,877)</u>	<u>(572,442)</u>
Net Increase (Decrease) in Cash	(63,358)	21,439
Cash Balance, End of Month	<u>\$ 97,371</u>	<u>\$ 97,371</u>

Note: Totals may not add up exactly due to rounding

General Fund 1000
Five Year History and Current Year Projection
(amounts expressed in thousands)

	FY2007		FY2008		FY2009	
	Actual	% of Total	Actual	% of Total	Actual	% of Total
Revenues						
General Property Taxes	\$ 748,792	45.2%	\$ 830,889	49.0%	\$ 890,088	49.0%
Industrial Assessments	15,823	1.0%	17,787	1.1%	19,133	1.1%
Sales Tax	461,417	27.9%	495,173	28.4%	507,103	28.4%
Other Taxes	9,992	0.6%	10,735	0.6%	10,813	0.6%
Electric Franchise	99,534	6.0%	98,141	5.5%	99,612	5.5%
Telephone Franchise	50,434	3.0%	49,566	2.1%	48,229	2.1%
Gas Franchise	20,790	1.3%	21,507	1.2%	21,258	1.2%
Other Franchise	18,793	1.1%	20,981	0.9%	21,223	0.9%
License and Permits	18,637	1.1%	20,889	1.0%	17,511	1.0%
Intergovernmental	41,576	2.5%	32,950	1.8%	33,027	1.8%
Charges for Services	44,844	2.7%	39,836	2.0%	35,743	2.0%
Direct Interfund Services	42,052	2.5%	41,395	2.5%	47,890	2.5%
Indirect Interfund Services	12,712	0.8%	10,950	0.7%	13,190	0.7%
Muni Courts Fines and Forfeits	44,936	2.7%	37,140	2.1%	37,692	2.1%
Other Fines and Forfeits	5,362	0.3%	4,491	0.1%	2,692	0.1%
Interest	15,059	0.9%	16,992	0.5%	8,826	0.5%
Miscellaneous/Other	4,529	0.3%	12,315	0.6%	10,276	0.6%
Total Revenues	1,655,282	100.0%	1,761,737	100.0%	1,824,306	100.0%
Expenditures						
Administration & Regulatory Affairs	18,763	1.1%	19,363	1.2%	22,845	1.2%
City Council	4,084	0.2%	4,981	0.3%	5,097	0.3%
City Secretary	652	0.0%	629	0.0%	667	0.0%
Controller	6,125	0.4%	6,596	0.4%	7,111	0.4%
Convention & Entertainment	5,816	0.3%	1,153	0.1%	1,194	0.1%
Finance	4,771	0.3%	8,171	0.5%	9,044	0.5%
Fire	360,542	21.6%	388,354	22.3%	422,718	22.3%
General Services	41,917	2.5%	45,384	2.6%	50,034	2.6%
Health and Human Services	47,248	2.8%	50,903	3.0%	56,638	3.0%
Housing and Community Dev.	826	0.0%	472	0.0%	779	0.0%
Houston Emergency Center	9,728	0.6%	10,742	0.6%	11,280	0.6%
Human Resources	2,449	0.1%	2,470	0.1%	2,740	0.1%
Information Technology	12,920	0.8%	17,322	0.9%	17,494	0.9%
Legal	12,921	0.8%	13,779	0.8%	15,996	0.8%
Library	32,257	1.9%	34,869	2.0%	37,647	2.0%
Mayor's Office	3,061	0.2%	2,900	0.2%	2,917	0.2%
Municipal Courts	18,751	1.1%	21,185	1.0%	23,516	1.0%
Neighborhoods	0	0.0%	0	0.0%	0	1.0%
Office of Business Opportunity	1,641	0.1%	2,137	0.1%	2,311	0.1%
Parks and Recreation	60,633	3.6%	64,682	3.5%	70,111	3.5%
Planning and Development	7,545	0.5%	7,557	0.4%	8,220	0.4%
Police	581,811	34.9%	618,308	34.7%	657,225	34.7%
Public Works and Engineering	83,914	5.0%	88,431	4.7%	90,321	4.7%
Solid Waste Management	70,702	4.2%	74,083	3.9%	74,419	3.9%
Total Departmental Expenditures	1,389,077	83.3%	1,484,471	83.8%	1,590,324	83.8%
General Government	69,998	4.2%	83,020	3.9%	78,374	3.9%
Debt Service Transfer	209,000	12.5%	222,850	12.3%	232,948	12.3%
Total Expenditures and Other Uses	1,668,075	100.0%	1,790,341	100.0%	1,901,646	100.0%
Net Current Activity	(12,793)		(28,604)		(77,340)	
Other Financing Sources (Uses)						
Transfers from Other Funds	4,542		11,219		35,810	
Pension Bond Proceed	63,000		35,000		20,000	
Sale of Capital Assets	4,757		4,003		4,798	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	72,299		50,222		60,608	
Fund Balance						
Fund Balance - Beginning of Year	173,183		231,888		253,043	
Change in Misc. Other Reserves	(801)		(463)		0	
Changes to Designated Fund Balance	0		0		0	
Change in Inventory, Prepaid Items and Impre	0		0		0	
Fund Balance, End of Year	231,888		253,043		236,311	

General Fund 1000
Five Year History and Current Year Projection (cont'd)
(amounts expressed in thousands)

	FY2010		FY2011		FY2012	
	%	%	Unaudited	%	Projection	%
	Actual	of Total	Preliminary	of Total		of Total
Revenues	\$		\$		\$	
General Property Taxes	892,865	48.9%	859,413	47.7%	852,339	48.0%
Industrial Assessments	15,817	1.1%	14,458	0.8%	14,800	0.8%
Sales Tax	468,965	27.7%	492,824	27.3%	518,912	29.2%
Other Taxes	10,577	0.6%	10,450	0.6%	10,806	0.6%
Electric Franchise	97,248	5.5%	98,107	5.4%	99,694	5.6%
Telephone Franchise	48,263	2.7%	46,722	2.6%	44,483	2.5%
Gas Franchise	21,729	1.2%	21,890	1.2%	22,009	1.2%
Other Franchise	23,628	1.2%	23,844	1.3%	24,307	1.4%
License and Permits	18,636	1.0%	18,713	1.0%	22,894	1.3%
Intergovernmental	32,148	1.8%	58,894	3.3%	11,031	0.6%
Charges for Services	34,156	2.0%	38,166	2.1%	40,365	2.3%
Direct Interfund Services	46,906	2.6%	46,034	2.6%	45,464	2.6%
Indirect Interfund Services	16,012	0.7%	16,328	0.9%	18,836	1.1%
Muni Courts Fines and Forfeits	38,096	2.0%	36,319	2.0%	35,894	2.0%
Other Fines and Forfeits	2,029	0.1%	2,902	0.2%	2,719	0.2%
Interest	6,858	0.5%	5,788	0.3%	3,000	0.2%
Miscellaneous/Other	8,215	0.6%	11,872	0.7%	7,090	0.4%
Total Revenues	1,782,148	100.0%	1,802,724	100.0%	1,774,643	100.0%
Expenditures						
Administration & Regulatory Affairs	30,206	1.2%	31,641	1.7%	28,092	1.5%
City Council	5,094	0.3%	5,007	0.3%	5,736	0.3%
City Secretary	685	0.0%	747	0.0%	790	0.0%
Controller	7,115	0.4%	7,389	0.4%	6,956	0.4%
Convention & Entertainment	1,159	0.1%	0	0.0%	0	0.0%
Finance	9,908	0.5%	9,802	0.5%	22,419	1.2%
Fire	435,852	22.2%	448,175	23.6%	419,952	22.9%
General Services	47,633	2.6%	46,079	2.4%	45,981	2.5%
Health and Human Services	48,541	3.0%	45,614	2.4%	40,311	2.2%
Housing and Community Dev.	832	0.0%	860	0.0%	620	0.0%
Houston Emergency Center	11,193	0.6%	11,172	0.6%	11,550	0.6%
Human Resources	3,181	0.1%	3,152	0.2%	3,169	0.2%
Information Technology	19,065	0.9%	19,073	1.0%	18,777	1.0%
Legal	16,311	0.8%	16,974	0.9%	13,719	0.7%
Library	37,237	2.0%	35,305	1.9%	32,470	1.8%
Mayor's Office	2,879	0.2%	2,930	0.2%	2,232	0.1%
Municipal Courts	22,697	1.0%	22,837	1.2%	21,955	1.2%
Neighborhoods	0	0.0%	0	0.0%	9,274	0.5%
Office of Business Opportunity	2,457	0.1%	2,404	0.1%	2,087	0.1%
Parks and Recreation	67,500	3.7%	63,133	3.3%	66,084	3.6%
Planning and Development	8,985	0.4%	8,173	0.4%	7,272	0.4%
Police	662,765	34.5%	663,420	34.9%	643,042	35.1%
Public Works and Engineering	86,034	4.8%	83,464	4.4%	37,519	2.0%
Solid Waste Management	68,472	3.9%	65,543	3.4%	65,543	3.6%
Total Departmental Expenditures	1,595,801	83.6%	1,592,894	83.8%	1,505,550	82.1%
General Government	80,566	4.2%	87,144	4.6%	107,418	5.9%
Debt Service Transfer	240,020	12.2%	220,837	11.6%	220,507	12.0%
Total Expenditures and Other Uses	1,916,387	100.0%	1,900,875	100.0%	1,833,475	100.0%
Net Current Activity	(134,239)		(98,151)		(58,832)	
Other Financing Sources (Uses)						
Transfers from Other Funds	38,658		23,561		52,980	
Pension Bond Proceed	20,000		0		0	
Sale of Capital Assets	6,548		13,766		13,550	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	65,206		37,327		66,530	
Fund Balance						
Fund Balance - Beginning of Year	236,311		165,383		129,037	
Change in Misc. Other Reserves	0		0		0	
Changes to Designated Fund Balance	0		20,000		0	
Change in Inventory, Prepaid Items and Impre	(1,895)		4,478		0	
Fund Balance, End of Year	165,383		129,037		136,735	

Aviation Operating Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited	FY2012				
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Landing Area	\$ 90,384	\$ 89,315	\$ 89,315	\$ 30,451	\$ 88,448	\$ 88,448
Bldg and Ground Area	181,182	190,123	190,123	63,257	188,407	188,407
Parking and Concession	134,984	134,213	134,213	48,425	134,613	134,613
Other	3,851	4,220	4,220	1,383	3,896	3,896
Total Operating Revenues	<u>410,402</u>	<u>417,871</u>	<u>417,871</u>	<u>143,516</u>	<u>415,364</u>	<u>415,364</u>
Operating Expenses						
Personnel	111,861	100,735	100,733	32,224	100,378	100,378
Supplies	6,534	7,603	7,628	2,197	7,286	7,286
Services	143,327	146,014	147,700	44,789	148,168	148,168
Non-Capital Outlay	839	1,855	1,896	59	1,892	1,892
Total Operating Expenses	<u>262,561</u>	<u>256,207</u>	<u>257,957</u>	<u>79,269</u>	<u>257,724</u>	<u>257,724</u>
Operating Income (Loss)	<u>147,841</u>	<u>161,664</u>	<u>159,914</u>	<u>64,247</u>	<u>157,640</u>	<u>157,640</u>
Non-Operating Revenues (Expenses)						
Interest Income	12,889	13,200	13,200	3,459	10,000	10,000
Other	2,017	0	0	41	41	41
Total Non-Operating Rev (Exp)	<u>14,906</u>	<u>13,200</u>	<u>13,200</u>	<u>3,500</u>	<u>10,041</u>	<u>10,041</u>
Income (Loss) Before Operating Transfers	<u>162,747</u>	<u>174,864</u>	<u>173,114</u>	<u>67,747</u>	<u>167,681</u>	<u>167,681</u>
Operating Transfers						
Interfund Transfer - Oper Reserve	1,225	2,000	2,000	0	2,000	2,000
Debt Service Principal	42,773	48,000	48,000	15,660	48,000	48,000
Debt Service Interest	60,858	72,675	72,675	21,110	66,924	66,924
Renewal and Replacement	0	0	0	0	0	0
Capital Improvement	57,924	52,189	50,439	2,496	50,757	50,757
Total Operating Transfers	<u>162,780</u>	<u>174,864</u>	<u>173,114</u>	<u>39,266</u>	<u>167,681</u>	<u>167,681</u>
Net Income (Loss)						
Operating Fund Only	\$ <u>(34)</u>	\$ <u>0</u>	\$ <u>0</u>	<u>28,481</u>	\$ <u>0</u>	\$ <u>0</u>

About the Fund:

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport, and Ellington Field. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies, the airlines, and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controllers Projection	Finance Projection
Operating Revenues						
Facility Rentals	\$ 6,726	\$ 1,380	\$ 1,380	1,380	\$ 1,380	\$ 1,380
Parking	9,701	8,574	8,574	1,599	8,574	8,574
Food and Beverage Concessions	6,147	0	0	0	0	0
Contract Cleaning	308	0	0	0	0	0
Total Operating Revenues	<u>22,882</u>	<u>9,954</u>	<u>9,954</u>	<u>2,979</u>	<u>9,954</u>	<u>9,954</u>
Operating Expenses						
Personnel	11,481	666	666	24	666	666
Supplies	645	0	0	0	0	0
Services	27,552	743	743	667	991	991
Total Operating Expenses	<u>39,678</u>	<u>1,409</u>	<u>1,409</u>	<u>691</u>	<u>1,657</u>	<u>1,657</u>
Operating Income (Loss)	<u>(16,796)</u>	<u>8,545</u>	<u>8,545</u>	<u>2,288</u>	<u>8,297</u>	<u>8,297</u>
Non-Operating Revenues (Expenses)						
Hotel Occupancy Tax						
Current	59,435	61,000	61,000	28,004	61,000	61,000
Delinquent	2,168	1,220	1,220	453	1,220	1,220
Advertising Services	(13,153)	0	0	0	0	0
Promotion Contracts	(11,037)	0	0	0	0	0
Contracts/Sponsorships	(2,683)	0	0	0	0	0
Net Hotel Occupancy Tax	<u>34,730</u>	<u>62,220</u>	<u>62,220</u>	<u>28,457</u>	<u>62,220</u>	<u>62,220</u>
Interest Income	1,188	0	0	259	800	800
Capital Outlay	(215)	0	0	0	0	0
Non-Capital Outlay	(112)	0	0	0	0	0
Other Interest	(103)	(575)	(575)	(16)	(575)	(575)
Other	8,228	1,559	1,559	22	1,559	1,559
Total Non-Operating Rev (Exp)	<u>43,716</u>	<u>63,204</u>	<u>63,204</u>	<u>28,722</u>	<u>64,004</u>	<u>64,004</u>
Income (Loss) Before Operating Transfers	<u>26,920</u>	<u>71,749</u>	<u>71,749</u>	<u>31,010</u>	<u>72,301</u>	<u>72,301</u>
Operating Transfers						
Transfers for Interest	4,792	5,850	5,850	1,277	2,473	2,473
Transfers for Principal	12,736	14,199	14,199	3,545	13,418	13,418
Interfund Transfers Out	1,321	0	0	0	0	0
Transfers to Special Revenue	132	0	0	0	0	0
Transfer to Component Unit	0	66,601	66,601	29,891	66,601	66,601
Transfers to General Fund	508	10,403	10,403	5,000	10,403	10,403
Transfers to Debt Service	0	0	0	2,312	2,312	2,312
Transfers (from) General Fund	(100)	0	0	0	0	0
Total Operating Transfers	<u>19,389</u>	<u>97,053</u>	<u>97,053</u>	<u>42,025</u>	<u>95,207</u>	<u>95,207</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ 7,531</u>	<u>\$ (25,304)</u>	<u>\$ (25,304)</u>	<u>\$ (11,015)</u>	<u>\$ (22,906)</u>	<u>\$ (22,906)</u>

About the Fund:

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's six major entertainment centers and City-owned parking garages: Jesse H. Jones Hall, Bayou Place, Houston Center for The Arts, Gus S. Wortham Center, George R. Brown Convention Center, Tranquility Park garage and Civic Center garage.

Combined Utility System Fund
For the period ending October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Water Sales	\$ 459,261	\$ 451,022	\$ 451,022	\$ 192,426	\$ 460,922	\$ 460,922
Sewer Sales	410,941	412,465	412,465	157,555	419,465	419,465
Penalties	9,871	8,000	8,000	3,904	8,000	8,000
Other	3,949	3,830	3,830	1,426	3,830	3,830
Total Operating Revenues	<u>884,022</u>	<u>875,317</u>	<u>875,317</u>	<u>355,311</u>	<u>892,217</u>	<u>892,217</u>
Operating Expenses						
Personnel	141,322	152,873	152,873	49,710	154,817	154,817
Supplies	39,905	50,837	50,837	15,081	51,005	51,005
Electricity and Gas	58,391	60,521	60,521	22,591	62,585	62,585
Contracts & Other Payments	96,805	133,178	133,178	25,954	139,947	139,947
Non-Capital Equipment	1,627	3,389	3,389	482	3,439	3,439
Total Operating Expenses	<u>338,050</u>	<u>400,798</u>	<u>400,798</u>	<u>113,818</u>	<u>411,793</u>	<u>411,793</u>
Operating Income (Loss)	<u>545,972</u>	<u>474,519</u>	<u>474,519</u>	<u>241,493</u>	<u>480,424</u>	<u>480,424</u>
Non-Operating Revenues (Expenses)						
Interest Income	10,090	11,600	11,600	3,035	10,768	10,768
Sale of Property, Mains and Scrap	850	308	308	116	308	308
Other	15,266	7,920	7,920	3,065	8,238	8,238
Impact Fees	9,248	9,100	9,100	6,655	9,100	9,100
CWA & TRA Contracts (P & I)	(21,285)	(19,131)	(19,131)	(11,182)	(20,414)	(20,414)
Total Non-Operating Rev (Exp)	<u>14,169</u>	<u>9,797</u>	<u>9,797</u>	<u>1,689</u>	<u>8,000</u>	<u>8,000</u>
Income (Loss) Before Operating Transfers	<u>560,141</u>	<u>484,316</u>	<u>484,316</u>	<u>243,182</u>	<u>488,424</u>	<u>488,424</u>
Operating Transfers						
Debt Service Transfer	359,115	416,682	416,682	51,017	412,682	412,682
Transfer to PIB - Water & Sewer	21,744	21,163	21,163	2,303	21,163	21,163
Transfer to Capital Project Fund	0	10,000	10,000	0	10,000	10,000
Pension Liability Interest	3,659	3,814	3,814	636	3,814	3,814
Equipment Acquisition	12,273	20,583	20,616	5,064	20,583	20,583
Transfer to Stormwater	54,848	50,116	50,083	12,930	50,066	50,066
Total Operating Transfers	<u>451,639</u>	<u>522,358</u>	<u>522,358</u>	<u>71,950</u>	<u>518,308</u>	<u>518,308</u>
Net Current Activity						
Operating Fund Only	<u>\$ 108,502</u>	<u>\$ (38,042)</u>	<u>\$ (38,042)</u>	<u>\$ 171,232</u>	<u>\$ (29,884)</u>	<u>\$ (29,884)</u>

About the Fund:

The Combined Utility System Fund, which includes Fund 8300, Fund 8301, and Fund 8305, is an enterprise fund which accounts for operation of the City's water and wastewater facilities. The fund provides for the operation of the City's treated and untreated water, as well as, receive and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers.

Dedicated Drainage & Street Renewal Fund - 2310
For the period ending October 31, 2011
(amounts expressed in thousands)

	Unaudited		FY2012			
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Drainage Charge Revenue	\$ 0	\$ 115,826	\$ 115,826	\$ 35,967	\$ 115,826	\$ 115,826
Interfund Drainage Fee	0	9,174	9,174	0	9,174	9,174
Charges for Services	0	1,909	1,909	324	1,909	1,909
Licenses & Permits	0	996	996	194	665	665
Street Milling and Sales Earnings	0	800	800	336	900	900
Metro Intergovernmental Revenue	0	50,600	50,600	0	50,600	50,600
Miscellaneous/Other	0	59	59	104	122	122
Total Revenues	<u>0</u>	<u>179,364</u>	<u>179,364</u>	<u>36,925</u>	<u>179,196</u>	<u>179,196</u>
Expenditures						
Personnel	0	29,746	29,972	9,193	29,029	29,029
Supplies	0	14,095	14,093	3,785	14,147	14,147
Other Services	0	12,959	13,028	3,636	12,646	12,646
Capital Outlay	0	2,070	2,127	353	2,100	2,100
Total Expenditures	<u>0</u>	<u>58,870</u>	<u>59,220</u>	<u>16,967</u>	<u>57,922</u>	<u>57,922</u>
Net Current Activity	0	120,494	120,144	19,958	121,274	121,274
Other Financing Sources (Uses)						
Interest Income	0	0	0	11	34	34
Transfer In - General Fund ⁽¹⁾	0	0	0	0	9,193	9,193
Transfer In - Metro CIP Projects	0	54,590	54,590	0	2,889	2,889
Transfer In - TXDOT CIP Projects	0	2,000	2,000	0	0	0
Transfer Out - Commercial Paper Agent F	0	(1,800)	(1,800)	0	(1,800)	(1,800)
Transfer Out - Capital Projects	0	(112,909)	(112,559)	0	(59,208)	(59,208)
Transfer Out - Others	0	(2,889)	(2,889)	0	(2,889)	(2,889)
Total Other Financing Sources (Uses)	<u>0</u>	<u>(61,008)</u>	<u>(60,658)</u>	<u>11</u>	<u>(51,781)</u>	<u>(51,781)</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	0	59,486	59,486	19,969	69,493	69,493
Fund Balance, Beginning of Year	0	0	0	0	0	0
Fund Balance, End of Year	<u>\$ 0</u>	<u>\$ 59,486</u>	<u>\$ 59,486</u>	<u>\$ 19,969</u>	<u>\$ 69,493</u>	<u>\$ 69,493</u>

Note:

1. This amount is based on the Captured Ad Valorem Tax Revenue as calculated below.

Dedicated Drainage & Street Renewal Captured Ad Valorem Tax Revenue (amounts expressed in thousands)				
	FY2012			
	Adopted Budget	Projection	Year to Date Actual	
Property Tax Revenue (General Fund) (\$0.118 of City's Ad Valorem Tax Levy)	\$ 152,992	\$ 156,570	\$ 0	
Less Street & Drainage Debt Service (General Fund)	<u>(153,336)</u>	<u>(147,377)</u>	<u>0</u>	
Captured Revenues ⁽¹⁾ (to be transferred to Dedicated Drainage & Street Renewal Fund)	<u>0</u>	<u>9,193</u>	<u>0</u>	

Note:
Ordinance 2010-879 requires funding in the amount equivalent to proceeds from \$0.118 of the City's ad valorem tax levy minus an amount equal to debt service for drainage and streets to the Dedicated Drainage & Street Renewal Fund. Total outstanding debt for drainage and street is currently estimated at \$1.71 billion.

The Dedicated Drainage and Street Renewal Fund is not technically an enterprise fund, but is grouped with the Combined Utility for clarity.

Storm Water Fund
For the period ending October 31, 2011
(amounts expressed in thousands)

	Unaudited	FY2012				
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Miscellaneous	\$ 2	\$ 20	\$ 20	\$ 13	\$ 30	\$ 30
Total Revenues	<u>2</u>	<u>20</u>	<u>20</u>	<u>13</u>	<u>30</u>	<u>30</u>
Expenditures						
Personnel	18,569	20,341	20,341	6,125	19,616	19,616
Supplies	2,575	2,445	2,445	850	2,445	2,445
Other Services	12,907	12,499	13,547	3,570	13,208	13,208
Capital Outlay	906	3,083	3,100	427	3,083	3,083
Total Expenditures	<u>34,957</u>	<u>38,368</u>	<u>39,433</u>	<u>10,972</u>	<u>38,352</u>	<u>38,352</u>
Net Current Activity	(34,955)	(38,348)	(39,413)	(10,959)	(38,322)	(38,322)
Other Financing Sources (Uses)						
Interest Income	2	15	15	104	150	150
Transfers In - CUS	54,848	50,116	50,116	12,930	50,066	50,066
Transfer Out - Pension Liability Interest	(565)	(565)	(565)	(283)	(565)	(565)
Transfer Out - General Fund	(67)	(17,179)	(16,114)	(17)	(17,179)	(17,179)
Discretionary Debt - Drainage	0	(11,150)	(11,150)	(147)	(11,150)	(11,150)
Total Other Financing Sources (Uses)	<u>54,218</u>	<u>21,237</u>	<u>22,302</u>	<u>12,587</u>	<u>21,322</u>	<u>21,322</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	19,263	(17,111)	(17,111)	1,628	(17,000)	(17,000)
Fund Balance, Beginning of Year	<u>(122)</u>	<u>19,141</u>	<u>19,141</u>	<u>19,141</u>	<u>19,141</u>	<u>19,141</u>
Fund Balance, End of Year	<u>\$ 19,141</u>	<u>\$ 2,030</u>	<u>\$ 2,030</u>	<u>\$ 20,769</u>	<u>\$ 2,141</u>	<u>\$ 2,141</u>

Note: The Storm Water Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Health Benefits Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
City Medical Plans	\$ 292,023	\$ 286,945	286,945	\$ 90,316	\$ 286,945	\$ 286,945
City Dental Plans	9,318	9,457	9,457	3,226	9,457	9,457
City Life Insurance Plans	5,779	5,681	5,681	1,895	5,681	5,681
Health Flexible Spending Account	1,229	1,200	1,200	655	1,200	1,200
Dependent Care Reimbursement	227	240	240	82	240	240
Operating Revenues	<u>308,576</u>	<u>303,523</u>	<u>303,523</u>	<u>96,174</u>	<u>303,523</u>	<u>303,523</u>
Operating Expenses						
BCBS and Medicare Advantage	245,830	15,326	20,306	5,168	15,326	15,326
City Medical Plan Claims - Cigna	43,640	267,113	262,133	86,420	267,113	267,113
City Dental Plan Claims	9,325	9,457	9,457	3,226	9,457	9,457
City Life Insurance Plans	5,779	5,681	5,681	1,895	5,681	5,681
Administrative Costs	4,354	4,996	4,996	1,241	4,996	4,996
Health Flexible Spending Account	1,060	1,200	1,200	501	1,200	1,200
Dependent Care	227	240	240	82	240	240
Operating Expenses	<u>310,215</u>	<u>304,013</u>	<u>304,013</u>	<u>98,533</u>	<u>304,013</u>	<u>304,013</u>
Operating Income (Loss)	(1,639)	(490)	(490)	(2,359)	(490)	(490)
Non-Operating Revenues (Expenses)						
Interest Income	255	180	180	140	180	180
Prior Year Expense Recovery	1	0	0	214	0	0
Miscellaneous Revenues	568	0	0	0	0	0
Medicare Part D - Subsidy	0	0	0	0	0	0
Medicare Part D - Distribution	0	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>824</u>	<u>180</u>	<u>180</u>	<u>354</u>	<u>180</u>	<u>180</u>
Net Income (Loss)	(815)	(310)	(310)	(2,005)	(310)	(310)
Net Assets, Beginning of Year	<u>3,652</u>	<u>2,837</u>	<u>2,837</u>	<u>2,837</u>	<u>2,837</u>	<u>2,837</u>
Net Assets, End of Year	\$ <u>2,837</u>	\$ <u>2,527</u>	\$ <u>2,527</u>	\$ <u>832</u>	\$ <u>2,527</u>	\$ <u>2,527</u>

About the Fund:

The Health Benefits Fund, an Internal Service Fund administered by the Human Resources Department, was established in 1984 to centralize the financial transactions for the City's benefit plans.

Effective May 1, 2011, the City elected to be substantially self-insured and awarded CIGNA a three year contract with two (2) one-year renewal options for 4 new health plans. The new health benefits model is composed of four (4) plans, all of which have heavy emphasis on a wellness component, and includes; 1) a limited network HMO-type plan, 2) an open access PPO-type plan with no out-of-network coverage, 3) a consumer driven high deductible Health Plan (CDHP), partnered with a health reimbursement account, and 4) a specific plan for retirees, mostly those under age 65, who live outside the limited network service area but who live in Texas. Effective 08/01/11 all 65+ Medicare eligible retirees must enroll in the 6 MA plans or opt out.

These plans are supported by contributions from the city and participants. The Fund also includes two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. Both plans are supported exclusively by participants.

Long-Term Disability Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Contributions	\$ 0	\$ 1,111	\$ 1,111	\$ 411	\$ 1,111	\$ 1,111
GASB 10 Operating Transfer	0	0	0	0	0	0
Operating Revenues	<u>0</u>	<u>1,111</u>	<u>1,111</u>	<u>411</u>	<u>1,111</u>	<u>1,111</u>
Operating Expenses						
Management Consulting Services	0	58	58	0	58	58
Claims Payment Services	144	170	170	14	170	170
Employee Medical Claims	781	1,185	1,185	399	1,185	1,185
Maintenance and Operating	519	0	0	0	0	0
Interfund Transfer	0	0	0	0	0	0
Operating Expenses	<u>1,444</u>	<u>1,413</u>	<u>1,413</u>	<u>413</u>	<u>1,413</u>	<u>1,413</u>
Operating Income (Loss)	(1,444)	(302)	(302)	(2)	(302)	(302)
Non-Operating Revenues (Expenses)						
Interest Income	190	180	180	47	180	180
Transfers to General Fund	0	0	0	0	0	0
Prior Year Expense Recovery	0	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>190</u>	<u>180</u>	<u>180</u>	<u>47</u>	<u>180</u>	<u>180</u>
Net Income (Loss)	(1,254)	(122)	(122)	45	(122)	(122)
Net Assets, Beginning of Year	<u>2,223</u>	<u>969</u>	<u>969</u>	<u>969</u>	<u>969</u>	<u>969</u>
Net Assets, End of Year	<u>\$ 969</u>	<u>\$ 847</u>	<u>\$ 847</u>	<u>\$ 1,014</u>	<u>\$ 847</u>	<u>\$ 847</u>

About the Fund:

The Long Term Disability (LTD) Plan is a self-insured program accounted for as an internal service fund. Established in 1985 and revised in 1996 as part of the Income Protection Plan (IPP) (renamed the Compensable Sick Leave Plan (CSL) in October 1996), the plan provides paid long-term sick leave for City employees.

Property and Casualty Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Interfund Legal Services	\$ 15,686	\$ 36,599	\$ 36,599	\$ 2,039	\$ 36,599	\$ 36,599
Operating Revenues	<u>15,686</u>	<u>36,599</u>	<u>36,599</u>	<u>2,039</u>	<u>36,599</u>	<u>36,599</u>
Operating Expenses						
Personnel	2,857	5,514	5,514	1,799	5,894	5,894
Supplies	163	160	160	7	160	160
Services:						
Insurance Fees/Adm.	9,575	12,203	12,203	340	12,203	12,203
Claims and Judgments	1,619	15,877	15,877	289	15,496	15,496
Other Services	1,481	2,845	2,845	307	2,846	2,846
Operating Expenses	<u>15,695</u>	<u>36,599</u>	<u>36,599</u>	<u>2,742</u>	<u>36,599</u>	<u>36,599</u>
Operating Income (Loss)	(9)	0	0	(703)	0	0
Net Income (Loss)	(9)	0	0	(703)	0	0
Net Assets, Beginning of Year	<u>77</u>	<u>68</u>	<u>68</u>	<u>68</u>	<u>68</u>	<u>68</u>
Net Assets, End of Year	<u>\$ 68</u>	<u>\$ 68</u>	<u>\$ 68</u>	<u>\$ (635)</u>	<u>\$ 68</u>	<u>\$ 68</u>

About the Fund:

The Property and Casualty Fund was established to monitor the financial requirements of the Property and Risk Management Programs. This activity is primarily self-funded. The revenue is generated from assessed premiums to the other funds. These premiums are based upon projected expenditures. All risk to the City's assets (except Workers Compensation, Life, Health Benefits, and Long Term Disability) fall within the scope of this fund.

Workers' Compensation Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Contributions	\$ 18,590	19,512	\$ 19,512	\$ 6,245	\$ 19,527	\$ 19,527
Operating Revenues	<u>18,590</u>	<u>19,512</u>	<u>19,512</u>	<u>6,245</u>	<u>19,527</u>	<u>19,527</u>
Operating Expenses						
Personnel	2,498	2,560	2,560	826	2,553	2,553
Supplies	37	44	43	3	43	43
Current Year Claims	15,712	16,611	16,611	5,049	16,611	16,611
Services	387	345	345	95	352	352
Capital Outlay	0	0	0	0	0	0
Non-Capital Outlay	7	0	1	0	1	1
Operating Expenses	<u>18,641</u>	<u>19,560</u>	<u>19,560</u>	<u>5,973</u>	<u>19,560</u>	<u>19,560</u>
Operating Income (Loss)	(51)	(48)	(48)	272	(33)	(33)
Non-Operating Revenues (Expenses)						
Interest Income	29	45	45	8	30	30
Prior Year Recoveries	2	0	0	0	0	0
Other	38	3	3	0	3	3
Non-Operating Revenues (Expenses)	<u>69</u>	<u>48</u>	<u>48</u>	<u>8</u>	<u>33</u>	<u>33</u>
Net Income (Loss)	18	0	0	280	0	0
Net Assets, Beginning of Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Assets, End of Year	<u>\$ 18</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 280</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Accident Prevention, Loss Control and Workers' Compensation.

Asset Forfeiture Fund (2202,2203,2204)

The Houston Police Department receives asset forfeiture proceeds under guidelines set forth by the U.S. Department of Justice, the U.S. Treasury Department, and the State of Texas. These forfeiture proceeds are generated as a result of law enforcement efforts against illegal and criminal activity. Proceeds received under the various guidelines must be used for law enforcement purposes.

Auto Dealers Fund (2200)

The Auto Dealers Division of the Houston Police Department issues licenses to automotive businesses and vehicle/used parts salesmen. The Auto Dealers Division also monitors private storage facilities/salvage yards, auctions abandoned motor vehicles, and investigates complaints against automotive businesses/salesmen. The revenues generated from the fees are placed in the Auto Dealers Fund.

BARC Special Revenue Fund (2427)

BARC (Bureau of Animal Regulation and Care) is authorized to receive funds from any source for the purpose of supporting the maintenance and operation of the City's animal shelter facilities and programs, including without limitation, costs of necessary City personnel, equipment, supplies and facilities, education and animal adoption programs, veterinary services and supplies, and other needs of BARC.

Building Inspection Fund (2301)

The Public Works and Engineering Department administers the Building Inspection Special Revenue Fund, which ensures that buildings and structures constructed and maintained within the City adhere to the standards set by the City of Houston's Building Code. Permits and Licenses revenue make up approximately 75% of the revenue in this fund. This revenue is derived from the issuance of electrical, plumbing, AC and Boiler and construction permits.

Building (Court) Security Fund (2206)

The Building Security Fund began in FY1997, generating revenue from a \$3.00 fee charged to defendants convicted of a misdemeanor offense. The purpose of the fund is to aid in ensuring the safety of civilians and civil service employees while conducting business in Municipal Courts buildings.

Cable TV Fund (2401, 2428)

Operation of the Municipal Access Channel and its facilities is the responsibility of the Cable Communications Division of the Mayor's Office. The mission of the division is to effectively communicate to the public relevant information concerning municipal and related governmental and community services. The Cable Television Fund is supported through contributions of the cable television companies in Houston. The contributions are based upon a rate per subscriber and support public, educational, and municipal programming Houston.

Child Safety Fund (2209)

The Child Safety Fund was established to account for monies collected for public, parochial, and private school crossing guard programs. Revenues to the fund come from an assessment of a Municipal Court fee of \$5.00 on non-criminal municipal violations and an additional \$1.50 fee for each vehicle registration authorized by Harris County. If there is a surplus of funds, the City is allowed to keep 10% of the funds received for administrative fees. If a surplus exists after the payment of all covered contract expenses, the excess can be used to pay for programs designed to enhance child safety, health, or nutrition and administration costs of these programs.

Digital Automated Red Light Enforcement Program Fund (2212)

The Digital Automated Red Light Enforcement Program Fund (DARLEP), established in FY2009, tracks the financial and accounting balances from all penalties and fees collected and all costs associated with the operation and enforcement of the photographic traffic monitoring system.

Digital Houston Fund (2422)

The Digital Houston Fund was established to build a citywide wireless broadband Wi-Fi mesh network that will provide affordable, high-speed internet access to residents of and visitors to Houston. The network will enable municipal employees to work in the field continuously, reducing the need to use traditional landlines to complete their tasks. The fund will be necessary to receive payments and to allow the City to fund inclusion programs for low income and other individuals.

Fleet and Equipment Acquisition Fund (9002)

Fleet/Equipment Acquisition Fund was reclassified from an internal service fund to a special revenue fund during FY2009. Over the next few years, the acquisition of equipment will be gradually shifted to the operating budgets in the general fund. Departments will make payments to the Fleet/Equipment Acquisition Fund for the use of specific capital equipment, which will then pay the debt service associated with the equipment. This fund will be administered by the Finance Department.

Historic Preservation Fund (2306)

Historic Preservation, administered by the Planning and Development Department was established in FY2010 to utilize funds set aside from the sale of historic fire stations by the City in FY2009, promoting historic preservation of residential and commercial programs. The program utilizes city funds as seed money to encourage private investment, attract grant funds, and support educational programs that will further preservation efforts in Houston.

Houston Emergency Center Fund (2205)

The City of Houston's Neutral Public Safety Answering Point is one of the thirty-seven answering stations in the appropriate emergency agency, such as police, fire, and ambulance services. Calls are also referred to Harris county agencies such as Juvenile Crisis Hotline, Poison Control and Emergency Management Operations. The City of Houston is reimbursed from the special district for salaries, fringe benefits and other operating expenses for the answering station.

Houston Transtar Center Fund (2402)

Houston TranStar Center formerly known as the Greater Houston Transportation & Emergency Management Center. The Houston TranStar Center was built through a cooperative effort among the City of Houston, Harris County, Metropolitan Transit Authority (METRO) and the Texas Department of Transportation (TxDOT). The center houses personnel responsible for and/or involved with transportation and emergency management planning and operations in the Houston/Harris County area. Funding for the center is received from each member agency and is prorated based on occupancy and use of center facilities.

Juvenile Case Manager Fund (2211)

The Juvenile Case Manager Fund was established under Article 45.056 of the Texas Code of Criminal procedure to assess a juvenile case manager fee for each defendant convicted of a fine-only misdemeanor offense and may only be used to finance the salary and benefits of the juvenile case manager. This is also a new budgeted fund in FY2009.

Mobility Response Team Fund (2304)

The mission of the Mobility Response Team will be responding to and mitigating significant traffic congestion resulting from malfunctioning traffic signals, accidents, and other mobility issues throughout the City. The team is a partnership between the Police and Public Works and Engineering (PWE) Departments. Dedicated resources will be deployed 5:00 AM - 9:00 PM. They will specifically 1) respond to and mitigate acute traffic congestion throughout the City, 2) be dispatched via personnel at TranStar to identified areas, 3) Traffic Engineering staff will diagnose and provide solutions to operational and design problems, and 4) work together with PWE engineers to develop long term traffic management solutions.

Parking Management Fund (8700)

The Parking Management Fund is responsible for managing and providing on-street parking alternatives to the citizens in the greater Houston area. This fund is administered by the Administration and Regulatory Affairs Department.

Parks Golf Special Fund (2104)

The Parks Golf Special Fund was created to receive all City revenues derived from all city-owned golf facilities, whether operated by the City or private entities, including all related concession fees, to be used exclusively for the maintenance, operating and improvements of any or all of such golf courses.

Parks Special Revenue Fund (2100)

The Parks and Recreation Department administers the Parks Special Revenue Fund. The fund receives revenue from the rental of park facilities and three tennis centers. These funds are for repairs, replacement and the renovation of parks revenue producing facilities excluding golf.

Police Special Services Fund (2201)

The Police Special Services Fund was created to properly account for funds received from other agencies or organizations to reimburse HPD for cost of services for specific law enforcement purposes. These special services include HPD participation in joint police operations, security, traffic control for activities such as "fund runs", parade and festivals.

Recycling Expansion Program Fund (2305)

The Expansion of Recycling Program, established in FY2009, was created to allocate dedicated funds to be used for the expansion and implementation of the City's Recycling Programs. Efforts include city-wide tree waste recycling, additional neighborhood depository sites, curbside recycling and increased education and outreach. These efforts, when combined, will allow the City to greatly improve its current landfill diversion rate.

Supplemental Environmental Protection Fund (2404)

Environmental Investigations or Rat-On-a-Rat (ROAR) use Supplemental Environmental Funds for the advancement of the goals of clean air, water, and to enhance the community environment impacted by criminal environmental violators.

Swimming Pool Safety Fund (2009)

The Swimming Pool Safety Fund receives proceeds from enforcing municipal, state and federal pool and spa safety standards. State and federal pool and safety standards apply to all pools and spas serving more than two dwellings; in accordance with these requirements, operators of pool and spas at apartment or condominium projects are required to obtain permits and to comply with the requisite standards. The fees collected in pursuant of swimming pool and spa safety are used for the purposes of activities related to permitting, inspecting, monitoring, abating, controlling, educating and enforcement of municipal, state and federal standards.

Technology Fee Fund (2207)

The Technology Fee Fund was established in FY2001 to account for revenue generated from a \$4.00 technology fee for convictions on all traffic and non-traffic misdemeanor offenses occurring on or after June 26, 2000. The purpose of the fund is to finance technological enhancements for the Municipal Courts including computer systems, networks, hardware, software, imaging systems, electronic ticket-writers and docket management systems.

Asset Forfeiture Special Revenue Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Confiscations	\$ 6,902	\$ 5,873	\$ 5,873	\$ 2,706	\$ 5,873	\$ 5,873
Interest Income	39	34	34	16	34	34
Other	52	0	0	0	0	0
Total Revenues	<u>6,993</u>	<u>5,907</u>	<u>5,907</u>	<u>2,722</u>	<u>5,907</u>	<u>5,907</u>
Expenditures						
Personnel	1,951	2,618	2,618	335	2,618	2,618
Supplies	1,568	2,068	1,875	53	1,755	1,755
Other Services	1,560	2,219	2,484	608	2,604	2,604
Capital Purchases	210	100	97	0	97	97
Non-Capital Purchases	145	195	126	3	126	126
Total Expenditures	<u>5,434</u>	<u>7,200</u>	<u>7,200</u>	<u>999</u>	<u>7,200</u>	<u>7,200</u>
Net Current Activity	1,559	(1,293)	(1,293)	1,723	(1,293)	(1,293)
Fund Balance, Beginning of Year	<u>1,188</u>	<u>2,747</u>	<u>2,747</u>	<u>2,747</u>	<u>2,747</u>	<u>2,747</u>
Fund Balance, End of Year	<u>\$ 2,747</u>	<u>\$ 1,454</u>	<u>\$ 1,454</u>	<u>\$ 4,470</u>	<u>\$ 1,454</u>	<u>\$ 1,454</u>

Auto Dealers
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Auto Dealers Licenses	\$ 2,766	\$ 3,246	\$ 3,246	877	\$ 3,246	\$ 3,246
Vehicle Storage Notification	246	310	310	73	310	310
Vehicle Auction Fees	235	293	293	72	293	293
Interest Income	23	30	30	15	30	30
Other	2,489	2,592	2,592	766	2,592	2,592
Total Revenues	<u>5,759</u>	<u>6,471</u>	<u>6,471</u>	<u>1,803</u>	<u>6,471</u>	<u>6,471</u>
Expenditures						
Personnel	2,545	2,858	2,858	847	2,858	2,858
Supplies	115	218	218	115	218	218
Other Services	878	1,232	1,232	278	1,232	1,232
Capital Purchases	0	856	856	0	856	856
Total Expenditures	<u>3,538</u>	<u>5,164</u>	<u>5,164</u>	<u>1,240</u>	<u>5,164</u>	<u>5,164</u>
Other Financing Sources (Uses)						
Transfers Out	0	(2,190)	(2,190)	(574)	(2,190)	(2,190)
Total Other Financing Sources (Uses)	<u>0</u>	<u>(2,190)</u>	<u>(2,190)</u>	<u>(574)</u>	<u>(2,190)</u>	<u>(2,190)</u>
Net Current Activity	2,221	(883)	(883)	(11)	(883)	(883)
Fund Balance, Beginning of Year	<u>293</u>	<u>2,514</u>	<u>2,514</u>	<u>2,514</u>	<u>2,514</u>	<u>2,514</u>
Fund Balance, End of Year	<u>\$ 2,514</u>	<u>\$ 1,631</u>	<u>\$ 1,631</u>	<u>\$ 2,503</u>	<u>\$ 1,631</u>	<u>\$ 1,631</u>

BARC Special Revenue Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Licenses & Fees	\$ 806	\$ 822	\$ 822	\$ 359	\$ 822	\$ 822
Interest	32	48	48	10	48	48
Animal Adoption	140	190	190	40	190	190
Contributions	20	20	20	4	20	20
Other Revenue	2	0	0	1	0	0
Total Revenues	<u>1,000</u>	<u>1,080</u>	<u>1,080</u>	<u>414</u>	<u>1,080</u>	<u>1,080</u>
Expenditures						
Personnel	4,069	6,146	6,066	1,632	5,724	5,724
Supplies	628	709	709	171	738	738
Other Services	1,303	1,281	1,360	402	1,567	1,567
Non-Capital Outlay	2	2	5	1	5	5
Total Expenditures	<u>6,002</u>	<u>8,138</u>	<u>8,141</u>	<u>2,206</u>	<u>8,034</u>	<u>8,034</u>
Other Financing Sources (Uses)						
Operating Transfers - In	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>
Total Other Financing Sources (Uses)	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>	<u>6,122</u>
Net Current Activity	1,120	(936)	(939)	4,330	(832)	(832)
Fund Balance, Beginning of Year	<u>0</u>	<u>1,120</u>	<u>1,120</u>	<u>1,120</u>	<u>1,120</u>	<u>1,120</u>
Fund Balance, End of Year	<u>\$ 1,120</u>	<u>\$ 184</u>	<u>\$ 181</u>	<u>\$ 5,450</u>	<u>\$ 288</u>	<u>\$ 288</u>

Building Inspection Special Revenue Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Permits and Licenses	\$ 29,234	\$ 31,431	\$ 31,431	\$ 12,151	\$ 31,431	\$ 31,431
Charges for Services	10,003	12,500	12,500	4,753	12,500	12,500
Other	5,655	2,405	2,405	2,701	4,905	4,905
Interest Income	162	180	180	53	180	180
Total Revenues	<u>45,054</u>	<u>46,516</u>	<u>46,516</u>	<u>19,658</u>	<u>49,016</u>	<u>49,016</u>
Expenditures						
Personnel	35,198	37,544	37,544	12,033	37,094	37,094
Supplies	640	726	726	261	752	752
Other Services	5,288	7,504	7,504	1,303	7,504	7,504
Capital Outlay	225	0	0	0	0	0
Non-Capital Outlay	40	94	94	2	94	94
Total Expenditures	<u>41,391</u>	<u>45,868</u>	<u>45,868</u>	<u>13,599</u>	<u>45,444</u>	<u>45,444</u>
Other Financing Sources (Uses)						
Operating Transfers Out	<u>(6,277)</u>	<u>(4,071)</u>	<u>(4,071)</u>	<u>(1,217)</u>	<u>(6,550)</u>	<u>(6,550)</u>
Total Other Financing Sources (Uses)	<u>(6,277)</u>	<u>(4,071)</u>	<u>(4,071)</u>	<u>(1,217)</u>	<u>(6,550)</u>	<u>(6,550)</u>
Net Current Activity	(2,614)	(3,423)	(3,423)	4,842	(2,978)	(2,978)
Fund Balance, Beginning of Year	<u>8,672</u>	<u>6,058</u>	<u>6,058</u>	<u>6,058</u>	<u>6,058</u>	<u>6,058</u>
Fund Balance, End of Year	<u>\$ 6,058</u>	<u>\$ 2,635</u>	<u>\$ 2,635</u>	<u>\$ 10,900</u>	<u>\$ 3,080</u>	<u>\$ 3,080</u>

Building (Court) Security Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 941	\$ 950	\$ 950	\$ 284	\$ 950	\$ 950
Total Revenues	<u>941</u>	<u>950</u>	<u>950</u>	<u>284</u>	<u>950</u>	<u>950</u>
Expenditures						
Personnel	963	1,036	1,036	312	1,036	1,036
Supplies	0	0	0	0	0	0
Other Services	1	2	2	0	2	2
Equipment	0	0	0	0	0	0
Total Expenditures	<u>964</u>	<u>1,038</u>	<u>1,038</u>	<u>312</u>	<u>1,038</u>	<u>1,038</u>
Net Current Activity	(23)	(88)	(88)	(28)	(88)	(88)
Fund Balance, Beginning of Year	<u>124</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>
Fund Balance, End of Year	<u>\$ 101</u>	<u>\$ 13</u>	<u>\$ 13</u>	<u>\$ 73</u>	<u>\$ 13</u>	<u>\$ 13</u>

Cable TV
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 3,586	\$ 3,694	\$ 3,694	\$ 40	\$ 3,694	\$ 3,694
Total Revenues	<u>3,586</u>	<u>3,694</u>	<u>3,694</u>	<u>40</u>	<u>3,694</u>	<u>3,694</u>
Expenditures						
Maintenance and Operations	2,854	3,269	3,179	354	3,179	3,179
Equipment	116	302	392	23	392	392
Total Expenditures	<u>2,970</u>	<u>3,571</u>	<u>3,571</u>	<u>377</u>	<u>3,571</u>	<u>3,571</u>
Net Current Activity	616	123	123	(337)	123	123
Fund Balance, Beginning of Year	<u>1,411</u>	<u>2,027</u>	<u>2,027</u>	<u>2,027</u>	<u>2,027</u>	<u>2,027</u>
Fund Balance, End of Year	<u>\$ 2,027</u>	<u>\$ 2,150</u>	<u>\$ 2,150</u>	<u>\$ 1,690</u>	<u>\$ 2,150</u>	<u>\$ 2,150</u>

Child Safety Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest on Investments	\$ 18	\$ 50	\$ 50	7	\$ 50	\$ 50
Municipal Courts Collections	2,341	2,300	2,300	801	2,300	2,300
Harris County Collections	823	732	732	239	732	732
Total Revenues	<u>3,182</u>	<u>3,082</u>	<u>3,082</u>	<u>1,047</u>	<u>3,082</u>	<u>3,082</u>
Expenditures						
School Crossing Guard Program	3,103	3,079	3,079	778	3,079	3,079
Miscellaneous Parts and Supplies	3	3	3	0	3	3
Total Expenditures	<u>3,106</u>	<u>3,082</u>	<u>3,082</u>	<u>778</u>	<u>3,082</u>	<u>3,082</u>
Net Current Activity	76	0	0	269	0	0
Fund Balance, Beginning of Year	<u>25</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>	<u>101</u>
Fund Balance, End of Year	<u>\$ 101</u>	<u>\$ 101</u>	<u>\$ 101</u>	<u>\$ 370</u>	<u>\$ 101</u>	<u>\$ 101</u>

Digital Automated Red Light Enforcement Program Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Red Light Enforcement Revenue	\$ 6,185	\$ 441	\$ 441	\$ 82	\$ 441	\$ 441
Interest Income	64	8	8	13	18	18
Total Revenues	<u>6,249</u>	<u>449</u>	<u>449</u>	<u>95</u>	<u>459</u>	<u>459</u>
Expenditures						
Personnel	2,236	0	0	11	0	0
Supplies	11	1	1	0	1	1
Other Services	3,257	101	101	14	149	149
Capital Purchases	(56)	0	0	0	0	0
Debt Service	150	0	0	0	0	0
State of Texas' Share	2,079	117	117	0	121	121
Total Expenditures	<u>7,677</u>	<u>219</u>	<u>219</u>	<u>25</u>	<u>271</u>	<u>271</u>
Net Current Activity	(1,428)	230	230	70	188	188
Fund Balance, Beginning of Year	<u>1,908</u>	<u>480</u>	<u>480</u>	<u>480</u>	<u>480</u>	<u>480</u>
Fund Balance, End of Year	<u>\$ 480</u>	<u>\$ 710</u>	<u>\$ 710</u>	<u>\$ 550</u>	<u>\$ 668</u>	<u>\$ 668</u>

Digital Houston Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
WIFI Revenues						
Interest Income	\$ 47	\$ 140	\$ 140	\$ 11	\$ 50	\$ 50
Total Revenues	<u>47</u>	<u>140</u>	<u>140</u>	<u>11</u>	<u>50</u>	<u>50</u>
Expenditures						
Personnel	187	197	197	65	197	197
Supplies	3	5	5	0	5	5
Other Services	139	595	595	18	595	595
Equipment	18	252	282	78	282	282
Capital Purchases	0	208	178	0	178	178
Total Expenditures	<u>347</u>	<u>1,257</u>	<u>1,257</u>	<u>161</u>	<u>1,257</u>	<u>1,257</u>
Net Current Activity	(300)	(1,117)	(1,117)	(150)	(1,207)	(1,207)
Fund Balance, Beginning of Year	2,702	\$ 2,402	\$ 2,402	\$ 2,402	\$ 2,402	\$ 2,402
Fund Balance, End of Year	<u>\$ 2,402</u>	<u>\$ 1,285</u>	<u>\$ 1,285</u>	<u>\$ 2,252</u>	<u>\$ 1,195</u>	<u>\$ 1,195</u>

Fleet and Equipment Acquisition Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Expenditure						
Capital Purchase	\$ 122	\$ 0	\$ 0	\$ 18	\$ 0	\$ 0
Total Operating Expenditure	<u>122</u>	<u>0</u>	<u>0</u>	<u>18</u>	<u>0</u>	<u>0</u>
Non-Operating Transfers Revenues (Expenditures)						
Sale of Property, Mains and Scrap	919	5	0	9	5	5
Interest Income	44	50	50	10	50	50
Inter Fund Billings - Fleet	0	0	0	0	0	0
Transfer from General Fund	11,707	0	0	0	0	0
Transfer to General Fund	(2,600)	0	0	0	0	0
Transfer from Spec. Rev.	0	0	0	0	0	0
Transfer to PIB Debt Service	(11,707)	0	0	0	0	0
Other	0	0	0	0	0	0
Total Non-Operating Transfers Revenues (Expenditures)	<u>(1,637)</u>	<u>55</u>	<u>50</u>	<u>19</u>	<u>55</u>	<u>55</u>
Net Current Activity	(1,609)	55	50	1	55	55
Fund Balance, Beginning of Year	2,033	424	424	424	424	424
Fund Balance, End of Year	<u>\$ 424</u>	<u>\$ 479</u>	<u>\$ 474</u>	<u>\$ 425</u>	<u>\$ 479</u>	<u>\$ 479</u>

Historic Preservation Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 15	\$ 20	\$ 20	\$ 4	\$ 20	\$ 20
Other Interfund Services	14	0	0	0	0	0
Total Revenues	<u>29</u>	<u>20</u>	<u>20</u>	<u>4</u>	<u>20</u>	<u>20</u>
Expenditures						
Other Services	18	810	810	1	810	810
Total Expenditures	<u>18</u>	<u>810</u>	<u>810</u>	<u>1</u>	<u>810</u>	<u>810</u>
Net Current Activity	11	(790)	(790)	3	(790)	(790)
Fund Balance, Beginning of Year	<u>842</u>	<u>853</u>	<u>853</u>	<u>853</u>	<u>853</u>	<u>853</u>
Fund Balance, End of Year	<u>\$ 853</u>	<u>\$ 63</u>	<u>\$ 63</u>	<u>\$ 856</u>	<u>\$ 63</u>	<u>\$ 63</u>

Houston Emergency Center
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 21,716	\$ 23,592	\$ 23,592	\$ 8,453	\$ 23,592	\$ 23,592
Total Revenues	<u>21,716</u>	<u>23,592</u>	<u>23,592</u>	<u>8,453</u>	<u>23,592</u>	<u>23,592</u>
Expenditures						
Maintenance and Operations	20,496	23,592	23,592	6,373	23,592	23,592
Total Expenditures	<u>20,496</u>	<u>23,592</u>	<u>23,592</u>	<u>6,373</u>	<u>23,592</u>	<u>23,592</u>
Net Current Activity	1,220	0	0	2,080	0	0
Fund Balance, Beginning of Year	<u>123</u>	<u>1,343</u>	<u>1,343</u>	<u>1,343</u>	<u>1,343</u>	<u>1,343</u>
Fund Balance, End of Year	<u>\$ 1,343</u>	<u>\$ 1,343</u>	<u>\$ 1,343</u>	<u>\$ 3,423</u>	<u>\$ 1,343</u>	<u>\$ 1,343</u>

Houston Transtar Center
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Other Grant Awards	\$ 1,573	\$ 1,406	\$ 1,406	\$ 471	\$ 1,756	\$ 1,756
Other Service Charges	714	610	610	157	610	610
Misc. Revenue	212	0	0	94	94	94
Interest Income	18	15	15	8	15	15
Total Revenues	<u>2,517</u>	<u>2,031</u>	<u>2,031</u>	<u>730</u>	<u>2,475</u>	<u>2,475</u>
Expenditures						
Maintenance and Operations	<u>1,789</u>	<u>2,309</u>	<u>2,309</u>	<u>473</u>	<u>2,309</u>	<u>2,309</u>
Total Expenditures	<u>1,789</u>	<u>2,309</u>	<u>2,309</u>	<u>473</u>	<u>2,309</u>	<u>2,309</u>
Net Current Activity	728	(278)	(278)	257	166	166
Fund Balance, Beginning of Year	<u>610</u>	<u>1,338</u>	<u>1,338</u>	<u>1,338</u>	<u>1,338</u>	<u>1,338</u>
Fund Balance, End of Year	<u>\$ 1,338</u>	<u>\$ 1,060</u>	<u>\$ 1,060</u>	<u>\$ 1,595</u>	<u>\$ 1,504</u>	<u>\$ 1,504</u>

Juvenile Case Manager
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 887	\$ 870	\$ 870	\$ 284	\$ 870	\$ 870
Total Revenues	<u>887</u>	<u>870</u>	<u>870</u>	<u>284</u>	<u>870</u>	<u>870</u>
Expenditures						
Personnel	665	839	839	273	839	839
Supplies	0	0	0	0	0	0
Other Services and Charges	31	29	29	7	29	29
Total Expenditures	<u>696</u>	<u>868</u>	<u>868</u>	<u>280</u>	<u>868</u>	<u>868</u>
Net Current Activity	191	2	2	4	2	2
Fund Balance, Beginning of Year	<u>1,277</u>	<u>1,468</u>	<u>1,468</u>	<u>1,468</u>	<u>1,468</u>	<u>1,468</u>
Fund Balance, End of Year	<u>\$ 1,468</u>	<u>\$ 1,470</u>	<u>\$ 1,470</u>	<u>\$ 1,472</u>	<u>\$ 1,470</u>	<u>\$ 1,470</u>

Mobility Response Team Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 92	\$ 45	\$ 45	\$ 20	\$ 45	\$ 45
Other Income	92	0	0	0	400	400
Total Revenues	<u>184</u>	<u>45</u>	<u>45</u>	<u>20</u>	<u>445</u>	<u>445</u>
Expenditures						
Personnel	2,063	2,308	1,979	703	2,308	2,308
Supplies	74	113	105	2	103	103
Other Services	48	445	782	10	784	784
Capital Purchases	93	0	0	0	0	0
Total Expenditures	<u>2,278</u>	<u>2,866</u>	<u>2,866</u>	<u>715</u>	<u>3,195</u>	<u>3,195</u>
Other Financing Sources (Uses)						
Transfer In	732	100	100	0	100	100
Total Other Financing Sources (Uses)	<u>732</u>	<u>100</u>	<u>100</u>	<u>0</u>	<u>100</u>	<u>100</u>
Net Current Activity	(1,362)	(2,721)	(2,721)	(695)	(2,650)	(2,650)
Fund Balance, Beginning of Year	<u>5,733</u>	<u>4,371</u>	<u>4,371</u>	<u>4,371</u>	<u>4,371</u>	<u>4,371</u>
Fund Balance, End of Year	<u>\$ 4,371</u>	<u>\$ 1,650</u>	<u>\$ 1,650</u>	<u>\$ 3,676</u>	<u>\$ 1,721</u>	<u>\$ 1,721</u>

Parking Management Special Revenue Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Parking Violations	\$ 7,132	\$ 10,710	\$ 10,710	\$ 3,393	\$ 10,440	\$ 10,440
Parking Fees	7,045	8,897	8,897	1,204	7,469	7,469
Permit Fees	312	283	283	98	292	292
Other Revenue	1,273	2	2	11	11	11
Interest Income	97	50	50	17	50	50
Total Revenues	<u>15,859</u>	<u>19,942</u>	<u>19,942</u>	<u>4,723</u>	<u>18,262</u>	<u>18,262</u>
Expenses						
Personnel	3,146	3,797	3,797	1,178	3,797	3,797
Supplies	536	573	538	21	538	538
Other Services	2,614	3,961	3,931	382	3,931	3,931
Capital Outlay	0	0	85	0	85	85
Non-Capital Outlay	47	26	6	(17)	6	6
Total Expenses	<u>6,343</u>	<u>8,357</u>	<u>8,357</u>	<u>1,564</u>	<u>8,357</u>	<u>8,357</u>
Other Financing Sources (Uses)						
Transfers (to) from Special	(94)	0	0	0	0	0
Operating Transfers - In (Out)	(8,166)	(9,117)	(9,117)	(1,750)	(8,117)	(8,117)
Transfers for Interest	(1,278)	(1,513)	(1,513)	0	(1,513)	(1,513)
Total Other Financing Sources (Uses)	<u>(9,538)</u>	<u>(10,630)</u>	<u>(10,630)</u>	<u>(1,750)</u>	<u>(9,630)</u>	<u>(9,630)</u>
Net Current Activity	(22)	955	955	1,409	275	275
Fund Balance, Beginning of Year	<u>1,656</u>	<u>1,634</u>	<u>1,634</u>	<u>1,634</u>	<u>1,634</u>	<u>1,634</u>
Fund Balance, End of Year	<u>\$ 1,634</u>	<u>\$ 2,589</u>	<u>\$ 2,589</u>	<u>\$ 3,043</u>	<u>\$ 1,909</u>	<u>\$ 1,909</u>

Beginning July 1, 2010, Parking Management has been reclassified to Special Revenue Fund from the Enterprise Fund. The FY2010 actuals are reflected above in the Special Revenue format.

Parks Golf Special Revenue Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited		FY2012			
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 0	\$ 1,313	\$ 1,313	\$ 465	\$ 1,309	\$ 1,309
Rental of Property	0	1,132	1,132	365	1,132	1,132
Interest Income	0	0	0	2	4	4
Golf	0	3,515	3,515	1,167	3,367	3,367
Other	0	32	32	162	180	180
Total Revenues	<u>0</u>	<u>5,992</u>	<u>5,992</u>	<u>2,161</u>	<u>5,992</u>	<u>5,992</u>
Expenditures						
Personnel	0	4,031	4,031	1,273	4,031	4,031
Supplies	0	858	858	230	858	858
Other Services	0	890	890	170	890	890
Capital Outlay	0	0	0	0	0	0
Total Expenditures	<u>0</u>	<u>5,779</u>	<u>5,779</u>	<u>1,673</u>	<u>5,779</u>	<u>5,779</u>
Operating Transfers						
Operating Transfers (Out)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Operating Transfers	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	0	213	213	488	213	213
Fund Balance, Beginning of Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Fund Balance, End of Year	<u>\$ 0</u>	<u>\$ 213</u>	<u>\$ 213</u>	<u>\$ 488</u>	<u>\$ 213</u>	<u>\$ 213</u>

Parks Special Revenue Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited		FY2012			
	Preliminary FY2011	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 1,672	\$ 1,650	\$ 337	\$ 108	\$ 337	\$ 337
Facility Admissions/User Fees	53	51	51	15	51	51
Program Fees	561	436	436	143	436	436
Rental of Property	1,552	1,603	472	159	472	472
Licenses and Permits	205	177	177	43	177	177
Interest Income	85	100	100	18	100	100
Tennis	3,511	3,680	165	71	165	165
Other	155	85	52	22	52	52
Total Revenues	<u>7,794</u>	<u>7,782</u>	<u>1,790</u>	<u>579</u>	<u>1,790</u>	<u>1,790</u>
Expenditures						
Personnel	4,396	4,464	432	143	432	432
Supplies	1,177	1,467	606	30	606	606
Other Services	1,253	1,602	964	401	964	964
Capital Outlay	15	249	0	0	0	0
Total Expenditures	<u>6,841</u>	<u>7,782</u>	<u>2,002</u>	<u>574</u>	<u>2,002</u>	<u>2,002</u>
Operating Transfers						
Operating Transfers (Out)	<u>(410)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Operating Transfers	<u>(410)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	543	0	(212)	5	(212)	(212)
Fund Balance, Beginning of Year	<u>4,196</u>	<u>4,739</u>	<u>4,739</u>	<u>4,739</u>	<u>4,739</u>	<u>4,739</u>
Fund Balance, End of Year	<u>\$ 4,739</u>	<u>\$ 4,739</u>	<u>\$ 4,527</u>	<u>\$ 4,744</u>	<u>\$ 4,527</u>	<u>\$ 4,527</u>

Police Special Services Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Police Fees	\$ 16,651	\$ 13,675	\$ 13,675	\$ 3,510	\$ 14,233	\$ 14,233
Interest Income	185	200	200	52	200	200
Other	2,517	2,751	2,751	408	2,951	2,951
Interfund Transfers	6,015	8,698	8,698	2,813	5,625	5,625
Total Revenues	<u>25,368</u>	<u>25,324</u>	<u>25,324</u>	<u>6,783</u>	<u>23,009</u>	<u>23,009</u>
Expenditures						
Personnel	18,994	23,337	23,337	4,813	22,837	22,837
Supplies	3,758	2,812	2,812	432	2,827	2,827
Other Services	3,759	2,475	2,475	129	2,453	2,453
Capital Purchases	95	0	0	4	4	4
Non-Capital Purchases	10	100	100	7	103	103
Total Expenditures	<u>26,616</u>	<u>28,724</u>	<u>28,724</u>	<u>5,385</u>	<u>28,224</u>	<u>28,224</u>
Net Current Activity	(1,248)	(3,400)	(3,400)	1,398	(5,215)	(5,215)
Fund Balance, Beginning of Year	<u>8,196</u>	<u>6,948</u>	<u>6,948</u>	<u>6,948</u>	<u>6,948</u>	<u>6,948</u>
Fund Balance, End of Year	<u>\$ 6,948</u>	<u>\$ 3,548</u>	<u>\$ 3,548</u>	<u>\$ 8,346</u>	<u>\$ 1,733</u>	<u>\$ 1,733</u>

Recycling Expansion Program Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 1,278	\$ 1,114	\$ 1,114	\$ 294	\$ 1,114	\$ 1,114
Interest Income	36	46	46	12	46	46
Miscellaneous	39	35	35	16	35	35
Interfund Transfers	0	0	0	\$ 0	\$ 0	\$ 0
Total Revenues	<u>1,353</u>	<u>1,195</u>	<u>1,195</u>	<u>322</u>	<u>1,195</u>	<u>1,195</u>
Expenditures						
Personnel	64	287	287	97	287	287
Supplies	4	6	6	0	6	6
Other Services	338	588	581	173	581	581
Non-Capital Purchases	0	0	7	0	7	7
Total Expenditures	<u>406</u>	<u>881</u>	<u>881</u>	<u>270</u>	<u>881</u>	<u>881</u>
Operating Transfers						
Operating Transfers In	0	0	0	0	0	0
Operating Transfers (Out)	(331)	(467)	(467)	0	(467)	(467)
Total Operating Transfers	<u>(331)</u>	<u>(467)</u>	<u>(467)</u>	<u>0</u>	<u>(467)</u>	<u>(467)</u>
Net Current Activity	616	(153)	(153)	52	(153)	(153)
Fund Balance, Beginning of Year	<u>1,607</u>	<u>2,223</u>	<u>2,223</u>	<u>2,223</u>	<u>2,223</u>	<u>2,223</u>
Fund Balance, End of Year	<u>\$ 2,223</u>	<u>\$ 2,070</u>	<u>\$ 2,070</u>	<u>\$ 2,275</u>	<u>\$ 2,070</u>	<u>\$ 2,070</u>

Supplemental Environmental Protection
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 111	\$ 145	\$ 145	\$ 3	\$ 145	\$ 145
Interest Income	5	5	5	1	5	5
Total Revenues	<u>116</u>	<u>150</u>	<u>150</u>	<u>4</u>	<u>150</u>	<u>150</u>
Expenditures						
Supplies	38	22	22	0	22	22
Other Services	7	85	85	20	85	85
Capital Purchases	112	149	145	27	145	145
Non-Capital Purchases	31	16	20	0	20	20
Total Expenditures	<u>188</u>	<u>272</u>	<u>272</u>	<u>47</u>	<u>272</u>	<u>272</u>
Net Current Activity	(72)	(122)	(122)	(43)	(122)	(122)
Fund Balance, Beginning of Year	<u>272</u>	<u>200</u>	<u>200</u>	<u>200</u>	<u>200</u>	<u>200</u>
Fund Balance, End of Year	<u>\$ 200</u>	<u>\$ 78</u>	<u>\$ 78</u>	<u>\$ 157</u>	<u>\$ 78</u>	<u>\$ 78</u>

Swimming Pool Safety Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 455	\$ 0	\$ 925	\$ 258	\$ 925	\$ 925
Total Revenues	<u>455</u>	<u>0</u>	<u>925</u>	<u>258</u>	<u>925</u>	<u>925</u>
Expenditures						
Personnel	305	0	820	217	820	820
Supplies	13	0	26	4	41	41
Other Services	14	0	78	11	63	63
Non-Capital Purchases	24	0	0	0	0	0
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>356</u>	<u>0</u>	<u>924</u>	<u>232</u>	<u>924</u>	<u>924</u>
Net Current Activity	99	0	1	26	1	1
Fund Balance, Beginning of Year	<u>0</u>	<u>99</u>	<u>99</u>	<u>99</u>	<u>99</u>	<u>99</u>
Fund Balance, End of Year	<u>\$ 99</u>	<u>\$ 99</u>	<u>\$ 100</u>	<u>\$ 125</u>	<u>\$ 100</u>	<u>\$ 100</u>

Technology Fee Fund
For the period ended October 31, 2011
(amounts expressed in thousands)

	Unaudited Preliminary FY2011	FY2012				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Municipal Court Fines	\$ 1,416	\$ 1,423	\$ 1,423	\$ 456	\$ 1,423	\$ 1,423
Interest Income	20	21	21	3	21	21
Total Revenues	<u>1,436</u>	<u>1,444</u>	<u>1,444</u>	<u>459</u>	<u>1,444</u>	<u>1,444</u>
Expenditures						
Personnel	564	0	0	0	0	0
Other Services	820	1,272	1,272	149	1,272	1,272
Debt Service	400	350	350	0	350	350
Total Expenditures	<u>1,784</u>	<u>1,622</u>	<u>1,622</u>	<u>149</u>	<u>1,622</u>	<u>1,622</u>
Net Current Activity	(348)	(178)	(178)	310	(178)	(178)
Fund Balance, Beginning of Year	<u>855</u>	<u>507</u>	<u>507</u>	<u>507</u>	<u>507</u>	<u>507</u>
Fund Balance, End of Year	<u>\$ 507</u>	<u>\$ 329</u>	<u>\$ 329</u>	<u>\$ 817</u>	<u>\$ 329</u>	<u>\$ 329</u>

City of Houston, Texas
Commercial Paper Issued and Available
For the period ended October 31, 2011
(amounts expressed in millions)

COMMERCIAL PAPER	Draws FY12	Draws Month	Refunded FY12	Amount Available to be Drawn	Amount Outstanding
General Obligation					
<i><u>Voter Authorized 2001 & 2006 Election</u></i>					
Series G	0.00	0.00	0.00	215.90	60.10
Series H-1	0.00	0.00	0.00	69.50	30.50
Series H-2	30.00	0.00	0.00	2.25	47.75
Series J	0.00	0.00	0.00	125.00	0.00
<i><u>Non-Voter Authorized</u></i>					
Series E1-Equipment & Capital	10.00	0.00	50.00	76.00	24.00
Series E2- Equipment & Capital	30.00	0.00	0.00	64.05	36.05
Series E2- Metro Street Projects	0.00	0.00	3.55	29.40	20.50
Series H - Drainage	10.00	10.00	0.00	5.45	44.55
Total General Obligation	80.00	10.00	53.55	587.55	263.45
Combined Utility System					
Series A	0.00	0.00	0.00	0.00	0.00
Series B-1	0.00	0.00	0.00	244.60	5.40
Series B-2	0.00	0.00	0.00	75.00	0.00
Series B-3	0.00	0.00	0.00	75.00	0.00
Series B-4	0.00	0.00	0.00	100.00	0.00
Series B-6	0.00	0.00	0.00	100.00	0.00
Total Combined Utility System	0.00	0.00	0.00	594.60	5.40
Airport System					
Series A&B	0.00	0.00	0.00	150.00	0.00
Total Airport System	0.00	0.00	0.00	150.00	0.00
Convention & Entertainment					
Series A	0.00	0.00	0.00	7.00	43.00
Total Convention and Entertainment	0.00	0.00	0.00	7.00	43.00
Totals	\$ 80.00	\$ 10.00	\$ 53.55	\$ 1,339.15	\$ 311.85

City of Houston, Texas
Summarized Construction/Bond Fund Status Report
For the period ended October 31, 2011
(amounts expressed in thousands)

Purpose	Available for Appropriation	Last month Available for Appropriation
Dangerous Buildings		
Total Dangerous Buildings Funds	\$ 3,012	\$ 3,012
Equipment Acquisition and Other Capital		
Total Equipment Acquisition and Other Capital	167,813	118,784
Public Improvement		
Total Fire Department	8,504	8,504
Total Housing	13,693	13,693
Total General Improvement	7,017	7,451
Total Public Health and Welfare	6,823	6,887
Total Public Library	9,247	9,249
Total Parks and Recreation	7,957	8,082
Total Police Department	14,088	16,741
Total Solid Waste	5,593	5,593
Total Storm Sewer	8,536	4,245
Total Street & Bridge except Metro	93,679	98,156
Street & Bridge - Metro Projects	11,485	11,461
Total Public Improvement	186,623	190,063
Airport		
Total Airport	791,828	731,448
Convention and Entertainment Facilities		
Total Convention and Entertainment	31,847	31,701
Combined Utility System		
Total Combined Utility System - Any Purpose	169,473	214,558
Combined Utility System - Restricted Purposes	16,538	16,536
Total Combined Utility System	186,011	231,094
Total All Purposes	\$ 1,367,134	\$ 1,306,102

City of Houston, Texas
Construction & Bond Status Report
For the period ended October 31, 2011
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available (a)	Unexpended Appropriation	Available for Appropriation
Dangerous Buildings							
1801D4	Dangerous Building Demolition Series 2007B	9,000	0	0	0	0	0
1801D5	Dangerous Buildings Series 2010	9,000	4,099	0	0	0	0
1801	Dangerous Bldg. Consolidations	N/A	(276)	N/A	4,278	1,266	3,012
Total Dangerous Building Funds		18,000	3,823	0	4,278	1,266	3,012
Equipment and Other Capital							
1800D1	Series E-1 Equipment & Capital Consolidating	158,382	0	126,222	138,886	0	138,886
1800D3	Series E-2 Equipment & Capital Consolidating	95,100	0	60,100	12,100	0	12,100
4039	Miscellaneous Capital Projects Series E	20,000	(36)	28,778	25,645	20,749	4,895
1800	Equipment Acquisition Consolidated Fund	N/A	5,306	N/A	30,379	24,965	5,415
1850	Reimbursable of Equipment/Projects to Debt Service	N/A	11,789	0	15,948	9,431	6,517
Total Equipment Acquisition Funds		273,482	17,058	215,100	222,958	55,145	167,813
Public Improvement							
4017	Fire Dept. Emergency Alerting System	N/A	1,455	0	1,455	66	1,390
4804C	Fire CP Series H/J (D) 2006 Election	13,500	2,000	1,500	0	0	0
4805C	Fire CP Series G 2006 Election	10,000	0	10,000	0	0	0
4500	Fire Bond Consolidated	N/A	377	0	13,769	6,654	7,115
Total Fire Department		23,500	3,833	11,500	15,224	6,720	8,504
4801P	Housing CP Series H/J (D) 2001 Election	3,270	0	3,270	0	0	0
4803P	Housing CP Series G 2001 Election	10,610	210	10,400	0	0	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	0	0	0
4501	Housing Consolidated Fund	N/A	313	0	21,238	7,545	13,693
Total Housing		21,255	523	21,045	21,238	7,545	13,693
4803D	General Improvment CP Series G 2001 Election	7,963	0	0	0	0	0
4804D	General Improvment CP Series H/J (D) 2006 Election	13,550	1,000	2,500	0	0	0
4805D	General Improvment CP Series G 2006 Election	13,450	0	9,450	0	0	0
4509	General Improvement Consolidated Fund	N/A	1,033	0	12,913	5,895	7,017
4023	Certificates of Obligation Series 2001A (Cotswold)	12,200	0	0	0	0	0
4025	MUD Series 2001A	9,235	0	0	0	0	0
4026	Certificates of Obligation Series 2002A (Cotswold)	12,400	0	0	0	0	0
4028	MUD PIBS Series 2003A-1	2,100	0	0	0	0	0
Total General Improvement		70,898	2,033	11,950	12,913	5,895	7,017
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	1,000	650	0	0	0
4805H	Public Health CP Series G 2006 Election	8,900	0	8,900	0	0	0
4508	Public Health Consolidated Fund	N/A	399	0	10,931	4,109	6,823
Total Public Health & Welfare		17,000	1,399	9,550	10,931	4,109	6,823
4018	Library Capital Projects Fund	N/A	2,369	0	2,369	0	2,369
4033	Friends of Libraries Series E (06)	0	0	0	0	0	0
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	500	11,675	0	0	0
4805E	Public Library CP Series G 2006 Election	2,000	0	2,000	0	0	0
4507	Public Library Consolidated Fund	N/A	257	0	14,986	8,108	6,878
Total Public Library		32,575	3,126	13,675	17,355	8,108	9,247
4011	Parks Capital Project Fund	N/A	579	0	579	168	411
4012	Parks Special Fund	N/A	1,825	0	1,785	1,079	706
4038	Land Acquisition - Soccer Series E	0	1	0	1	1	0
4803F	Parks & Recreation CP Series G 2001 Election	0	0	0	0	0	0
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	1,500	12,400	0	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	0	5,000	0	0	0
4502	Parks Consolidated Fund	N/A	3,151	0	19,055	12,216	6,839
Total Parks and Recreation		28,100	7,055	17,400	21,420	13,464	7,957
4041	Fondren Police Station Series E	1,618	95	0	14	0	14
4804G	Police CP Series H/J (D) 2006 Election	40,950	0	20,345	0	0	0
4504	Police Consolidated Fund	N/A	41	0	21,043	6,969	14,074
Total Police Department		42,568	135	20,345	21,057	6,969	14,088
4001	Solid Waste Special Revenue Fund	N/A	395	0	395	0	395
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	0	0	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	0	250	0	0	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	0	5,250	0	0	0
4503	Solid Waste Consolidated Fund	N/A	1,138	0	6,629	1,431	5,198
Total Solid Waste		12,322	1,533	5,500	7,024	1,431	5,593
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	0	0
4505	Storm Sewer Consolidated Fund	N/A	567	0	2,705	2,045	660
4030	Series H (F) Drainage Improvement Commercial Paper	101,300	5,141	65,000	69,191	61,725	7,466
4024	Series C Commercial Paper Storm & Overlay Fund	N/A	1,747	0	1,741	1,332	410
Total Storm Sewer		103,450	7,455	67,150	73,637	65,102	8,536
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	0	0	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	4,000	29,280	0	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	0	0	0
4506	Street & Bridge Consolidated Fund	N/A	676	0	172,032	92,464	79,568
4006	Street & Bridge Construction Fund	N/A	4,241	0	4,221	(134)	4,355
4034	Limited Use Roadway & Mobility Capital Fund	26,000	542	0	542	289	253
2304	Mobility Response Team	10,000	3,754	0	3,671	265	3,406
4010	MTA Construction Fund	N/A	2,094	0	2,094	496	1,598
4801S	St., Bridges Utility Relocation Set-Aside	7,000	241	5,400	5,641	1,141	4,500
Total Street and Bridge without Metro		370,980	15,548	175,080	188,201	94,522	93,679
4027	Metro Street Fund Series E (04)	49,900	4,478	8,400	12,371	887	11,485
Total Public Improvement		772,548	47,118	361,595	401,373	214,751	186,623

City of Houston, Texas
Construction & Bond Status Report
For the period ended October 31, 2011
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resources Available (a)	Unexpended Appropriation	Available for Appropriation
Airport System							
8201A1	Airport System Construction 2002A (AMT)	129,120	0	0	0	0	0
8201	Airport System Consolidated 2001 (AMT)	200,000	2,070	N/A	1,848	1,153	695
	Sub-Total	329,120	2,070	0	1,848	1,153	695
8202A2	Airport System 2002B (Non-AMT) Const.	213,347	0	0	0	0	0
8202	Airport System Consolidated 2001 (Non-AMT)	100,000	3,012	0	3,006	1,465	1,542
	Sub-Total	313,347	3,012	0	3,006	1,465	1,542
8200A2	Airport System RevBd 2000A (AMT)	327,225	0	0	0	0	0
8200	Airport System Consolidated Const 2000 (AMT)	N/A	4,812	0	4,594	4,975	(380)
	Sub-Total	327,225	4,812	0	4,594	0	(380)
8203A1	Airport System Commercial Paper 2004 (AMT)	232,000	0	0	0	0	0
8203A2	Airport System 2009A PAB Construction		44,323	0	0	0	0
8203	Airport System Consolidated Const. 2004 (AMT)	N/A	27,684	0	65,137	15,787	49,350
	Sub-Total	232,000	72,006	0	65,137	15,787	49,350
8204A2	Airport System Commercial Paper 2008 (Non-AMT)	68,000	0	0	0	0	0
8204A3	Airport System 2009A Non-PAB Construction	N/A	5,920	0	0	0	0
8204	Airport System Consolidated Const. 2004 (Non-AMT)	N/A	6,230	0	12,150	1,486	10,664
	Sub-Total	68,000	12,150	0	12,150	1,486	10,664
8205A1	Airport System Commercial Paper Construction (AMT)	232,000,000	0	232,000	0	0	0
8205	Airport System Consolidated Construction 2011 (AMT)	N/A	0	0	232,000	0	232,000
	Sub-Total	232,000,000	0	232,000	232,000	0	232,000
8206A1	Airport System Commercial Paper Construction (Non-AMT)	68,000,000	0	68,000	0	0	0
8206	Airport System Consolidated Construction 2011 (Non-AMT)	N/A	0	0	68,000	0	68,000
	Sub-Total	68,000,000	0	68,000	68,000	0	68,000
	Total Airport Consolidated Funds	301,269,692	94,050	300,000	386,735	19,891	361,870
8006	Airport System Rev Bd fund - 1998B (AMT)	395,643	5,128	0	5,115	1,140	3,975
8008	Airport System RevBd 2000B (Non-AMT) Const.	269,240	116	0	10	9	1
8010	Airport System R & R Fund	N/A	24,032	0	24,050	2,991	21,059
8011	Airport System Improvement Fund	N/A	517,211	0	509,564	104,641	404,923
	Total Other Funds	664,883	546,487	0	538,738	108,780	429,958
	Total Airport	301,934,575	640,537	300,000	925,474	128,672	791,828
Convention & Entertainment Facilities							
8800	GRB Consolidated Construction Fund	N/A	1,183	N/A	1,183	1,113	70
	Total GRB Construction Funds	0	1,183	0	1,183	1,113	70
8614	Convention & Ent. Comm. Paper-Ser A - 2003	53,500	0	31,000	31,000	0	31,000
8632	Convention & Ent. Underground Parking	21,500	0	200	200	0	200
8611	C & E Construction Fund	N/A	2,270	0	2,258	1,681	577
	Total Civic Center	75,000	3,453	31,200	34,641	2,794	31,847
Combined Utility System - Unrestricted							
8500A2	Water & Sewer TWDB Available Funds	N/A	0	0	0	0	0
8500A1	Combined Utility System CP Fund		0	592,600	0	0	0
8500	W&S Consolidated Construction	N/A	18,576	0	584,180	414,707	169,473
	Funds	0	18,576	592,600	584,180	414,707	169,473
Restricted Bonds and Capital Money							
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	0	2,000
8319	Water Contributed Capital Fund	N/A	34,035	0	28,471	19,785	8,686
8327	Sewer Reg Cap Recovery Fd	N/A	5,848	0	5,848	0	5,848
8340	Water & Sewer Bond Project Trust Account 04 A2	96,705	0	0	0	0	0
8374	Water & Sewer TWDB Bond Trust Account 2006	61,545	0	0	0	0	0
8375	Water & Sewer TWDB Bond Trust Account 2006A	69,595	566	0	3	0	3
8376	Water & Sewer TWDB Bond Trust Account 2008B	45,045	0	0	0	0	0
8377	Water & Sewer TWDB Bond Trust Account 2008C	52,650	59	0	0	0	0
8378	Water & Sewer TWDB Bond Trust Account 2008E	61,545	0	0	0	0	0
	Total Restricted TWDB and Other	389,085	40,509	2,000	36,323	19,785	16,538
	Total Combined Utility System	389,085	59,085	594,600	620,503	434,492	186,011
	Total All Funds	\$ 303,462,690	\$ 771,074	\$ 1,502,495	\$ 2,209,227	\$ 837,120	\$ 1,367,134

(a) Net Resources Available is equal to Current Assets less Current Liabilities.
Negative balances have been referred to departments for corrections

City of Houston, Texas
Commercial Paper (CP) Notes Status Report
For the period ended October 31, 2011
(amounts expressed in thousands)

Fund No.	Fund Name	Authorized Amount	CP Notes Issued	Authorized but Unissued	Available for Appropriation	Combined Available for Appropriation
General Obligation						
4041	Fondren Police Station Series E	1,618	1,618	0	0	0
4804G	Police CP Series H/J (D) 2006 Election	40,950	20,605	20,345	14,074	14,074
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	10,700	12,400	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	0	5,000	5,000	6,839
4804C	Fire CP Series H/J (D) 2006 Election	13,500	12,000	1,500	0	0
4805C	Fire CP Series G 2006 Election	10,000	0	10,000	7,115	7,115
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	4,322	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	2,500	250	(52)	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	0	5,250	5,250	5,198
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	11,000	11,675	0	0
4805E	Public Library CP Series G 2006 Election	2,000	0	2,000	6,878	6,878
4803D	General Improvemt CP Series G 2001 Election	7,963	7,963	0	0	0
4804D	General Improvemt CP Series H/J (D) 2006 Election	13,550	11,050	2,500	0	0
4805D	General Improvemt CP Series G 2006 Election	13,450	4,000	9,450	7,017	7,017
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	20,205	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	38,095	29,280	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	79,568	79,568
4801S	St. Utility Relocation Set-Aside Series D	7,000	1,600	5,400	4,500	4,500
4027	Metro Street Projects, Series E	49,900	39,478	8,400	11,485	11,485
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	7,450	650	0	0
4805H	Public Health CP Series G 2006 Election	8,900	0	8,900	6,823	6,823
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	660
4030	Drainage Projects Series F, Series H-2	101,300	36,300	65,000	7,466	7,466
4801P	Housing CP Series H/J (D) 2001 Election	3,270	0	3,270	0	0
4803P	Housing CP Series G 2001 Election	10,610	210	10,400	6,318	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	7,375	13,693
1800D1	Equipment Acquisition, Series E-1	158,382		126,222	150,818	150,818
1800D3	Equipment & Capital, Series E-2	95,100	35,000	60,100	12,100	12,100
4039	Miscellaneous Capital Projects Series E	20,000	(8,778)	28,778	4,895	4,895
Total General Obligation CP Notes		974,095	255,318	576,695	336,630	339,129
Airport System						
8203A1	Airport System 2004 (AMT)	232,000	232,000	0	0	0
8204A2	Airport System 2008 (Non-AMT)	68,000	68,000	0	0	0
Total Airport System CP Notes		300,000	300,000	0	0	0
Convention and Entertainment						
8614	Equipment Acquisition, C&E	53,500	22,500	31,000	31,000	31,000
8632	Convention & Ent. Underground Parking	21,500	20,500	1,000	200	200
Total Convention and Entertainment CP Notes		75,000	43,000	32,000	31,200	31,200
Combined Utility & Water Sewer System						
8500A1	Combined Utility System CP	598,000	5,400	592,600	169,473	169,473
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	2,000
Total Combined Utility System CP Notes		600,000	5,400	594,600	171,473	171,473
Total		\$ 1,949,095	\$ 603,718	\$ 1,203,295	\$ 539,303	\$ 541,802

City of Houston, Texas
Total Outstanding Debt
October 31, 2011 and October 31, 2010
(amounts expressed in thousands)

	<u>October 31,</u> <u>2011</u>	<u>October 31,</u> <u>2010</u>
Payable from Ad Valorem Taxes		
Public Improvement Bonds ^(a)	\$ 2,504,825	\$ 2,319,930
Commercial Paper Notes ^(b)	263,450	401,500
Pension Obligations	607,775	607,500
Certificates of Obligations	75,990	79,870
Subtotal	<u>3,452,040</u>	<u>3,408,800</u>
Payable from Sources Other Than Ad Valorem Taxes		
<u>Combined Utility System</u>		
Combined Utility System Revenue Bonds	5,457,310	4,827,925
Combined Utility System Commercial Paper Notes ^(c)	5,400	275,500
Water and Sewer System Revenue Bonds ^(d)	396,702	616,700
Contract Revenue Obligations - CWA	139,080	151,665
<u>Airport System</u>		
Airport System Sr. Lien Bonds ^(e)	449,660	449,660
Airport System Subordinate Lien	1,925,530	1,997,755
Airport System Sr. Lien Commercial Paper Notes ^(f)	0	0
Airport System Inferior Lien Contracts ^(g)	32,895	37,430
Airport Special Facilities Revenue Bonds ^(h)	567,435	573,810
<u>Hotel Occupancy Tax and Civic Parking</u>		
Facilities Revenue Bonds ⁽ⁱ⁾	603,467	578,403
Hotel Occupancy Tax Commercial Paper ^(j)	43,000	43,000
Subtotal	<u>9,620,479</u>	<u>9,551,848</u>
Total Debt Payable by the City	<u>\$ 13,072,519</u>	<u>\$ 12,960,648</u>

- (a) In November 2001 the voters authorized \$776 million in tax bonds. In November 2006 voters authorized an additional \$625 million in tax bonds.
- (b) The City has authorized maximum issuance of General Obligation Commercial Paper Programs Series E-1: \$100 million, E-2: \$150 million, G: \$276 million, H-1: \$100 million, H-2: \$100 million and J: \$125 million.
- (c) The City has authorized issuance of \$600 million of Combined Utility System Commercial Paper Notes.
- (d) Includes \$127.1 million accreted value of capital appreciation bonds at this date and \$149.9 million last year.
- (e) The Houston Airport System issued Senior Lien Revenue bonds on August 20, 2009.
- (f) City Council has authorized \$150 million of Airport Senior Lien Commercial Paper Notes Series A and B.
- (g) Under a sublease agreement, the Houston Airport System has agreed to make sublease payments that include the debt service payments on the Series 1997A Special Facilities Bonds that financed the Automated People Mover ("APM") at George Bush Airport. These sublease payments constitute Inferior Lien Obligations under the Airport bond ordinances.
- (h) All Special Facility Revenue Bonds are secured solely from Special Facility Lease Revenues. Does not include \$32.9 million for Series 1997A Special Facilities Bonds. See footnote (g).
- (i) Includes \$91.0 million accreted value of capital appreciation bonds at this date and \$79.8 million last year.
- (j) The City has authorized \$50 million of Subordinate Lien Hotel Occupancy Tax and Parking Revenue Commercial Paper.

FY2012 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2011 Actual	FY2012 Budget	FY2012 (1) October	FY2012 (1) YTD AVG.	Overtime FY2011 Actual	Overtime FY2012 Budget	Overtime (1) FY2012 YTD
ENTERPRISE FUNDS							
Aviation	1,473.7	1,488.0	1,378.6	1,232.9	54.8	44.3	50.0
Convention and Entertainment Facilities	115.5	0.0	110.0	100.1	2.1	0.0	2.5
PW & E - Combined Utility System	2,085.4	2,207.8	2,069.2	2,068.5	127.6	124.8	226.0
TOTAL ENTERPRISE FUNDS	3,674.6	3,695.8	3,557.8	3,401.5	184.5	169.1	278.5
GENERAL FUND							
GENERAL FUND MUNICIPAL							
Administration and Regulatory Affairs	361.4	342.8	246.1	311.1	1.5	3.5	0.8
City Secretary	11.5	12.2	10.8	11.1	0.4	0.0	0.0
Controller's Office	74.0	65.6	65.6	67.2	0.0	0.0	0.0
Council Office	72.8	79.0	70.3	71.4	0.0	0.0	0.0
Finance Department	70.7	72.6	64.2	65.2	0.0	0.0	0.0
Fire Department	219.3	117.6	116.2	118.0	4.3	0.7	0.3
General Services	206.6	194.2	192.2	190.1	4.0	5.4	3.5
Health & Human Services	571.4	450.6	442.3	451.0	3.4	2.2	1.7
Housing & Community Development	2.9	2.9	2.0	2.1	0.0	0.0	0.0
Human Resources	40.0	37.8	35.8	37.0	0.0	0.0	0.0
Information Technology	157.7	126.8	154.7	154.4	1.1	0.7	2.0
Legal	155.3	118.8	118.4	120.0	0.0	0.0	0.0
Library	456.4	413.7	405.4	414.6	0.0	0.0	0.0
Mayor's Office	35.1	22.4	22.4	23.6	0.0	0.0	0.0
Municipal Courts Department	301.7	284.3	268.5	273.0	0.0	0.0	0.0
Office of Business Opportunity	31.6	24.0	20.9	21.7	0.0	0.0	0.0
Parks & Recreation	771.2	650.3	589.4	645.8	3.0	0.5	9.2
Planning & Development	97.5	75.5	75.7	78.9	0.0	0.0	0.0
Police Department	1,369.5	1,164.4	1,087.8	1,095.2	23.6	29.3	23.4
Public Works and Engineering	469.7	9.0	9.0	9.0	35.9	0.0	0.0
Solid Waste Management	595.7	439.6	435.5	435.0	28.2	28.2	19.1
SUBTOTAL MUNICIPAL	6,072.0	4,704.1	4,433.2	4,595.4	105.4	70.5	60.0
GENERAL FUND CADETS							
Fire Department	45.1	24.0	25.0	15.2	0.0	0.0	0.0
Police Department	70.4	82.7	70.4	122.1	0.0	0.0	0.0
SUBTOTAL CADETS	115.5	106.7	95.4	137.3	0.0	0.0	0.0

FY2012 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2011 Actual	FY2012 Budget	FY2012 (1) October	FY2012 (1) YTD AVG.	Overtime FY2011 Actual	Overtime FY2012 Budget	Overtime (1) FY2012 YTD
GENERAL FUND CLASSIFIED							
Fire Department	3,853.5	3,796.9	3,814.5 (4)	3,825.4 (4)	231.6	195.1	154.9
Police Department	5,266.6	5,041.1	5,245.4	5,211.7	268.7 (2)	81.8 (2)	230.8
SUBTOTAL CLASSIFIED	9,120.1	8,838.0	9,059.9	9,037.1	500.3	276.9	385.7
TOTAL GENERAL FUND	15,307.6	13,648.8	13,588.5	13,769.8	605.7	347.4	445.7
GRANTS & OTHER FUNDS (3)							
Administration and Regulatory Affairs	118.5	178.2	155.7	200.9	0.8	1.5	0.8
Fleet Management	0.0 (5)	273.9	248.9	256.1	0.0 (5)	10.2	9.4
General Services	69.3	61.0	61.4	63.2	0.2	0.1	0.0
Health & Human Services	542.2	11.9	529.5	484.5	2.1	0.0	0.6
Housing & Community Development	129.5	0.0	132.3	117.2	0.0	0.0	0.0
Houston Emergency Center	244.2	266.3	228.8	231.6	3.8	10.4	4.9
Human Resources	124.0	207.6	181.6	187.2	0.6	0.1	0.2
Information Technology	28.2	44.3	49.5	37.3	0.0	0.3	0.2
Legal	39.3	49.5	56.0	60.0	0.0	0.0	0.2
Library	29.5	2.0	27.5	24.4	0.0	0.0	0.0
Mayor's Office	23.6	12.9	23.9	23.0	0.1	0.1	0.0
Municipal Courts Department	39.5	34.8	34.5	32.7	0.0	0.0	0.0
Parks & Recreation	98.1	92.0	86.2	109.9	3.3	4.4	4.3
Planning	8.4	11.5	10.0	10.6	0.0	0.0	0.0
Police Department - Classified	30.1	243.4	21.5	22.0	2.0	139.3	1.6
Police Department - Municipal	124.1	42.0	55.8	59.9	4.3	0.3	3.2
Public Works and Engineering	1,252.0	1,788.4	1,691.6	1,693.8	47.7	83.9	101.5
Solid Waste Management	1.0	4.0	4.0	4.0	0.0	0.0	0.1
TOTAL GRANTS & SPECIAL FUNDS	2,901.5	3,323.7	3,598.7	3,618.3	64.9	250.6	127.0
CITY-WIDE TOTAL	21,883.7	20,668.3	20,745.0	20,789.6	855.1	767.1	851.2

(1) YTD numbers measure the periods 07/01/2011 through 10/31/2011.
(2) Includes overtime hours from the Asset Forfeiture and Police Special Funds.
(3) FY2012 Budget does not include Grant FTEs.
(4) Fire department FTEs do not include classified employees on phasedown.
(5) Fleet was established as a new department in FY2012.

City of Houston
 FY2011 Position Control
 As of October 31, 2011

Benchmark Dates	General Fund			Enterprise Fund			Special & Other Funds			Total All Funds		
	As of June 30, 2011	As of October 31, 2011	Variance	As of June 30, 2011	As of October 31, 2011	Variance	As of June 30, 2011	As of October 31, 2011	Variance	As of June 30, 2011	As of October 31, 2011	Variance
Beginning Number of Employees		14,097		3,675	3,651		-	21,423		-	21,423	
A Number of separations	-	(241)		(15)	-		-	(256)		-	(256)	
B Number of additions	-	38		21	5		-	64		-	64	
Total Employees	15,195	13,894	(1,301)	3,744	3,681	(63)	3,302	21,231	354	22,241	21,231	(1,010)
Less: Police - Classified	5,258	5,262		-	21		21	5,283		5,279	5,283	
Fire - Classified	3,840	3,810		-	-		-	3,810		3,840	3,810	
Total Classified Employees	9,098	9,072	(26)	-	21	-	21	9,093	-	9,119	9,093	(26)
Total Civilian Employees	6,097	4,822	(1,275)	3,744	3,681	(63)	3,281	12,138	354	13,122	12,138	(984)

Notes:

- A Separations include resignations, terminations and transfers out of the department
- B Additions include new hires, rehires and transfers into the department

CITY OF HOUSTON

RETIREE MEDICAL UNFUNDED ACCRUED LIABILITIES

10/31/2011
(amount expressed in millions)

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Present Value of Benefits ⁽²⁾</u>	<u>Unfunded Accrued Liabilities</u>	<u>Annual OPEB Cost ⁽⁴⁾</u>
Entry Age Normal ⁽¹⁾	6/30/2011	\$2,745.8	\$1,984.3 ⁽³⁾	\$225.8 ⁽⁵⁾

Note (1) Entry Age Normal is a cost method used to identify the value of benefits for the fiscal year 2011
Present Value of Benefits is a measure of total liability at the date of valuation
Both medical and life benefits are included.

Note (2) Total present value of all expected future benefits based on actuarial assumptions
and reflects new plan provisions effective 05/01/2011.

Note (3) Based on Aon Consulting's updated estimate to reflects new plan provisions effective 05/01/2011.

Note (4) The Annual OPEB (Other Post Employment Benefits) Cost is the actuarial calculated annual amount the City should
contribute to fund the unfunded accrued liabilities over 30 years remaining average expected working life.
The City currently funds on a "pay as you go" basis. The City has paid \$9.7 million current fiscal year to date.
For FY2011 the City paid \$49.5 million for the retiree health insurance costs.

Note (5) Reflects old plan provisions from 07/01/2010 to 04/30/2011 and new plan provisions from 05/01/2011 through 06/30/2011.

CITY OF HOUSTON

PENSION FUND PAYMENTS AND UNFUNDED LIABILITY SUMMARY

10/31/2011

PAYMENTS

(amount expressed in thousands)

	FY2011	FY2012		Adopted Budget	Year to Date Actual
		City Payment Rate	Employee Payment Rate		
Firefighters Plan					
General Fd. & Other Fds.	\$ 76,177	23.9%	9.00%	\$ 59,845	\$ 21,565
Total Firefighters Plan	<u>76,177</u>			<u>59,845</u>	<u>21,565</u>
Police Plan					
General Fd. & Other Fds.	78,000	Note 1	9.00% / 10.25%	83,000	20,308
Pension Bonds	<u>0</u>			<u>0</u>	<u>0</u>
Total Police Plan	<u>78,000</u>			<u>83,000</u>	<u>20,308</u>
Municipal Plan					
General Fund	40,739	Note 2	5% / None	35,956	11,064
Other Funds	47,761	Note 2	5% / None	62,544	19,244
Total Municipal Plan	<u>88,500</u>			<u>98,500</u>	<u>30,308</u>
Total All Three Plans	<u>\$242,677</u>			<u>\$241,345</u>	<u>\$72,181</u>

UNFUNDED ACCRUED LIABILITY AND FUNDED STATUS

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Unfunded Accrued Liability (\$ millions)</u>	<u>Assets as % of Liabilities</u>
Firefighters Plan	7/1/2010	220.6	93%
Police Plan	7/1/2010	706.0	83%
Municipal Plan	7/1/2010	1,359.0	63%

Note 1: City contribution based on Meet and Confer Agreement with Houston Police Officers Pension System

(Agreement Between Houston Police Officers' Pension System and City of Houston,

Article II - Change in Member Contributions, September 18, 2004). The City committed to pay the flat amount of \$66 million in FY12.

Note 2: City contribution based on Meet and Confer Agreement with Houston Municipal Employees Pension System

(Fourth Amendment, June 27, 2007, Change in Member Contributions: effective January 1, 2008, new hires do not contribute).

The City committed to pay the flat amount of \$98.5 million in FY12.

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING OCTOBER 31, 2011 (33.33% OF FISCAL YEAR)**

Department Performance Measure	FY2011			FY2012		
	Actual	YTD	% Actual	Objective	YTD	% Objective
ADMINISTRATION & REGULATORY AFFAIRS						
Avg Days to Award Procurement Contracts	140.00	109.00	77.9%	140	105.85	75.6%
3-1-1 Avg Time Customer in Queue (seconds)	30.00	25.00	83.3%	90.00	105.50	117.2%
Cable Company Complaints	200	31	15.5%	100	53	53.0%
AVIATION						
FAR 139 standard violations	0	0	0.0%	0	0	0.0%
Achievement of strategic plan objectives	N/A	N/A	N/A	85%	0%	N/A
Parking revenue per originating passenger	\$5.73	\$5.45	N/A	\$5.30	\$5.51	104.0%
Concessions per enplaned passenger	\$1.17	\$1.09	N/A	\$1.38	\$1.42	102.9%
FAA AIP entitlement grant funding	\$22,500,000	\$1,452,985	6.5%	\$16,000,000	\$10,349,425	65%
GENERAL SERVICES						
Design & Construction						
Construction Projects Complete	N/A	N/A	0.0%	43.0	7.0	16.3%
Property Mgmt. (Work Orders Compl.)	30,684	11,166	36.4%	35,000	9,130	26.1%
Security Management						
Number of Reported Incidents Investigated upon Receipts	975	275	28.2%	1,500	351	23.4%
FINANCE						
Liens Collections	\$2,461,447	\$695,860	28.3%	\$2,422,916	\$695,987	28.7%
Deferred Compensation Participation	75.08%	77.12%	102.7%	85.00%	78.81%	92.7%
Audits Completed	31	31	100.0%	18	9	50.0%
FIRE DEPARTMENT						
First Response Time-Fire (Minutes)	7.5	7.5	N/A	7.3	7.5	NA
First Response Time-EMS (Minutes)	8.1	8.0	N/A	7.9	7.5	NA
ALS Ambulance Response Time (Minutes)	9.8	9.7	N/A	9.5	10.0	NA
HEALTH & HUMAN SERVICES						
Complete Network Requests	780	383	49.1%	1,076	119	11.1%
Complete Program Requests	139	63	45.3%	271	8	3.0%
Desktop Support Requests	7,277	2,501	34.4%	7,058	1,307	18.5%
Mayor Customer Service Response	124	55	44.4%	150	31	20.7%
Monthly Financial & Operating Reports	18	4	22.2%	24	8	33.3%
Grant Setups	66	-	0.0%	80	38	47.5%
Contracts and Agreements	77	26	33.8%	70	17	24.3%
Air, Water & Waste Investigation	3,064	1,150	37.5%	2,000	975	48.8%
Food Establishment Inspections	25,053	8,115	32.4%	24,000	9,213	38.4%
Food Establishment Complaints	2,159	817	37.8%	2,100	836	39.8%
Enforcement Cases - BPCP	61	26	42.6%	40	44	110.0%
Radiation Inspections	88	20	22.7%	150	63	42.0%
Num of Diseases Investigated	14,744	5,104	34.6%	40,000	7,668	19.2%
Num of Outbreaks Investigated	42	16	38.1%	550	12	2.2%
Num of TB Prescriptions	24,865	8,157	32.8%	24,500	5,488	22.4%
Num of Clinic Orders Filled	74,153	23,842	32.2%	54,500	14,534	26.7%
Laboratory Tests Performed	448,480	178,367	39.8%	486,000	152,987	31.5%
HOUSING						
Housing Units Assisted	1,373	422	30.7%	1,500	802	53.5%
Council Actions on HUD Projects	122	23	18.9%	100	29	29.0%
Annual Spending (Millions)	\$43	\$17	39.5%	\$50	\$11	22.0%

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING OCTOBER 31, 2011 (33.33% OF FISCAL YEAR)**

Department Performance Measure	FY2011			FY2012		
	Actual	YTD	% Actual	Objective	YTD	% Objective
HUMAN RESOURCES						
Total Jobs Filled - (As Vacancies Occur)	4,114	1,627	39.5%	4,500	1,346	29.9%
Days to Fill Jobs	45	45	100.0%	45	45	100.0%
Training Courses Conducted	123	46	37.4%	135	26	19.3%
Lost Time Injuries (As They Occur)	539	583	108.2%	576	211	36.6%
LEGAL						
Deed Restriction Complaints Received	1,000	340	34.0%	1,000	229	22.9%
Deed Restriction Lawsuits Filed	40	12	30.0%	40	6	15.0%
Deed Restriction Warning Letters Sent	340	105	30.9%	340	58	17.1%
LIBRARY						
Total Circulation	7,344,887	2,615,977	35.6%	6,326,079	2,471,418	39.1%
Juvenile Circulation	3,841,705	1,367,890	35.6%	2,950,173	1,137,999	38.6%
Customer Satisfaction (Three/Year)	N/A	N/A	0.0%	90%	N/A	N/A
Reference Questions Answered	701,916	275,410	39.2%	456,000	236,117	51.8%
In-House Computer Users	1,272,068	437,054	34.4%	830,000	420,591	50.7%
Public Computer Training Classes Held	1,356	583	43.0%	1,800	357	19.8%
Public Computer Training Attendance	11,109	5,080	45.7%	10,000	2,509	25.1%
MUNICIPAL COURTS						
Average Time Defendant Spends in Court - Trial By Judge	28 minutes	27 minutes	N/A	40 mins <	29 mins	N/A
Average Time Defendant Spends in Court - Trial By Jury	3:24 hours	3.16 hours	N/A	3:30 hrs <	3:13 hrs	N/A
Average Time Officer Spends in Court	2:06 hours	2.16 hours	N/A	3:30 hrs <	2:01 hrs	N/A
OFFICE OF BUSINESS OPPORTUNITY						
Applications Processed	2,052	682	33.2%	2,000	683	34.2%
Days to Process New Applicants	38	28	73.7%	45	24	53.3%
Field Audits	1,630	464	28.5%	1,350	289	21.4%
Payrolls Audited	23,489	5,725	24.4%	18,000	5,376	29.9%
SBE/MWDBE Owners Trained	14,146	6,379	45.1%	4,750	4,090	86.1%
City Employees Trained	5,493	2,159	39.3%	220	0	0.0%
OSBC Getting Started Packets Distributed	9,039	2,779	30.7%	9,000	2,975	33.1%
MWBE Monitoring Correspondence	319,737	64,674	20.2%	200,000	74,827	37.4%
PARKS & RECREATION						
Registrants in Youth Sports Programs	29,201	7,207	24.7%	19,500	1,228	6.3%
Registrants in Adult Fitness & Craft Programs	7,808	3,078	39.4%	7,600	6,007	79.0%
Number of Teams Registered in Adult Sports Programs	1,265	426	33.7%	1,400	371	26.5%
Summer Enrichment Program	10,481	259	2.5%	3,768	404	10.7%
Lee and Joe Jamail Skate Park	4,476	1,049	23.4%	4,619	263	5.7%
Golf Rounds Played at Privatized Courses	69,557	26,821	38.6%	84,528	25,896	30.6%
Golf Rounds Played at COH - Operated Courses	159,889	52,502	32.8%	166,901	54,873	32.9%
Work Orders Completed-Parks and Comm. Ctr Facilities	22,516	7,300	32.4%	20,000	6,549	32.7%
Grounds Maintenance Cycle-Days:						
Esplanades	9	16	177.8%	16	26	164.4%
Parks & Plazas	9	16	177.8%	14	20	143.6%
Bikes & Hikes Trails	9	15	166.7%	14	22	160.0%
PLANNING & DEVELOPMENT						
Development Plats	744	249	33.5%	763	299	39.2%
Plats Recorded	842	259	30.8%	1,400	300	21.4%
Subdivision Plats Reviewed	2,013	665	33.0%	1,400	545	38.9%
HOUSTON POLICE						
Response Time (Code 1)-Minutes	4.7	4.1	87.2%	4.9	4.6	106.5%
Violent Crime Clearance Rate	46.8%	44.3%	94.7%	38.8%	46.3%	119.3%
Complaints - Total Cases	325	118	36.3%	300	107	35.7%
Total Cases Reviewed by Citizens Review Committee	153	50	32.7%	200	46	23.0%
Records Processed	739,758	185,355	25.1%	663,276	251,668	37.9%

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING OCTOBER 31, 2011 (33.33% OF FISCAL YEAR)**

Department Performance Measure	FY2011			FY2012		
	Actual	YTD	% Actual	Objective	YTD	% Objective
PUBLIC WORKS AND ENGINEERING						
Maintenance and Right-of-Way						
Asphalt For Potholes/Skin Patches (Tons)	19,002	5,826	30.7%	16,000	6,400	40.0%
In-House Overlay (Lane Miles)	140	52	37.1%	140	42	30.0%
Roadside Ditch Regrading/Cleaned (Miles)	284	100	35.2%	275	91	33.1%
Storm Sewers Line Inspections	267	80	30.0%	240	70	29.2%
Inlet and Manhole Maintenance Cycles	62,920	24,421	38.8%	60,000	24,534	40.9%
ECRE						
Storm/Street Annual Appropriation as of % of CIP	101.9%	9.6%	9.4%	100.0%	9.2%	9.2%
Waste/Wastewater Annual Appropriation as of % of CIP	37.2%	6.1%	16.4%	100.0%	21.2%	21.2%
Safe Sidewalk Program - PAR -% completed in 180 days	N/A	N/A	0.0%	N/A	N/A	0.0%
Safe Sidewalk Program - Schools/Thoroughfares -% completed in 18 mo	N/A	N/A	0.0%	N/A	N/A	0.0%
Overlay of thoroughfares (Lane miles, by contract)	N/A	N/A	0.0%	N/A	N/A	0.0%
Traffic and Transportation						
Traffic Signal Maintenance Completed within 72 hours	99.7%	99.3%	99.6%	95.0%	100.0%	105.3%
Roadway & Sidewalk Obstruction Permits processed within 10 days	97.6%	97.4%	99.8%	100.0%	98.9%	98.9%
Water and Sewer - Utility Maintenance						
Rehabilitate/renew 600,000 linear feet (1.9%) of collection system annually	628,130	210,617	33.5%	600,000	203,039	33.8%
Rehabilitate or renew 1,300 fire hydrants (2%) annually	928	362	39.0%	1,080	243	22.5%
Water repairs completed within 10 days for calls received from 311	90.0%	93.5%	103.9%	90.0%	71.0%	78.9%
Wastewater repairs completed within 18 days for calls received from 311	94.0%	97.4%	103.6%	90.0%	92.0%	102.2%
Percent of meters read and located monthly	96.7%	96.2%	99.5%	90.0%	97.3%	108.1%
Collection Rate	100.4%	97.9%	97.5%	98.0%	99.3%	101.3%
Planning & Development						
Complete Plan Review on new single family residence in 7 days	97.0%	100.0%	103.1%	100.0%	93.2%	93.2%
Average number of Re-submittals in Plan Review	3.3	3.3	99.7%	3.0	3.5	117.3%
Customer service rating (Scale of 1-5)	N/A	N/A	0.0%	N/A	N/A	0.0%
SOLID WASTE MANAGEMENT						
Customer Service Request	N/A	N/A	0.0%	95,119	29,252	30.8%
Monthly Cost per Unit Serviced (Excludes Recycling Costs and Special Projects)	\$13.83	\$15.22	110.1%	\$15.22	\$14.31	94.0%
Units with Recycling	164,024	185,000	112.8%	219,000	205,739	93.9%
Tires Disposed	98,486	47,917	48.7%	100,000	48,945	48.9%

**HOUSTON POLICE DEPARTMENT
FOR THE MONTH ENDING OCTOBER 31, 2011 (33.33% OF FISCAL YEAR)
DIGITAL AUTOMATED RED LIGHT ENFORCEMENT PROGRAM**

PROGRAM MEASUREMENTS

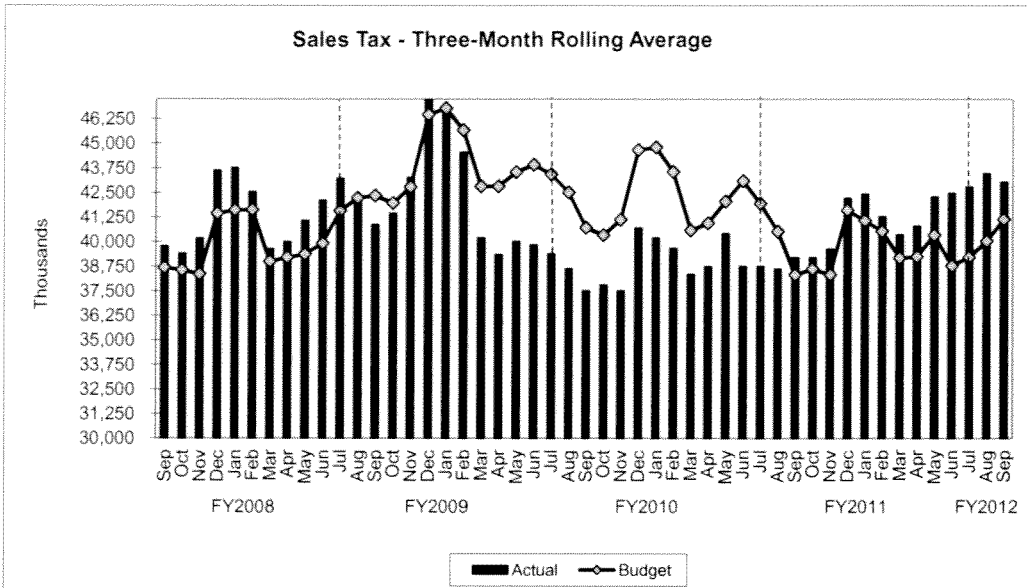
Notice Disposition	October	FY2012
Notices Issued	0	23,557
Notices Dismissed / Undeliverable-Admin or Hearing	0	101
Notices Paid	0	10,428
Notices Outstanding	0	13,028
Percentage of Notices Paid	0%	0%

Funds	October	FY2012
Collections	\$383,340	\$899,067
Expenses paid	\$6,667	\$314,606
FY2012 Program Total	<u>\$376,673</u>	<u>\$584,460</u>
State of Texas' Share	\$188,337	\$292,230
City's Share	\$188,337	\$292,230

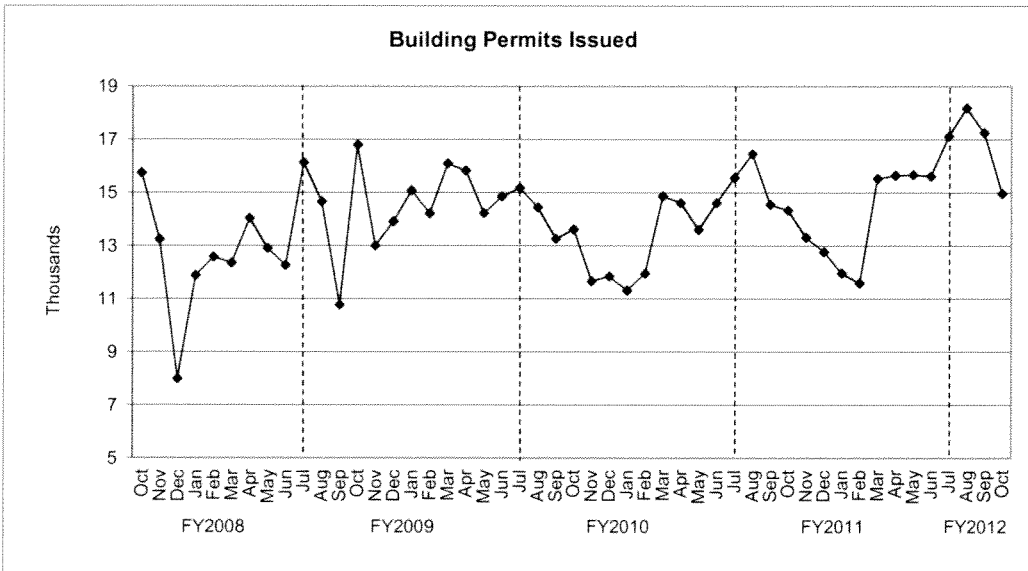
Issuances	October
Average (weighted) events for all individual sites per month	0

Events Per Site	October	FY2012
Highest avg. events per site (year-to-date): S/B SW Frwy W Serv. Rd @ Bellaire	0	3,052
Lowest avg. events per site (year-to-date): W/B Pease @ La Branch	0	17

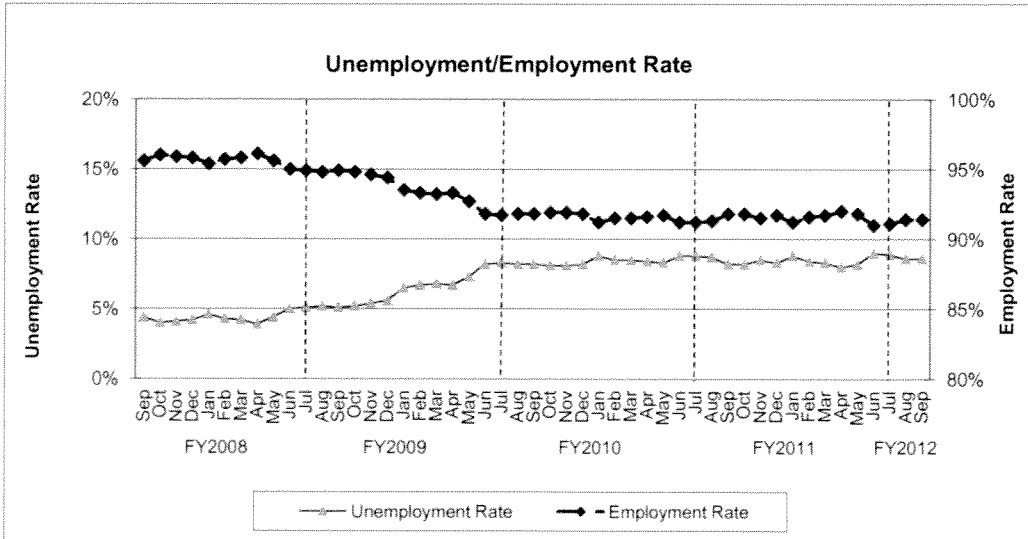
TREND INDICATORS - LOCAL ECONOMY



Source: Office of State Comptroller

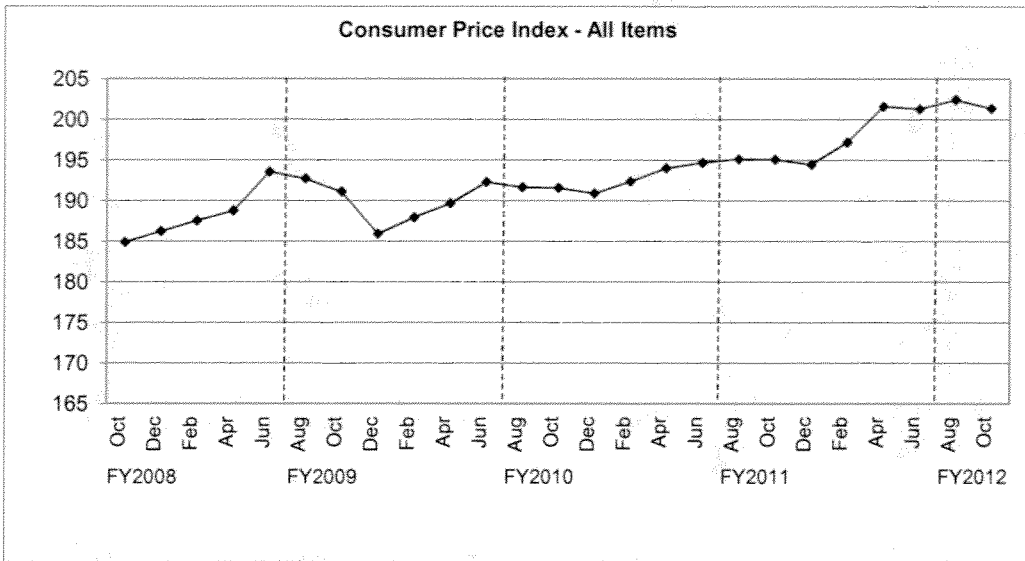


Source: City of Houston Planning and Development Department

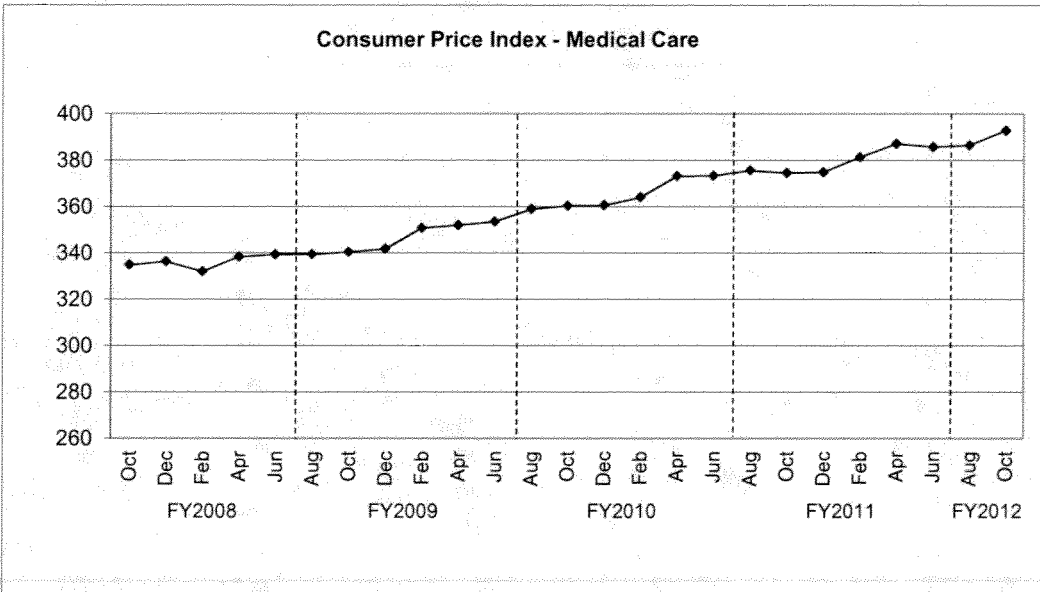


Source: Labor Market & Career Information, Texas Workforce Commission; Houston-Sugar Land-Baytown(MSA)

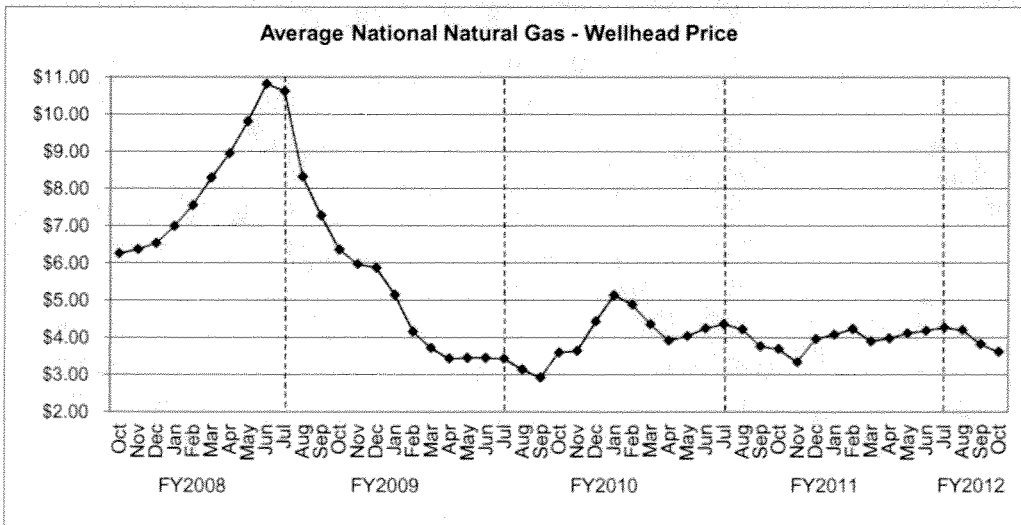
TREND INDICATORS - LOCAL ECONOMY



Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX

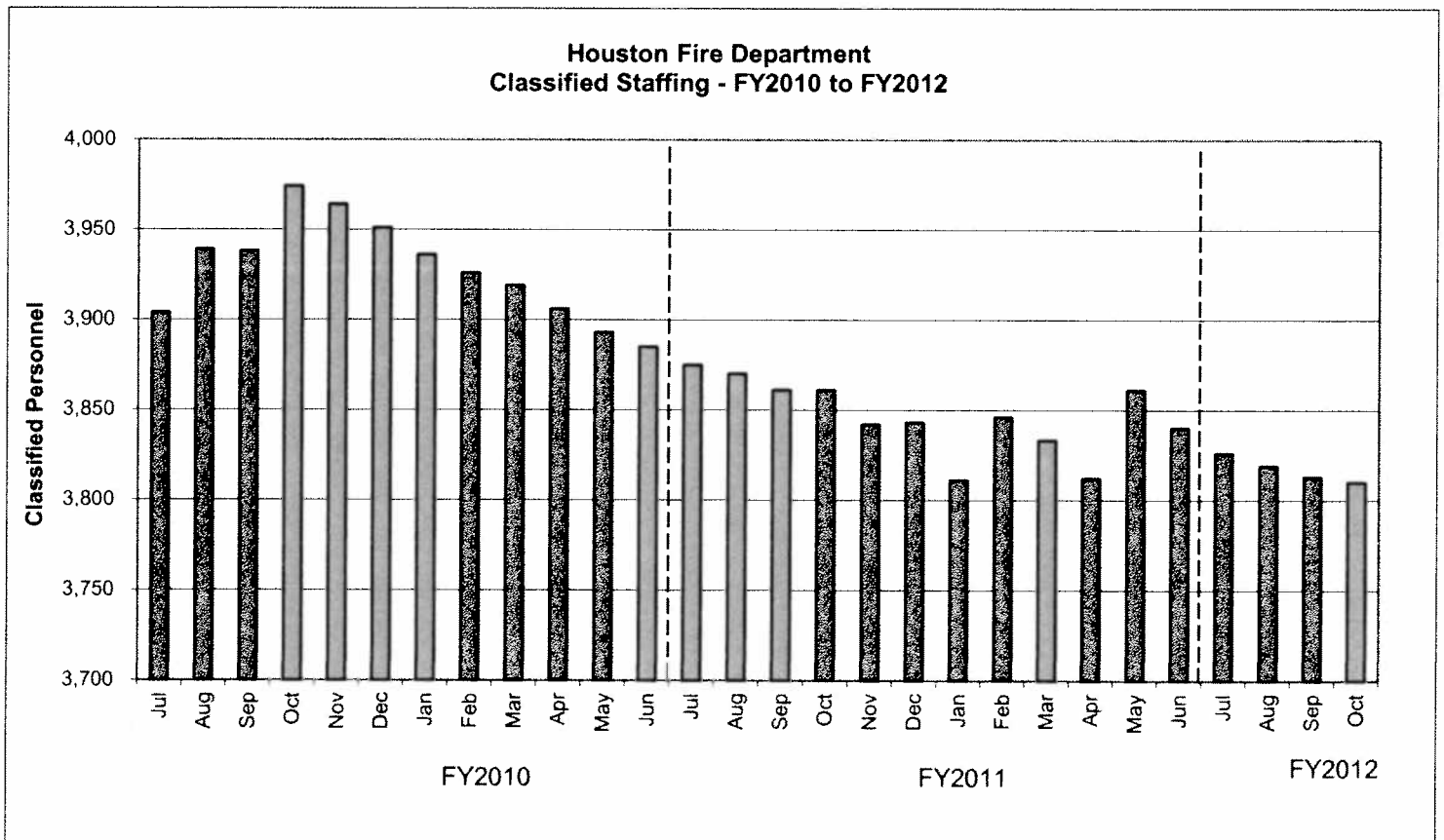
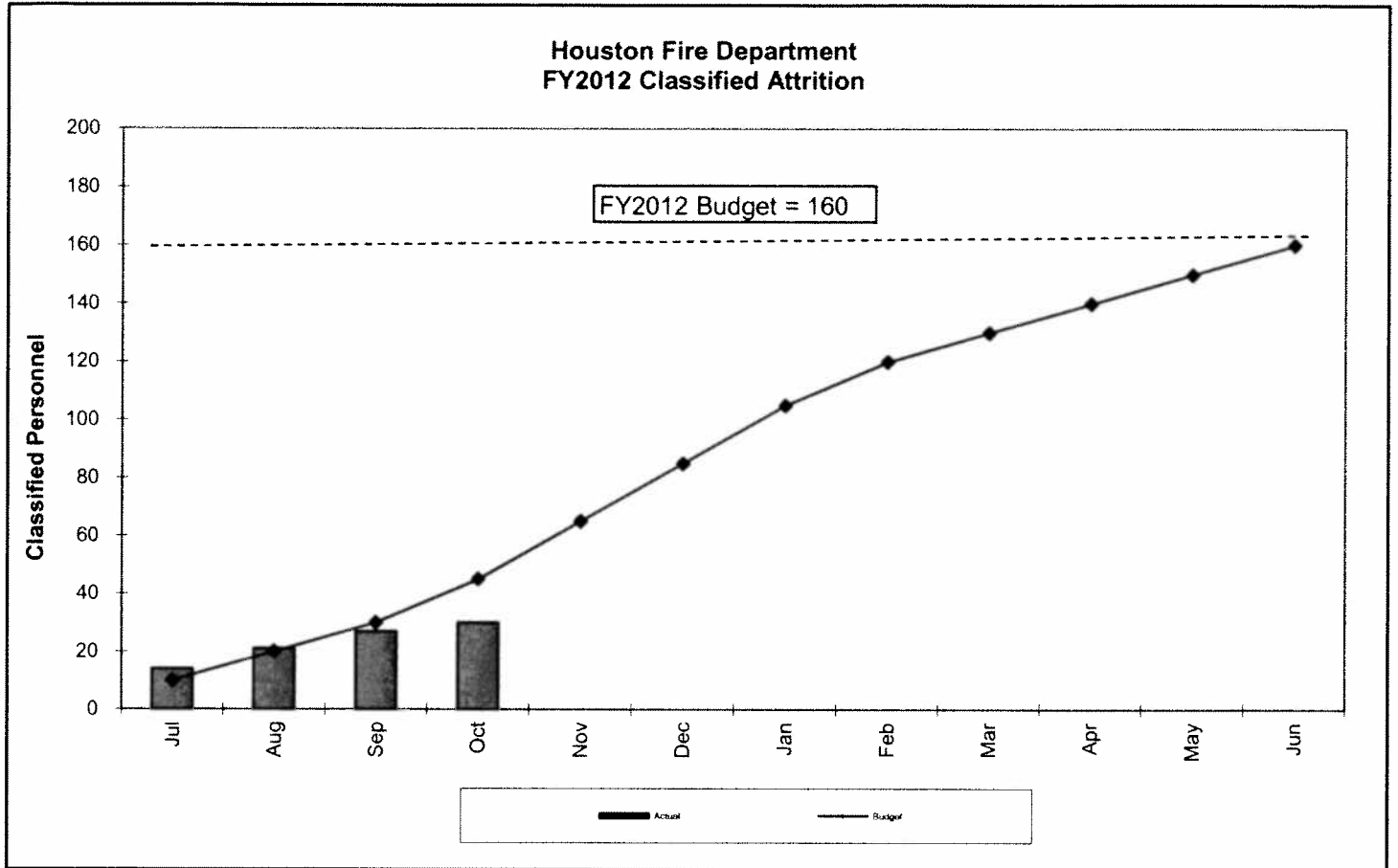


Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX



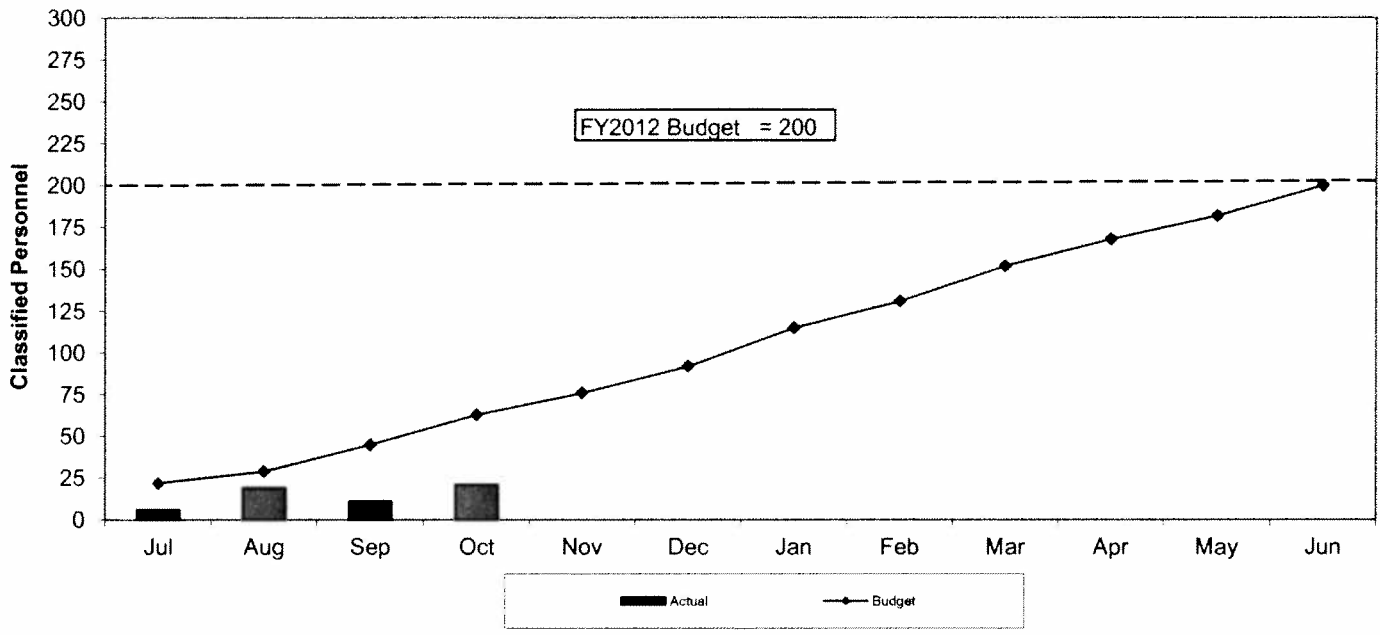
Source: Energy Information Administration/Natural Gas Monthly

TREND INDICATORS - HOUSTON FIRE DEPARTMENT

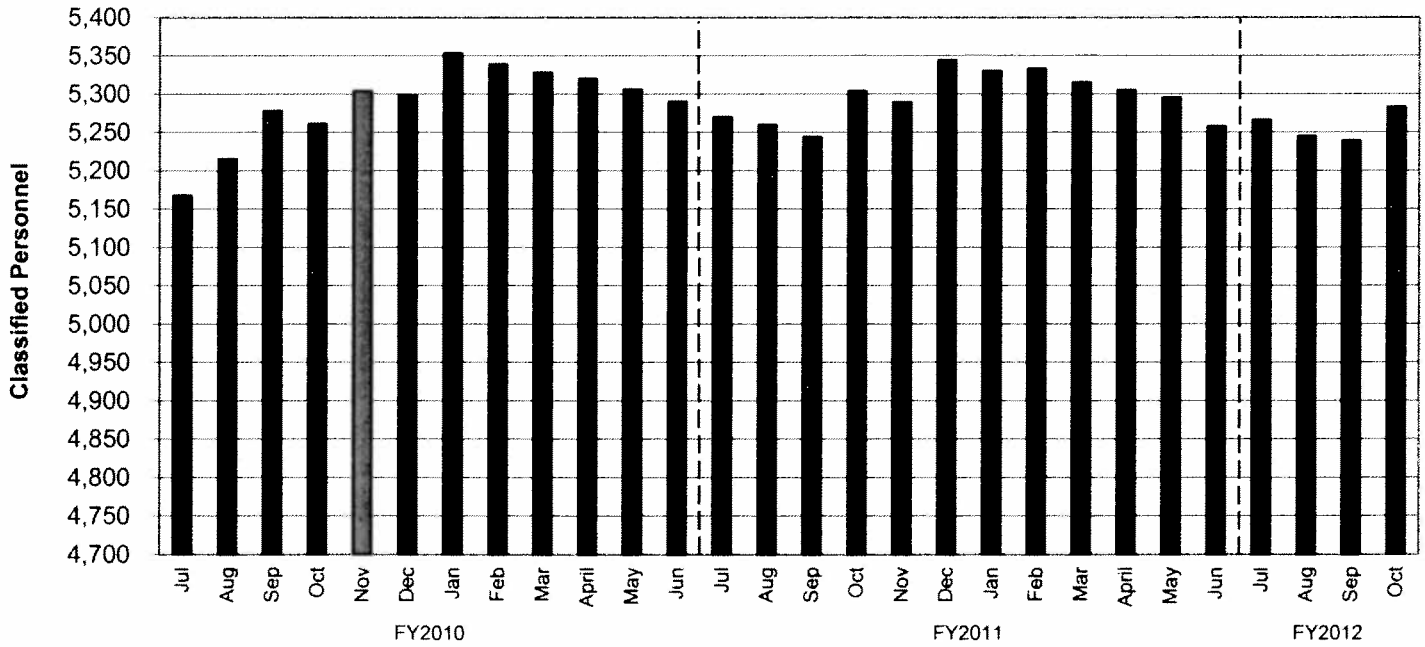


TREND INDICATORS - HOUSTON POLICE DEPARTMENT

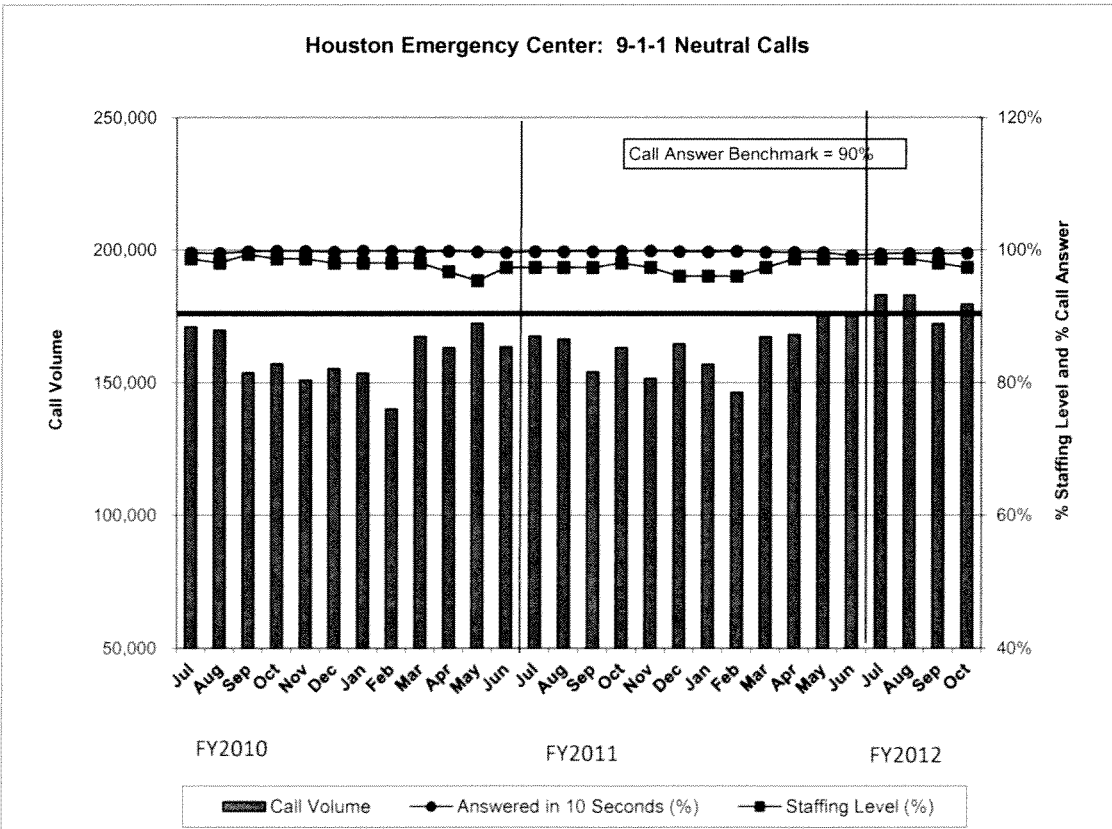
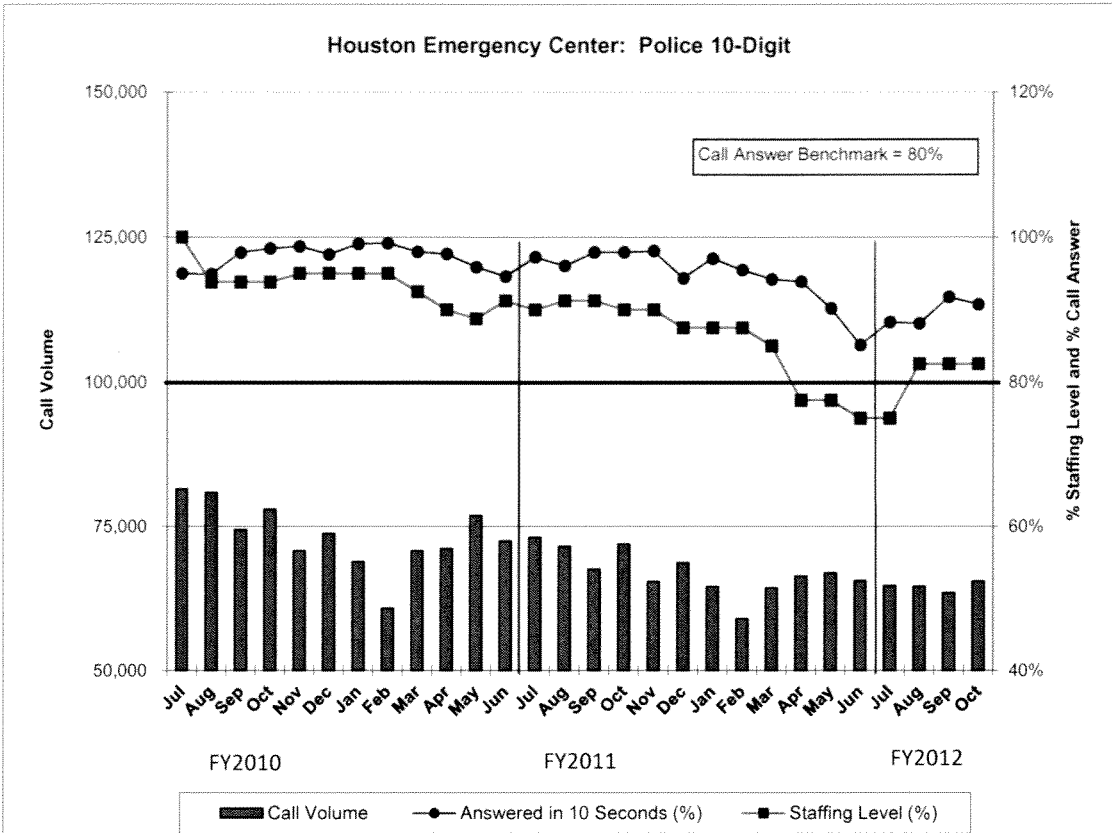
Houston Police Department FY2012 Classified Attrition



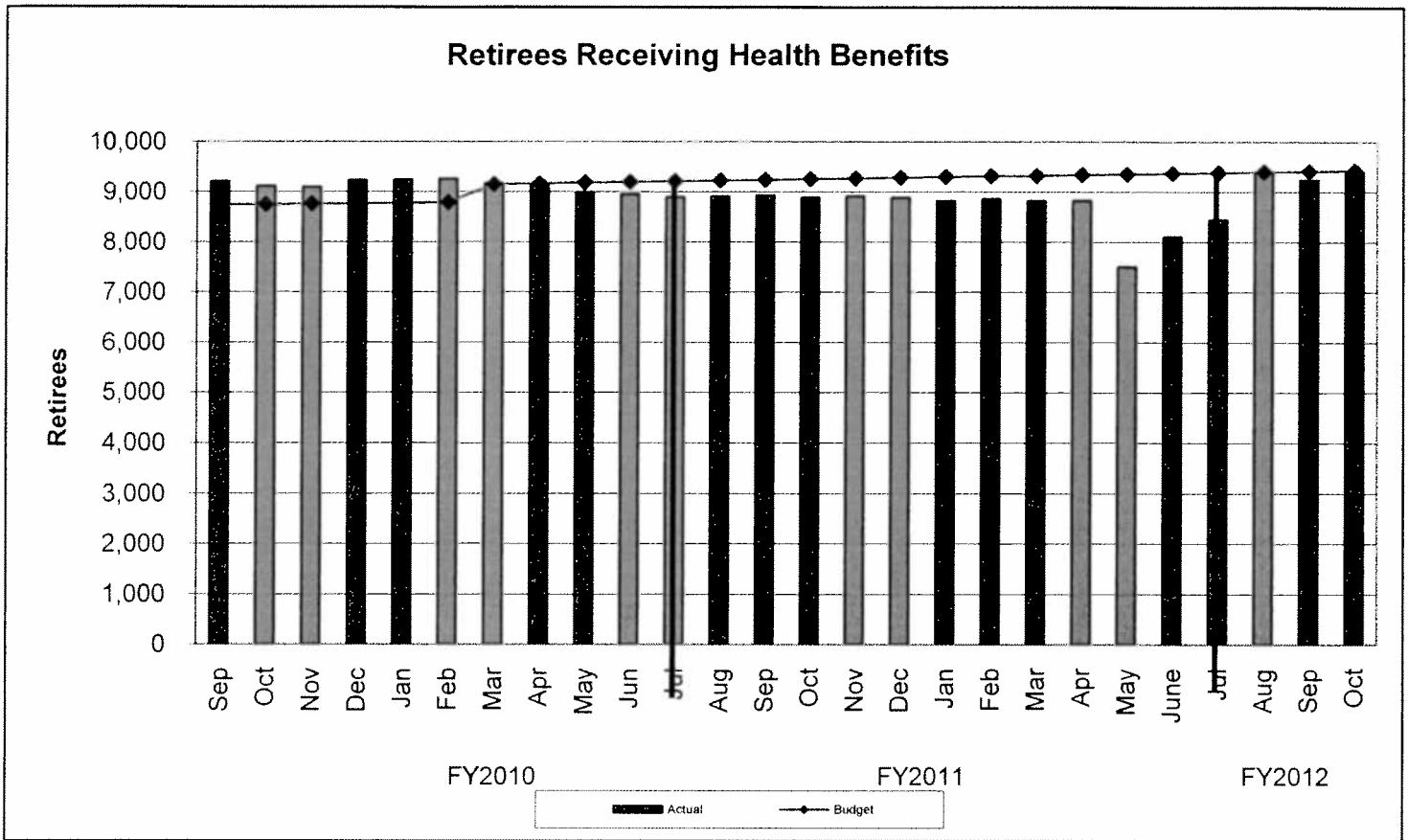
Houston Police Department Classified Staffing - FY2010 to FY2012



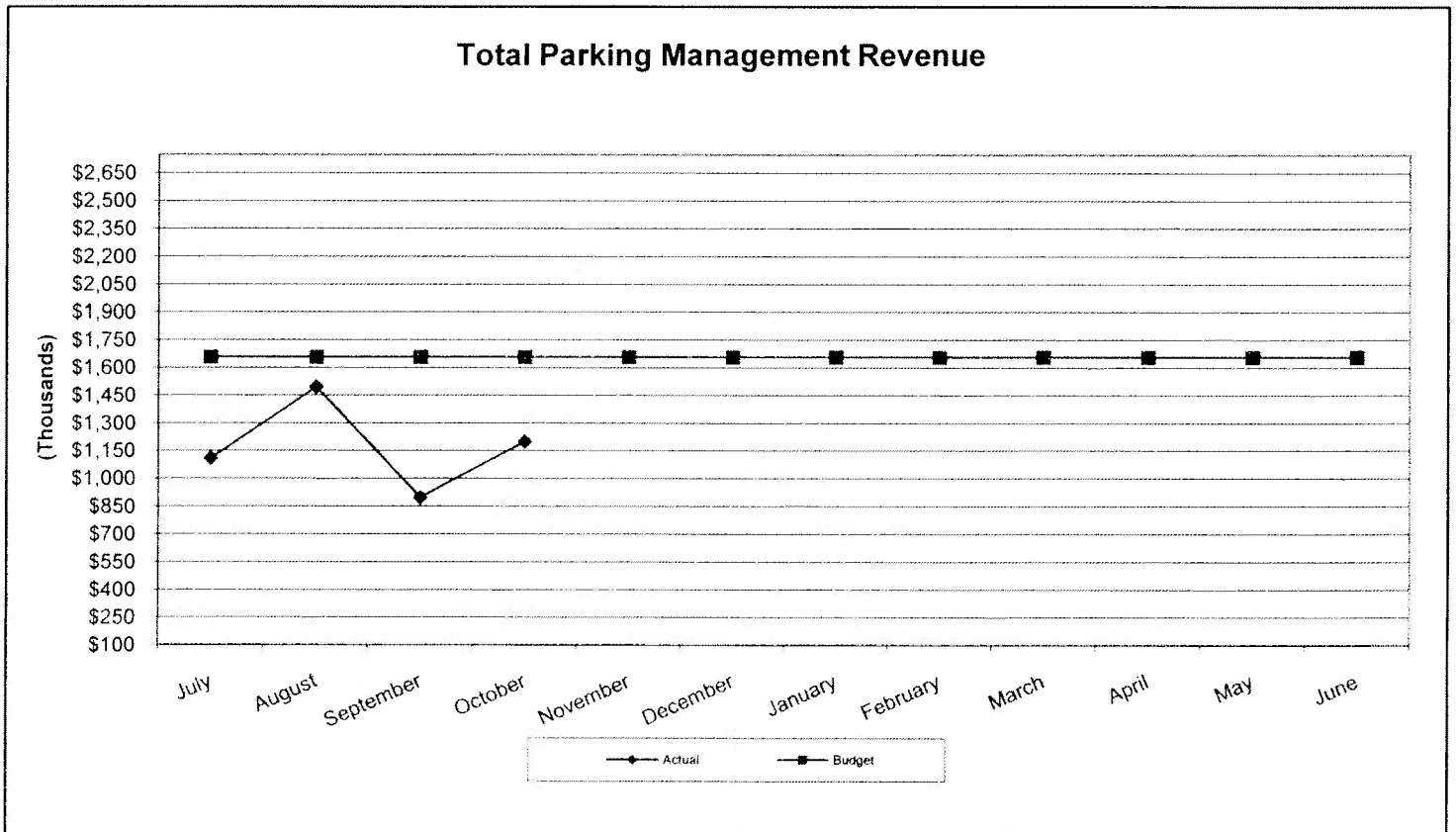
TREND INDICATORS - HOUSTON EMERGENCY CENTER



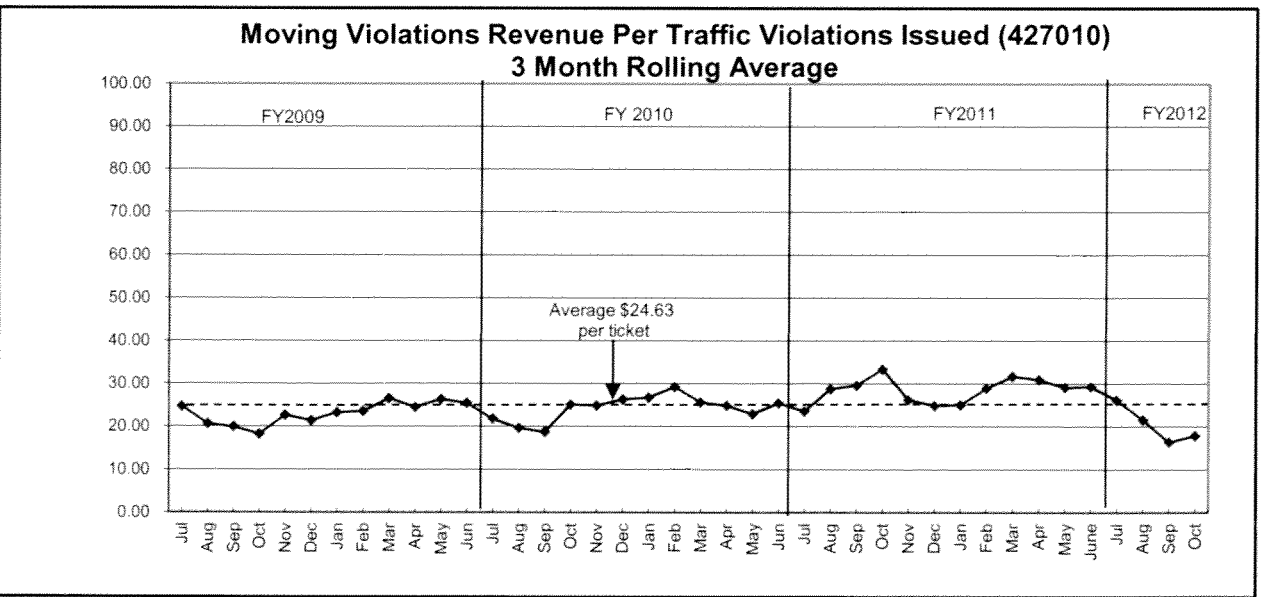
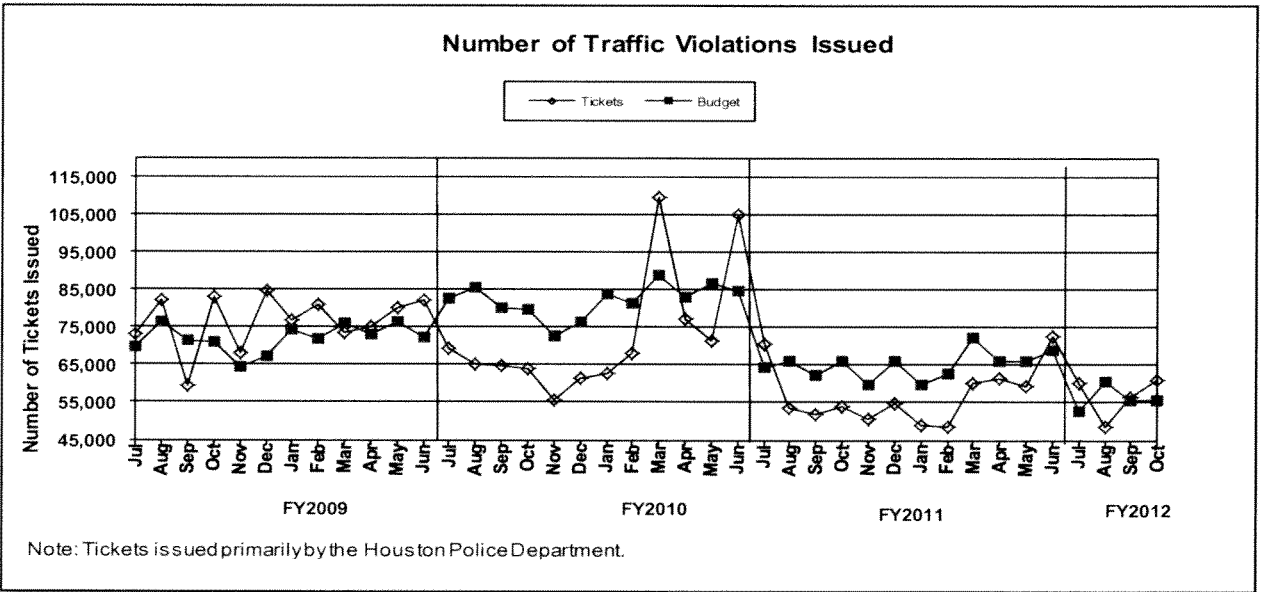
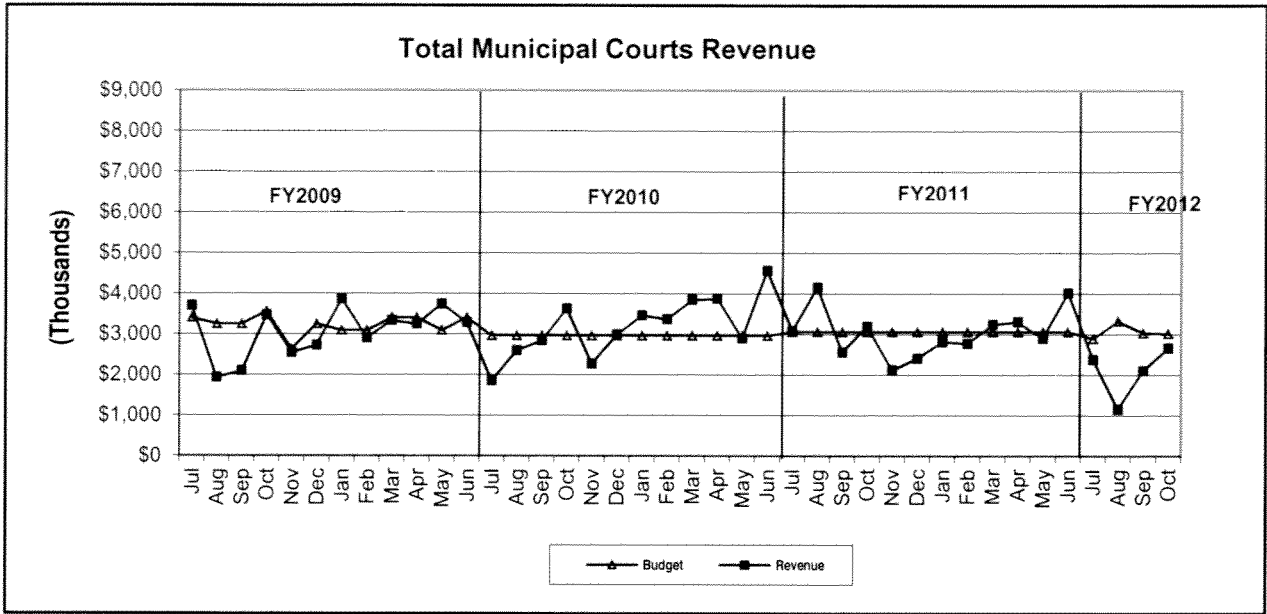
TREND INDICATORS - RETIREMENTS



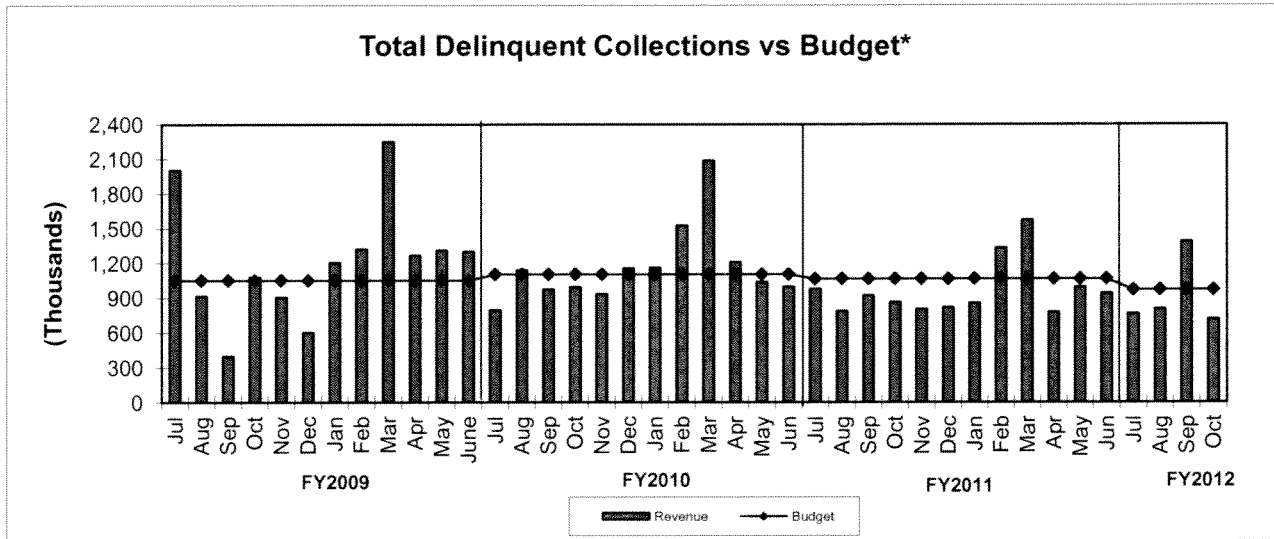
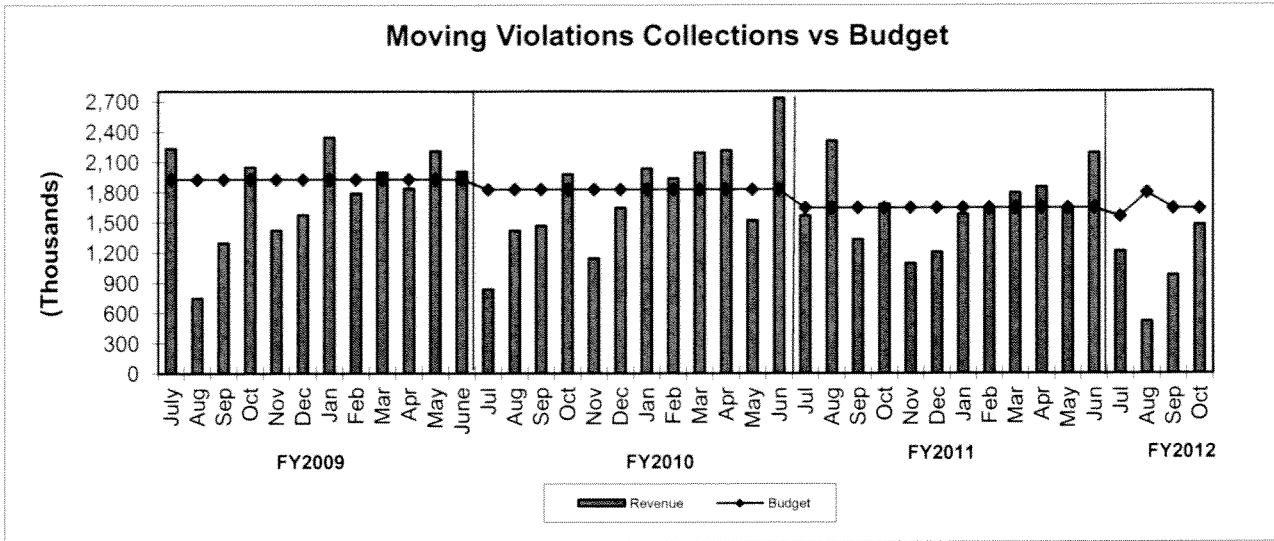
TREND INDICATORS - PARKING MANAGEMENT



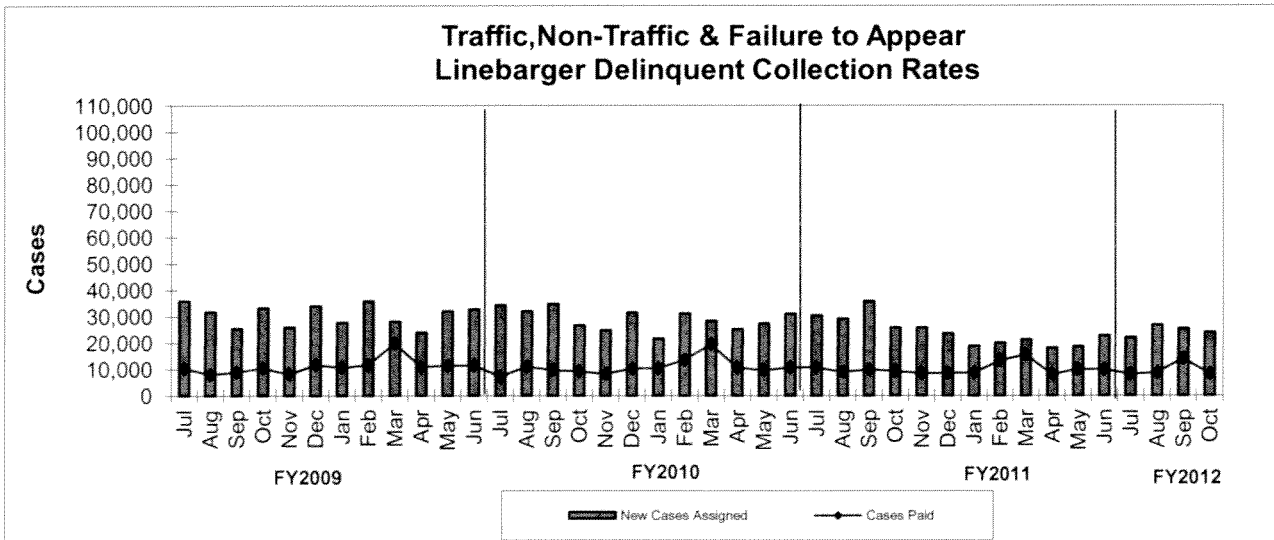
TREND INDICATORS - MUNICIPAL COURTS



TREND INDICATORS - MUNICIPAL COURTS

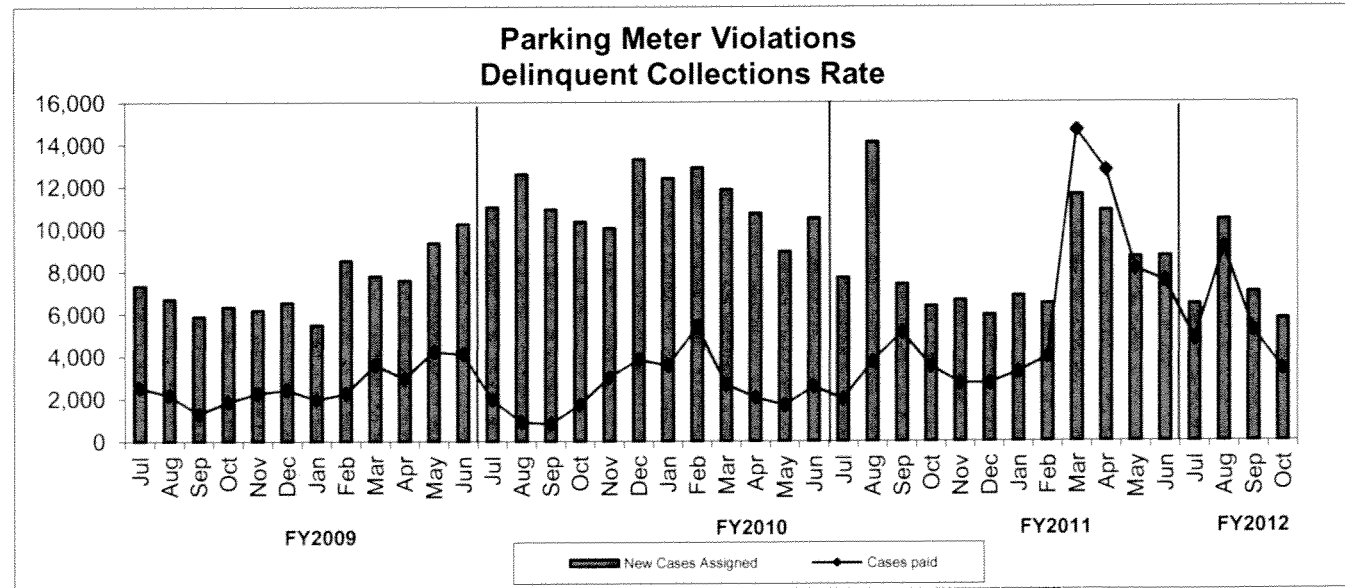
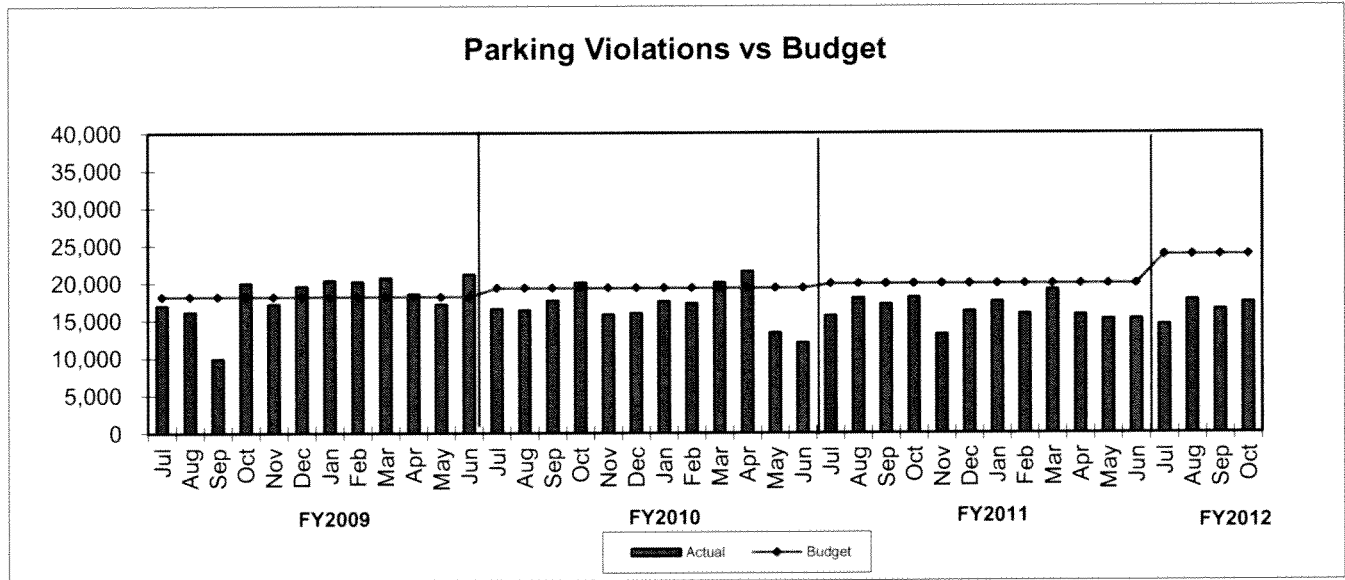
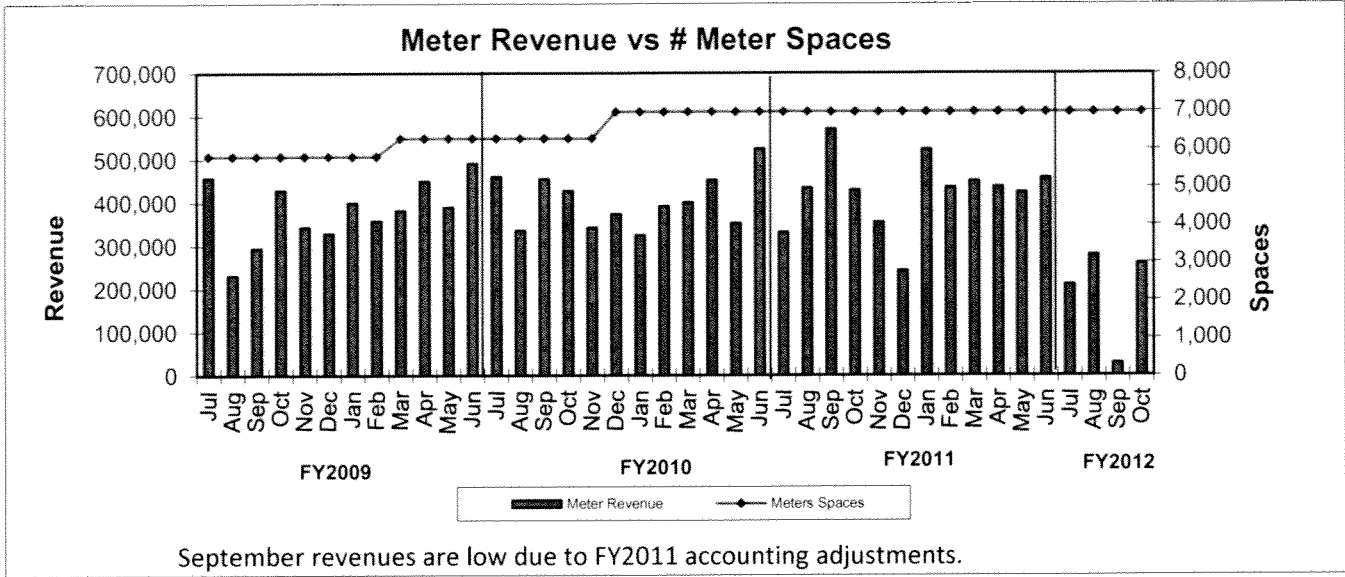


*Net of fees and expenses paid to Linebarger



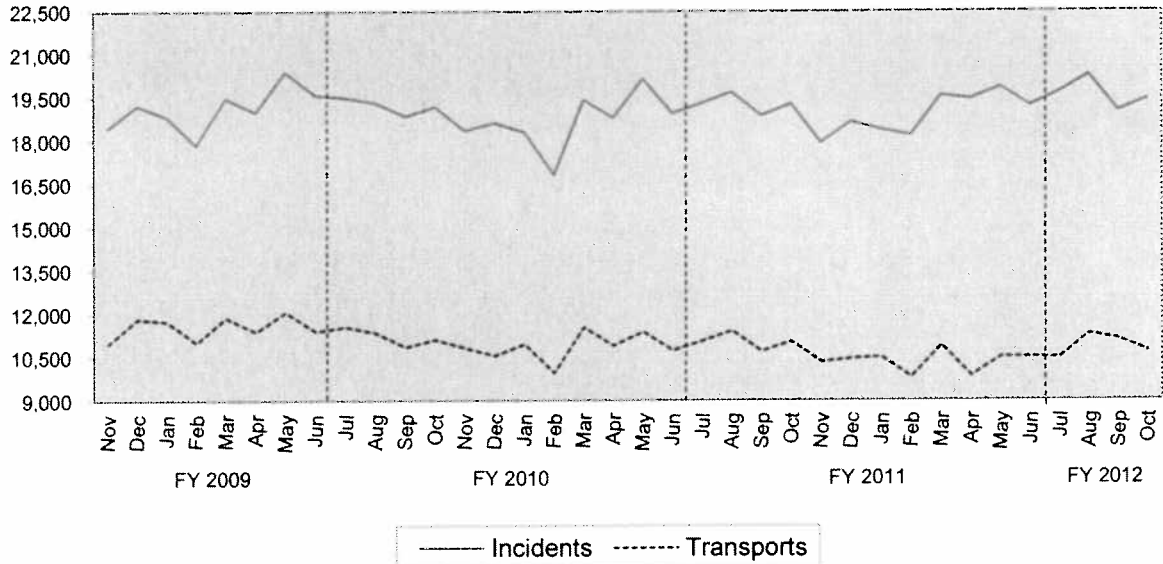
*Excludes Delinquent Parking Collections

TREND INDICATORS - ADMINISTRATION AND REGULATORY AFFAIRS

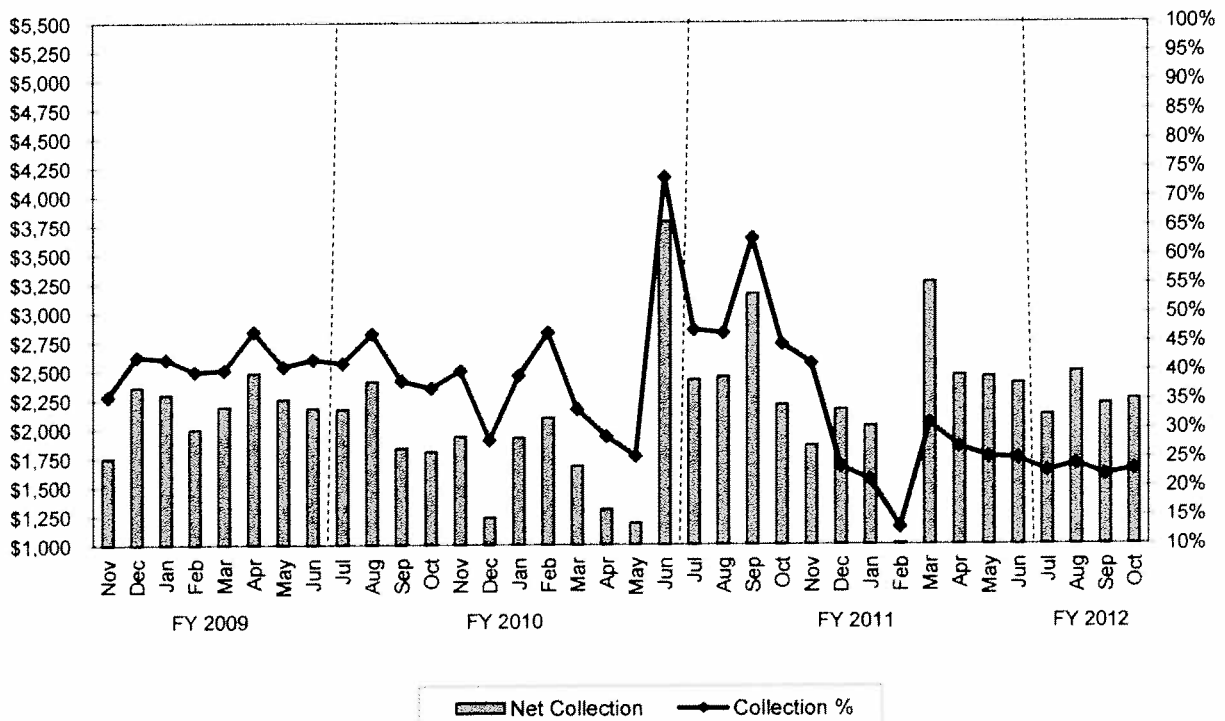


TREND INDICATORS - AMBULANCE SERVICES

EMS Incidents and Transports



EMS Net Collections & Collection Percentage

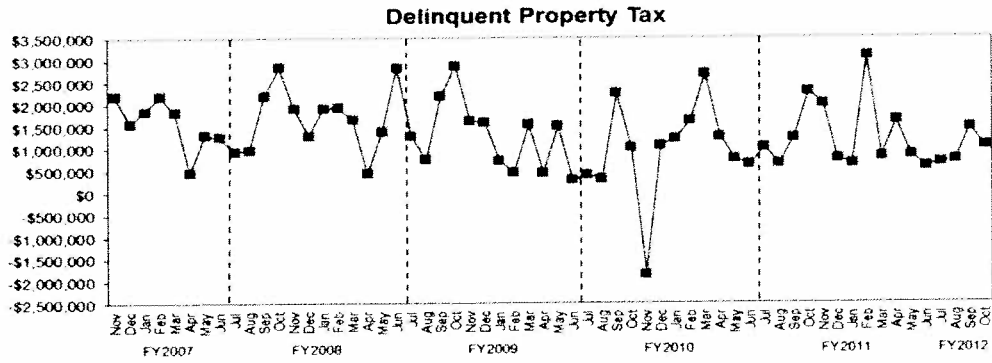


*The decline in collection in March-May 2010 results from delays in reimbursement from Medicare. The Medicare holdbacks of \$2.421M were received 7/2/10 and accrued back to FY10.

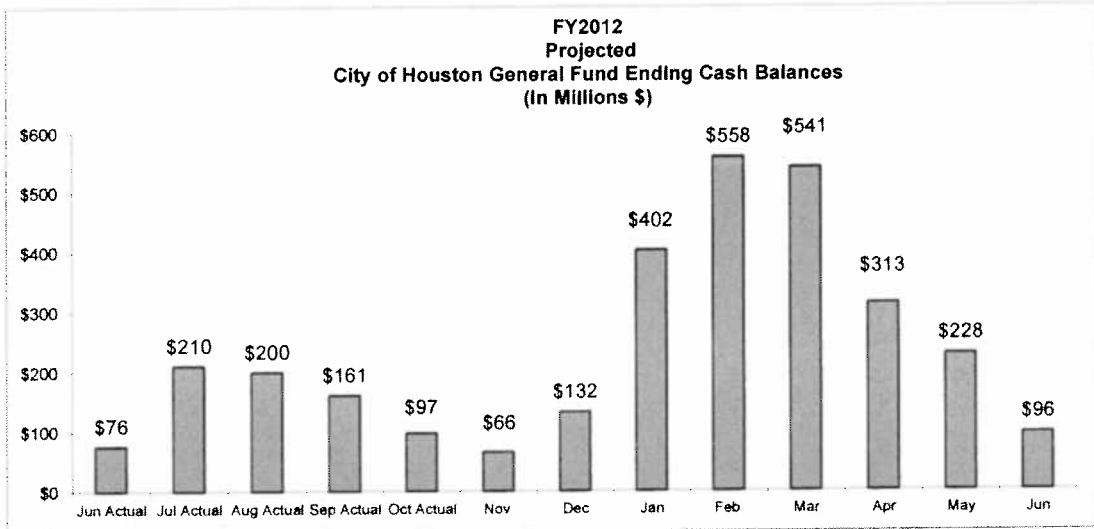
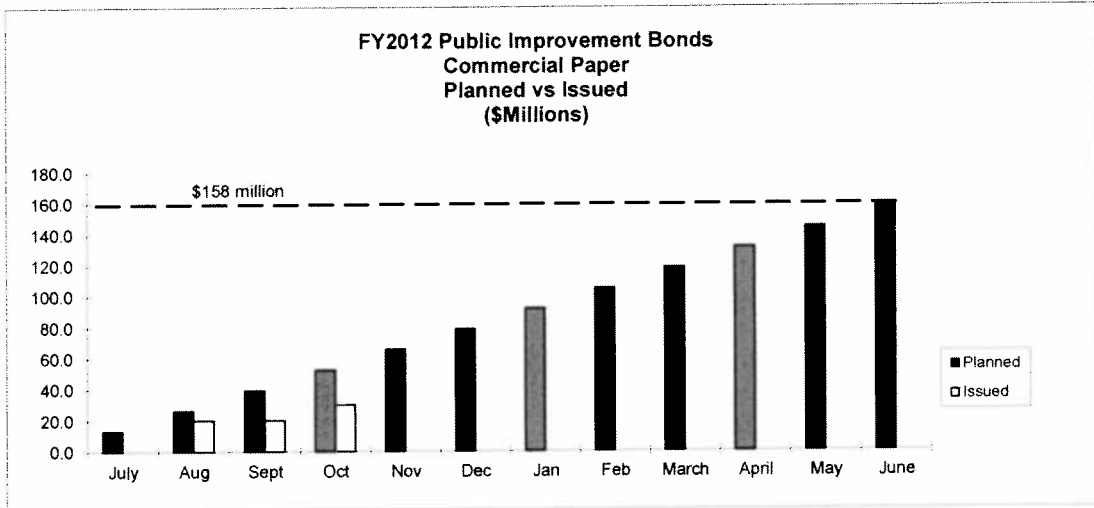
**EMS rates incr. from \$415 to \$1,000 on 12/1/10. Collections are for service prior to Dec. 1st thus significant decr. in collection ratio.

***Decline in Jan '11 & Feb '11 collections due to a delay in the processing of claims by Medicare. (fractional mileage change)

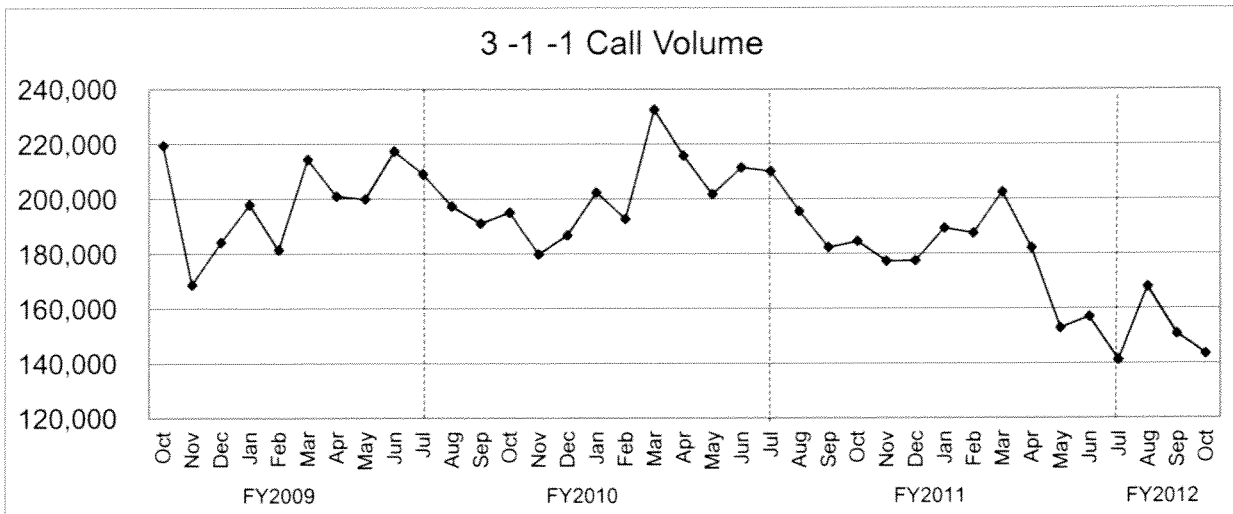
TREND INDICATORS - MISCELLANEOUS



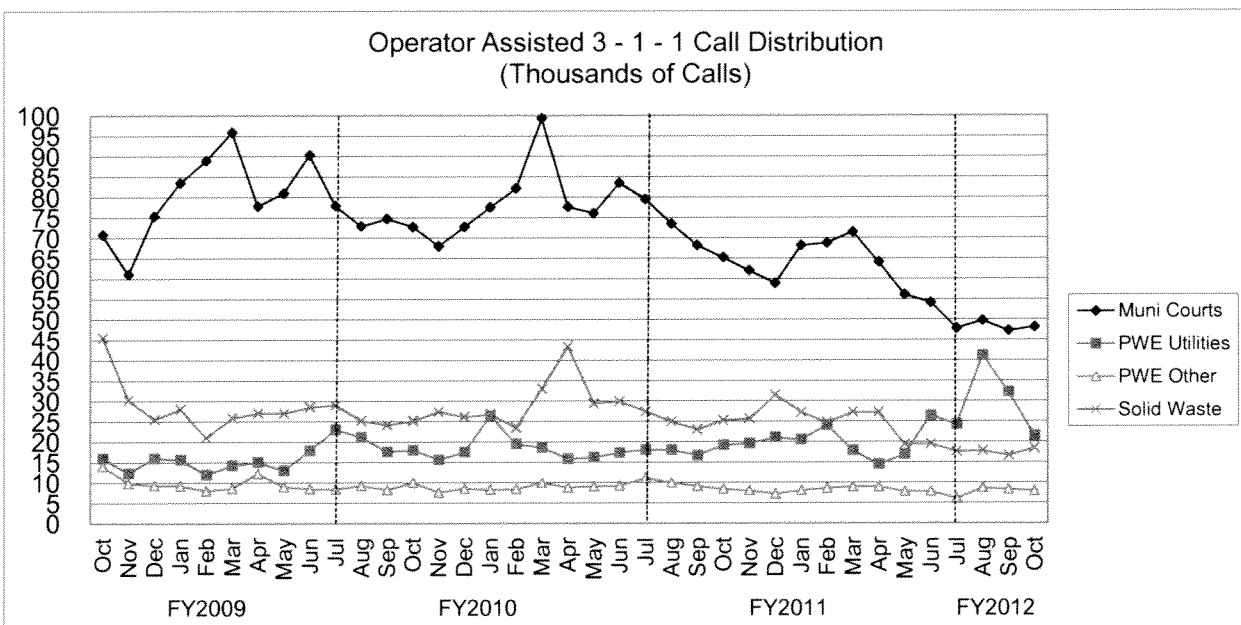
Negative balance for November 2009 was a net result of more taxes refunded to taxpayers on settlements of value litigations than taxes collected.



TREND INDICATORS - MISCELLANEOUS



3-1-1 became fully operational in August 2001



4 largest users of operator assisted 3-1-1 calls.