

Monthly Financial and Operations Report

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OFFICE OF THE CITY CONTROLLER
CITY OF HOUSTON
TEXAS

RONALD C. GREEN

To: Mayor Annise D. Parker
City Council Members

From: Ronald C. Green
City Controller

Date: October 26, 2012

Subject: September 2012
Financial Report

Attached is the Monthly Financial and Operations Report for the period ending September 30, 2012.

GENERAL FUND

The Controller's Office is projecting an ending fund balance of \$139.5 million for FY2013. This is \$24 million lower than the projection of the Finance Department. The difference is due to a \$24 million higher revenue projection from the Finance Department. Based on our current projections, the fund balance will be \$11.3 million above the City's target of holding 7.5% of total expenditures, excluding debt service, in reserve. This amount includes the undesignation of the \$20 million in the Rainy Day Fund, and reimbursement of \$10 million. I would like to again point out that the Administration's plan to replenish the Rainy Day Fund \$5 million a year for each of four years is not in compliance with Ordinance 2003-474, which requires that "The City shall allocate sufficient funds during the subsequent two fiscal years to replenish any use of Rainy Day funds in the previous year."

The only changes in our revenue projections are an increase of \$5.6 million in Property Tax, and an increase of \$3.8 million in Sales Tax. These recognize the Certified Roll values, and the August receipt that was up 12% over the prior year.

The major differences are still in seven categories: (1) Property Tax revenues are \$9.8 million lower due to the Controller's Office using a higher TIRZ payment projection. (2) Industrial Assessments are \$1.9 million lower as Controller's Office is using a lower collection rate than Finance. (3) Sales Tax revenues are \$2.9 million lower, as the Controller's Office is using Barton Smith's April 2012 growth estimate, discounted by his margin of error, plus actual receipts for July and August 2012. (4) Charges for Services are \$1.9 million lower. Controller's Office is projecting slightly lower Ambulance collections. (5) Municipal Courts are \$3 million lower, as the Controller's Office expects lower ticket issuance than Finance. (6) Interest is \$1 million lower reflecting lower cash balances and lower interest rates. (7) Miscellaneous/Other is \$1.8 million lower because one-time revenues.

Expenditure projections are \$2.5 million above the Adopted Budget. The projection increased \$2.1 million this month. General Government was increased \$1.6 million for additional costs related to the pension sustainability study as well as support for process improvement. Controller's increased \$517,000 for current staffing levels.

Please remember that all FY2012 numbers are preliminary estimates and subject to change until the annual audit is completed and the Comprehensive Annual Financial Report is published.

**Mayor Annise D. Parker
City Council Members
September 2012 Monthly Financial and Operations Report**

ENTERPRISE FUNDS

Our projection for the Combined Utility System Operating Expenditures decreased \$2.3 million for savings in personnel costs from delayed hiring, partially offset by higher temporary personnel costs for water line repairs.

We also decreased Operating Transfers \$2.2 million mainly for debt refunding savings and lower transfers to the Stormwater Fund.

Finally, in the Stormwater Fund this month we are decreasing our projection for Operating Expenditures and Operating Transfers In by \$225,000 reflecting savings in personnel from delayed hiring.

There were no changes in the Aviation Operating Fund, the Convention & Entertainment Operating Fund, and the Dedicated Drainage & Street Renewal Fund.

HEALTH BENEFITS FUND

I would also like to bring to your attention the Preliminary FY2012 Net Assets of negative \$14.1 million on pg III-1. This is due to an Operating Loss of \$17.5 million for the first year under the new City health insurance model. Under the Governmental Accounting Standards Board (GASB), this is allowed, but must be expected to be recovered under the next rate calculation. This will be for the May 1, 2013, through April 30, 2014, plan year, with the majority of the additional cost occurring in FY14.

COMMERCIAL PAPER AND BONDS

The City's practice has been to maintain no more than 20% of the total outstanding debt for each type of debt in a variable rate structure. As of September 30, 2012, the ratio of unhedged variable rate debt for each type of outstanding debt was:

General Obligation	6.9%
Combined Utility System	3.1%
Aviation	17.5%
Convention and Entertainment	18.3%

Respectfully submitted,



Ronald C. Green
City Controller

City of Houston, Texas
Quarterly Swap Agreements Disclosure
September 30, 2012

I. Combined Utility System Swaps

A. Combined Utility System Synthetic Fixed Rate Swap

On June 10, 2004 the City entered into three pay-fixed, receive-variable rate swap agreements (“the 2004B Swaps”) related to the Combined Utility System 2004B auction rate variable interest bonds (“the 2004B Bonds”). The City pre-qualified six firms to submit competitive bids on the swaps. The three firms selected all matched the lowest fixed rate bid of 3.78%. As of August 15, 2012 the City had converted all of the 2004B bonds from auction rate to variable rate demand bonds (“the 2004B bonds”) and SIFMA-Index notes (“the 2012A and 2012B Refunding Bonds”), collectively referred to herein as the “Bonds.”

Objective. The objective of the swaps is to hedge against the potential of rising interest rates associated with the Bonds and to achieve a lower fixed rate than the market rate for traditional fixed rate debt at time of issuance. The City’s goal is that its variable receipts under these swaps equal the variable payments made on the bonds, leaving the fixed payment on the swap, plus dealer and liquidity fees and the fixed spread to SIFMA, as its net interest cost.

Terms. The notional amounts of the swap agreements total \$653.3 million, the principal amount of the associated Bonds. The City’s swap agreements contain scheduled reductions to outstanding notional amounts that follow anticipated payments of principal of the Bonds in varying amounts during the years 2028 to 2034.

Under the terms of the swaps, the City will pay a fixed rate of 3.78% and receive a floating rate equal to 57.6% of One-Month US Dollar LIBOR plus 37 basis points. All agreements were effective June 10, 2004, the original date of issuance of the Bonds. The termination date is May 15, 2034.

Receipts and Payments. For the three months ended September 30, 2012, the City earned \$852,000 in swap revenue for these swaps and paid \$247,000 of interest on the underlying securities. The contractual rate for the City’s swap payment is 3.78%. The average effective rate for the 2004B bonds, including interest for the Series 2004B bonds, the City’s swap payments, and its dealer and liquidity fees, reduced by swap receipts, was 4.28%. In contrast, the comparable fixed rate the City paid on its Combined Utility System Series 2004A bonds was 5.08%.

Fair value. Because interest rates have changed, the swaps had an estimated negative fair value of \$216.3 million on September 30, 2012. This value was calculated using the zero-coupon method.

Credit risk. As of this date, the City was not exposed to credit risk because the swaps had a negative fair value. However, should interest rates change and the fair value of the swap become positive, the City would be exposed to credit risk on the swap in the amount of its fair value. If a counterparty’s credit rating falls below rating thresholds established by the agreements, collateral must be posted in varying amounts depending on the credit rating and swap fair value. No collateral has been required to date.

Counterparty	Notional Amount	Fair Value	Counterparty Credit Rating (Moody's/S&P/Fitch)
Goldman Sachs Capital Markets Inc.	\$ 353,325,000	\$ (116,900,000)	A3 /A- /A
JP Morgan Chase	150,000,000	(49,700,000)	A2/ A/A+
UBS AG	150,000,000	(49,700,000)	A2 /A /A
	<u>\$ 653,325,000</u>	<u>\$ (216,300,000)</u>	

Basis risk. The City is exposed to basis risk on the swaps because the variable payment received is based on a different taxable index from the tax-exempt rate paid by the City on the bonds. Should the relationship between taxable LIBOR and tax-exempt rates move to convergence (because of reductions in tax rates, for example), the expected cost savings may not be realized. For the three months ended September 30, 2012, the swap generated positive cash flow with the average variable rate paid on the underlying tax-exempt bonds at 0.15%, or 0.36% lower than the average 0.51% LIBOR-based rate received for the swap. On September 30, 2012, the interest rate in effect for the underlying bonds was 0.18%, 0.32% lower than the 0.50% rate in effect for swap receipts.

Remarketing risk. The City faces a risk that the remarketing agent will not be able to sell the variable rate demand bonds at a competitive rate each week. There is no remarketing risk associated with the SIFMA index notes until the end of the respective maturities in 2015 and 2017. Rates may vary considerably as investors shift in and out of the tax-exempt variable rate sector.

Termination risk. The City may terminate for any reason. A counterparty may terminate a swap if the City fails to perform under the terms of the contract. The City's on-going payment obligations under the swap (and to a limited extent, its termination payment obligations) are insured, and counterparties cannot terminate so long as the insurer does not fail to perform. If a swap is terminated, the associated variable-rate bonds would no longer carry synthetic fixed interest rates. Also, if the swap has a negative fair value at termination, the City would be liable to the counterparty for a payment equal to the swap's fair value.

B. Combined Utility System Forward Rate Lock/Synthetic Fixed Rate Swap

On November 1, 2005 the City priced a floating to fixed interest rate exchange agreement swap with Royal Bank of Canada ("RBC") on a forward basis. The City pre-qualified eight firms to submit competitive bids, and RBC submitted the lowest bid of 3.761%.

Objective. The City entered the swap agreement to hedge against the potential of rising interest rates and to achieve a lower fixed rate than the market rate for traditional fixed rate debt. This swap was previously assigned to the 2008A variable rate demand bonds and the 2010B SIFMA Indexed Notes. The swap is currently associated with the 2012C SIFMA Indexed Notes, which refunded the 2010 SIFMA Indexed Notes. The addition of the SIFMA-Indexed Notes diversifies the System's variable rate debt portfolio. Rates on the notes are calculated at SIFMA +60 bps, and the notes expire in 2016.

Terms. The notional amount of the swap is \$249.1 million with the underlying bonds being the Series 2012C Notes. The swap agreement contains scheduled reductions to the outstanding notional amount during the years 2028 to 2034.

Under terms of the swap, the City pays a fixed rate of 3.761% and receives a floating rate equal to 70% of One-Month US Dollar LIBOR. The agreement became effective December 3, 2007 with a termination date of May 15, 2034.

Receipts and Payments. For the three months ended September 30, 2012, the City earned \$108,429 swap revenue for its 2012C swap and paid \$95,263 on the underlying notes. The contractual rate for the City's swap payment is 3.761%. The average effective rate for the bonds, including the City's swap payments and a fixed component of 0.67%, was 4.43%.

Fair value. Because interest rates have changed, the swap had an estimated negative fair value of \$85.5 million on September 30, 2012. This value was calculated using the zero-coupon method.

Credit risk. The City's swap policy generally requires that swap counterparties be rated double-A or better by at least one nationally recognized rating agency. As of this date, RBC met this requirement with ratings of Aa3/AA-/AA. Also, under the agreement, if RBC's credit rating falls below double-A, collateral may be requested in varying amounts depending on the credit rating and swap fair value. No collateral has been required to date.

Basis risk. The City will be exposed to basis risk on the swap because the variable payment received is based on a taxable index other than the tax-exempt SIFMA based rate paid by the City on the bonds. In the future, if tax-exempt rates move to convergence with the taxable LIBOR index (because of reductions in tax rates, for example), the expected cost savings may not be realized, resulting in a higher synthetic rate. For the three months ended September 30, 2012, the average variable rate paid on the underlying tax-exempt bonds, excluding the fixed credit spread component, was 0.15%, 0.02% lower than the average 0.17% LIBOR-based rate received for the swap. At September 30, 2012, the overall rate in effect for the underlying bonds, excluding the fixed spread component, was 0.18%, 0.03% higher than the 0.15% rate in effect for the swap receipts.

Termination risk. The City may terminate for any reason. RBC may terminate a swap if the City fails to perform under the terms of the contract. The City's on-going payment obligations under the swap (and to a limited extent, its termination payment obligations) are insured, and RBC cannot terminate so long as the insurer does not fail to perform. If a swap is terminated, the associated variable-rate bonds would no longer carry synthetic fixed interest rates. Also, if the swap has a negative fair value at termination, the City would be liable to the counterparty for a payment equal to the swap's fair value.



CITY OF HOUSTON

Finance Department

Annise D. Parker

Mayor

Finance Department
P.O. Box 1562
Houston, Texas 77251-1562

T. 713-221-0935
F. 713-837-9654
www.houstontx.gov

To: Mayor Annise D. Parker
City Council Members

Date: October 26, 2012

Subject: 3+9 Financial and
Operations Report

Attached is the 3+9 Financial and Operations Report for the period ending September 30, 2012. Fiscal Year 2013 projections are based on three months of actual results and nine months of projections.

General Fund

We are currently projecting ending fund balance of \$163.0 million, which is approximately \$822,000 lower than last month and represents 9.5% of expenditures less debt. This fund balance is based on the FY2012 unaudited preliminary ending fund balance.

Revenues and Other Sources remained unchanged from last month.

Our projection for Expenditures and Other Uses increased by \$2.1 million due to the following:

- \$517,000 increase in City Controller due to personnel costs,
- \$1.63 million increase in General Government to account for the additional cost by an independent third party to conduct pension sustainability study as well as support on process improvement.

Enterprise, Special Revenue and Other Funds

We are projecting no change in Enterprise Funds, Special Revenue Funds and all other funds from the 2+10 Report, with the exception of the following:

Combined Utility System

Operating Expenditures decreased \$2.3 million mainly due to delays in filling vacant position, offset by increased in temporary personnel services to repair water main breaks.

Operating Transfers decreased by \$2.2 million primarily due to refunding of First Lien Issues as well as less cash transfer to the Storm Water Fund.

Storm Water Fund

Operating Expenditures and Operating Transfer-In decreased by \$225,000 due to delays in filling vacant positions.

Building Inspection Fund

Expenditures decreased by \$916,000 due to delays in filling vacant positions.

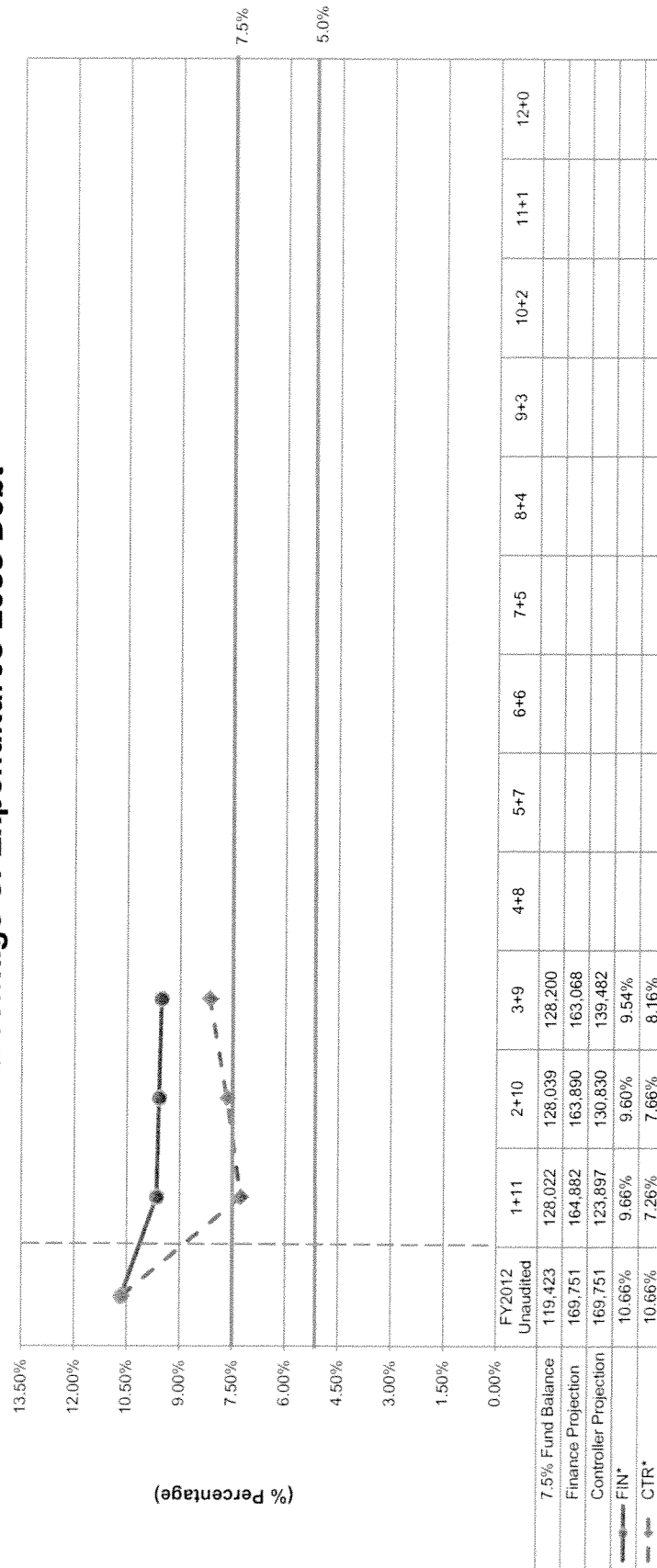
If you have any questions, please feel free to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Kelly Dowe". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Kelly Dowe
Director

**General Fund
FY2013 Comparative Fund Balance
Annual Projected Ending Fund Balance as a
Percentage of Expenditures Less Debt**



* Ending Fund Balance as a percentage of expenditures less debt.

General Fund (Fund 1000)
Comparative Projections
Controller's Office and Finance
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013					Variance between Controller and Finance
		Adopted Budget	Current Budget	% of Budget	Controller's Projection	Finance Projection	
Revenues							
General Property Taxes	\$ 866,141	\$ 900,197	\$ 900,197	48%	\$ 890,409	\$ 900,197	9,788
Industrial Assessments	37	14,800	14,800	1%	27,700	29,563	1,863
Sales Tax	546,796	577,373	577,373	31%	574,500	577,373	2,873
Other Taxes	9,801	9,685	9,685	1%	9,685	9,685	0
Electric Franchise	99,765	103,697	103,697	5%	103,697	103,697	0
Telephone Franchise	45,466	44,606	44,606	2%	44,606	44,606	0
Gas Franchise	22,009	19,194	19,194	1%	19,194	19,194	0
Other Franchise	25,520	24,175	24,175	1%	23,932	24,175	243
Licenses and Permits	24,586	29,502	29,502	2%	28,856	29,502	646
Intergovernmental	12,124	10,332	10,332	1%	9,907	10,332	425
Charges for Services	45,370	45,321	45,321	2%	43,396	45,321	1,925
Direct Interfund Services	41,469	43,959	43,959	2%	43,959	43,959	0
Indirect Interfund Services	18,255	16,850	16,850	1%	16,850	16,850	0
Municipal Courts Fines and Forfeits	34,416	34,486	34,486	2%	31,482	34,486	3,004
Other Fines and Forfeits	2,774	5,051	5,051	0%	5,051	5,051	0
Interest	4,433	4,000	4,000	0%	3,000	4,000	1,000
Miscellaneous/Other	4,070	8,064	8,064	0%	6,245	8,064	1,819
Total Revenues	1,803,032	1,891,292	1,891,292	100%	1,882,469	1,906,055	23,586
Expenditures							
Administration & Regulatory Affairs	25,999	28,127	28,127	1%	28,127	28,127	0
City Council	5,478	6,352	6,352	0%	6,352	6,352	0
City Secretary	730	836	836	0%	836	836	0
Controller	6,945	7,375	7,684	0%	7,892	7,892	0
Finance	22,027	11,277	11,277	1%	11,277	11,277	0
Fire	420,198	433,391	433,270	22%	433,270	433,270	0
General Services	45,689	49,020	49,020	3%	49,020	49,020	0
Health and Human Services	40,123	42,381	42,382	2%	42,542	42,542	0
Housing and Community Dev.	599	2,429	2,514	0%	2,514	2,514	0
Houston Emergency Center	11,550	11,855	11,855	1%	11,855	11,855	0
Human Resources	3,119	3,246	3,246	0%	3,246	3,246	0
Information Technology	16,857	19,269	19,442	1%	19,442	19,442	0
Legal	12,531	14,112	14,112	1%	14,112	14,112	0
Library	31,607	33,326	33,362	2%	33,362	33,362	0
Mayor's Office	5,335	6,113	6,113	0%	6,113	6,113	0
Municipal Courts	21,224	24,136	24,136	1%	24,136	24,136	0
Neighborhoods	9,131	10,320	10,320	1%	10,320	10,320	0
Office of Business Opportunity	1,998	2,352	2,352	0%	2,352	2,352	0
Parks and Recreation	63,156	64,403	64,457	3%	64,457	64,457	0
Planning and Development	6,657	7,545	7,550	0%	7,550	7,550	0
Police	640,887	697,567	697,652	36%	697,652	697,652	0
Public Works and Engineering	37,218	35,659	35,659	2%	35,659	35,659	0
Solid Waste Management	65,749	69,373	69,373	4%	69,373	69,373	0
Total Departmental Expenditures	1,494,807	1,580,464	1,581,091	81%	1,581,459	1,581,459	0
General Government	97,500	126,330	125,704	6%	127,872	127,872	0
Total Expenditures Other Than Debt	1,592,307	1,706,794	1,706,795	88%	1,709,331	1,709,331	0
Budgeted Debt Service	220,507	241,100	241,100	12%	241,100	241,100	0
Debt Service Transfer	220,507	241,100	241,100	0	241,100	241,100	0
Total Expenditures and Other Uses	1,812,814	1,947,894	1,947,895	100%	1,950,431	1,950,431	0
Net Current Activity	(9,782)	(56,602)	(56,603)		(67,962)	(44,376)	23,586
Other Financing Sources (Uses)							
Proceeds from Notes	0	0	0		10,955	10,955	0
Transfers from Other Funds	53,144	27,078	27,078		27,238	27,238	0
Sale of Capital Assets	1,504	4,500	4,500		4,500	4,500	0
Total Other Financing Sources (Uses)	54,648	31,578	31,578		42,693	42,693	0
Fund Balance							
Fund Balance - Beginning of Year	129,041	169,751	169,751		169,751	169,751	0
Changes to Designated Fund Balance*	(7,720)	(5,000)	(5,000)		(5,000)	(5,000)	0
Budgeted Increase/(Decrease) in Fund Balance	44,866	(25,024)	(25,025)		(25,024)	(25,024)	0
Change in Inventory/Prepaid Items/Imprest Cash	3,564	0	0		0	0	0
(Budget Gap)/Increase in Fund Balance**	0	0	0		(245)	23,341	23,586
Fund Balance, End of Year***	169,751	139,727	139,726		139,482	163,068	23,586

*The Rainy Day Fund of \$20 million became unassigned in FY2011. In 2012, the City reassigned \$5 million to the Rainy Day Fund and another \$5million to the FY2013 Budget. The total designation for the Rainy Day Fund is \$10 million. In FY2012, the City also provides for the designation of \$2.72 million out of the General Fund fund balance as restricted in the event that DARLEP collections are insufficient (Ordinance 2012-98).

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$128,200 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$11,282 above 7.5% based on the Controller's projections for Fiscal Year 2013.

General Fund (Fund 1000)
Controller's Office
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013					Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD	Controller's Projection		
Revenues								
General Property Taxes	\$ 866,141	\$ 900,197	\$ 900,197	\$ 1,966	\$ 9,314	\$ 890,409	\$ (9,788)	-1.1%
Industrial Assessments	37	14,800	14,800	4,602	11,166	27,700	12,900	87.2%
Sales Tax	546,796	577,373	577,373	56,515	143,219	574,500	(2,873)	-0.5%
Other Taxes	9,801	9,685	9,685	0	74	9,685	0	0.0%
Electric Franchise	99,765	103,697	103,697	8,658	25,700	103,697	0	0.0%
Telephone Franchise	45,466	44,606	44,606	3,841	11,276	44,606	0	0.0%
Gas Franchise	22,009	19,194	19,194	1,600	4,799	19,194	0	0.0%
Other Franchise	25,520	24,175	24,175	2,014	6,033	23,932	(243)	-1.0%
Licenses and Permits	24,586	29,502	29,502	2,039	7,233	28,856	(646)	-2.2%
Intergovernmental	12,124	10,332	10,332	(5,644)	487	9,907	(425)	-4.1%
Charges for Services	45,370	45,321	45,321	3,204	13,094	43,396	(1,925)	-4.2%
Direct Interfund Services	41,469	43,959	43,959	3,377	9,939	43,959	0	0.0%
Indirect Interfund Services	18,255	16,850	16,850	2,696	4,096	16,850	0	0.0%
Municipal Courts Fines and Forfeits	34,416	34,486	34,486	2,472	6,853	31,482	(3,004)	-8.7%
Other Fines and Forfeits	2,774	5,051	5,051	321	995	5,051	0	0.0%
Interest	4,433	4,000	4,000	218	736	3,000	(1,000)	-25.0%
Miscellaneous/Other	4,070	8,064	8,064	434	1,023	6,245	(1,819)	-22.6%
Total Revenues	1,803,032	1,891,292	1,891,292	88,313	256,037	1,882,469	(8,823)	-0.5%
Expenditures								
Administration & Regulatory Affairs	25,999	28,127	28,127	1,636	11,139	28,127	0	0.0%
City Council	5,478	6,352	6,352	456	1,477	6,352	0	0.0%
City Secretary	730	836	836	57	180	836	0	0.0%
Controller	6,945	7,375	7,684	618	1,865	7,892	(208)	-2.7%
Finance	22,027	11,277	11,277	609	1,625	11,277	0	0.0%
Fire	420,198	433,391	433,270	34,721	102,356	433,270	0	0.0%
General Services	45,689	49,020	49,020	3,392	9,384	49,020	0	0.0%
Health and Human Services	40,123	42,381	42,382	3,195	9,416	42,542	(160)	-0.4%
Housing and Community Dev.	599	2,429	2,514	16	208	2,514	0	0.0%
Houston Emergency Center	11,550	11,855	11,855	0	2,964	11,855	0	0.0%
Human Resources	3,119	3,246	3,246	223	706	3,246	0	0.0%
Information Technology	16,857	19,269	19,442	1,721	4,419	19,442	0	0.0%
Legal	12,531	14,112	14,112	1,067	3,252	14,112	0	0.0%
Library	31,607	33,326	33,362	2,131	7,279	33,362	0	0.0%
Mayor's Office	5,335	6,113	6,113	464	1,672	6,113	0	0.0%
Municipal Courts	21,224	24,136	24,136	1,621	5,085	24,136	0	0.0%
Neighborhoods	9,131	10,320	10,320	815	2,204	10,320	0	0.0%
Office of Business Opportunity	1,998	2,352	2,352	166	483	2,352	0	0.0%
Parks and Recreation	63,156	64,403	64,457	5,040	14,629	64,457	0	0.0%
Planning and Development	6,657	7,545	7,550	530	1,644	7,550	0	0.0%
Police	640,887	697,567	697,652	51,541	162,468	697,652	0	0.0%
Public Works and Engineering	37,218	35,659	35,659	(1,947)	6,792	35,659	0	0.0%
Solid Waste Management	65,749	69,373	69,373	5,163	12,836	69,373	0	0.0%
Total Departmental Expenditures	1,494,807	1,580,464	1,581,091	113,235	364,083	1,581,459	(368)	0.0%
General Government	97,500	126,330	125,704	3,731	10,503	127,872	(2,168)	-1.7%
Total Expenditures Other Than Debt	1,592,307	1,706,794	1,706,795	116,966	374,586	1,709,331	(2,536)	-0.1%
Budgeted Debt Service	220,507	241,100	241,100	0	0	241,100	0	0.0%
Debt Service Transfer	220,507	241,100	241,100	0	0	241,100	0	0.0%
Total Expenditures and Other Uses	1,812,814	1,947,894	1,947,895	116,966	374,586	1,950,431	(2,536)	-0.1%
Net Current Activity	(9,782)	(56,602)	(56,603)	(28,653)	(118,549)	(67,962)	(11,359)	
Other Financing Sources (Uses)								
Proceeds from Notes	-	-	-	0	10,955	10,955	10,955	
Transfers from Other Funds	53,144	27,078	27,078	2,193	4,591	27,238	160	
Sale of Capital Assets	1,504	4,500	4,500	72	229	4,500	-	
Total Other Financing Sources (Uses)	54,648	31,578	31,578	2,265	15,775	42,693	160	
Fund Balance								
Fund Balance - Beginning of Year	129,041	169,751	169,751	169,751	169,751	169,751	0	
Changes to Designated Fund Balance*	(7,720)	(5,000)	(5,000)	0	0	(5,000)	0	
Budgeted Increase/(Decrease) in Fund Balance	44,866	(25,024)	(25,025)	(26,388)	(102,774)	(25,024)	1	
Change in Inventory/Prepaid Items/Imprest Cash	3,564	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	(245)	(245)	
Fund Balance, End of Year***	169,751	139,727	139,726	143,363	66,977	139,482	(244)	

*The Rainy Day Fund of \$20 million became unassigned in FY2011. In FY2012, the City reassigned \$5 million to the Rainy Day Fund and another \$5 million in the FY2013 Budget. The total designation for the Rainy Day Fund is \$10 million. In FY2012, the City also provides for the designation of \$2.72 million out of the General Fund fund balance as restricted in the event that DARLEP collections are insufficient (Ordinance 2012-98).

**A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

***The City desires to maintain an ending fund balance equal to 7.5% of expenditures before Debt Service which is \$128,200 based on current expenditure projections. Ordinance 2003-474 requires a 5% fund balance of expenditures before Debt Service. The City of Houston will be \$11,282 above 7.5% based on the Controller's projections for Fiscal Year 2013.

General Fund (Fund 1000)
Finance
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013					Variance from Current Budget	% Variance
		Adopted Budget	Current Budget	Current Month	YTD	Finance Projection		
Revenues								
General Property Taxes	\$ 866,141	\$ 900,197	900,197	\$ 1,966	\$ 9,314	\$ 900,197	0	0.0%
Industrial Assessments	37	14,800	14,800	4,602	11,166	29,563	14,763	99.8%
Sales Tax	546,796	577,373	577,373	56,515	143,219	577,373	0	0.0%
Other Taxes	9,801	9,685	9,685	0	74	9,685	0	0.0%
Electric Franchise	99,765	103,697	103,697	8,658	25,700	103,697	0	0.0%
Telephone Franchise	45,466	44,606	44,606	3,841	11,276	44,606	0	0.0%
Gas Franchise	22,009	19,194	19,194	1,600	4,799	19,194	0	0.0%
Other Franchise	25,520	24,175	24,175	2,014	6,033	24,175	0	0.0%
Licenses and Permits	24,586	29,502	29,502	2,039	7,233	29,502	0	0.0%
Intergovernmental	12,124	10,332	10,332	(5,644)	487	10,332	0	0.0%
Charges for Services	45,370	45,321	45,321	3,204	13,094	45,321	0	0.0%
Direct Interfund Services	41,469	43,959	43,959	3,377	9,939	43,959	0	0.0%
Indirect Interfund Services	18,255	16,850	16,850	2,696	4,096	16,850	0	0.0%
Municipal Courts Fines and Forfeits	34,416	34,486	34,486	2,472	6,853	34,486	0	0.0%
Other Fines and Forfeits	2,774	5,051	5,051	321	995	5,051	0	0.0%
Interest	4,433	4,000	4,000	218	736	4,000	0	0.0%
Miscellaneous/Other	4,070	8,064	8,064	434	1,023	8,064	0	0.0%
Total Revenues	1,803,032	1,891,292	1,891,292	88,313	256,037	1,906,055	14,763	0.8%
Expenditures								
Administration & Regulatory Affairs	25,999	28,127	28,127	1,636	11,139	28,127	0	0.0%
City Council	5,478	6,352	6,352	456	1,477	6,352	0	0.0%
City Secretary	730	836	836	57	180	836	0	0.0%
Controller	6,945	7,375	7,684	618	1,865	7,892	(208)	-2.7%
Finance	22,027	11,277	11,277	609	1,625	11,277	0	0.0%
Fire	420,198	433,391	433,270	34,721	102,356	433,270	0	0.0%
General Services	45,689	49,020	49,020	3,392	9,384	49,020	0	0.0%
Health and Human Services	40,123	42,381	42,382	3,195	9,416	42,542	(160)	-0.4%
Housing and Community Dev.	599	2,429	2,514	16	208	2,514	0	0.0%
Houston Emergency Center	11,550	11,855	11,855	0	2,964	11,855	0	0.0%
Human Resources	3,119	3,246	3,246	223	706	3,246	0	0.0%
Information Technology	16,857	19,269	19,442	1,721	4,419	19,442	0	0.0%
Legal	12,531	14,112	14,112	1,067	3,252	14,112	0	0.0%
Library	31,607	33,326	33,362	2,131	7,279	33,362	0	0.0%
Mayor's Office	5,335	6,113	6,113	464	1,672	6,113	0	0.0%
Municipal Courts	21,224	24,136	24,136	1,621	5,085	24,136	0	0.0%
Neighborhoods	9,131	10,320	10,320	815	2,204	10,320	0	0.0%
Office of Business Opportunity	1,998	2,352	2,352	166	483	2,352	0	0.0%
Parks and Recreation	63,156	64,403	64,457	5,040	14,629	64,457	0	0.0%
Planning and Development	6,657	7,545	7,550	530	1,644	7,550	0	0.0%
Police	640,887	697,567	697,652	51,541	162,468	697,652	0	0.0%
Public Works and Engineering	37,218	35,659	35,659	(1,947)	6,792	35,659	0	0.0%
Solid Waste Management	65,749	69,373	69,373	5,163	12,836	69,373	0	0.0%
Total Departmental Expenditures	1,494,807	1,580,464	1,581,091	113,235	364,083	1,581,459	(368)	0.0%
General Government	97,500	126,330	125,704	3,731	10,503	127,872	(2,168)	-1.7%
Total Expenditures Other Than Debt	1,592,307	1,706,794	1,706,795	116,966	374,586	1,709,331	(2,536)	-0.1%
Budgeted Debt Service	220,507	241,100	241,100	0	0	241,100	0	0.0%
Debt Service Transfer	220,507	241,100	241,100	0	0	241,100	0	0.0%
Total Expenditures and Other Uses	1,812,814	1,947,894	1,947,895	116,966	374,586	1,950,431	(2,536)	-0.1%
Net Current Activity	(9,782)	(56,602)	(56,603)	(28,653)	(118,549)	(44,376)	12,227	
Other Financing Sources (Uses)								
Notes from Proceeds	0	0	0	0	10,955	10,955	10,955	
Transfers from Other Funds	53,144	27,078	27,078	2,193	4,591	27,238	160	
Sale of Capital Assets	1,504	4,500	4,500	72	229	4,500	0	
Total Other Financing Sources (Uses)	54,648	31,578	31,578	2,265	15,775	42,693	11,115	
Fund Balance								
Fund Balance - Beginning of Year	129,041	169,751	169,751	169,751	169,751	169,751	0	
Changes to Designated Fund Balance*	(7,720)	(5,000)	(5,000)	0	0	(5,000)	0	
Budgeted Increase/(Decrease) in Fund Balance	44,866	(25,024)	(25,025)	(26,388)	0	(25,024)	1	
Change in Inventory/Prepaid Items/Imprest Cash	3,564	0	0	0	0	0	0	
(Budget Gap)/Increase in Fund Balance**	0	0	0	0	0	23,341	23,341	
Fund Balance, End of Year	169,751	139,727	139,726	143,363	66,977	163,068	23,342	

*The Rainy Day Fund of \$20 million became unassigned in FY2011. In FY2012, the City reassigned \$5 million to the Rainy Day Fund and another \$5 million in the FY2013 Budget. The total designation for the Rainy Day Fund is \$10 million. In FY2012, the City also provides for the designation of \$2.72 million out of the General Fund fund balance as restricted in the event that DARLEP collections are insufficient (Ordinance 2012-98).

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General Fund (Fund 1000)
General Government
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013						
		Adopted Budget	Current Budget	Current Month	YTD	YTD as % of Current Budget	Controller's Projection	Finance Projection
Non-Dept. Exp and Other Uses								
General Government								
Termination Pay - Civilian	1,388	12,051	12,051	940	2,818	23.4%	12,051	12,051
Insurance-Civilian (Retirees)	10,250	0	0	0	0	0.0%	0	0
Total Personnel Services	11,638	12,051	12,051	940	2,818	23.4%	12,051	12,051
Accounting and Auditing Svcs	0	0	0	(12)	0	0.0%	0	0
Banking Services	113	128	128	9	25	19.5%	128	128
Advertising Svcs	332	300	300	10	30	10.0%	300	300
Fuel	1,845	1,200	1,200	0	0	0.0%	1,200	1,200
Interfund Services	0	1,376	1,376	0	0	0.0%	1,376	1,376
Legal Services	575	1,895	1,895	57	87	4.6%	1,895	1,895
Management Consulting Svcs.	440	681	681	464	481	70.6%	681	681
Real Estate Lease	4,783	4,650	4,650	371	1,115	24.0%	4,650	4,650
METRO Commuter Passes	692	720	720	0	0	0.0%	720	720
Electricity	0	1,897	1,897	0	0	0.0%	1,897	1,897
Limited Purpose Annexation Pmts.	35,729	38,208	38,208	0	0	0.0%	38,208	38,208
Criminal Intelligence Services	0	5,000	5,000	0	0	0.0%	5,000	5,000
Tax Appraisal Fees	7,616	7,800	7,800	1,881	3,767	48.3%	7,800	7,800
Ch380 - Sales Tax Refund	236	263	263	0	0	0.0%	0	0
Elections	2,178	0	0	0	0	0.0%	0	0
Claims and Judgments	6,826	10,277	10,277	0	0	0.0%	10,277	10,277
Contingency/Reserve	1,833	4,100	3,439	0	0	0.0%	4,328	4,328
Contributions	0	12,791	12,791	0	0	0.0%	12,791	12,791
Misc Other Services and Charges	3,927	1,878	1,878	0	0	0.0%	3,420	3,420
Membership and Professional Fees	1,521	1,625	1,660	6	95	5.7%	1,660	1,660
Total Other Services and Charges	68,646	94,789	94,163	2,786	5,600	5.9%	96,331	96,331
Other Financing Uses								
Debt Service-Interest	2,061	4,117	4,117	5	734	17.8%	4,117	4,117
Transfers to Conv & Entertain	337	448	448	0	120	26.8%	448	448
Transfers to CUS	0	0	0	0	0	0.0%	0	0
Transfers to Special Revenues	14,818	14,925	14,925	0	1,231	8.2%	14,925	14,925
Total Other Financing Uses	17,216	19,490	19,490	5	2,085	10.7%	19,490	19,490
Total General Government	97,500	126,330	125,704	3,731	10,503	8.4%	127,872	127,872

General Fund
Statement of Cash Transactions
For the period ended September 30, 2012
(amounts expressed in thousands)

	Month Ended	FY2013 YTD
Cash Balance, Beginning of Month	\$ 223,816	\$ 106,991
RECEIPTS:		
Balance Sheet Transactions	9,153	28,215
TRANS Proceeds	-	181,489
Short-term Borrowings	-	10,955
Taxable Note Proceeds	-	-
Ad Valorem Tax	1,957	9,287
Industrial Assessments	4,556	11,163
Sales Tax	48,399	149,153
Bingo Tax	-	47
Mixed Beverage Tax	-	2,611
Electric Franchise Fees	51	17,455
Telephone Franchise Fees	24	11,148
Natural Gas Franchise Fees	-	3,199
Other Franchise Fees	157	6,562
Licenses and Permits	1,906	6,812
Intergovernmental	(233)	6,195
Charge for Services	3,197	13,203
Direct Interfund Services	2,653	9,485
Indirect Interfund Services	2,696	(720)
Municipal Courts Fines	2,477	8,368
Interfund - Pension Bond Proceeds	-	-
Interfund - Disaster Recovery Fund	-	-
Interfund - all other funds	-	-
Other Fines & Forfeitures	321	1,081
Interest Apportionment	217	735
Other	2,609	5,541
Total Receipts	80,140	481,984
DISBURSEMENTS:		
Balance Sheet Transactions	(6,825)	(20,352)
Vendor Payment	(14,773)	(48,398)
Payroll Expenses	(101,221)	(298,623)
Workers' Compensation	(1,210)	(3,427)
Operating Transfer Out	(455)	(11,099)
Supplies	(3,805)	(11,764)
Contract Services	(596)	(1,474)
Rental & Leasings	(458)	(1,463)
Utilities	(2,073)	(11,911)
TRANS Borrowing / Repayment	-	-
Taxable Note Principal and Interest	-	-
TIRZ Payment	-	-
Interfund - Transfer to Rainy Day Fund	-	-
Interfund - Transfer to Debt Service	-	-
Interfund - all other funds	(3,876)	(10,558)
Capital Outlay	-	-
Other	(481)	(1,722)
Total Disbursements	(135,772)	(420,790)
Net Increase (Decrease) in Cash	(55,632)	61,194
Cash Balance, End of Month	<u>\$ 168,185</u>	<u>\$ 168,185</u>

Note: Totals may not add up exactly due to rounding

General Fund 1000
Five Year History and Current Year Projection
(amounts expressed in thousands)

	FY2008		FY2009		FY2010	
	Actual	% of Total	Actual	% of Total	Actual	% of Total
Revenues	\$		\$		\$	
General Property Taxes	830,889	49.0%	890,088	49.0%	892,865	48.9%
Industrial Assessments	17,787	1.1%	19,133	1.1%	15,817	1.1%
Sales Tax	495,173	28.4%	507,103	28.4%	468,965	27.7%
Other Taxes	10,735	0.6%	10,813	0.6%	10,577	0.6%
Electric Franchise	98,141	5.5%	99,612	5.5%	97,248	5.5%
Telephone Franchise	49,566	2.1%	48,229	2.1%	48,263	2.7%
Gas Franchise	21,507	1.2%	21,258	1.2%	21,729	1.2%
Other Franchise	20,981	0.9%	21,223	0.9%	23,628	1.2%
License and Permits	20,889	1.0%	17,511	1.0%	18,636	1.0%
Intergovernmental	32,950	1.8%	33,027	1.8%	32,148	1.8%
Charges for Services	39,836	2.0%	35,743	2.0%	34,156	2.0%
Direct Interfund Services	41,395	2.5%	47,890	2.5%	46,906	2.6%
Indirect Interfund Services	10,950	0.7%	13,190	0.7%	16,012	0.7%
Muni Courts Fines and Forfeits	37,140	2.1%	37,692	2.1%	38,096	2.0%
Other Fines and Forfeits	4,491	0.1%	2,692	0.1%	2,029	0.1%
Interest	16,992	0.5%	8,826	0.5%	6,858	0.5%
Miscellaneous/Other	12,315	0.6%	10,276	0.6%	8,215	0.6%
Total Revenues	1,761,737	100.0%	1,824,306	100.0%	1,782,148	100.0%
Expenditures						
Administration & Regulatory Affairs	19,363	1.2%	22,845	1.2%	30,206	1.2%
City Council	4,981	0.3%	5,097	0.3%	5,094	0.3%
City Secretary	629	0.0%	667	0.0%	685	0.0%
Controller	6,596	0.4%	7,111	0.4%	7,115	0.4%
Convention & Entertainment	1,153	0.1%	1,194	0.1%	1,159	0.1%
Finance	8,171	0.5%	9,044	0.5%	9,908	0.5%
Fire	388,354	22.3%	422,718	22.3%	435,852	22.2%
General Services	45,384	2.6%	50,034	2.6%	47,633	2.6%
Health and Human Services	50,903	3.0%	56,638	3.0%	48,541	3.0%
Housing and Community Dev.	472	0.0%	779	0.0%	832	0.0%
Houston Emergency Center	10,742	0.6%	11,280	0.6%	11,193	0.6%
Human Resources	2,470	0.1%	2,740	0.1%	3,181	0.1%
Information Technology	17,322	0.9%	17,494	0.9%	19,065	0.9%
Legal	13,779	0.8%	15,996	0.8%	16,311	0.8%
Library	34,869	2.0%	37,647	2.0%	37,237	2.0%
Mayor's Office	2,900	0.2%	2,917	0.2%	2,879	0.2%
Municipal Courts	21,185	1.0%	23,516	1.0%	22,697	1.0%
Neighborhoods	0	0.0%	0	1.0%	0	0.0%
Office of Business Opportunity	2,137	0.1%	2,311	0.1%	2,457	0.1%
Parks and Recreation	64,682	3.5%	70,111	3.5%	67,500	3.7%
Planning and Development	7,557	0.4%	8,220	0.4%	8,985	0.4%
Police	618,308	34.7%	657,225	34.7%	662,765	34.5%
Public Works and Engineering	88,431	4.7%	90,321	4.7%	86,034	4.8%
Solid Waste Management	74,083	3.9%	74,419	3.9%	68,472	3.9%
Total Departmental Expenditures	1,484,471	83.8%	1,590,324	83.8%	1,595,801	83.6%
General Government	83,020	3.9%	78,374	3.9%	80,566	4.2%
Debt Service Transfer	222,850	12.3%	232,948	12.3%	240,020	12.2%
Total Expenditures and Other Uses	1,790,341	100.0%	1,901,646	100.0%	1,916,387	100.0%
Net Current Activity	(28,604)		(77,340)		(134,239)	
Other Financing Sources (Uses)						
Notes from Proceeds	0		0		0	
Transfers from Other Funds	11,219		35,810		38,658	
Pension Bond Proceed	35,000		20,000		20,000	
Sale of Capital Assets	4,003		4,798		6,548	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	50,222		60,608		65,206	
Fund Balance						
Fund Balance - Beginning of Year	231,888		253,043		236,311	
Change in Misc. Other Reserves	(463)		0		0	
Changes to Designated Fund Balance	0		0		0	
Budgeted Increase/(Decrease) in Fund Balance	0		0		0	
Change in Inventory, Prepaid Items and Imprest Cash	0		0		(1,895)	
Fund Balance, End of Year	253,043		253,311		165,383	

General Fund 1000
Five Year History and Current Year Projection (cont'd)
(amounts expressed in thousands)

	FY2011		FY2012		FY2013	
	Actual	% of Total	Unaudited Preliminary	% of Total	Projection	% of Total
Revenues	\$		\$		\$	
General Property Taxes	859,413	47.7%	866,141	48.0%	900,197	47.2%
Industrial Assessments	14,458	0.8%	37	0.0%	29,563	1.6%
Sales Tax	492,824	27.3%	546,796	30.3%	577,373	30.3%
Other Taxes	10,450	0.6%	9,801	0.5%	9,685	0.5%
Electric Franchise	98,108	5.4%	99,765	5.5%	103,697	5.4%
Telephone Franchise	46,722	2.6%	45,466	2.5%	44,606	2.3%
Gas Franchise	21,890	1.2%	22,009	1.2%	19,194	1.0%
Other Franchise	23,844	1.3%	25,520	1.4%	24,175	1.3%
License and Permits	18,714	1.0%	24,586	1.4%	29,502	1.5%
Intergovernmental	58,895	3.3%	12,124	0.7%	10,332	0.5%
Charges for Services	38,166	2.1%	45,370	2.5%	45,321	2.4%
Direct Interfund Services	46,034	2.6%	41,469	2.3%	43,959	2.3%
Indirect Interfund Services	16,328	0.9%	18,255	1.0%	16,850	0.9%
Muni Courts Fines and Forfeits	36,319	2.0%	34,416	1.9%	34,486	1.8%
Other Fines and Forfeits	2,903	0.2%	2,774	0.2%	5,051	0.3%
Interest	5,788	0.3%	4,433	0.2%	4,000	0.2%
Miscellaneous/Other	11,872	0.7%	4,070	0.2%	8,064	0.4%
Total Revenues	1,802,728	100.0%	1,803,032	100.0%	1,906,055	100.0%
Expenditures						
Administration & Regulatory Affairs	31,641	1.7%	25,999	1.4%	28,127	1.4%
City Council	5,007	0.3%	5,478	0.3%	6,352	0.3%
City Secretary	747	0.0%	730	0.0%	836	0.0%
Controller	7,389	0.4%	6,945	0.4%	7,892	0.4%
Convention & Entertainment	0	0.0%	0	0.0%	0	0.0%
Finance	9,802	0.5%	22,027	1.2%	11,277	0.6%
Fire	448,175	23.6%	420,198	23.2%	433,270	22.2%
General Services	46,079	2.4%	45,689	2.5%	49,020	2.5%
Health and Human Services	45,614	2.4%	40,123	2.2%	42,542	2.2%
Housing and Community Dev.	860	0.0%	599	0.0%	2,514	0.1%
Houston Emergency Center	11,172	0.6%	11,550	0.6%	11,855	0.6%
Human Resources	3,152	0.2%	3,119	0.2%	3,246	0.2%
Information Technology	19,073	1.0%	16,857	0.9%	19,442	1.0%
Legal	16,974	0.9%	12,531	0.7%	14,112	0.7%
Library	35,305	1.9%	31,607	1.7%	33,362	1.7%
Mayor's Office	2,930	0.2%	5,335	0.3%	6,113	0.3%
Municipal Courts	22,837	1.2%	21,224	1.2%	24,136	1.2%
Neighborhoods	0	0.0%	9,131	0.0%	10,320	0.5%
Office of Business Opportunity	2,404	0.1%	1,998	0.1%	2,352	0.1%
Parks and Recreation	63,133	3.3%	63,156	3.5%	64,457	3.3%
Planning and Development	8,173	0.4%	6,657	0.4%	7,550	0.4%
Police	663,420	34.9%	640,887	35.4%	697,652	35.8%
Public Works and Engineering	83,464	4.4%	37,218	2.1%	35,659	1.8%
Solid Waste Management	65,543	3.4%	65,749	3.6%	69,373	3.6%
Total Departmental Expenditures	1,592,894	83.8%	1,494,807	82.0%	1,581,459	81.1%
General Government	87,144	4.6%	97,500	5.4%	127,872	6.6%
Debt Service Transfer	220,837	11.6%	220,507	12.2%	241,100	12.4%
Total Expenditures and Other Uses	1,900,875	100.0%	1,812,814	99.5%	1,950,431	100.0%
Net Current Activity	(98,147)		(9,782)		(44,376)	
Other Financing Sources (Uses)						
Notes from Proceeds	0		0		10,955	
Transfers from Other Funds	23,561		53,144		27,238	
Pension Bond Proceed	0		0		0	
Sale of Capital Assets	13,766		1,504		4,500	
Proceeds from Contracts	0		0		0	
Total Other Financing Sources (Uses)	37,327		54,648		42,693	
Fund Balance						
Fund Balance - Beginning of Year	165,383		129,041		169,751	
Change in Misc. Other Reserves	0		0		0	
Changes to Designated Fund Balance	20,000		(7,720)		(5,000)	
Budgeted Increase/(Decrease) in Fund Balance	0		0		0	
Change in Inventory, Prepaid Items and Imprest Cash	4,478		3,564		0	
Fund Balance, End of Year	129,041		169,751		163,068	

Aviation Operating Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Operating Revenues						
Landing Area	\$ 86,935	\$ 90,772	\$ 90,772	\$ 23,099	\$ 90,772	\$ 90,772
Bldg and Ground Area	182,320	187,950	187,950	47,755	187,950	187,950
Parking and Concession	144,220	145,655	145,655	37,933	144,683	144,683
Other	4,076	3,780	3,780	935	3,780	3,780
Total Operating Revenues	<u>417,551</u>	<u>428,157</u>	<u>428,157</u>	<u>109,722</u>	<u>427,185</u>	<u>427,185</u>
Operating Expenses						
Personnel	107,532	99,099	99,099	23,575	98,769	98,769
Supplies	7,290	8,404	8,404	1,570	7,934	7,934
Services	139,613	152,046	154,406	31,941	149,712	149,712
Non-Capital Outlay	967	2,229	2,229	110	1,835	1,835
Total Operating Expenses	<u>255,402</u>	<u>261,778</u>	<u>264,138</u>	<u>57,196</u>	<u>258,250</u>	<u>258,250</u>
Operating Income (Loss)	<u>162,149</u>	<u>166,379</u>	<u>164,019</u>	<u>52,526</u>	<u>168,935</u>	<u>168,935</u>
Non-Operating Revenues (Expenses)						
Interest Income	9,826	9,076	9,076	2,024	8,083	8,083
Other	2,360	0	0	532	14	14
Total Non-Operating Rev (Exp)	<u>12,186</u>	<u>9,076</u>	<u>9,076</u>	<u>2,556</u>	<u>8,097</u>	<u>8,097</u>
Income (Loss) Before Operating Transfers	<u>174,335</u>	<u>175,455</u>	<u>173,095</u>	<u>55,082</u>	<u>177,032</u>	<u>177,032</u>
Operating Transfers						
Interfund Transfer - Oper Reserve	987	2,000	2,000	0	2,000	2,000
Debt Service Principal	47,068	54,521	54,521	10,971	56,800	56,800
Debt Service Interest	58,413	63,981	63,981	18,792	64,133	64,133
Capital Improvement	67,021	54,953	52,593	6,913	54,099	54,099
Total Operating Transfers	<u>173,489</u>	<u>175,455</u>	<u>173,095</u>	<u>36,676</u>	<u>177,032</u>	<u>177,032</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ 846</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>18,406</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport, and Ellington Field. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies, the airlines, and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controllers Projection	Finance Projection
Operating Revenues						
Facility Rentals	\$ 1,200	\$ 1,380	\$ 1,380	\$ 1,380	\$ 1,380	\$ 1,380
Parking	7,732	8,407	8,407	1,270	8,407	8,407
Contract Cleaning	(1)	0	0	0	0	0
Total Operating Revenues	<u>8,931</u>	<u>9,787</u>	<u>9,787</u>	<u>2,650</u>	<u>9,787</u>	<u>9,787</u>
Operating Expenses						
Personnel	848	347	347	332	347	347
Supplies	0	0	0	0	0	0
Services	932	850	855	818	855	855
Total Operating Expenses	<u>1,780</u>	<u>1,197</u>	<u>1,202</u>	<u>1,150</u>	<u>1,202</u>	<u>1,202</u>
Operating Income (Loss)	<u>7,151</u>	<u>8,590</u>	<u>8,585</u>	<u>1,500</u>	<u>8,585</u>	<u>8,585</u>
Non-Operating Revenues (Expenses)						
Hotel Occupancy Tax						
Current	67,548	66,275	66,275	18,903	66,275	66,275
Delinquent	1,074	994	994	420	994	994
Net Hotel Occupancy Tax	<u>68,622</u>	<u>67,269</u>	<u>67,269</u>	<u>19,323</u>	<u>67,269</u>	<u>67,269</u>
Interest Income	546	420	420	116	420	420
Capital Outlay	0	(2,500)	(2,495)	(1,017)	(2,495)	(2,495)
Other Interest	(56)	(123)	(123)	(12)	(123)	(123)
Other	43	841	841	0	841	841
Total Non-Operating Rev (Exp)	<u>69,155</u>	<u>65,907</u>	<u>65,912</u>	<u>18,410</u>	<u>65,912</u>	<u>65,912</u>
Income (Loss) Before Operating Transfers	<u>76,306</u>	<u>74,497</u>	<u>74,497</u>	<u>19,910</u>	<u>74,497</u>	<u>74,497</u>
Operating Transfers						
Transfers for Interest	4,815	5,104	5,104	1,304	5,104	5,104
Transfers for Principal	13,418	16,085	16,085	3,830	16,085	16,085
Transfer to Component Unit	68,234	53,934	53,934	15,063	53,934	53,934
Transfers to General Fund	10,388	1,380	1,380	1,380	1,380	1,380
Transfers to Debt Service	2,312	0	0	0	0	0
Total Operating Transfers	<u>99,167</u>	<u>76,503</u>	<u>76,503</u>	<u>21,577</u>	<u>76,503</u>	<u>76,503</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ (22,861)</u>	<u>\$ (2,006)</u>	<u>\$ (2,006)</u>	<u>\$ (1,667)</u>	<u>\$ (2,006)</u>	<u>\$ (2,006)</u>

About the Fund:

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's six major entertainment centers and City-owned parking garages: Jesse H. Jones Hall, Bayou Place, Houston Center for The Arts, Gus S. Wortham Center, George R. Brown Convention Center, Tranquility Park garage and Civic Center garage.

Combined Utility System Fund
For the period ending September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Water Sales	\$ 485,338	\$ 494,013	\$ 494,013	\$ 134,350	\$ 494,013	\$ 494,013
Sewer Sales	421,370	427,119	427,119	114,270	427,119	427,119
Penalties	10,004	9,000	9,000	2,521	9,000	9,000
Other	6,414	8,419	8,419	2,234	8,419	8,419
Total Operating Revenues	<u>923,126</u>	<u>938,551</u>	<u>938,551</u>	<u>253,375</u>	<u>938,551</u>	<u>938,551</u>
Operating Expenses						
Personnel	148,601	162,045	162,045	38,054	158,759	158,759
Supplies	48,003	46,397	46,397	9,435	46,397	46,397
Electricity and Gas	63,863	58,555	58,555	14,752	58,555	58,555
Contracts & Other Payments	132,112	133,721	133,621	14,649	134,648	134,648
Non-Capital Equipment	3,027	2,912	3,012	566	2,912	2,912
Total Operating Expenses	<u>395,606</u>	<u>403,630</u>	<u>403,630</u>	<u>77,456</u>	<u>401,271</u>	<u>401,271</u>
Operating Income (Loss)	<u>527,520</u>	<u>534,921</u>	<u>534,921</u>	<u>175,919</u>	<u>537,280</u>	<u>537,280</u>
Non-Operating Revenues (Expenses)						
Interest Income	8,310	7,598	7,598	1,793	7,598	7,598
Sale of Property, Mains and Scrap	876	400	400	154	400	400
Other	5,851	8,153	8,153	3,694	8,153	8,153
Impact Fees	16,651	13,000	13,000	0	13,000	13,000
CWA & TRA Contracts (P & I)	(20,389)	(19,663)	(19,663)	(8,369)	(19,663)	(19,663)
Total Non-Operating Rev (Exp)	<u>11,299</u>	<u>9,488</u>	<u>9,488</u>	<u>(2,728)</u>	<u>9,488</u>	<u>9,488</u>
Income (Loss) Before Operating Transfers	<u>538,819</u>	<u>544,409</u>	<u>544,409</u>	<u>173,191</u>	<u>546,768</u>	<u>546,768</u>
Operating Transfers						
Debt Service Transfer	381,710	428,560	428,560	33,489	426,534	426,534
Transfer to PIB - Water & Sewer	21,163	15,807	15,807	1,896	15,807	15,807
Transfer to Capital Project Fund	50,000	50,000	50,000	50,000	50,000	50,000
Pension Liability Interest	3,814	3,814	3,814	636	3,814	3,814
Equipment Acquisition	19,928	21,468	21,611	1,677	21,468	21,468
Transfer to Stormwater	44,369	43,799	43,656	12,927	43,575	43,575
Total Operating Transfers	<u>520,984</u>	<u>563,448</u>	<u>563,448</u>	<u>100,625</u>	<u>561,198</u>	<u>561,198</u>
Net Current Activity						
Operating Fund Only	<u>\$ 17,835</u>	<u>\$ (19,039)</u>	<u>\$ (19,039)</u>	<u>\$ 72,566</u>	<u>\$ (14,430)</u>	<u>\$ (14,430)</u>

About the Fund:

The Combined Utility System Fund, which includes Fund 8300, Fund 8301, and Fund 8305, is an enterprise fund which accounts for operation of the City's water and wastewater facilities. The fund provides for the operation of the City's treated and untreated water, as well as, receive and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers.

Dedicated Drainage & Street Renewal Fund - 2310
For the period ending September 30, 2012
(amounts expressed in thousands)

	Unaudited		FY2013			
	Preliminary FY2012	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Drainage Charge Revenue*	\$ 100,486	\$ 103,001	\$ 103,001	\$ 28,113	\$ 103,001	\$ 103,001
Interfund Drainage Fee**	6,850	1,879	1,879	568	1,879	1,879
Charges for Services	2,142	1,285	1,285	110	1,285	1,285
Licenses & Permits	814	670	670	241	670	670
Street Milling and Sales Earnings	1,117	900	900	227	900	900
Metro Intergovernmental Revenue	52,189	51,200	51,200	0	51,200	51,200
Miscellaneous/Other	268	156	156	49	159	159
Total Revenues	<u>163,866</u>	<u>159,091</u>	<u>159,091</u>	<u>29,308</u>	<u>159,094</u>	<u>159,094</u>
Expenditures						
Personnel	28,549	30,728	30,728	7,054	30,728	30,728
Supplies	12,884	13,407	13,407	1,849	13,407	13,407
Other Services	13,390	13,004	13,196	2,062	13,004	13,004
Capital Outlay	735	3,349	3,186	75	3,349	3,349
Total Expenditures	<u>55,558</u>	<u>60,488</u>	<u>60,517</u>	<u>11,040</u>	<u>60,488</u>	<u>60,488</u>
Net Current Activity	108,308	98,603	98,574	18,268	98,606	98,606
Other Financing Sources (Uses)						
Interest Income	358	500	500	236	600	600
Transfer In - General Fund ⁽¹⁾	9,193	10,000	10,000	0	10,000	10,000
Transfer Out - Commercial Paper Agent Fees	(514)	(800)	(800)	(82)	(800)	(800)
Transfer Out - Capital Projects	(41,275)	(110,000)	(109,971)	(15,621)	(110,000)	(110,000)
Transfer Out - Others	0	(7,654)	(7,654)	0	(7,654)	(7,654)
Total Other Financing Sources (Uses)	<u>(32,238)</u>	<u>(107,954)</u>	<u>(107,925)</u>	<u>(15,467)</u>	<u>(107,854)</u>	<u>(107,854)</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	76,070	(9,351)	(9,351)	2,801	(9,248)	(9,248)
Fund Balance, Beginning of Year	<u>0</u>	<u>76,070</u>	<u>76,070</u>	<u>76,070</u>	<u>76,070</u>	<u>76,070</u>
Fund Balance, End of Year	<u>\$ 76,070</u>	<u>\$ 66,719</u>	<u>\$ 66,719</u>	<u>\$ 78,871</u>	<u>\$ 66,822</u>	<u>\$ 66,822</u>

*The Drainage Charge Revenue YTD includes all amounts billed. There is typically a 21 day lag between the billed and collected amounts.

**The Interfund Drainage Charge for FY2012 Actual does not include the Houston Airport and Combined Utility System 2 year Advance Payments (\$10 Million). For CAFR purpose, this amount is considered as Deferred Revenue.

Note:

1. This amount is based on the Captured Ad Valorem Tax Revenue as calculated below:

Dedicated Drainage & Street Renewal Captured Ad Valorem Tax Revenue (amounts expressed in thousands)				
	FY2013			
	Adopted Budget	Projection	Year to Date Actual	
Property Tax Revenue (General Fund) (\$0.118 of City's Ad Valorem Tax Levy)	\$ 165,452	\$ 165,452	\$ 0	
Less Street & Drainage Debt Service (General Fund)	<u>(155,452)</u>	<u>(155,452)</u>	<u>0</u>	
Captured Revenues ⁽¹⁾ (to be transferred to Dedicated Drainage & Street Renewal Fund)	<u>10,000</u>	<u>10,000</u>	<u>0</u>	
Note: Ordinance 2010-879 requires funding in the amount equivalent to proceeds from \$0.118 of the City's ad valorem tax levy minus an amount equal to debt service for drainage and streets to the Dedicated Drainage & Street Renewal Fund. Total outstanding debt payable from ad valorem taxes (as of September 30, 2012) is \$3.4 billion. The portion of the debt associated with drainage and street improvements is estimated at \$1.69 billion.				

The Dedicated Drainage and Street Renewal Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Storm Water Fund
For the period ending September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Other Interfund Services	158	\$ 88	\$ 88	\$ 0	\$ 88	\$ 88
Miscellaneous	\$ 259	\$ 30	\$ 30	\$ 1	\$ 30	\$ 30
Total Revenues	<u>417</u>	<u>118</u>	<u>118</u>	<u>1</u>	<u>118</u>	<u>118</u>
Expenditures						
Personnel	19,349	20,844	20,844	5,022	20,619	20,619
Supplies	2,574	2,328	2,328	358	2,328	2,328
Other Services	10,765	12,133	12,133	2,102	12,133	12,133
Capital Outlay	1,840	3,612	3,612	170	3,612	3,612
Total Expenditures	<u>34,528</u>	<u>38,917</u>	<u>38,917</u>	<u>7,652</u>	<u>38,692</u>	<u>38,692</u>
Net Current Activity	(34,111)	(38,799)	(38,799)	(7,651)	(38,574)	(38,574)
Other Financing Sources (Uses)						
Interest Income	261	50	50	7	50	50
Transfers In - CUS	44,369	43,799	43,799	12,927	43,575	43,575
Transfers In - DD&SRF	0	7,654	7,654	0	7,654	7,654
Transfer Out - Pension Liability Interest	(565)	(565)	(565)	(283)	(565)	(565)
Transfer Out - General Fund	(17,167)	(67)	(67)	0	(67)	(67)
Discretionary Debt - Drainage	(9,421)	(12,073)	(12,073)	(4,999)	(12,073)	(12,073)
Total Other Financing Sources (Uses)	<u>17,477</u>	<u>38,798</u>	<u>38,798</u>	<u>7,652</u>	<u>38,574</u>	<u>38,574</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	(16,634)	(1)	(1)	1	0	0
Fund Balance, Beginning of Year	<u>19,227</u>	<u>2,593</u>	<u>2,593</u>	<u>2,593</u>	<u>2,593</u>	<u>2,593</u>
Fund Balance, End of Year	<u>\$ 2,593</u>	<u>\$ 2,592</u>	<u>\$ 2,592</u>	<u>\$ 2,594</u>	<u>\$ 2,593</u>	<u>\$ 2,593</u>

Note: The Storm Water Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Health Benefits Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
City Medical Plans	\$ 276,456	\$ 325,770	\$ 325,770	\$ 77,496	\$ 325,770	\$ 325,770
City Dental Plans	9,631	9,739	9,739	2,425	9,739	9,739
City Life Insurance Plans	5,686	5,812	5,812	1,441	5,812	5,812
Health Flexible Spending Account	1,908	2,100	2,100	445	2,100	2,100
Dependent Care Reimbursement	246	260	260	60	260	260
Operating Revenues	<u>293,927</u>	<u>343,681</u>	<u>343,681</u>	<u>81,867</u>	<u>343,681</u>	<u>343,681</u>
Operating Expenses						
BCBS and Medicare Advantage	16,387	19,191	19,191	4,477	19,191	19,191
City Medical Plan Claims - Cigna	273,395	301,258	301,258	73,160	301,258	301,258
City Dental Plan Claims	9,631	9,739	9,739	2,425	9,739	9,739
City Life Insurance Plans	5,686	5,812	5,812	1,441	5,812	5,812
Administrative Costs	4,270	5,856	5,856	1,016	5,856	5,856
Health Flexible Spending Account	1,847	2,100	2,100	453	2,100	2,100
Dependent Care	246	260	260	60	260	260
Operating Expenses	<u>311,462</u>	<u>344,216</u>	<u>344,216</u>	<u>83,032</u>	<u>344,216</u>	<u>344,216</u>
Operating Income (Loss)	(17,535)	(535)	(535)	(1,165)	(535)	(535)
Non-Operating Revenues (Expenses)						
Interest Income	342	200	200	49	200	200
Prior Year Expense Recovery	227	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>569</u>	<u>200</u>	<u>200</u>	<u>49</u>	<u>200</u>	<u>200</u>
Net Income (Loss)	(16,966)	(335)	(335)	(1,116)	(335)	(335)
Net Assets, Beginning of Year	<u>2,837</u>	<u>(14,129)</u>	<u>(14,129)</u>	<u>(14,129)</u>	<u>(14,129)</u>	<u>(14,129)</u>
Net Assets, End of Year	<u>\$ (14,129)</u>	<u>\$ (14,464)</u>	<u>\$ (14,464)</u>	<u>\$ (15,245)</u>	<u>\$ (14,464)</u>	<u>\$ (14,464)</u>

About the Fund:

The Health Benefits Fund, an Internal Service Fund administered by the Human Resources Department, was established in 1984 to centralize the financial transactions for the City's benefit plans.

Effective May 1, 2011, the City elected to be substantially self-insured and awarded CIGNA a three year contract with two (2) one-year renewal options for 4 new health plans. The new health benefits model is composed of four (4) plans, all of which have heavy emphasis on a wellness component, and includes; 1) a limited network HMO-type plan, 2) an open access PPO-type plan with no out-of-network coverage, 3) a consumer driven high deductible Health Plan (CDHP), partnered with a health reimbursement account, and 4) a specific plan for retirees, mostly those under age 65, who live outside the limited network service area but who live in Texas. Effective 08/01/11 all 65+ Medicare eligible retirees must enroll in the 6 MA plans or opt out.

These plans are supported by contributions from the city and participants. The Fund also includes two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. Both plans are supported exclusively by participants.

Long-Term Disability Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Operating Revenues						
Contributions	\$ 1,215	\$ 1,220	\$ 1,220	\$ 303	\$ 1,220	\$ 1,220
Operating Revenues	<u>1,215</u>	<u>1,220</u>	<u>1,220</u>	<u>303</u>	<u>1,220</u>	<u>1,220</u>
Operating Expenses						
Management Consulting Services	16	59	59	0	59	59
Claims Payment Services	124	170	170	0	170	170
Employee Medical Claims	1,105	1,191	1,191	298	1,191	1,191
Operating Expenses	<u>1,245</u>	<u>1,420</u>	<u>1,420</u>	<u>298</u>	<u>1,420</u>	<u>1,420</u>
Operating Income (Loss)	(30)	(200)	(200)	5	(200)	(200)
Non-Operating Revenues (Expenses)						
Interest Income	124	140	140	26	140	140
Non-Operating Revenues (Expenses)	<u>124</u>	<u>140</u>	<u>140</u>	<u>26</u>	<u>140</u>	<u>140</u>
Net Income (Loss)	94	(60)	(60)	31	(60)	(60)
Net Assets, Beginning of Year	969	1,063	1,063	1,063	1,063	1,063
Net Assets, End of Year	<u>\$ 1,063</u>	<u>\$ 1,003</u>	<u>\$ 1,003</u>	<u>\$ 1,094</u>	<u>\$ 1,003</u>	<u>\$ 1,003</u>

About the Fund:

The Long Term Disability (LTD) Plan is a self-insured program accounted for as an internal service fund. Established in 1985 and revised in 1996 as part of the Income Protection Plan (IPP) (renamed the Compensable Sick Leave Plan (CSL) in October 1996), the plan provides paid long-term sick leave for City employees.

Property and Casualty Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Operating Revenues						
Interfund Legal Services	\$ 19,218	\$ 35,770	\$ 35,770	\$ 271	\$ 35,770	\$ 35,770
Operating Revenues	<u>19,218</u>	<u>35,770</u>	<u>35,770</u>	<u>271</u>	<u>35,770</u>	<u>35,770</u>
Operating Expenses						
Personnel	5,545	7,138	7,138	1,527	7,138	7,138
Supplies	163	163	163	14	163	163
Services:						
Insurance Fees/Adm.	10,919	13,780	13,780	178	13,780	13,780
Claims and Judgments	1,542	12,780	12,780	196	12,780	12,780
Other Services	1,088	1,909	1,909	159	1,909	1,909
Operating Expenses	<u>19,257</u>	<u>35,770</u>	<u>35,770</u>	<u>2,074</u>	<u>35,770</u>	<u>35,770</u>
Operating Income (Loss)	(39)	0	0	(1,803)	0	0
Net Income (Loss)	(39)	0	0	(1,803)	0	0
Net Assets, Beginning of Year	<u>68</u>	<u>29</u>	<u>29</u>	<u>29</u>	<u>29</u>	<u>29</u>
Net Assets, End of Year	<u>\$ 29</u>	<u>\$ 29</u>	<u>\$ 29</u>	<u>\$ (1,774)</u>	<u>\$ 29</u>	<u>\$ 29</u>

About the Fund:

The Property and Casualty Fund was established to monitor the financial requirements of the Property and Risk Management Programs. This activity is primarily self-funded. The revenue is generated from assessed premiums to the other funds. These premiums are based upon projected expenditures. All risk to the City's assets (except Workers Compensation, Life, Health Benefits, and Long Term Disability) fall within the scope of this fund.

Workers' Compensation Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	FY2013				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Contributions	\$ 17,752	\$ 19,336	\$ 19,336	\$ 4,766	\$ 19,336	\$ 19,336
Operating Revenues	<u>17,752</u>	<u>19,336</u>	<u>19,336</u>	<u>4,766</u>	<u>19,336</u>	<u>19,336</u>
Operating Expenses						
Personnel	2,400	2,878	2,878	637	2,878	2,878
Supplies	45	59	59	2	59	59
Current Year Claims	14,943	15,923	15,923	3,802	15,923	15,923
Services	345	461	461	58	461	461
Capital Outlay	0	42	42	0	42	42
Non-Capital Outlay	23	6	6	0	6	6
Operating Expenses	<u>17,756</u>	<u>19,369</u>	<u>19,369</u>	<u>4,499</u>	<u>19,369</u>	<u>19,369</u>
Operating Income (Loss)	(4)	(33)	(33)	267	(33)	(33)
Non-Operating Revenues (Expenses)						
Interest Income	4	30	30	4	30	30
Other	0	3	3	0	3	3
Non-Operating Revenues (Expenses)	<u>4</u>	<u>33</u>	<u>33</u>	<u>4</u>	<u>33</u>	<u>33</u>
Net Income (Loss)	0	0	0	271	0	0
Net Assets, Beginning of Year	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Assets, End of Year	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 271</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Accident Prevention, Loss Control and Workers' Compensation.

Asset Forfeiture Fund (2202,2203,2204)

The Houston Police Department receives asset forfeiture proceeds under guidelines set forth by the U.S. Department of Justice, the U.S. Treasury Department, and the State of Texas. These forfeiture proceeds are generated as a result of law enforcement efforts against illegal and criminal activity. Proceeds received under the various guidelines must be used for law enforcement purposes.

Auto Dealers Fund (2200)

The Auto Dealers Division of the Houston Police Department issues licenses to automotive businesses and vehicle/used parts salesmen. The Auto Dealers Division also monitors private storage facilities/salvage yards, auctions abandoned motor vehicles, and investigates complaints against automotive businesses/salesmen. The revenues generated from the fees are placed in the Auto Dealers Fund.

BARC Special Revenue Fund (2427)

BARC (Bureau of Animal Regulation and Care) is authorized to receive funds from any source for the purpose of supporting the maintenance and operation of the City's animal shelter facilities and programs, including without limitation, costs of necessary City personnel, equipment, supplies and facilities, education and animal adoption programs, veterinary services and supplies, and other needs of BARC.

Building Inspection Fund (2301)

The Public Works and Engineering Department administers the Building Inspection Special Revenue Fund, which ensures that buildings and structures constructed and maintained within the City adhere to the standards set by the City of Houston's Building Code. Permits and Licenses revenue make up approximately 75% of the revenue in this fund. This revenue is derived from the issuance of electrical, plumbing, AC and Boiler and construction permits.

Building (Court) Security Fund (2206)

The Building Security Fund began in FY1997, generating revenue from a \$3.00 fee charged to defendants convicted of a misdemeanor offense. The purpose of the fund is to aid in ensuring the safety of civilians and civil service employees while conducting business in Municipal Courts buildings.

Cable TV Fund (2401, 2428)

Operation of the Municipal Access Channel and its facilities is the responsibility of the Cable Communications Division of the Mayor's Office. The mission of the division is to effectively communicate to the public relevant information concerning municipal and related governmental and community services. The Cable Television Fund is supported through contributions of the cable television companies in Houston. The contributions are based upon a rate per subscriber and support public, educational, and municipal programming Houston.

Child Safety Fund (2209)

The Child Safety Fund was established to account for monies collected for public, parochial, and private school crossing guard programs. Revenues to the fund come from an assessment of a Municipal Court fee of \$5.00 on non-criminal municipal violations and an additional \$1.50 fee for each vehicle registration authorized by Harris County. If there is a surplus of funds, the City is allowed to keep 10% of the funds received for administrative fees. If a surplus enhances child safety, health, or nutrition and administration costs of these programs.

Digital Automated Red Light Enforcement Program Fund (2212)

The Digital Automated Red Light Enforcement Program Fund (DARLEP), established in FY2009, tracks the financial and accounting balances from all penalties and fees collected and all costs associated with the operation and enforcement of the photographic traffic monitoring system.

Digital Houston Fund (2422)

The Digital Houston Fund was established to build a citywide wireless broadband Wi-Fi mesh network that will provide affordable, high-speed internet access to residents of and visitors to Houston. The network will enable municipal employees to work in the field continuously, reducing the need to use traditional landlines to complete their tasks. The fund will be necessary to receive payments and to allow the City to fund inclusion programs for low income and other individuals.

Houston Transtar Center Fund (2402)

The Digital Houston Fund was established to build a citywide wireless broadband Wi-Fi mesh network that will provide affordable, high-speed internet access to residents of and visitors to Houston. The network will enable municipal employees to work in the field continuously, reducing the need to use traditional landlines to complete their tasks. The fund will be necessary to receive payments and to allow the City to fund inclusion programs for low income and other individuals.

Historic Preservation Fund (2306)

Historic Preservation, administered by the Planning and Development Department was established in FY2010 to utilize funds set aside from the sale of historic fire stations by the City in FY2009, promoting historic preservation of residential and commercial programs. The program utilizes city funds as seed money to encourage private investment, attract grant funds, and support educational programs that will further preservation efforts in Houston.

Houston Emergency Center Fund (2205)

The City of Houston's Neutral Public Safety Answering Point is one of the thirty-seven answering stations in the appropriate emergency agency, such as police, fire, and ambulance services. Calls are also referred to Harris county agencies such as Juvenile Crisis Hotline, Poison Control and Emergency Management Operations. The City of Houston is reimbursed from the special district for salaries, fringe benefits and other operating expenses for the answering station.

Houston Transtar Center Fund (2402)

Houston TranStar Center formerly known as the Greater Houston Transportation & Emergency Management Center. The Houston TranStar Center was built through a cooperative effort among the City of Houston, Harris County, Metropolitan Transit Authority (METRO) and the Texas Department of Transportation (TxDOT). The center houses personnel responsible for and/or involved with transportation and emergency management planning and operations in the Houston/Harris County area. Funding for the center is received from each member agency and is prorated based on occupancy and use of center facilities.

Juvenile Case Manager Fund (2211)

The Juvenile Case Manager Fund was established under Article 45.056 of the Texas Code of Criminal procedure to assess a juvenile case manager fee for each defendant convicted of a fine-only misdemeanor offense and may only be used to finance the salary and benefits of the juvenile case manager. This is also a new budgeted fund in FY2009.

Mobility Response Team Fund (2304)

The mission of the Mobility Response Team will be responding to and mitigating significant traffic congestion resulting from malfunctioning traffic signals, accidents, and other mobility issues throughout the City. The team is a partnership between the Police and Public Works and Engineering (PWE) Departments. Dedicated resources will be deployed 5:00 AM - 9:00 PM. They will specifically 1) respond to and mitigate acute traffic congestion throughout the City, 2) be dispatched via personnel at TranStar to identified areas, 3) Traffic with PWE engineers to develop long term traffic management solutions.

Parking Management Fund (8700)

The Parking Management Fund is responsible for managing and providing on-street parking alternatives to the citizens in the greater Houston area. This fund is administered by the Administration and Regulatory Affairs Department.

Parks Golf Special Fund (2104)

The Parks Golf Special Fund was created to receive all City revenues derived from all city-owned golf facilities, whether operated by the City or private entities, including all related concession fees, to be used exclusively for the maintenance, operating and improvements of any or all of such golf courses.

Parks Special Revenue Fund (2100)

The Parks and Recreation Department administers the Parks Special Revenue Fund. The fund receives revenue from the rental of park facilities and three tennis centers. These funds are for repairs, replacement and the renovation of parks revenue producing facilities excluding golf.

Police Special Services Fund (2201)

The Police Special Services Fund was created to properly account for funds received from other agencies or organizations to reimburse HPD for cost of services for specific law enforcement purposes. These special services include HPD participation in joint police operations, security, traffic control for activities such as "fund runs", parade and festivals.

Recycling Expansion Program Fund (2305)

The Expansion of Recycling Program, established in FY2009, was created to allocate dedicated funds to be used for the expansion and implementation of the City's Recycling Programs. Efforts include city-wide tree waste recycling, additional neighborhood depository sites, curbside recycling and increased education and outreach. These efforts, when combined, will allow the City to greatly improve its current landfill diversion rate.

Supplemental Environmental Protection Fund (2404)

Environmental Investigations or Rat-On-a-Rat (ROAR) use Supplemental Environmental Funds for the advancement of the goals of clean air, water, and to enhance the community environment impacted by criminal environmental violators.

Swimming Pool Safety Fund (2009)

The Swimming Pool Safety Fund receives proceeds from enforcing municipal, state and federal pool and spa safety standards. State and federal pool and safety standards apply to all pools and spas serving more than two dwellings; in accordance with these requirements, operators of pool and spas at apartment or condominium projects are required to obtain permits and to comply with the requisite standards. The fees collected in pursuant of swimming pool and spa safety are used for the purposes of activities related to permitting, inspecting, monitoring, abating, controlling, educating and enforcement of municipal, state and federal standards.

Technology Fee Fund (2207)

The Technology Fee Fund was established in FY2001 to account for revenue generated from a \$4.00 technology fee for convictions on all traffic and non-traffic misdemeanor offenses occurring on or after June 26, 2000. The purpose of the fund is to finance technological enhancements for the Municipal Courts including computer systems, networks, hardware, software, imaging systems, electronic ticket-writers and docket management systems.

Asset Forfeiture Special Revenue Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Confiscations	\$ 7,202	\$ 6,336	\$ 6,336	\$ 1,299	\$ 6,336	\$ 6,336
Interest Income	57	55	55	12	55	55
Total Revenues	<u>7,259</u>	<u>6,391</u>	<u>6,391</u>	<u>1,311</u>	<u>6,391</u>	<u>6,391</u>
Expenditures						
Personnel	2,477	3,100	3,100	829	3,100	3,100
Supplies	1,680	1,864	1,864	10	1,912	1,912
Other Services	1,495	2,044	2,058	475	2,034	2,034
Capital Purchases	158	300	326	11	306	306
Non-Capital Purchases	118	1,692	1,652	14	1,648	1,648
Total Expenditures	<u>5,928</u>	<u>9,000</u>	<u>9,000</u>	<u>1,339</u>	<u>9,000</u>	<u>9,000</u>
Net Current Activity	1,331	(2,609)	(2,609)	(28)	(2,609)	(2,609)
Fund Balance, Beginning of Year	<u>2,749</u>	<u>4,080</u>	<u>4,080</u>	<u>4,080</u>	<u>4,080</u>	<u>4,080</u>
Fund Balance, End of Year	<u>\$ 4,080</u>	<u>\$ 1,471</u>	<u>\$ 1,471</u>	<u>\$ 4,052</u>	<u>\$ 1,471</u>	<u>\$ 1,471</u>

Auto Dealers
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Auto Dealers Licenses	\$ 3,382	\$ 3,120	\$ 3,120	\$ 668	\$ 3,120	\$ 3,120
Vehicle Storage Notification	211	218	218	55	218	218
Vehicle Auction Fees	213	220	220	50	220	220
Interest Income	43	35	35	10	35	35
Other	2,877	2,863	2,863	1,119	2,863	2,863
Total Revenues	<u>6,726</u>	<u>6,456</u>	<u>6,456</u>	<u>1,902</u>	<u>6,456</u>	<u>6,456</u>
Expenditures						
Personnel	2,835	3,116	3,116	774	3,116	3,116
Supplies	134	304	304	102	304	304
Other Services	1,196	1,246	1,246	297	1,246	1,246
Capital Purchases	0	1,120	1,120	0	1,120	1,120
Total Expenditures	<u>4,165</u>	<u>5,786</u>	<u>5,786</u>	<u>1,173</u>	<u>5,786</u>	<u>5,786</u>
Other Financing Sources (Uses)						
Transfers Out	(2,190)	(1,095)	(1,095)	(278)	(1,095)	(1,095)
Total Other Financing Sources (Uses)	<u>(2,190)</u>	<u>(1,095)</u>	<u>(1,095)</u>	<u>(278)</u>	<u>(1,095)</u>	<u>(1,095)</u>
Net Current Activity	371	(425)	(425)	451	(425)	(425)
Fund Balance, Beginning of Year	<u>2,514</u>	<u>2,885</u>	<u>2,885</u>	<u>2,885</u>	<u>2,885</u>	<u>2,885</u>
Fund Balance, End of Year	<u>\$ 2,885</u>	<u>\$ 2,460</u>	<u>\$ 2,460</u>	<u>\$ 3,336</u>	<u>\$ 2,460</u>	<u>\$ 2,460</u>

BARC Special Revenue Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Licenses & Fees	\$ 1,100	\$ 1,157	\$ 1,157	\$ 269	\$ 1,157	\$ 1,157
Interest	41	49	49	11	49	49
Animal Adoption	134	143	143	36	143	143
Contributions	18	35	35	8	35	35
Other Revenue	13	0	0	0	0	0
Total Revenues	<u>1,306</u>	<u>1,384</u>	<u>1,384</u>	<u>324</u>	<u>1,384</u>	<u>1,384</u>
Expenditures						
Personnel	5,266	6,432	6,432	1,481	6,432	6,432
Supplies	774	783	783	144	783	783
Other Services	1,522	1,233	1,233	327	1,233	1,233
Non-Capital Outlay	7	5	5	2	5	5
Total Expenditures	<u>7,569</u>	<u>8,453</u>	<u>8,453</u>	<u>1,955</u>	<u>8,453</u>	<u>8,453</u>
Other Financing Sources (Uses)						
Operating Transfers - In	6,122	6,329	6,329	6,329	6,329	6,329
Total Other Financing Sources (Uses)	<u>6,122</u>	<u>6,329</u>	<u>6,329</u>	<u>6,329</u>	<u>6,329</u>	<u>6,329</u>
Net Current Activity	(141)	(740)	(740)	4,698	(740)	(740)
Fund Balance, Beginning of Year	<u>1,120</u>	<u>979</u>	<u>979</u>	<u>979</u>	<u>979</u>	<u>979</u>
Fund Balance, End of Year	<u>\$ 979</u>	<u>\$ 239</u>	<u>\$ 239</u>	<u>\$ 5,677</u>	<u>\$ 239</u>	<u>\$ 239</u>

Building Inspection Special Revenue Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Permits and Licenses	\$ 37,821	\$ 37,752	\$ 37,752	\$ 10,757	\$ 37,752	\$ 37,752
Charges for Services	13,282	14,566	14,566	3,566	14,566	14,566
Other	4,995	2,254	2,254	554	2,254	2,254
Interest Income	169	170	170	42	170	170
Total Revenues	<u>56,267</u>	<u>54,742</u>	<u>54,742</u>	<u>14,919</u>	<u>54,742</u>	<u>54,742</u>
Expenditures						
Personnel	36,244	40,451	40,451	9,488	39,535	39,535
Supplies	808	878	878	90	878	878
Other Services	6,950	10,969	10,969	1,419	10,969	10,969
Capital Outlay	0	532	532	0	532	532
Non-Capital Outlay	77	100	100	0	100	100
Total Expenditures	<u>44,079</u>	<u>52,930</u>	<u>52,930</u>	<u>10,997</u>	<u>52,014</u>	<u>52,014</u>
Other Financing Sources (Uses)						
Operating Transfers Out	(7,271)	(4,738)	(4,738)	(1,384)	(4,738)	(4,738)
Total Other Financing Sources (Uses)	<u>(7,271)</u>	<u>(4,738)</u>	<u>(4,738)</u>	<u>(1,384)</u>	<u>(4,738)</u>	<u>(4,738)</u>
Net Current Activity	4,917	(2,926)	(2,926)	2,538	(2,010)	(2,010)
Fund Balance, Beginning of Year	<u>6,058</u>	<u>10,975</u>	<u>10,975</u>	<u>10,975</u>	<u>10,975</u>	<u>10,975</u>
Fund Balance, End of Year	<u>\$ 10,975</u>	<u>\$ 8,049</u>	<u>\$ 8,049</u>	<u>\$ 13,513</u>	<u>\$ 8,965</u>	<u>\$ 8,965</u>

Building (Court) Security Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 870	\$ 900	\$ 900	\$ 195	\$ 900	\$ 900
Total Revenues	<u>870</u>	<u>900</u>	<u>900</u>	<u>195</u>	<u>900</u>	<u>900</u>
Expenditures						
Personnel	958	923	923	226	910	910
Other Services	<u>1</u>	<u>2</u>	<u>2</u>	<u>0</u>	<u>2</u>	<u>2</u>
Total Expenditures	<u>959</u>	<u>925</u>	<u>925</u>	<u>226</u>	<u>912</u>	<u>912</u>
Net Current Activity	(89)	(25)	(25)	(31)	(12)	(12)
Fund Balance, Beginning of Year	<u>101</u>	<u>12</u>	<u>12</u>	<u>12</u>	<u>12</u>	<u>12</u>
Fund Balance, End of Year	<u>\$ 12</u>	<u>\$ (13)</u>	<u>\$ (13)</u>	<u>\$ (19)</u>	<u>\$ 0</u>	<u>\$ 0</u>

Cable TV
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 4,958	\$ 3,697	\$ 3,697	\$ 28	\$ 3,697	\$ 3,697
Total Revenues	<u>4,958</u>	<u>3,697</u>	<u>3,697</u>	<u>28</u>	<u>3,697</u>	<u>3,697</u>
Expenditures						
Maintenance and Operations	4,254	5,307	5,307	276	5,307	5,307
Equipment	<u>162</u>	<u>250</u>	<u>250</u>	<u>3</u>	<u>250</u>	<u>250</u>
Total Expenditures	<u>4,416</u>	<u>5,557</u>	<u>5,557</u>	<u>278</u>	<u>5,557</u>	<u>5,557</u>
Net Current Activity	542	(1,860)	(1,860)	(251)	(1,860)	(1,860)
Fund Balance, Beginning of Year	<u>2,027</u>	<u>2,569</u>	<u>2,569</u>	<u>2,569</u>	<u>2,569</u>	<u>2,569</u>
Fund Balance, End of Year	<u>\$ 2,569</u>	<u>\$ 709</u>	<u>\$ 709</u>	<u>\$ 2,318</u>	<u>\$ 709</u>	<u>\$ 709</u>

Child Safety Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Interest on Investments	\$ 13	\$ 20	\$ 20	\$ 4	\$ 20	\$ 20
Municipal Courts Collections	2,403	2,300	2,300	617	2,300	2,300
Harris County Collections	860	732	732	182	732	732
Total Revenues	<u>3,276</u>	<u>3,052</u>	<u>3,052</u>	<u>803</u>	<u>3,052</u>	<u>3,052</u>
Expenditures						
School Crossing Guard Program	3,149	3,049	3,049	785	3,049	3,049
Miscellaneous Parts and Supplies	3	3	3	0	3	3
Total Expenditures	<u>3,152</u>	<u>3,052</u>	<u>3,052</u>	<u>785</u>	<u>3,052</u>	<u>3,052</u>
Net Current Activity	124	0	0	18	0	0
Fund Balance, Beginning of Year	<u>101</u>	<u>225</u>	<u>225</u>	<u>225</u>	<u>225</u>	<u>225</u>
Fund Balance, End of Year	<u>\$ 225</u>	<u>\$ 225</u>	<u>\$ 225</u>	<u>\$ 243</u>	<u>\$ 225</u>	<u>\$ 225</u>

Digital Automated Red Light Enforcement Program Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Red Light Enforcement Revenue	\$ 1,723	\$ 4,065	\$ 4,065	\$ 104	\$ 4,065	\$ 4,065
Interest Income	24	42	42	0	42	42
Total Revenues	<u>1,747</u>	<u>4,107</u>	<u>4,107</u>	<u>104</u>	<u>4,107</u>	<u>4,107</u>
Expenditures						
Personnel	18	81	81	0	81	81
Supplies	0	3	3	0	3	3
Other Services	3,365	1,532	1,532	46	1,532	1,532
Capital Purchases	0	0	0	0	0	0
Debt Service	(1,277)	0	0	0	0	0
State of Texas' Share	0	1,225	1,225	0	1,225	1,225
Total Expenditures	<u>2,106</u>	<u>2,841</u>	<u>2,841</u>	<u>46</u>	<u>2,841</u>	<u>2,841</u>
Net Current Activity	(359)	1,266	1,266	59	1,266	1,266
Fund Balance, Beginning of Year	<u>480</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>
Fund Balance, End of Year	<u>\$ 121</u>	<u>\$ 1,387</u>	<u>\$ 1,387</u>	<u>\$ 180</u>	<u>\$ 1,387</u>	<u>\$ 1,387</u>

Digital Houston Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 29	\$ 25	\$ 25	\$ 4	\$ 25	\$ 25
Total Revenues	<u>29</u>	<u>25</u>	<u>25</u>	<u>4</u>	<u>25</u>	<u>25</u>
Expenditures						
Personnel	183	205	205	33	205	205
Supplies	4	5	5	9	15	15
Other Services	177	400	400	11	400	400
Capital Equipment	26	0	0	0	0	0
Non-Capital Equipment	443	348	348	0	348	348
Total Expenditures	<u>833</u>	<u>958</u>	<u>958</u>	<u>53</u>	<u>968</u>	<u>968</u>
Net Current Activity	(804)	(933)	(933)	(49)	(943)	(943)
Fund Balance, Beginning of Year	<u>2,401</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>	<u>\$ 1,597</u>
Fund Balance, End of Year	<u>\$ 1,597</u>	<u>\$ 664</u>	<u>\$ 664</u>	<u>\$ 1,548</u>	<u>\$ 654</u>	<u>\$ 654</u>

Historic Preservation Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 11	\$ 18	\$ 18	\$ 2	\$ 18	\$ 18
Charges for Services	94	100	100	75	100	100
Other Interfund Services	25	0	0	0	5	5
Total Revenues	<u>130</u>	<u>118</u>	<u>118</u>	<u>77</u>	<u>\$ 123</u>	<u>\$ 123</u>
Expenditures						
Supplies & Other Services	<u>144</u>	<u>841</u>	<u>841</u>	<u>17</u>	<u>846</u>	<u>846</u>
Total Expenditures	<u>144</u>	<u>841</u>	<u>841</u>	<u>17</u>	<u>846</u>	<u>846</u>
Net Current Activity	(14)	(723)	(723)	60	(723)	(723)
Fund Balance, Beginning of Year	<u>853</u>	<u>839</u>	<u>839</u>	<u>839</u>	<u>839</u>	<u>839</u>
Fund Balance, End of Year	<u>\$ 839</u>	<u>\$ 116</u>	<u>\$ 116</u>	<u>\$ 899</u>	<u>\$ 116</u>	<u>\$ 116</u>

Houston Emergency Center
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 22,315	\$ 24,505	\$ 24,505	\$ 4,910	\$ 24,505	\$ 24,505
Total Revenues	<u>22,315</u>	<u>24,505</u>	<u>24,505</u>	<u>4,910</u>	<u>24,505</u>	<u>24,505</u>
Expenditures						
Maintenance and Operations	<u>21,135</u>	<u>24,505</u>	<u>24,505</u>	<u>5,359</u>	<u>24,505</u>	<u>24,505</u>
Total Expenditures	<u>21,135</u>	<u>24,505</u>	<u>24,505</u>	<u>5,359</u>	<u>24,505</u>	<u>24,505</u>
Net Current Activity	1,180	0	0	(449)	0	0
Fund Balance, Beginning of Year	<u>1,343</u>	<u>2,523</u>	<u>2,523</u>	<u>2,523</u>	<u>2,523</u>	<u>2,523</u>
Fund Balance, End of Year	<u>\$ 2,523</u>	<u>\$ 2,523</u>	<u>\$ 2,523</u>	<u>\$ 2,074</u>	<u>\$ 2,523</u>	<u>\$ 2,523</u>

Houston Transtar Center
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Other Grant Awards	\$ 1,648	\$ 1,607	\$ 1,607	\$ 147	\$ 1,607	\$ 1,607
Other Service Charges	610	606	606	156	606	606
Misc. Revenue	94	0	0	0	0	0
Interest Income	24	15	15	6	15	15
Total Revenues	<u>2,376</u>	<u>2,228</u>	<u>2,228</u>	<u>309</u>	<u>2,228</u>	<u>2,228</u>
Expenditures						
Maintenance and Operations	<u>1,666</u>	<u>2,366</u>	<u>2,366</u>	<u>412</u>	<u>2,341</u>	<u>2,341</u>
Total Expenditures	<u>1,666</u>	<u>2,366</u>	<u>2,366</u>	<u>412</u>	<u>2,341</u>	<u>2,341</u>
Net Current Activity	710	(138)	(138)	(103)	(113)	(113)
Fund Balance, Beginning of Year	<u>1,337</u>	<u>2,047</u>	<u>2,047</u>	<u>2,047</u>	<u>2,047</u>	<u>2,047</u>
Fund Balance, End of Year	<u>\$ 2,047</u>	<u>\$ 1,909</u>	<u>\$ 1,909</u>	<u>\$ 1,944</u>	<u>\$ 1,934</u>	<u>\$ 1,934</u>

Juvenile Case Manager
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 1,166	\$ 1,470	\$ 1,470	\$ 305	\$ 1,470	\$ 1,470
Total Revenues	<u>1,166</u>	<u>1,470</u>	<u>1,470</u>	<u>305</u>	<u>1,470</u>	<u>1,470</u>
Expenditures						
Personnel	874	1,114	1,114	257	1,114	1,114
Supplies	1	3	3	0	3	3
Other Services and Charges	32	137	137	11	137	137
Total Expenditures	<u>907</u>	<u>1,254</u>	<u>1,254</u>	<u>268</u>	<u>1,254</u>	<u>1,254</u>
Net Current Activity	259	216	216	37	216	216
Fund Balance, Beginning of Year	<u>1,468</u>	<u>1,727</u>	<u>1,727</u>	<u>1,727</u>	<u>1,727</u>	<u>1,727</u>
Fund Balance, End of Year	<u>\$ 1,727</u>	<u>\$ 1,943</u>	<u>\$ 1,943</u>	<u>\$ 1,764</u>	<u>\$ 1,943</u>	<u>\$ 1,943</u>

Mobility Response Team Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 45	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total Revenues	<u>45</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Expenditures						
Personnel	2,076	0	0	0	0	0
Supplies	24	0	0	0	0	0
Other Services	235	0	0	0	0	0
Total Expenditures	<u>2,335</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Other Financing Sources (Uses)						
Transfer Out - General Fund	0	(2,354)	(2,354)	0	(2,354)	(2,354)
Total Other Financing Sources (Uses)	<u>0</u>	<u>(2,354)</u>	<u>(2,354)</u>	<u>0</u>	<u>(2,354)</u>	<u>(2,354)</u>
Net Current Activity	(2,290)	(2,354)	(2,354)	0	(2,354)	(2,354)
Fund Balance, Beginning of Year	<u>4,371</u>	<u>2,081</u>	<u>2,081</u>	<u>2,081</u>	<u>2,081</u>	<u>2,081</u>
Fund Balance, End of Year	<u>\$ 2,081</u>	<u>\$ (273)</u>	<u>\$ (273)</u>	<u>\$ 2,081</u>	<u>\$ (273)</u>	<u>\$ (273)</u>

Parking Management Special Revenue Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Parking Violations	\$ 10,353	\$ 9,673	\$ 9,673	\$ 2,424	\$ 9,673	\$ 9,673
Parking Fees	7,293	7,669	7,669	1,749	7,669	7,669
Permit Fees	329	299	299	72	299	299
Other Revenue	193	2	2	11	13	13
Interest Income	63	50	50	13	50	50
Total Revenues	<u>18,231</u>	<u>17,693</u>	<u>17,693</u>	<u>4,269</u>	<u>17,704</u>	<u>17,704</u>
Expenses						
Personnel	3,645	4,426	4,426	976	4,426	4,426
Supplies	447	884	856	29	856	856
Other Services	3,491	3,695	3,703	1,016	3,703	3,703
Capital Outlay	78	25	41	(1)	41	41
Non-Capital Outlay	32	13	16	0	16	16
Total Expenses	<u>7,693</u>	<u>9,043</u>	<u>9,043</u>	<u>2,019</u>	<u>9,043</u>	<u>9,043</u>
Net Current Activity	<u>10,538</u>	<u>8,650</u>	<u>8,650</u>	<u>2,250</u>	<u>8,661</u>	<u>8,661</u>
Other Financing Sources (Uses)						
Operating Transfers - In (Out)	(8,117)	(7,994)	(7,994)	(1,999)	(7,994)	(7,994)
Transfers for Interest	(1,513)	(1,513)	(1,513)	0	(1,513)	(1,513)
Total Other Financing Sources (Uses)	<u>(9,630)</u>	<u>(9,507)</u>	<u>(9,507)</u>	<u>(1,999)</u>	<u>(9,507)</u>	<u>(9,507)</u>
Net Current Activity	908	(857)	(857)	252	(846)	(846)
Fund Balance, Beginning of Year	<u>1,634</u>	<u>2,542</u>	<u>2,542</u>	<u>2,542</u>	<u>2,542</u>	<u>2,542</u>
Fund Balance, End of Year	<u>\$ 2,542</u>	<u>\$ 1,685</u>	<u>\$ 1,685</u>	<u>\$ 2,794</u>	<u>\$ 1,696</u>	<u>\$ 1,696</u>

Parks Golf Special Revenue Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 1,420	\$ 1,400	\$ 1,400	\$ 391	\$ 1,400	\$ 1,400
Rental of Property	990	1,161	1,161	253	1,161	1,161
Interest Income	4	5	5	2	5	5
Golf	3,356	3,596	3,596	802	3,596	3,596
Other	33	44	44	7	44	44
Total Revenues	<u>5,803</u>	<u>6,206</u>	<u>6,206</u>	<u>1,455</u>	<u>6,206</u>	<u>6,206</u>
Expenses						
Personnel	3,723	4,119	4,119	969	4,119	4,119
Supplies	835	857	857	93	857	857
Other Services	876	966	966	155	966	966
Total Expenses	<u>5,434</u>	<u>5,942</u>	<u>5,942</u>	<u>1,217</u>	<u>5,942</u>	<u>5,942</u>
Net Current Activity	369	264	264	238	264	264
Fund Balance, Beginning of Year	<u>0</u>	<u>369</u>	<u>369</u>	<u>369</u>	<u>369</u>	<u>369</u>
Fund Balance, End of Year	<u>\$ 369</u>	<u>\$ 633</u>	<u>\$ 633</u>	<u>\$ 607</u>	<u>\$ 633</u>	<u>\$ 633</u>

Parks Special Revenue Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 328	\$ 364	\$ 364	\$ 80	\$ 364	\$ 364
Facility Admissions/User Fees	52	52	52	14	52	52
Program Fees	349	489	489	177	489	489
Rental of Property	526	542	542	89	542	542
Licenses and Permits	239	194	194	25	194	194
Interest Income	57	90	90	15	90	90
Golf	203	185	185	51	185	185
Other	(162)	58	58	49	58	58
Total Revenues	<u>1,592</u>	<u>1,974</u>	<u>1,974</u>	<u>500</u>	<u>1,974</u>	<u>1,974</u>
Expenses						
Personnel	414	481	481	112	481	481
Supplies	262	586	586	16	586	586
Other Services	722	897	897	135	897	897
Total Expenses	<u>1,398</u>	<u>1,964</u>	<u>1,964</u>	<u>263</u>	<u>1,964</u>	<u>1,964</u>
Operating Transfers						
Operating Transfers (Out)	<u>(73)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Operating Transfers	<u>(73)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	121	10	10	237	10	10
Fund Balance, Beginning of Year	<u>4,740</u>	<u>4,861</u>	<u>4,861</u>	<u>4,861</u>	<u>4,861</u>	<u>4,861</u>
Fund Balance, End of Year	<u>\$ 4,861</u>	<u>\$ 4,871</u>	<u>\$ 4,871</u>	<u>\$ 5,098</u>	<u>\$ 4,871</u>	<u>\$ 4,871</u>

Police Special Services Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Police Fees	\$ 14,936	\$ 2,419	\$ 2,419	\$ 181	\$ 2,419	\$ 2,419
Interest Income	136	200	200	20	200	200
Other	3,006	850	850	281	850	850
Interfund Transfers	5,625	4,925	4,925	1,231	4,925	4,925
Total Revenues	<u>23,703</u>	<u>8,394</u>	<u>8,394</u>	<u>1,713</u>	<u>8,394</u>	<u>8,394</u>
Expenditures						
Personnel	21,225	7,506	7,506	1,191	7,506	7,506
Supplies	2,287	121	168	57	166	166
Other Services	1,565	2,239	2,190	144	2,193	2,193
Capital Purchases	64	0	69	0	0	0
Non-Capital Purchases	70	1,304	1,237	4	1,304	1,304
Total Expenditures	<u>25,211</u>	<u>11,170</u>	<u>11,170</u>	<u>1,396</u>	<u>11,169</u>	<u>11,169</u>
Net Current Activity	(1,508)	(2,776)	(2,776)	317	(2,775)	(2,775)
Fund Balance, Beginning of Year	<u>6,948</u>	<u>5,440</u>	<u>5,440</u>	<u>5,440</u>	<u>5,440</u>	<u>5,440</u>
Fund Balance, End of Year	<u>\$ 5,440</u>	<u>\$ 2,664</u>	<u>\$ 2,664</u>	<u>\$ 5,757</u>	<u>\$ 2,665</u>	<u>\$ 2,665</u>

Recycling Expansion Program Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited	FY2013				
	Preliminary	Adopted	Current		Controller's	Finance
	FY2012	Budget	Budget	YTD	Projection	Projection
Revenues						
Current Revenues	\$ 1,013	\$ 1,363	\$ 1,363	\$ 235	\$ 1,363	\$ 1,363
Interest Income	32	50	50	6	50	50
Miscellaneous	64	25	25	11	25	25
Total Revenues	<u>1,109</u>	<u>1,438</u>	<u>1,438</u>	<u>252</u>	<u>1,438</u>	<u>1,438</u>
Expenditures						
Personnel	294	444	444	59	444	444
Supplies	3	8	8	0	8	8
Other Services	498	973	973	131	973	973
Capital/Non-Capital Purchases	68	0	0	0	0	0
Total Expenditures	<u>863</u>	<u>1,425</u>	<u>1,425</u>	<u>190</u>	<u>1,425</u>	<u>1,425</u>
Operating Transfers						
Operating Transfers (Out)	<u>(467)</u>	<u>(1,147)</u>	<u>(1,147)</u>	<u>0</u>	<u>(1,147)</u>	<u>(1,147)</u>
Total Operating Transfers	<u>(467)</u>	<u>(1,147)</u>	<u>(1,147)</u>	<u>0</u>	<u>(1,147)</u>	<u>(1,147)</u>
Net Current Activity	(221)	(1,134)	(1,134)	62	(1,134)	(1,134)
Fund Balance, Beginning of Year	<u>2,221</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>
Fund Balance, End of Year	<u>\$ 2,000</u>	<u>\$ 866</u>	<u>\$ 866</u>	<u>\$ 2,062</u>	<u>\$ 866</u>	<u>\$ 866</u>

Supplemental Environmental Protection
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited	FY2013				
	Preliminary	Adopted	Current		Controller's	Finance
	FY2012	Budget	Budget	YTD	Projection	Projection
Revenues						
Current Revenues	\$ 124	\$ 100	\$ 100	\$ 35	\$ 100	\$ 100
Interest Income	2	3	3	0	3	3
Total Revenues	<u>126</u>	<u>103</u>	<u>103</u>	<u>35</u>	<u>103</u>	<u>103</u>
Expenditures						
Supplies	39	4	7	0	7	7
Other Services	22	40	40	0	40	40
Capital Purchases	133	160	151	0	151	151
Non-Capital Purchases	11	0	6	0	6	6
Total Expenditures	<u>205</u>	<u>204</u>	<u>204</u>	<u>0</u>	<u>204</u>	<u>204</u>
Net Current Activity	(79)	(101)	(101)	35	(101)	(101)
Fund Balance, Beginning of Year	<u>200</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>	<u>121</u>
Fund Balance, End of Year	<u>\$ 121</u>	<u>\$ 20</u>	<u>\$ 20</u>	<u>\$ 156</u>	<u>\$ 20</u>	<u>\$ 20</u>

Swimming Pool Safety Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 865	\$ 749	\$ 749	\$ 252	\$ 749	\$ 749
Total Revenues	<u>865</u>	<u>749</u>	<u>749</u>	<u>252</u>	<u>749</u>	<u>749</u>
Expenditures						
Personnel	709	665	665	171	665	665
Supplies	17	24	24	4	24	24
Other Services	47	44	44	4	44	44
Non-Capital Purchases	4	0	1	0	0	0
Capital Purchases	0	15	14	0	15	15
Total Expenditures	<u>777</u>	<u>748</u>	<u>748</u>	<u>179</u>	<u>748</u>	<u>748</u>
Net Current Activity	88	1	1	73	1	1
Fund Balance, Beginning of Year	<u>98</u>	<u>187</u>	<u>187</u>	<u>187</u>	<u>187</u>	<u>187</u>
Fund Balance, End of Year	<u>\$ 187</u>	<u>\$ 188</u>	<u>\$ 188</u>	<u>\$ 260</u>	<u>\$ 188</u>	<u>\$ 188</u>

Technology Fee Fund
For the period ended September 30, 2012
(amounts expressed in thousands)

	Unaudited Preliminary FY2012	Adopted Budget	Current Budget	FY2013 YTD	Controller's Projection	Finance Projection
Revenues						
Municipal Court Fines	\$ 1,246	\$ 1,297	\$ 1,297	\$ 262	\$ 1,297	\$ 1,297
Interest Income	9	9	9	1	9	9
Total Revenues	<u>1,255</u>	<u>1,306</u>	<u>1,306</u>	<u>263</u>	<u>1,306</u>	<u>1,306</u>
Expenditures						
Personnel	0	0	0	0	0	0
Supplies	0	0	0	0	0	0
Other Services	1,078	1,244	1,244	512	1,244	1,244
Equipment	0	0	0	0	0	0
Debt Service	350	350	350	0	350	350
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>1,428</u>	<u>1,594</u>	<u>1,594</u>	<u>512</u>	<u>1,594</u>	<u>1,594</u>
Net Current Activity	(173)	(288)	(288)	(249)	(288)	(288)
Fund Balance, Beginning of Year	<u>508</u>	<u>335</u>	<u>335</u>	<u>335</u>	<u>335</u>	<u>335</u>
Fund Balance, End of Year	<u>\$ 335</u>	<u>\$ 47</u>	<u>\$ 47</u>	<u>\$ 86</u>	<u>\$ 47</u>	<u>\$ 47</u>

City of Houston, Texas
Commercial Paper Issued and Available
For the period ended September 30, 2012
(amounts expressed in thousands)

COMMERCIAL PAPER	Draws FY13	Draws Month	Refunded FY13	Amount Available to be Drawn	Amount Outstanding
General Obligation					
<i><u>Voter Authorized 2001 & 2006 Election</u></i>					
Series G-1	0.00	0.00	0.00	75.00	0.00
Series G-2	10.00	0.00	0.00	34.90	90.10
Series H-1	0.00	0.00	0.00	77.20	22.80
Series H-2	0.00	0.00	0.00	53.00	47.00
Series J	0.00	0.00	0.00	125.00	0.00
<i><u>Non-Voter Authorized</u></i>					
Series E1-Equipment & Capital	0.00	0.00	0.00	70.00	30.00
Series E2- Equipment & Capital	0.00	0.00	0.00	73.50	26.50
Series E2- Metro Street Projects	0.00	0.00	0.00	29.50	20.50
Series H - Drainage	0.00	0.00	0.00	0.00	0.00
Series K-1	0.00	0.00	0.00	100.00	0.00
Series K-2	0.00	0.00	0.00	100.00	0.00
Total General Obligation	10.00	0.00	0.00	738.10	236.90
Combined Utility System					
Series B-1	0.00	0.00	60.40	250.00	0.00
Series B-2	25.00	0.00	55.00	75.00	0.00
Series B-3	25.00	0.00	35.00	75.00	0.00
Series B-4	10.00	0.00	10.00	100.00	0.00
Series B-6	0.00	0.00	0.00	100.00	0.00
Total Combined Utility System	60.00	0.00	160.40	600.00	0.00
Airport System					
Series A&B	0.00	0.00	0.00	150.00	0.00
Total Airport System	0.00	0.00	0.00	150.00	0.00
Convention & Entertainment					
Series A	0.00	0.00	0.00	7.00	43.00
Total Convention and Entertainment	0.00	0.00	0.00	7.00	43.00
Totals	\$ 70.00	\$ 0.00	\$ 160.40	\$ 1,495.10	\$ 279.90

City of Houston, Texas
Summarized Construction/Bond Fund Status Report
For the period ended September 30, 2012
(amounts expressed in thousands)

Purpose	Available for Appropriation	Last month Available for Appropriation
Dangerous Buildings		
Total Dangerous Buildings Funds	\$ 7,174	\$ 7,135
Equipment Acquisition and Other Capital		
Total Equipment Acquisition and Other Capital	248,160	256,874
Public Improvement		
Total Fire Department	14,086	8,104
Total Housing	11,314	11,307
Total General Improvement	4,906	5,044
Total Public Health and Welfare	6,555	5,086
Total Public Library	15,179	10,909
Total Parks and Recreation	13,688	4,319
Total Police Department	44,326	15
Total Solid Waste	3,905	4,150
Total Storm Sewer	7,741	4,879
Total Street & Bridge except Metro	145,560	143,251
Street & Bridge - Metro Projects	0	0
Total Public Improvement	267,260	197,064
Airport		
Total Airport	771,737	771,159
Convention and Entertainment Facilities		
Total Convention and Entertainment	31,373	31,380
Combined Utility System		
Total Combined Utility System - Any Purpose	266,989	277,894
Combined Utility System - Restricted Purposes	16,844	21,269
Total Combined Utility System	283,833	299,164
Total All Purposes	\$ 1,609,537	\$ 1,562,776

City of Houston, Texas
Construction & Bond Status Report
For the period ended September 30, 2012
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resource Available (a)	Unexpended Appropriation	Available for Appropriation
Dangerous Buildings							
1801D4	Dangerous Building Demolition Series 2007B	9,000	0	0	0	0	0
1801D5	Dangerous Buildings Series 2010	9,000	0	0	0	0	0
1801	Dangerous Bldg Consolidations	N/A	3,341	N/A	7,886	712	7,174
	Total Dangerous Building Funds	18,000	3,341	0	7,886	712	7,174
Equipment and Other Capital							
1800D1	Series E-1 Equipment & Capital Consolidating	158,382	14	128,722	147,900	0	147,900
1800D3	Series E-2 Equipment & Capital Consolidating	95,100	8	115,100	70,108	0	70,108
4039	Miscellaneous Capital Projects Series E	20,000	260	18,074	18,074	7,848	10,226
1800	Equipment Acquisition Consolidated Fund	N/A	10,519	N/A	30,545	28,807	1,739
1850	Reimbursable of Equipment/Projects to Debt Service	N/A	13,644	0	18,187	0	18,187
	Total Equipment Acquisition Funds	273,482	24,446	261,896	284,814	36,654	248,160
Public Improvement							
4017	Fire Dept. Emergency Alerting System	N/A	1,474	0	1,474	66	1,408
4804C	Fire CP Series H/J (D) 2006 Election	13,500	0	0	0	0	0
4805C	Fire CP Series G 2006 Election	10,000	0	5,000	0	0	0
4806C	Fire CP Series J 2006 Election	6,500	0	0	0	0	0
4500	Fire Bond Consolidated	N/A	5,004	0	16,505	3,826	12,679
	Total Fire Department	30,000	6,477	5,000	17,979	3,892	14,086
4801P	Housing CP Series H/J (D) 2001 Election	3,270	0	0	0	0	0
4803P	Housing CP Series G 2001 Election	10,610	0	9,130	0	0	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	0	0	0
4501	Housing Consolidated Fund	N/A	2,800	0	18,974	7,661	11,314
	Total Housing	21,255	2,800	16,505	18,974	7,661	11,314
4803D	General Improvement CP Series G 2001 Election	7,963	0	0	0	0	0
4804D	General Improvement CP Series H/J (D) 2006 Election	13,550	0	0	0	0	0
4805D	General Improvement CP Series G 2006 Election	13,450	0	7,450	0	0	0
4509	General Improvement Consolidated Fund	N/A	3,300	0	10,106	5,200	4,906
4023	Certificates of Obligation Series 2001A (Cotswold)	12,200	0	0	0	0	0
4025	MUD Series 2001A	9,235	0	0	0	0	0
4026	Certificates of Obligation Series 2002A (Cotswold)	12,400	0	0	0	0	0
4028	MUD PIBS Series 2003A-1	2,100	0	0	0	0	0
	Total General Improvement	70,898	3,300	7,450	10,106	5,200	4,906
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	0	0	2,000	0	2,000
4805H	Public Health CP Series G 2006 Election	8,900	0	6,900	0	0	0
4508	Public Health Consolidated Fund	N/A	4,371	0	8,150	3,594	4,555
	Total Public Health & Welfare	17,000	4,371	6,900	10,150	3,594	6,555
4018	Library Capital Projects Fund	N/A	2,387	0	2,387	0	2,387
4033	Friends of Libraries Series E (06)	0	0	0	0	0	0
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	0	0	0	0	0
4805E	Public Library CP Series G 2006 Election	2,000	0	0	0	0	0
4806E	Public Library CP Series J 2006 Election	4,325	0	0	0	0	0
4507	Public Library Consolidated Fund	N/A	2,268	0	15,229	2,437	12,792
	Total Public Library	36,900	4,655	0	17,616	2,437	15,179
4011	Parks Capital Project Fund	N/A	513	0	513	95	418
4012	Parks Special Fund	N/A	4,294	0	4,186	3,621	565
4038	Land Acquisition - Soccer Series E	0	1	0	1	0	1
4803F	Parks & Recreation CP Series G 2001 Election	0	0	0	0	0	0
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	0	5,400	0	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	0	3,000	0	0	0
4806F	Parks & Recreation CP Series J 2006 Election	4,325	0	0	0	0	0
4502	Parks Consolidated Fund	N/A	4,434	0	25,791	13,086	12,705
	Total Parks and Recreation	32,425	9,242	8,400	30,491	16,802	13,688
4041	Fondren Police Station Series E	1,618	15	0	15	0	15
4804G	Police CP Series H/J (D) 2006 Election	40,950	0	5,345	0	0	0
4806G	Police CP Series J 2006 Election	52,050	0	0	0	0	0
4504	Police Consolidated Fund	N/A	8,584	0	66,519	22,208	0
	Total Police Department	94,618	8,600	5,345	66,534	22,208	44,326
4001	Solid Waste Special Revenue Fund	N/A	400	0	400	0	400
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	0	0	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	0	0	0	0	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	0	1,250	0	0	0
4503	Solid Waste Consolidated Fund	N/A	4,933	0	6,184	2,679	3,505
	Total Solid Waste	12,322	5,333	1,250	6,584	2,679	3,905
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	0	0
4505	Storm Sewer Consolidated Fund	N/A	562	0	2,700	852	1,848
4030	Series H (F) Drainage Improvement Commercial Paper	101,300	1,031	0	932	629	302
4024	Series C Commercial Paper Storm & Overlay Fund	N/A	1,768	0	1,762	1,332	431
4042	Series K-1/K-2 Commercial Paper Storm Drainage Funds	200,000	6,757	200,000	205,155	142,214	62,942
	Total Storm Sewer	303,450	10,118	202,150	210,549	145,027	65,523
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	0	0	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	60	0	0	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	0	0	0
4506	Street & Bridge Consolidated Fund	N/A	7,856	0	163,079	26,153	136,926
4006	Street & Bridge Construction Fund	N/A	4,293	0	4,273	0	4,273
4034	Limited Use Roadway & Mobility Capital Fund	26,000	723	0	723	16	707
2304	Mobility Response Team	10,000	2,040	0	2,029	0	2,029
4010	MTA Construction Fund	N/A	2,279	0	2,279	655	1,625
4801S	St. Bridges Utility Relocation Set-Aside	7,000	241	0	241	1,141	0
	Total Street and Bridge without Metro	370,980	17,493	140,400	172,625	27,965	145,560
4027	Metro Street Fund Series E (04)	49,900	2,916	0	2,324	6,833	0
	Total Public Improvement	1,039,748	75,303	393,400	563,931	244,298	325,042

City of Houston, Texas
Construction & Bond Status Report
For the period ended September 30, 2012
(amounts expressed in thousands)

Fund No.	Fund Name	Original Amount	Cash/ Investments	Draw down Available	Net Resource Available (a)	Unexpended Appropriation	Available for Appropriation
Airport System							
8201A1	Airport System Construction 2002A (AMT)	129,120	0	0	0	0	0
8201	Airport System Consolidated 2001 (AMT)	200,000	2,000	N/A	2,000	1,854	146
	Sub-Total	329,120	2,000	0	2,000	1,854	146
8202A2	Airport System 2002B (Non-AMT) Const.	213,347	0	0	0	0	0
8202	Airport System Consolidated 2001 (Non-AMT)	100,000	2,514	0	2,536	309	2,227
	Sub-Total	313,347	2,514	0	2,536	309	2,227
8200A2	Airport System RevBd 2000A (AMT)	327,225	0	0	0	0	0
8200	Airport System Consolidated Const 2000 (AMT)	N/A	4,603	0	4,086	4,058	28
	Sub-Total	327,225	4,603	0	4,086	0	28
8203A1	Airport System Commercial Paper 2004 (AMT)	232,000	0	0	0	0	0
8203A2	Airport System 2009A PAB Construction		35,367	0	37	0	37
8203	Airport System Consolidated Const. 2004 (Non-AMT)	N/A	15,750	0	48,378	45,758	2,620
	Sub-Total	232,000	51,117	0	48,415	45,758	2,657
8204A2	Airport System Commercial Paper 2008 (Non-AMT)	68,000	0	0	0	0	0
8204A3	Airport System 2009A Non-PAB Construction	N/A	5,994	0	6	0	6
8204	Airport System Consolidated Const. 2004 (Non-AMT)	N/A	5,211	0	11,198	396	10,802
	Sub-Total	68,000	11,204	0	11,204	396	10,808
8205A1	Airport System Commercial Paper Construction (AMT)	232,000,000	0	232,000	0	0	0
8205	Airport System Consolidated Construction 2011 (AMT)	N/A	0	0	232,000	0	232,000
	Sub-Total	232,000,000	0	232,000	232,000	0	232,000
8206A1	Airport System Commercial Paper Construction (Non-AMT)	68,000,000	0	68,000	0	0	0
8206	Airport System Consolidated Construction 2011 (Non-AMT)	N/A	0	0	68,000	0	68,000
	Sub-Total	68,000,000	0	68,000	68,000	0	68,000
	Total Airport Consolidated Funds	301,269,692	71,438	300,000	368,240	48,317	315,865
8006	Airport System Rev Bd fund - 1998B (AMT)	395,643	1,807	0	1,639	1,507	132
8008	Airport System RevBd 2000B (Non-AMT) Const.	269,240	0	0	0	0	0
8010	Airport System R & R Fund	N/A	22,527	0	22,623	1,233	21,390
8011	Airport System Improvement Fund	N/A	517,875	0	517,948	83,599	434,349
	Total Other Funds	664,883	542,209	0	542,210	86,339	455,872
	Total Airport	301,934,575	613,647	300,000	910,451	134,656	771,737
Convention & Entertainment Facilities							
8800	GRB Consolidated Construction Fund	N/A	1,108	N/A	1,108	870	239
	Total GRB Construction Funds	0	1,108	0	1,108	870	239
8614	Convention & Ent. Comm. Paper-Scr A - 2003	53,500	0	31,000	31,000	0	31,000
8632	Convention & Ent. Underground Parking	21,500	0	200	200	21,500	0
8611	C & E Construction Fund	N/A	680	0	673	538	135
	Total Civic Center	75,000	1,788	31,200	32,981	22,908	31,373
Combined Utility System - Unrestricted							
8500A2	Water & Sewer TWDB Available Funds	N/A	0	0	0	0	0
8500A1	Combined Utility System CP Fund		0	537,600	0	0	0
8500	W&S Consolidated Construction	N/A	41,935	0	743,629	476,640	266,989
	Total Combined Utility System Consolidated Funds	0	41,935	537,600	743,629	476,640	266,989
Restricted Bonds and Capital Money							
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	0	2,000
8319	Water Contributed Capital Fund	N/A	32,313	0	27,564	18,644	8,920
8327	Sewer Reg Cap Recovery Fd	N/A	5,921	0	5,921	0	5,921
8340	Water & Sewer Bond Project Trust Account 04 A2	96,705	0	0	0	0	0
8374	Water & Sewer TWDB Bond Trust Account 2006	61,545	0	0	0	0	0
8375	Water & Sewer TWDB Bond Trust Account 2006A	69,595	565	0	2	0	2
8376	Water & Sewer TWDB Bond Trust Account 2008B	45,045	0	0	0	0	0
8377	Water & Sewer TWDB Bond Trust Account 2008C	52,650	59	0	0	0	0
8378	Water & Sewer TWDB Bond Trust Account 2008E	61,545	0	0	0	0	0
	Total Restricted TWDB and Other	389,085	38,859	2,000	35,488	18,644	16,844
	Total Combined Utility System	389,085	80,793	539,600	779,117	495,284	283,833
	Total All Funds	\$ 303,729,890	\$ 799,318	\$ 1,526,096	\$ 2,579,179	\$ 934,512	\$ 1,667,319

(a) Net Resources Available is equal to Current Assets less Current Liabilities.
Negative balances have been referred to departments for corrections

City of Houston, Texas
Commercial Paper (CP) Notes Status Report
For the period ended September 30, 2012
(amounts expressed in thousands)

Fund No.	Fund Name	Authorized Amount	CP Notes Issued	Authorized but Unissued	Available for Appropriation	Combined Available for Appropriation
General Obligation						
4041	Fondren Police Station Series E	1,618	1,618	0	0	0
4804G	Police CP Series H/J (D) 2006 Election	40,950	35,605	5,345	0	0
4806G	Police CP Series J 2006 Election	52,050	0	52,050	52,050	52,050
4804F	Parks & Recreation CP Series H/J (D) 2006 Election	23,100	17,700	5,400	0	0
4805F	Parks & Recreation CP Series G 2006 Election	5,000	2,000	3,000	3,000	12,705
4806F	Parks & Recreation CP Series J 2006 Election	13,900	0	13,900	13,900	13,900
4804C	Fire CP Series H/J (D) 2006 Election	13,500	13,500	0	0	0
4805C	Fire CP Series G 2006 Election	10,000	5,000	5,000	12,679	12,679
4806C	Fire CP Series J 2006 Election	6,500	0	6,500	6,500	6,500
4803L	Solid Waste Mgt. CP Series G 2001 Election	4,322	4,322	0	0	0
4804L	Solid Waste Mgt. CP Series H/J (D) 2006 Election	2,750	2,750	0	0	0
4805L	Solid Waste Mgt. CP Series G 2006 Election	5,250	4,000	1,250	1,250	3,505
4803E	Public Library CP Series G 2001 Election	7,900	0	0	0	0
4804E	Public Library CP Series H/J (D) 2006 Election	22,675	22,675	0	0	0
4805E	Public Library CP Series G 2006 Election	2,000	2,000	0	12,792	12,792
4806E	Public Library CP Series J 2006 Election	4,325	0	4,325	4,325	4,325
4803D	General Improvemt CP Series G 2001 Election	7,963	7,963	0	0	0
4804D	General Improvemt CP Series H/J (D) 2006 Election	13,550	13,550	0	0	0
4805D	General Improvemt CP Series G 2006 Election	13,450	6,000	7,450	4,906	4,906
4803N	St., Bridges & Traf. CP Series G 2001 Election	120,205	20,205	0	0	0
4804N	St., Bridges & Traf. CP Series H/J (D) 2006 Election	67,375	67,375	0	0	0
4805N	St., Bridges & Traf. CP Series G 2006 Election	140,400	0	140,400	136,926	136,926
4801S	St. Utility Relocation Set-Aside Series D	7,000	7,000	0	0	0
4027	Metro Street Projects, Series E	49,900	47,878	0	0	0
4804H	Public Health CP Series H/J (D) 2006 Election	8,100	8,100	0	0	0
4805H	Public Health CP Series G 2006 Election	8,900	2,000	6,900	6,555	6,555
4801R	Storm Sewer CP Series H/J (D) 2001 Election	2,150	0	2,150	0	1,848
4030	Drainage Projects Series F, Series H-2	101,300	101,300	0	302	302
4801P	Housing CP Series H/J (D) 2001 Election	3,270	3,270	0	0	0
4803P	Housing CP Series G 2001 Election	10,610	1,480	9,130	3,939	0
4804P	Housing CP Series H/J (D) 2006 Election	7,375	0	7,375	7,375	11,314
1800D1	Equipment Acquisition, Series E-1	158,382		128,722	167,826	167,826
1800D3	Equipment & Capital, Series E-2	95,100		115,100	70,108	70,108
4039	Miscellaneous Capital Projects Series E	33,556	6,778	38,074	10,226	10,226
Total General Obligation CP Notes		1,064,426	404,069	552,071	514,658	528,466
Airport System						
8203A1	Airport System 2004 (AMT)	232,000	232,000	0	0	0
8204A2	Airport System 2008 (Non-AMT)	68,000	68,000	0	0	0
Total Airport System CP Notes		300,000	300,000	0	0	0
Convention and Entertainment						
8614	Equipment Acquisition, C&E	53,500	22,500	31,000	31,000	31,000
8632	Convention & Ent. Underground Parking	21,500	20,500	1,000	0	0
Total Convention and Entertainment CP Notes		75,000	43,000	32,000	31,000	31,000
Combined Utility & Water Sewer System						
8500A1	Combined Utility System CP	598,000	60,400	537,600	266,989	266,989
8502	Water & Sewer Utility Relocation Set-Aside	2,000	0	2,000	2,000	2,000
Total Combined Utility System CP Notes		600,000	60,400	539,600	268,989	268,989
Total		\$ 2,039,426	\$ 807,469	\$ 1,123,671	\$ 814,647	\$ 828,455

City of Houston, Texas
Total Outstanding Debt
September 30, 2012 and September 30, 2011
(amounts expressed in thousands)

	September 30, 2012	September 30, 2011
Payable from Ad Valorem Taxes		
Public Improvement Bonds ^(a)	\$ 2,602,570	\$ 2,468,160
Commercial Paper Notes ^(b)	236,900	303,450
Pension Obligations	587,525	607,625
Certificates of Obligations	17,365	75,990
Subtotal	3,444,360	3,455,225
Payable from Sources Other Than Ad Valorem Taxes		
Combined Utility System		
Combined Utility System Revenue Bonds	5,610,665	5,457,310
Combined Utility System Commercial Paper Notes ^(c)	0	5,400
Water and Sewer System Revenue Bonds ^(d)	346,751	396,702
Contract Revenue Obligations - CWA	125,740	139,080
Airport System		
Airport System Sr. Lien Bonds ^(e)	449,660	449,660
Airport System Subordinate Lien	1,818,055	1,925,530
Airport System Sr. Lien Commercial Paper Notes ^(f)	0	0
Airport System Inferior Lien Contracts ^(g)	28,115	32,895
Airport Special Facilities Revenue Bonds ^(h)	679,372	567,435
Hotel Occupancy Tax and Civic Parking		
Facilities Revenue Bonds ⁽ⁱ⁾	567,253	603,467
Hotel Occupancy Tax Commercial Paper ^(j)	43,000	43,000
Subtotal	9,668,611	9,620,479
Total Debt Payable by the City	\$ 13,112,971	\$ 13,075,704

(a) In November 2001 voters authorized \$776 million in tax bonds. In November 2006 voters authorized an additional \$625 million in tax bonds.

(b) The City has authorized maximum issuance of General Obligation Commercial Paper Programs Series E-1: \$100 million, E-2: \$150 million, G-1: \$75 million, G-2: \$100 million, H-1: \$100 million, H-2: \$100 million, J: \$125 million, K1: \$100 million and K2: \$100 million.

(c) The City has authorized a \$700 million of Combined Utility System Commercial Paper Note Program.

(d) Includes \$108.1 million accreted value of capital appreciation bonds at this date and \$127.1 million last year.

(e) The Houston Airport System issued Senior Lien Revenue bonds on August 20, 2009.

(f) City Council has authorized \$150 million of Airport Senior Lien Commercial Paper Notes Series A and B.

(g) Under a sublease agreement, the Houston Airport System has agreed to make sublease payments that include the debt service payments on the Series 1997A Special Facilities Bonds that financed the Automated People Mover ("APM") at George Bush Airport. These sublease payments constitute Inferior Lien Obligations under the Airport bond ordinances.

(h) All Special Facility Revenue Bonds are secured solely from Special Facility Lease Revenues. Does not include \$32.9 million for Series 1997A Special Facilities Bonds. See footnote (g).

(i) Includes \$103.9 million accreted value of capital appreciation bonds at this date and \$91.1 million last year.

(j) The City has authorized \$50 million of Subordinate Lien Hotel Occupancy Tax and Parking Revenue Commercial Paper

CITY OF HOUSTON

RETIREE MEDICAL UNFUNDED ACCRUED LIABILITIES

9/30/2012
(amount expressed in millions)

	<u>Date of Most Recent Valuation or Estimate</u>	<u>Present Value of Benefits ⁽²⁾</u>	<u>Unfunded Accrued Liabilities</u>	<u>Annual OPEB Cost ⁽⁴⁾</u>
Entry Age Normal ⁽¹⁾	6/30/2011	\$2,745.8	\$1,984.3 ⁽³⁾	\$225.8 ⁽⁵⁾

Note (1) Entry Age Normal is a cost method used to identify the value of benefits for the fiscal year 2011
Present Value of Benefits is a measure of total liability at the date of valuation
Both medical and life benefits are included.

Note (2) Total present value of all expected future benefits based on actuarial assumptions
and reflects new plan provisions effective 05/01/2011.

Note (3) Based on Aon Consulting's updated estimate to reflects new plan provisions effective 05/01/2011.

Note (4) The Annual OPEB (Other Post Employment Benefits) Cost is the actuarial calculated annual amount the City should
contribute to fund the unfunded accrued liabilities over 30 years remaining average expected working life.
The City currently funds on a "pay as you go" basis. The City has paid \$8.65 million current fiscal year to date.
For FY2012 the City paid \$46.77 million for the retiree health insurance costs.

Note (5) Reflects old plan provisions from 07/01/2010 to 04/30/2011 and new plan provisions from 05/01/2011 through 06/30/2011.

CITY OF HOUSTON

PENSION FUND PAYMENTS AND UNFUNDED LIABILITY SUMMARY

9/30/2012

PAYMENTS

(amount expressed in thousands)

	FY2012	FY 2013			
		City Payment Rate	Employee Payment Rate	Adopted Budget	Year to Date Actual
Firefighters Plan					
General Fd. & Other Fds.	\$ 61,204	23.9%	9.00%	\$ 61,475	\$ 14,077
Total Firefighters Plan	61,204			61,475	14,077
Police Plan					
General Fd. & Other Fds.	66,000	Note 1	9.00% / 10.25%	84,500	19,500
Pension Bonds	0			0	0
Total Police Plan	66,000			84,500	19,500
Municipal Plan					
General Fund	40,739	Note 2	5% / None	46,657	9,557
Other Funds	57,761	Note 2	5% / None	65,406	16,272
Total Municipal Plan	98,500			112,063	25,829
Total All Three Plans	<u>\$225,704</u>			<u>\$258,038</u>	<u>\$59,406</u>

UNFUNDED ACCRUED LIABILITY AND FUNDED STATUS

	Date of Most Recent Valuation or Estimate	Unfunded Accrued Liability (\$ millions)	Assets as % of Liabilities
Firefighters Plan	7/1/2011	335.9	90.6%
Police Plan	7/1/2011	770.1	82.8%
Municipal Plan	7/1/2011	1,461.5	61.4%

Note 1: City contribution based on Meet and Confer Agreement with Houston Police Officers Pension System

(Agreement Between Houston Police Officers' Pension System and City of Houston,

Article II - Change in Member Contributions, September 18, 2004). The City committed to pay the flat amount of \$84.5 million in FY13.

Note 2: City contribution based on Meet and Confer Agreement with Houston Municipal Employees Pension System

(Fourth Amendment, June 27, 2007, Change in Member Contributions: effective January 1, 2008, new hires do not contribute).

The City committed to the greater of \$108.5M or 21.36% of payroll FY13.

FY2013 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2012 Actual	FY2013 Budget	FY2013 (1) September	FY2013 (1) YTD AVG.	Overtime FY2012 Actual	Overtime FY2013 Budget	Overtime (1) FY2013 YTD
ENTERPRISE FUNDS							
Aviation	1,360.0	1,400.0	1,321.2	1,323.4	51.6	33.8	45.7
PW & E - Combined Utility System	2,082.2	2,251.9	2,073.4	2,072.7	198.3	131.9	163.3
TOTAL ENTERPRISE FUNDS	3,442.2	3,651.9	3,394.6	3,396.1	249.9	165.7	209.0
GENERAL FUND							
GENERAL FUND MUNICIPAL							
Administration and Regulatory Affairs	267.2	243.3	236.3	234.4	0.9	0.7	0.5
City Secretary	10.9	11.4	11.1	10.7	0.0	0.1	0.0
Controller's Office	66.2	65.6	65.3	66.2	0.0	0.0	0.0
Council Office	74.6	89.0	78.2	79.6	0.0	0.0	0.0
Finance Department	72.6	68.6	55.5	61.4	0.0	0.0	0.0
Fire Department	118.6	124.5	121.1	118.7	0.3	0.7	0.4
General Services	189.3	191.6	187.8	186.8	4.6	5.0	7.0
Health & Human Services	454.6	467.2	458.3	459.3	3.8	1.5	3.4
Housing & Community Development	2.1	2.0	2.0	2.1	0.0	0.0	0.0
Human Resources	35.9	34.8	34.6	34.3	0.0	0.0	0.0
Information Technology	149.1	141.7	141.1	144.3	1.7	1.2	1.9
Legal	119.1	119.2	116.6	116.3	0.0	0.0	0.0
Library	401.7	409.7	384.4	387.9	0.0	0.0	0.0
Mayor's Office	23.3	54.1	55.9	54.6	0.0	0.5	0.3
Municipal Courts Department	271.2	276.4	268.1	270.2	0.0	0.2	0.0
Neighborhoods	96.1	110.7	102.4	99.3	0.2	1.9	0.0
Office of Business Opportunity	23.3	28.0	27.6	25.7	0.0	0.0	0.0
Parks & Recreation	617.7	685.8	609.0	700.1	9.9	2.5	12.4
Planning & Development	75.7	76.5	78.4	77.2	0.0	0.0	0.0
Police Department	1,094.6	1,210.3	1,139.6	1,141.6	25.3	27.9	38.7
Public Works and Engineering	9.1	15.0	14.9	15.9	0.0	0.1	0.1
Solid Waste Management	434.3	444.6	437.4	435.7	26.2	21.1	38.7
SUBTOTAL MUNICIPAL	4,607.2	4,870.0	4,625.6	4,722.3	72.9	63.4	103.4
GENERAL FUND CADETS							
Fire Department	14.2	24.2	37.0	39.2	0.0	0.0	0.0
Police Department	76.6	99.5	141.0	140.3	0.0	0.0	0.0
SUBTOTAL CADETS	90.8	123.7	178.0	179.5	0.0	0.0	0.0

FY2013 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours per year)

	FY2012 Actual	FY2013 Budget	FY2013 (1) September	FY2013 (1) YTD AVG.	Overtime FY2012 Actual	Overtime FY2013 Budget	Overtime (1) FY2013 YTD
GENERAL FUND CLASSIFIED							
Fire Department	3,801.0	3,746.4	3,790.5 (4)	3,768.9 (4)	177.6	193.3	202.8
Police Department	5,223.8	5,207.0	5,160.2	5,166.1	251.1 (2)	101.5 (2)	242.7
SUBTOTAL CLASSIFIED	9,024.8	8,953.4	8,950.7	8,935.0	428.7	294.8	445.5
TOTAL GENERAL FUND	13,722.8	13,947.1	13,754.3	13,836.8	501.6	358.2	548.9
GRANTS & OTHER FUNDS (3)							
Administration and Regulatory Affairs	176.2	180.1	162.9	164.4	0.6	0.7	0.9
Finance Department	0.0	17.0	10.0	2.9	0.0	0.0	0.0
Fleet Management	253.2	273.0	254.9	253.1	9.3	10.1	15.9
General Services	61.1	58.0	57.4	59.3	0.1	0.8	0.2
Health & Human Services	508.8	10.4	514.3	519.1	1.2	0.2	1.4
Housing & Community Development	129.3	0.0	152.7	165.2	0.0	0.0	0.0
Houston Emergency Center	228.0	238.6	225.0	226.9	6.3	10.3	11.2
Human Resources	191.7	215.3	200.2	199.7	0.1	0.0	0.1
Information Technology	44.1	67.8	42.1	43.5	0.2	0.0	0.1
Legal	59.9	55.4	49.0	48.0	0.1	0.0	0.0
Library	28.0	4.0	32.0	32.3	0.0	0.0	0.0
Mayor's Office	23.3	17.5	34.7	33.8	0.1	0.2	0.0
Municipal Courts Department	34.3	36.1	34.7	34.9	0.0	0.0	0.0
Neighborhoods	48.5	0.0	49.3	50.1	0.5	0.0	0.0
Parks & Recreation	93.7	90.5	80.9	98.6	3.9	4.4	5.9
Planning	10.2	12.5	9.0	8.9	0.0	0.0	0.0
Police Department - Cadet	67.6	0.0	0.0	3.9	0.0	0.0	0.0
Police Department - Classified	24.5	23.0	67.5	68.4	1.7	32.6	2.4
Police Department - Municipal	59.1	11.0	31.7	30.6	4.0	0.6	1.0
Public Works and Engineering	1,693.5	1,794.1	1,672.3	1,668.4	104.6	76.9	89.7
Solid Waste Management	4.0	5.0	3.0	3.0	0.0	0.0	0.0
TOTAL GRANTS & SPECIAL FUNDS	3,739.0	3,109.3	3,683.6	3,715.0	132.7	136.8	128.8
CITY-WIDE TOTAL	20,904.0	20,708.3	20,832.5	20,947.9	884.2	660.7	886.7

(1) YTD numbers measure the periods 07/01/2012 through 9/30/2012.

(2) Includes overtime hours from the Asset Forfeiture and Police Special Funds.

(3) FY2013 Budget does not include Grant FTEs.

(4) Fire department FTEs do not include classified employees on phasedown.

City of Houston
FY2013 Position Control
As of September 30, 2012

Benchmark Dates	General Fund			Enterprise Fund			Special & Other Funds			Total All Funds		
	As of June 30, 2012	As of September 30, 2012	Variance	As of June 30, 2012	As of September 30, 2012	Variance	As of June 30, 2012	As of September 30, 2012	Variance	As of June 30, 2012	As of September 30, 2012	Variance
Beginning Number of Employees												
A Number of separations	13,974	14,091		3,588	3,566		3,746	3,777		21,308	21,434	
B Number of additions	(52)	(206)		(19)	(21)		(18)	(60)		(89)	(287)	
	130	79		9	3		47	26		186	108	
Total Employees	14,052	13,964	(88)	3,578	3,548	(30)	3,775	3,743	(32)	21,405	21,255	(150)
Less: Police - Classified												
Fire - Classified	5,236	5,185		-	-		69	68		5,305	5,253	
	3,735	3,782		-	-		-	-		3,735	3,782	
Total Classified Employees	8,971	8,967	(4)	-	-	-	69	68	(1)	9,040	9,035	(5)
Total Civilian Employees	5,081	4,997	(84)	3,578	3,548	(30)	3,706	3,675	(31)	12,365	12,220	(145)

Notes:

- A** Separations include resignations, terminations and transfers out of the department
- B** Additions include new hires, rehires and transfers into the department

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING SEPTEMBER 2012 (25.00% OF FISCAL YEAR)**

Department Performance Measure	FY2012			FY2013		
	Actual	YTD	% Actual	Objective	YTD	% Objective
ADMINISTRATION & REGULATORY AFFAIRS						
Avg Days to Award Procurement Contracts	140.00	109	77.8%	140.00	99	70.4%
3-1-1 Avg Time Customer in Queue (seconds)	90.00	92	101.9%	90.00	106	118.0%
Cable Company Complaints	100	38	38.0%	150	37	24.7%
AVIATION						
Enplanement	25,274,527	6,513,154	25.8%	25,411,634	6,437,626	25.3%
Debt coverage Ratio	1.54	N/A	0.0%	1.36	1.38	101.5%
Parking revenue per originating passenger	\$5.29	\$5.39	101.9%	\$5.41	\$5.27	97.4%
Concessions per enplaned passenger	\$1.49	\$1.38	92.6%	\$1.48	\$1.55	104.7%
FAA AIP entitlement grant funding	\$5,671,924	\$0	0.0%	20,000,000	\$0	0.0%
FAA AIP discretionary grant funding	10,610,492	\$0	0.0%	\$5,000,000	\$996,084	19.9%
GENERAL SERVICES						
Design & Construction						
Construction Projects Complete	89	5	5.6%	37	9	24.3%
Property Mgmt. (Work Orders Compl.)	25,696	6,818	26.5%	33,000	6,011	18.2%
Security Management						
Number of Reported Incidents Investigated upon Receipts	1,071	257	24.0%	1,500	308	20.5%
FINANCE						
Liens Collections	\$2,422,916	\$317,230	13.1%	\$1,358,072	\$331,591	24.4%
Deferred Compensation Participation	85.00%	78.67%	92.6%	85.00%	78.84%	92.8%
Audits Completed	18	7	38.9%	61	5	8.2%
FIRE DEPARTMENT						
First Response Time-Fire (Minutes)	7:31	7:25	N/A	7:16	7:19	N/A
First Response Time-EMS (Minutes)	7:23	7:50	N/A	7:54	7:06	N/A
HEALTH & HUMAN SERVICES						
Complete Network Requests	549	111	20.2%	929	518	55.8%
Complete Program Requests	123	6	4.9%	205	114	55.6%
Desktop Support Requests	6,095	1,018	16.7%	7,168	2,400	33.5%
Mayor Customer Service Response	119	29	24.4%	108	37	34.3%
Monthly Financial & Operating Reports	24	6	25.0%	24	6	25.0%
Grant Setups	55	34	61.8%	60	26	43.3%
Contracts and Agreements	43	8	18.6%	50	12	24.0%
Air, Water & Waste Investigation	3,114	737	23.7%	2,800	800	28.6%
Food Establishment Inspections	43,027	6,000	13.9%	37,938	6,181	16.3%
Food Establishment Complaints	2,402	630	26.2%	2,200	599	27.2%
Enforcement Cases - BPCP	116	36	31.0%	80	31	38.8%
Radiation Inspections	208	54	26.0%	175	61	34.9%
Project Saving Smiles	4,063	0	0.0%	3,000	0	0.0%
Family Planning Clinic Encounters	11,983	3,528	29.4%	12,200	2,506	20.5%
STD Clinic Encounters	15,687	4,295	27.4%	15,800	3,719	23.5%
Immunization Clinic Encounters	18,751	6,828	36.4%	10,000	5,788	57.9%
Jail Health Clinic Encounters	165,870	41,594	25.1%	165,700	41,439	25.0%
Tuberculosis (TB) Clinic Encounters	5,971	1,865	31.2%	6,500	953	14.7%
CareHouston Encounters	1,028	148	14.4%	1,000	991	99.1%
Num of Diseases Investigated	31,795	6,084	19.1%	40,000	12,917	32.3%
Num of Outbreaks Investigated	139	12	8.6%	400	92	23.0%
Num of TB Prescriptions	41,972	4,233	10.1%	24,500	16,254	66.3%
Num of Clinic Orders Filled	44,794	11,946	26.7%	45,500	7,270	16.0%
Laboratory Tests Performed	359,098	120,220	33.5%	420,000	93,743	22.3%
HOUSING						
Housing Units Assisted	1,483	750	0.0%	1,500	182	0.0%
Council Actions on HUD Projects	141	18	0.0%	100	21	0.0%
Annual Spending (Millions)	\$47	\$6	0.0%	\$50	\$13	0.0%

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING SEPTEMBER 2012 (25.00% OF FISCAL YEAR)**

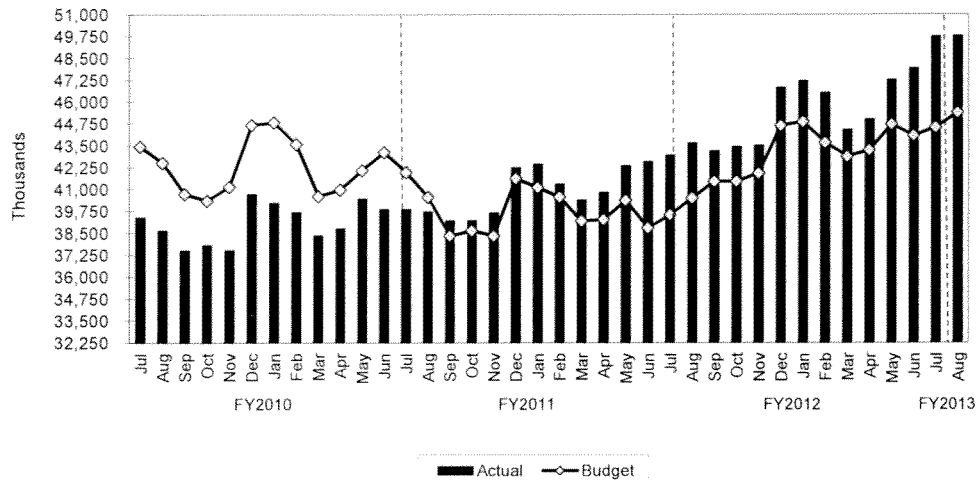
Department Performance Measure	FY2012			FY2013		
	Actual	YTD	% Actual	Objective	YTD	% Objective
HUMAN RESOURCES						
Total Jobs Filled - (As Vacancies Occur)	3,559	1,167	32.8%	5,000	655	13.1%
Days to Fill Jobs	45	45	100.0%	45	45	100.0%
Lost Time Injuries (As They Occur)	\$593	160	27.0%	625	125	20.0%
LEGAL						
Deed Restriction Complaints Received	616	229	37.2%	848	140	16.5%
Deed Restriction Lawsuits Filed	17	6	35.3%	15	2	13.3%
Deed Restriction Warning Letters Sent	137	58	42.3%	146	42	28.8%
LIBRARY						
Total Circulation	6,326,079	1,891,574	29.9%	6,326,079	1,824,824	28.8%
Juvenile Circulation	2,950,173	861,927	29.2%	2,950,173	870,215	29.5%
Reference Questions Answered	456,000	182,507	40.0%	456,000	180,024	39.5%
In-House Computer Users	830,000	332,711	40.1%	830,000	285,156	34.4%
Public Computer Training Classes Held	1,800	267	14.8%	1,800	868	48.2%
Public Computer Training Attendance	10,000	1,789	17.9%	10,000	5,483	54.8%
MUNICIPAL COURTS						
Average Time Defendant Spends in Court - Trial By Judge	29 minutes	29 minutes	N/A	30 mins <	25 mins	N/A
Average Time Defendant Spends in Court - Trial By Jury	2:50 hours	3:09 hours	N/A	3:15 hrs <	1:50 hrs	N/A
Average Time Officer Spends in Court	2:21 hours	2:00 hours	N/A	2:45 hrs <	2:35 hrs	N/A
OFFICE OF BUSINESS OPPORTUNITY						
New Certified Firms	311	75	24.1%	373	63	16.9%
Processing Timeframe (Days)	34	24	70.6%	90	67	74.4%
New Certification Applications Received	588	139	23.6%	600	154	25.7%
Annual Certification Updates Received	1,338	354	26.5%	1,400	326	23.3%
Certification Field Audits	311	76	24.4%	400	85	21.3%
Certified Payrolls Audited	17,983	4,083	22.7%	20,000	5,249	26.2%
Site Visits	479	150	31.3%	1,200	105	8.8%
Penalty Funds Collected	\$10,010	\$1,620	16.2%	\$25,000	\$5,460	21.8%
Amount of Pay or Play Funds Collected	\$1,112,120	\$201,408	18.1%	\$700,000	\$266,298	38.0%
New Pay Option Contracts	2	N/A	0.0%	24	2	8.3%
New Play Option Contracts	4	N/A	0.0%	36	16	44.4%
Outreach and Speaking Events	289	69	23.9%	305	67	22.0%
Business Education Workshops	31	7	22.6%	30	6	20.0%
Waivers and Goal Reductions Processed	32	N/A	0.0%	78	30	38.5%
Procurement Training Institute Attendees	N/A	N/A	0.0%	600	152	25.3%
Business Assistance Requests	3,150	790	25.1%	3,000	739	24.6%
New Hire Houston First Designations	431	N/A	0.0%	500	202	40.4%
PARKS & RECREATION						
Registrants in Youth Sports Programs	33,835	1,228	3.6%	74,600	19,427	26.0%
Registrants in Adult Fitness & Craft Programs	143,601	3,282	2.3%	390,000	121,380	31.1%
Number of Teams Registered in Adult Sports Programs	1,143	208	18.2%	1,400	215	15.4%
Teens Registration	N/A	N/A	0.0%	90,000	16,093	17.9%
Summer Enrichment Program	4,493	404	9.0%	120,100	76,253	63.5%
After School Enrichment Program	N/A	N/A	0.0%	185,500	19,284	0.0%
Lee and Joe Jamail Skate Park	8,781	204	2.3%	55,428	7,814	14.1%
Golf Rounds Played at Privatized Courses	70,445	20,187	28.7%	84,528	20,900	24.7%
Golf Rounds Played at COH - Operated Courses	145,778	41,818	28.7%	166,901	42,372	25.4%
Work Orders Completed-Parks and Comm. Ctr Facilities	18,789	5,000	26.6%	20,000	4,931	24.7%
Grounds Maintenance Cycle-Days:						
Parks & Plazas	22	25	113.6%	18	17	97.7%
Esplanades	28	20	71.2%	21	27	126.7%
Bikes & Hikes Trails	23	19	81.2%	17	18	110.3%
PLANNING & DEVELOPMENT						
Development Plats	820	224	27.3%	885	225	25.4%
Plats Recorded	772	225	29.1%	772	256	33.2%
Subdivision Plats Reviewed	1,592	409	25.7%	1,592	569	35.7%

**CITY OF HOUSTON PERFORMANCE REPORT
FOR THE MONTH ENDING SEPTEMBER 2012 (25.00% OF FISCAL YEAR)**

Department Performance Measure	FY2012			FY2013		
	Actual	YTD	% Actual	Objective	YTD	% Objective
HOUSTON POLICE						
Response Time (Code 1)-Minutes	4.7	4.6	97.9%	4.9	5.0	98.0%
Violent Crime Clearance Rate	46.8%	46.0%	98.3%	38.8%	40.4%	104.1%
Complaints - Total Cases	325	72	22.2%	300	50	16.7%
Total Cases Reviewed by Citizens Review Committee	153	30	19.6%	200	43	21.5%
Records Processed	739,758	189,695	25.6%	663,276	181,998	27.4%
PUBLIC WORKS AND ENGINEERING						
Maintenance and Right-of-Way						
Asphalt For Potholes/Skin Patches (Tons)	16,497	4,612	28.0%	16,000	4,454	27.8%
In-House Overlay (Lane Miles)	140	33	23.6%	140	24	17.1%
Roadside Ditch Regrading/Cleaned (Miles)	279	69	24.7%	275	60	21.8%
Storm Sewers Line Inspections	261	59	22.6%	320	52	16.3%
Inlet and Manhole Maintenance Cycles	63,014	17,518	27.8%	40,000	7,954	19.9%
ECRE						
Storm/Street Annual Appropriation as of % of CIP	89.9%	8.9%	9.9%	100.0%	17.3%	17.3%
Waste/Wastewater Annual Appropriation as of % of CIP	93.1%	13.3%	14.3%	100.0%	3.9%	3.9%
Traffic and Transportation						
Traffic Signal Maintenance Completed within 72 hours	100.0%	100.0%	100.0%	95.0%	99.9%	105.2%
Roadway & Sidewalk Obstruction Permits processed within 10 days	98.5%	99.0%	100.5%	100.0%	98.7%	98.7%
Water and Sewer - Utility Maintenance						
Rehabilitate/renew 600,000 linear feet (1.9%) of collection system annually	615,084	170,125	27.7%	600,000	55,853	9.3%
Rehabilitate or renew 1,300 fire hydrants (2%) annually	789	171	21.7%	855	251	29.4%
Water repairs completed within 10 days for calls received from 311	82.6%	66.0%	79.9%	90.0%	94.7%	105.2%
Wastewater repairs completed within 18 days for calls received from 311	82.8%	93.0%	112.3%	90.0%	91.4%	101.6%
Percent of meters read and located monthly	97.7%	97.3%	99.6%	98.0%	97.8%	99.8%
Collection Rate	99.7%	99.6%	99.9%	99.0%	98.2%	99.2%
Planning & Development						
Complete Plan Review on new single family residence in 7 days	88.0%	100.0%	113.6%	100.0%	91.9%	91.9%
Average number of Re-submittals in Plan Review	3.6	3.6	99.4%	3.0	3.8	127.7%
Average number of Structural, Electrical, Plumbing and Mechanical Inspections	15.0	15.0	100.3%	16.0	16.3	102.1%
SOLID WASTE MANAGEMENT						
Customer Service Request	93,536	21,798	23.3%	95,119	23,419	24.6%
Monthly Cost per Unit Serviced (Excludes Recycling Costs and Special Projects)	\$14.31	\$14.31	100.0%	\$14.31	\$14.31	100.0%
Units with Recycling	205,739	205,739	100.0%	219,000	205,739	93.9%
Tires Disposed	97,033	40,914	42.2%	100,000	28,776	28.8%

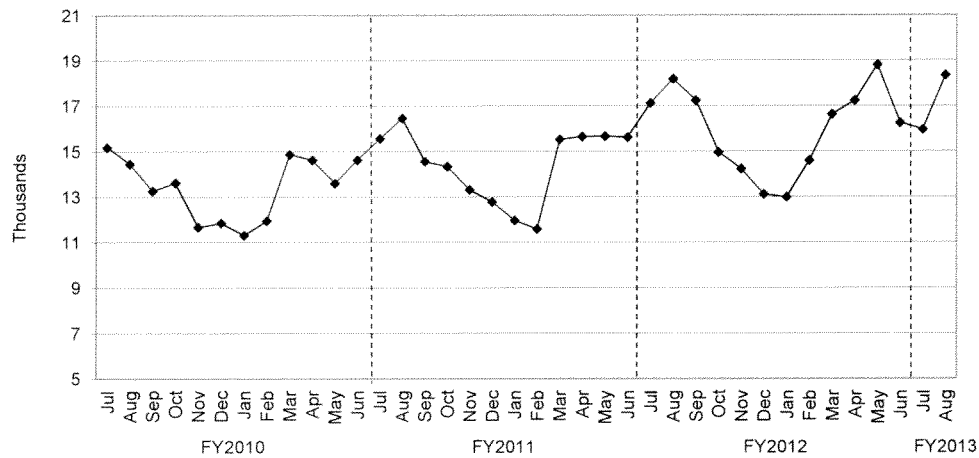
TREND INDICATORS - LOCAL ECONOMY

Sales Tax - Three-Month Rolling Average



Source: Office of State Comptroller

Building Permits Issued



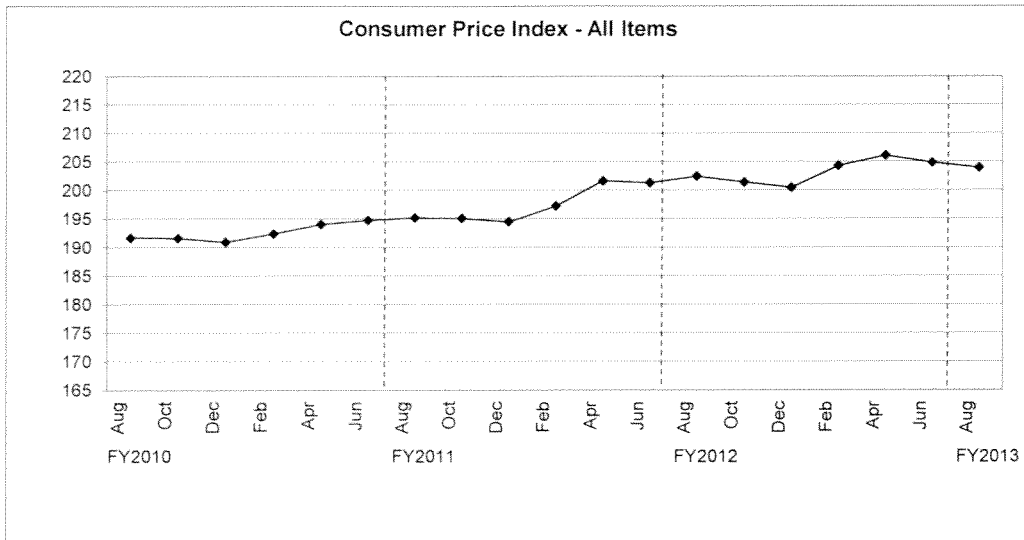
Source: City of Houston Planning and Development Department

Unemployment/Employment Rate

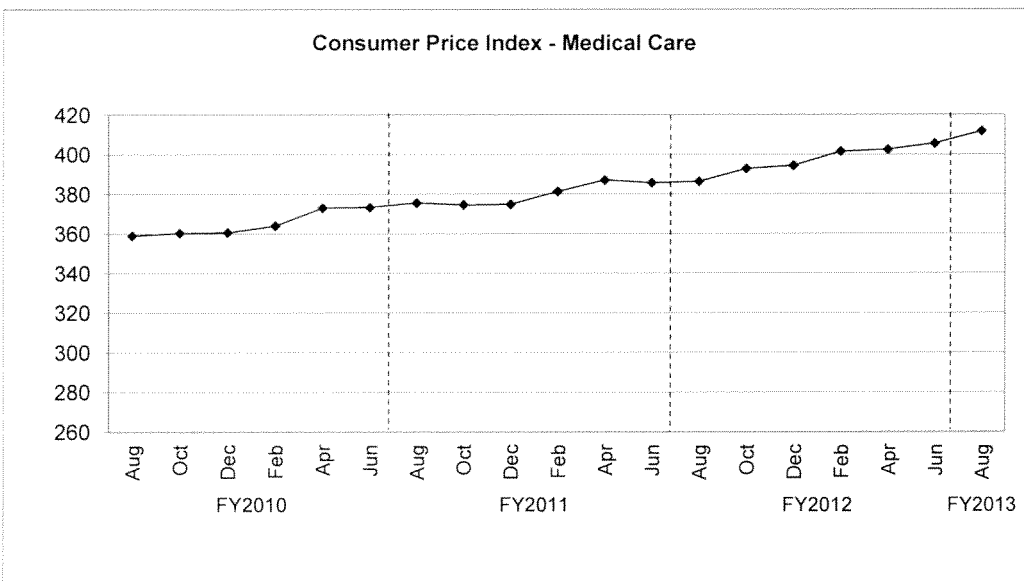


Source: Labor Market & Career Information, Texas Workforce Commission; Houston-Sugar Land-Baytown(MSA)

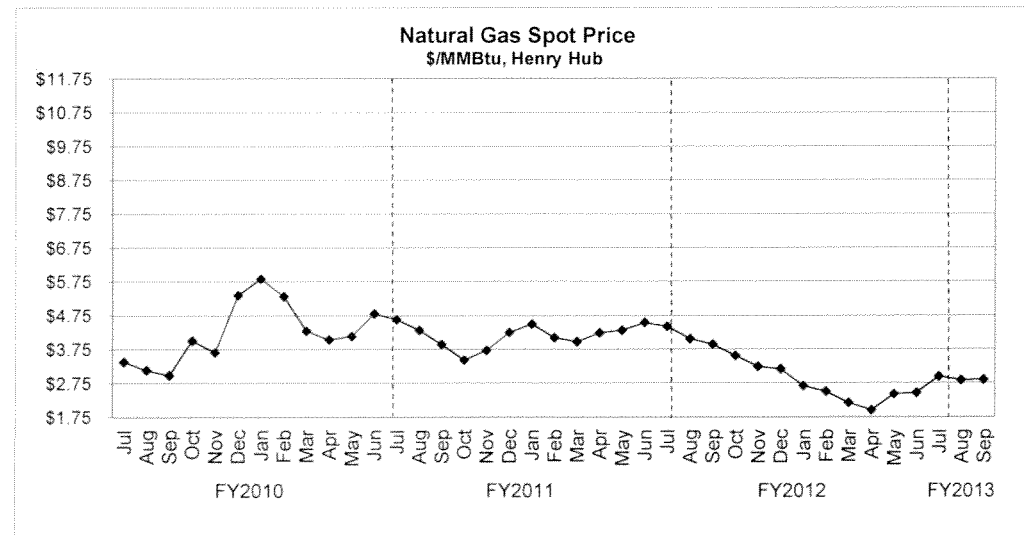
TREND INDICATORS - LOCAL ECONOMY



Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX

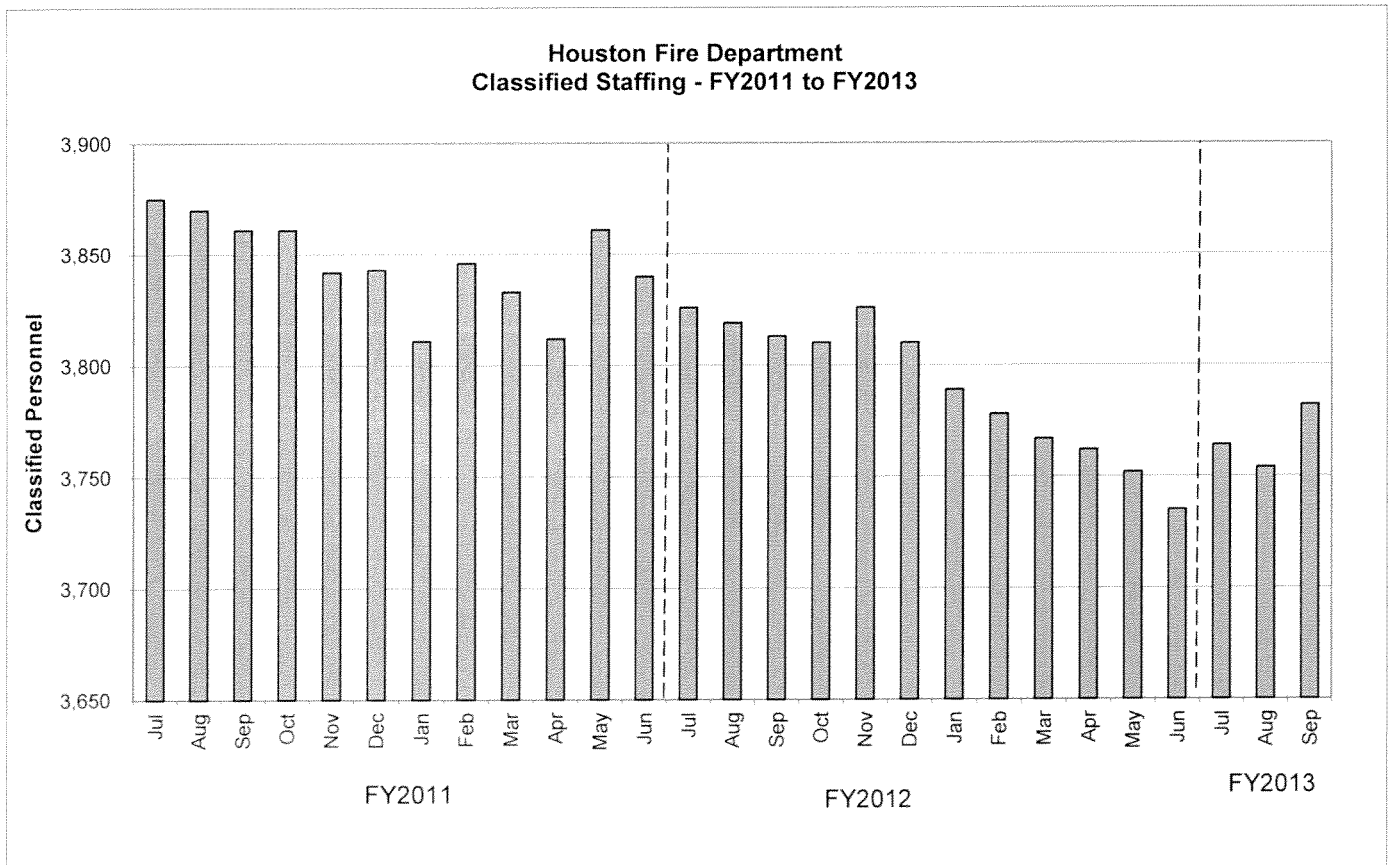
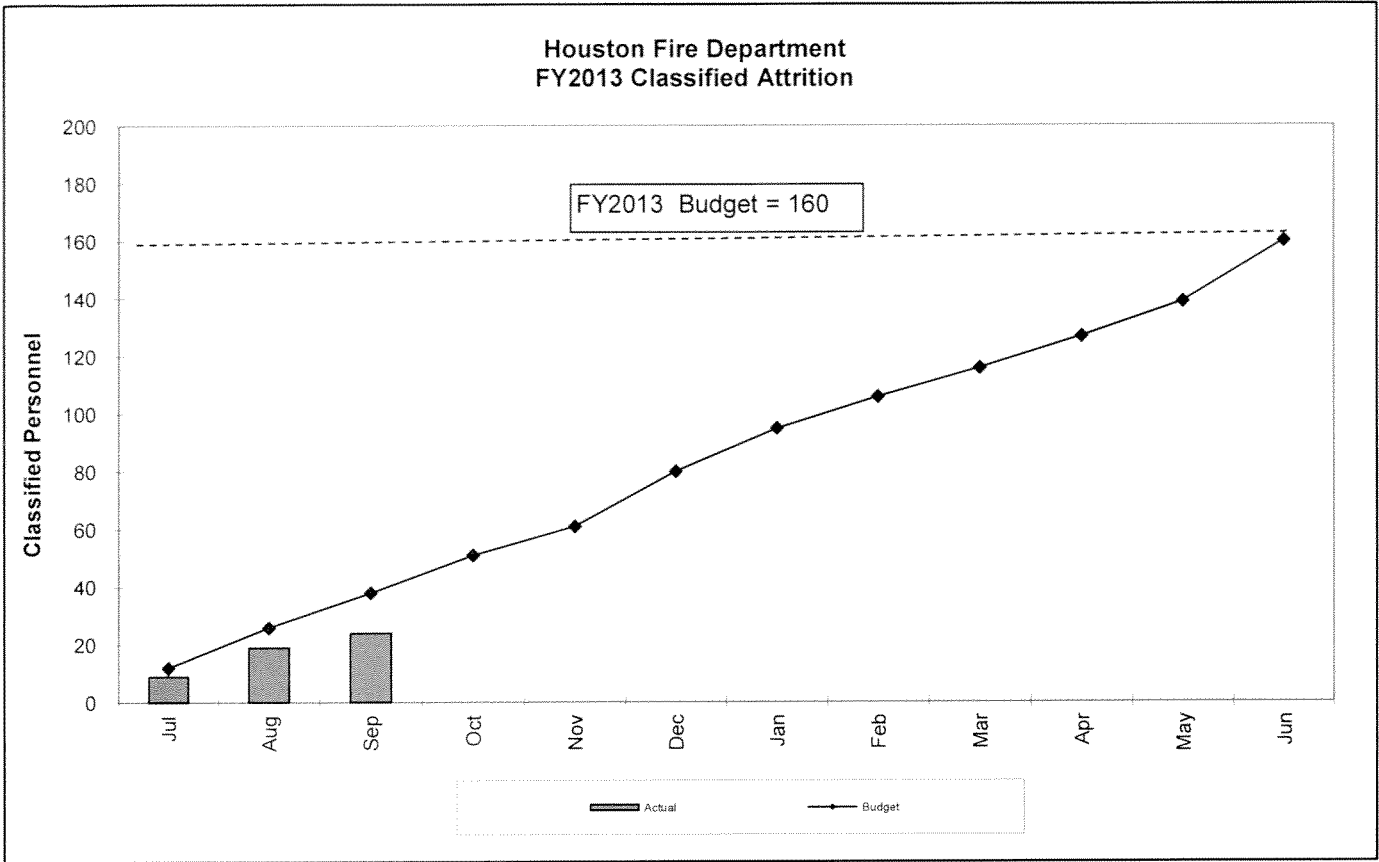


Source: Bureau of Labor Statistics - Houston, Galveston, Brazoria TX



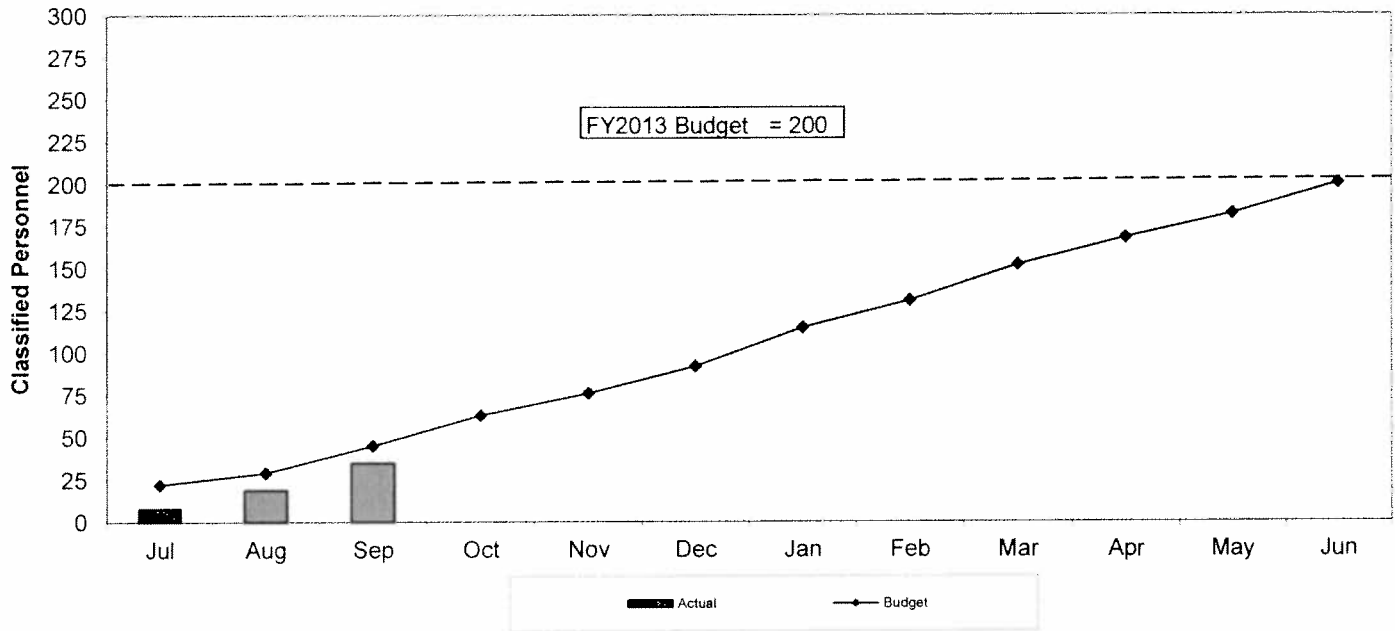
Source: Energy Information Administration/Natural Gas Monthly

TREND INDICATORS - HOUSTON FIRE DEPARTMENT

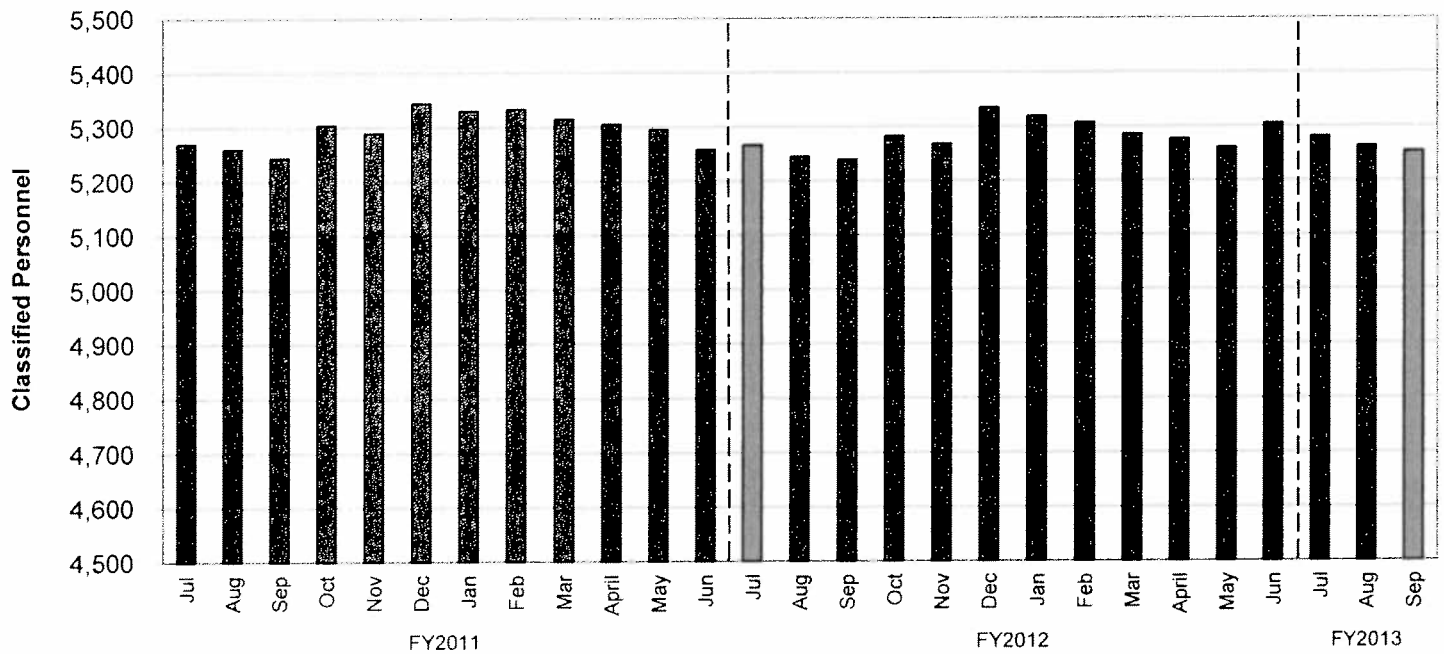


TREND INDICATORS - HOUSTON POLICE DEPARTMENT

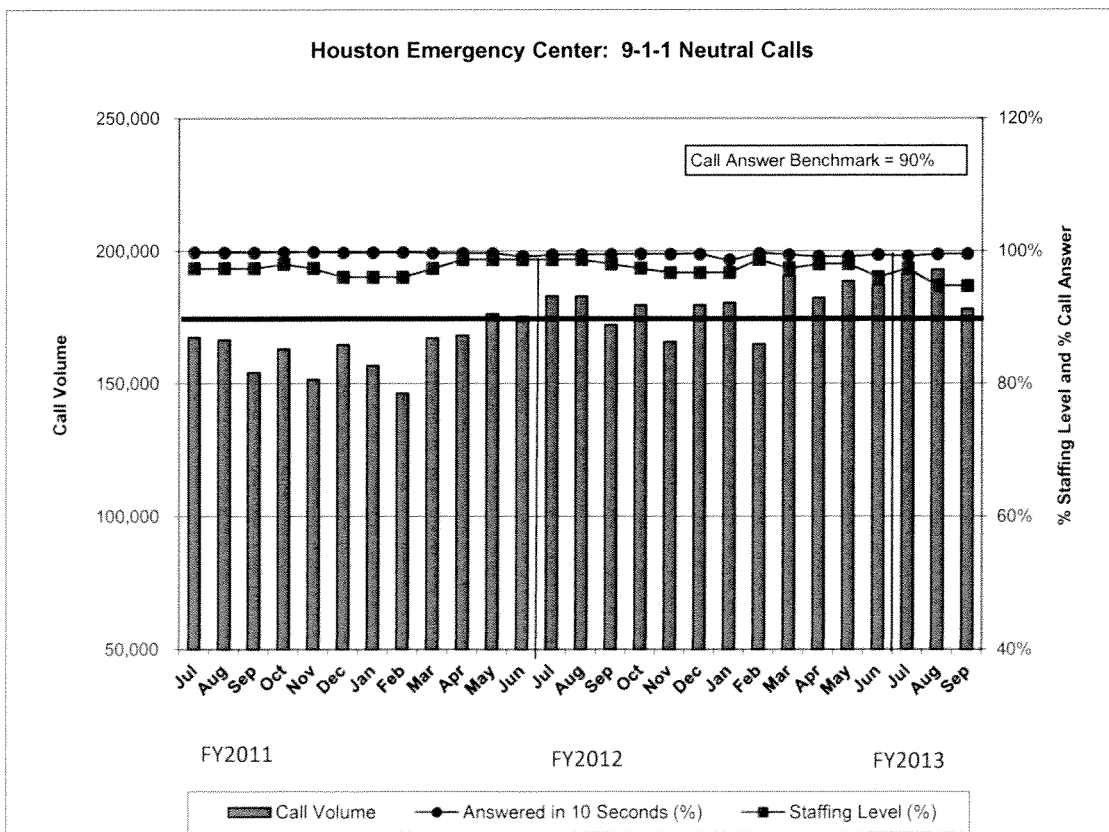
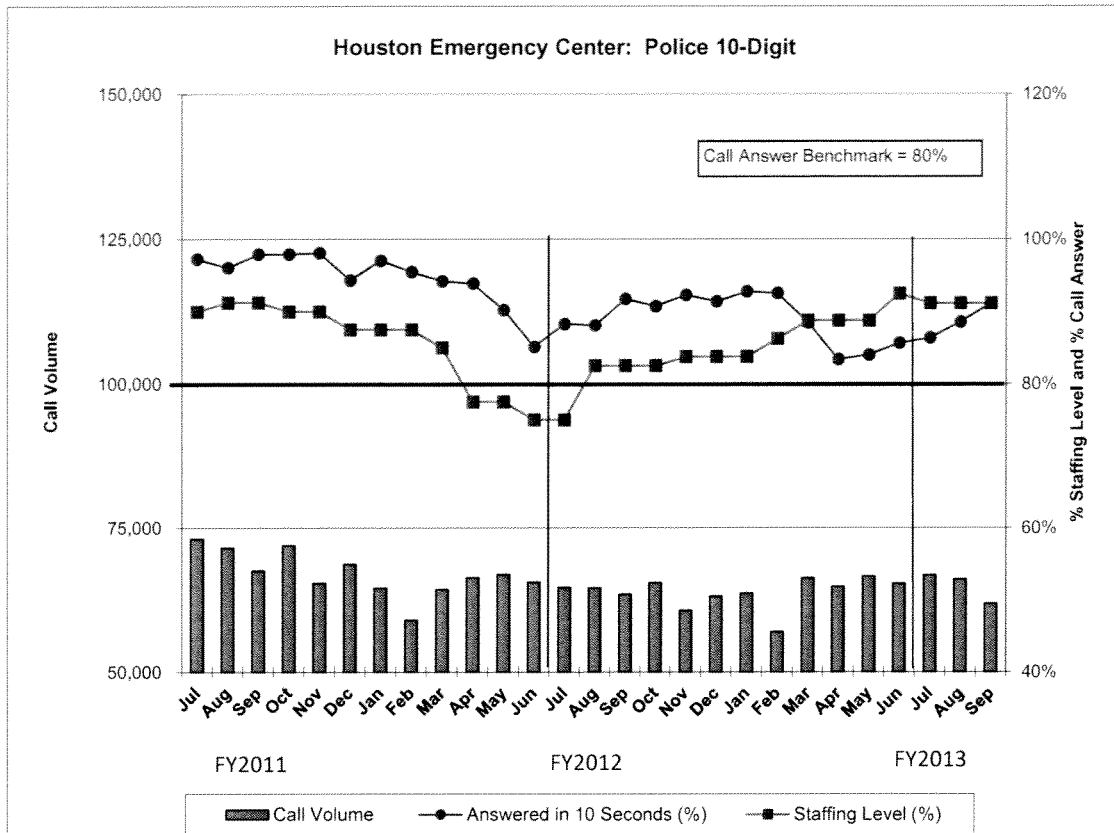
Houston Police Department
FY2013 Classified Attrition



Houston Police Department
Classified Staffing - FY2011 to FY2013

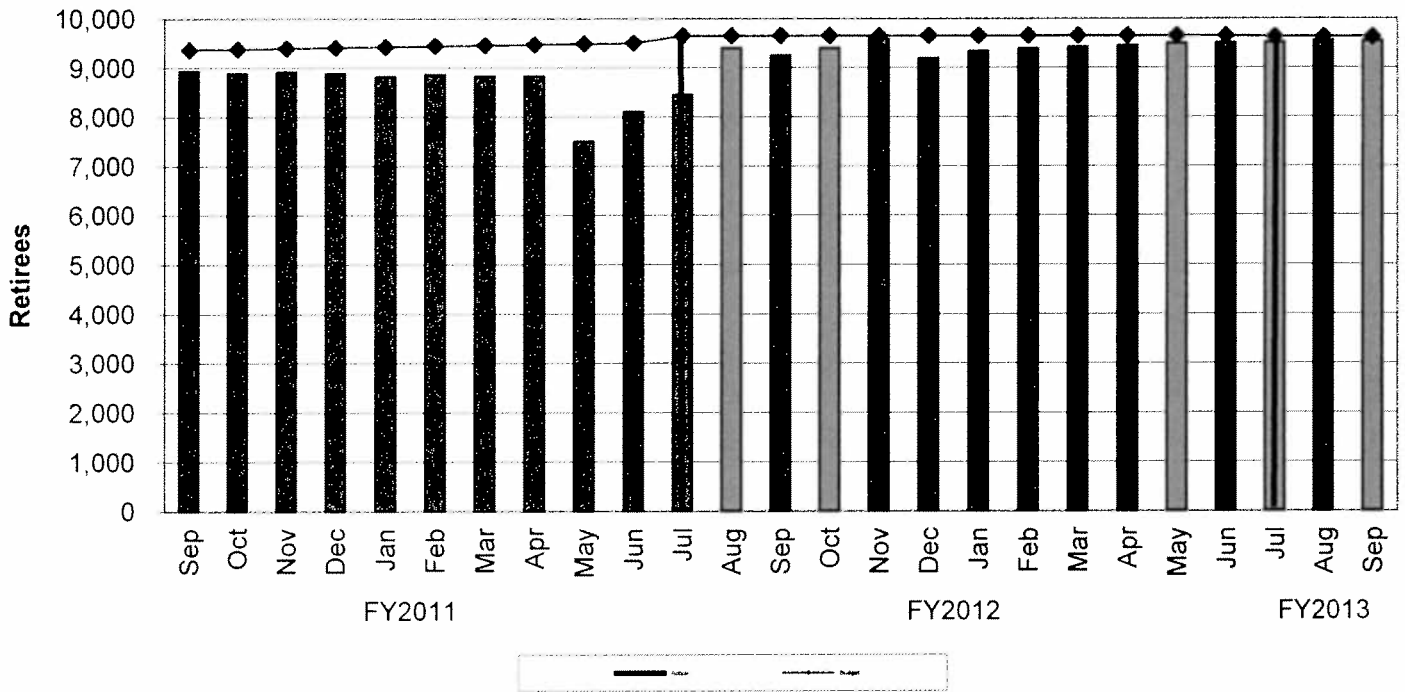


TREND INDICATORS - HOUSTON EMERGENCY CENTER



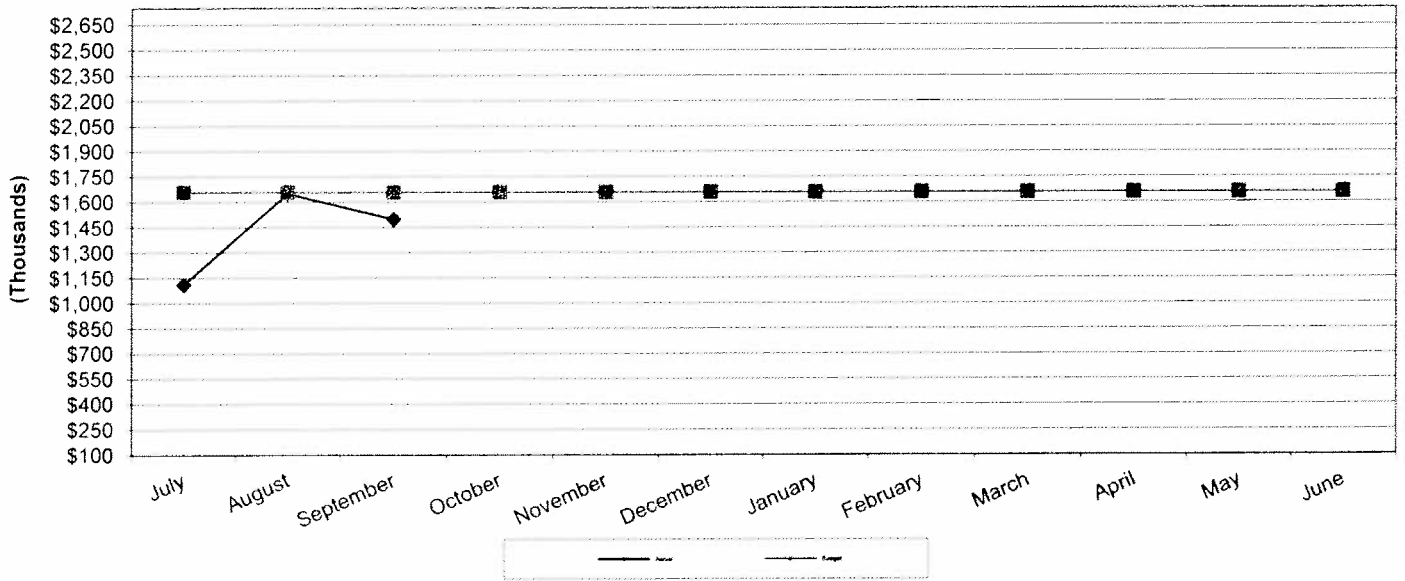
TREND INDICATORS - RETIREMENTS

Retirees Receiving Health Benefits



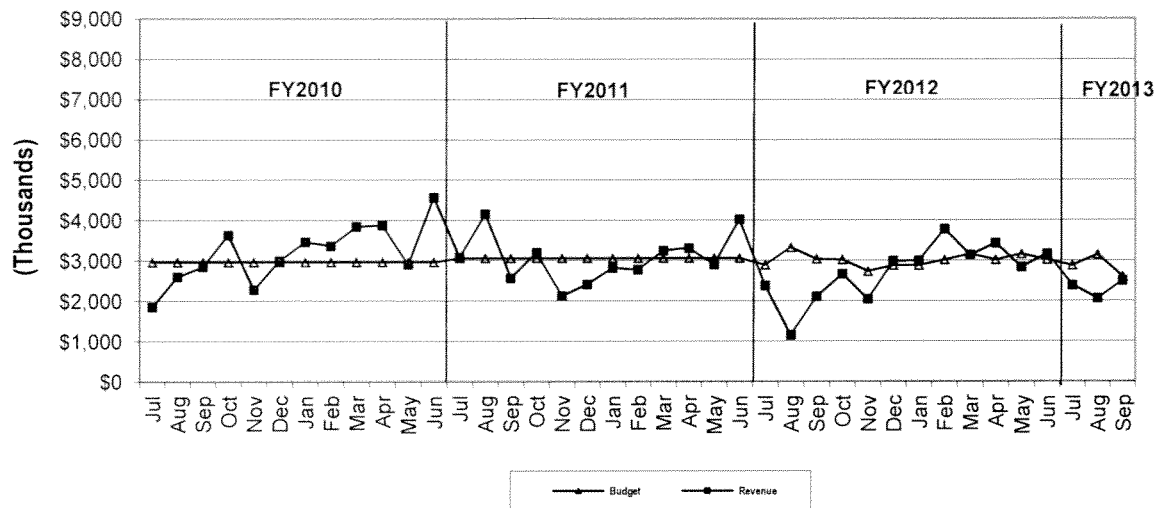
TREND INDICATORS - PARKING MANAGEMENT

Total Parking Management Revenue

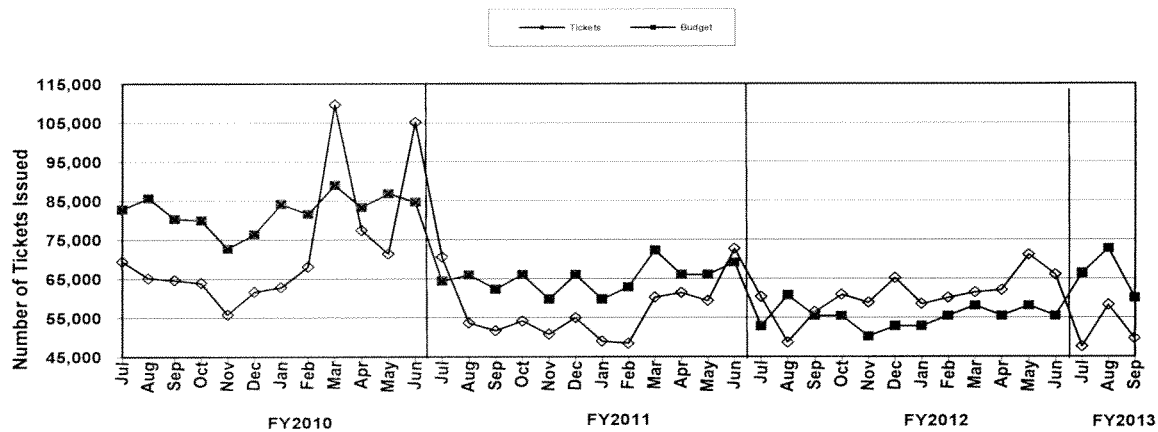


TREND INDICATORS - MUNICIPAL COURTS

Total Municipal Courts Revenue

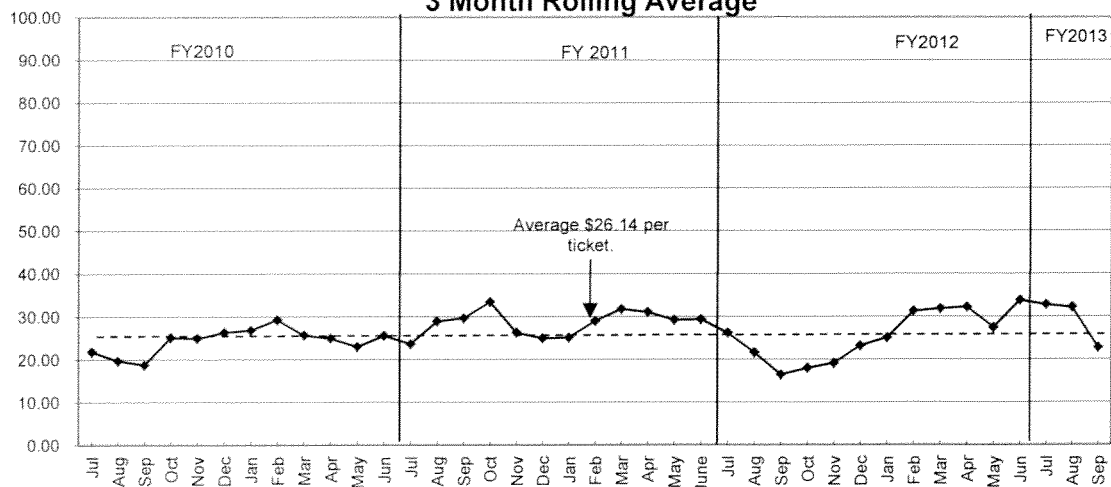


Number of Traffic Violations Issued



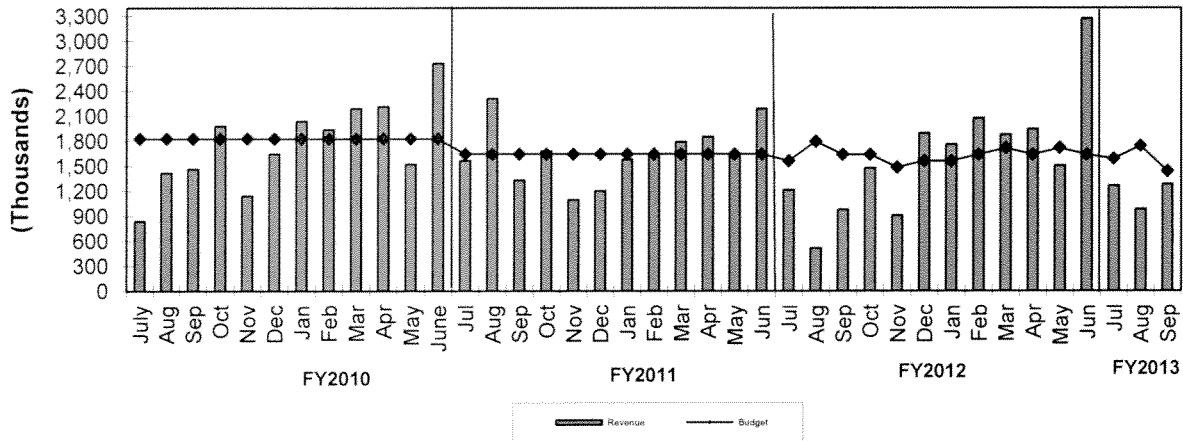
Note: Tickets issued primarily by the Houston Police Department.

Moving Violations Revenue Per Traffic Violations Issued (427010) 3 Month Rolling Average

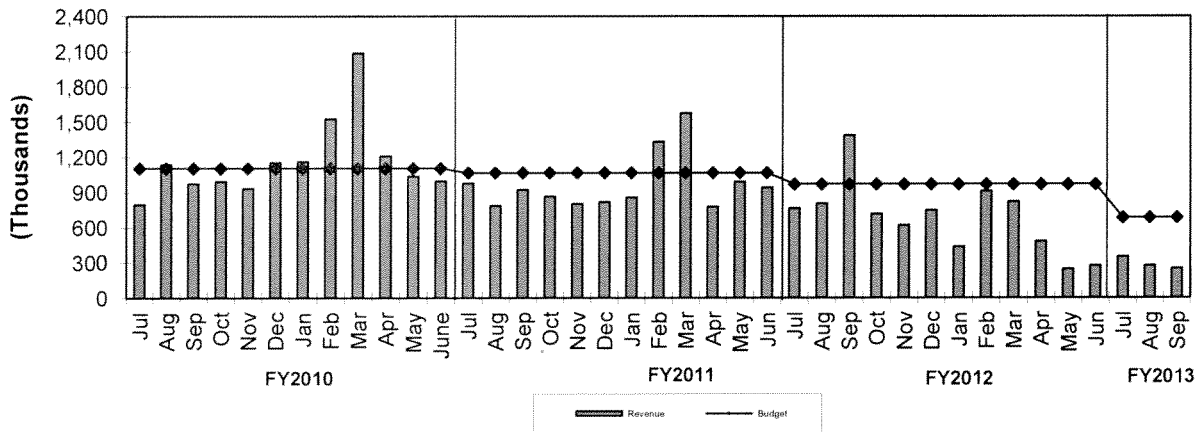


TREND INDICATORS - MUNICIPAL COURTS

Moving Violations Collections vs Budget

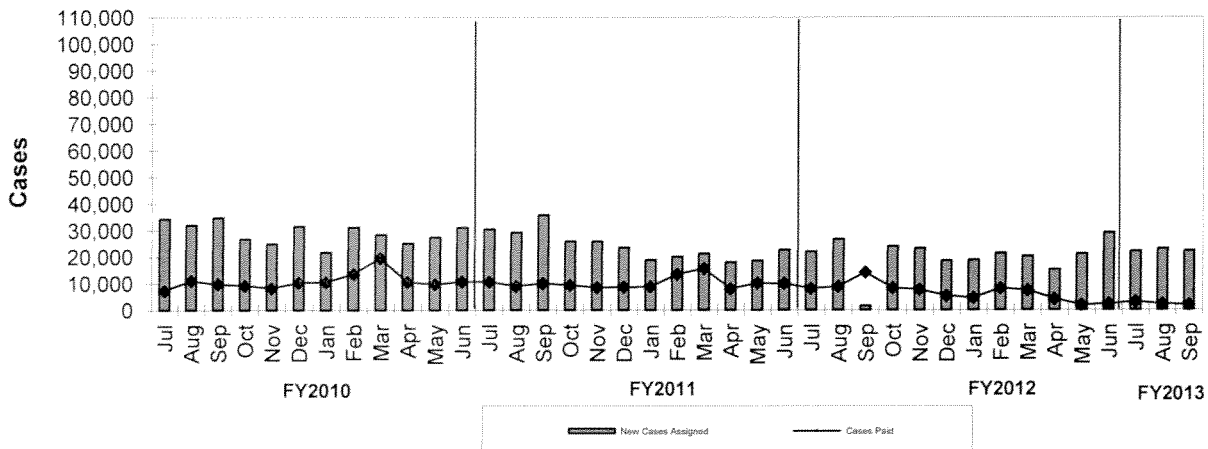


Total Delinquent Collections vs Budget*



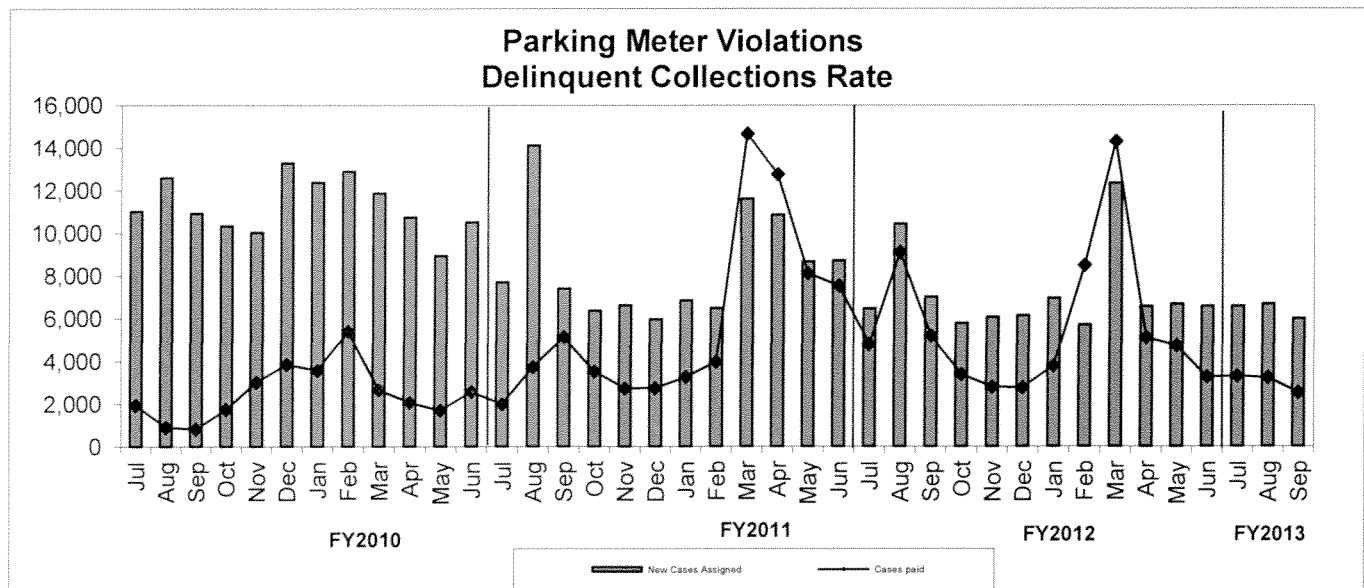
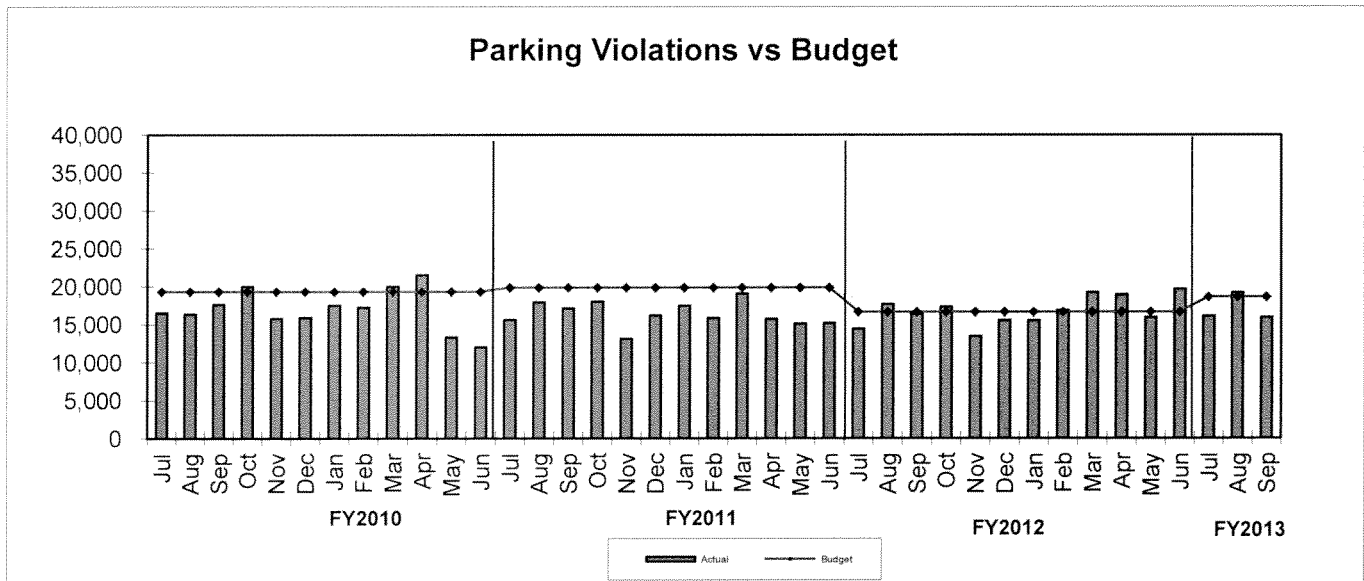
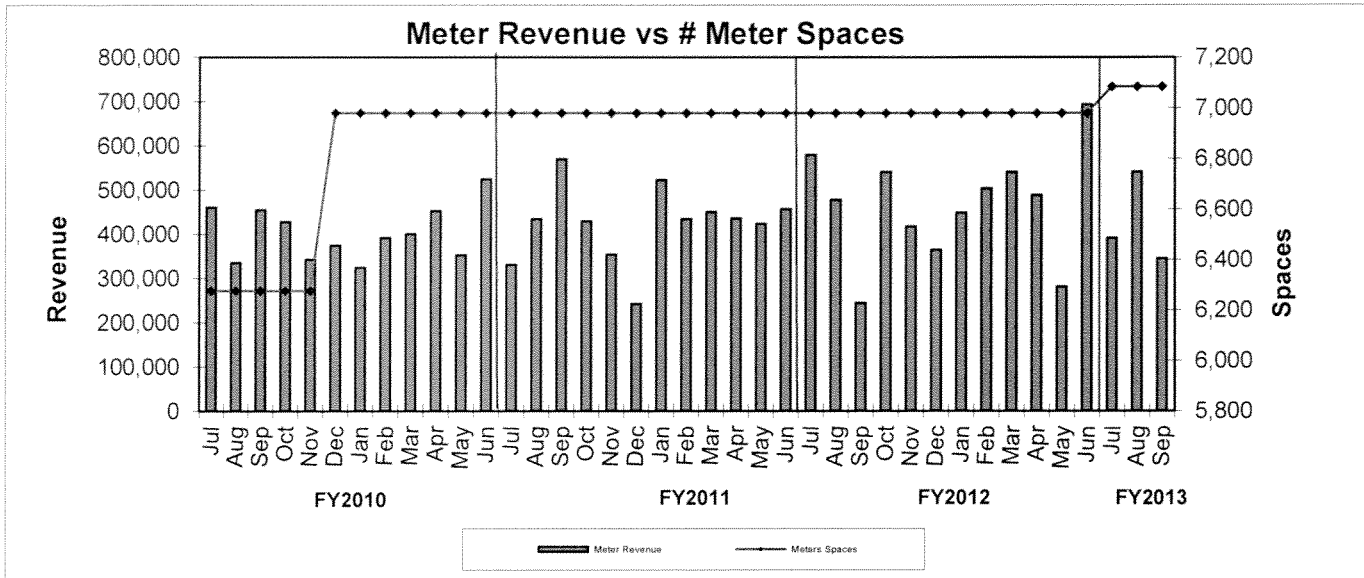
*Net of fees and expenses paid to Linebarger

Traffic, Non-Traffic & Failure to Appear Linebarger Delinquent Collection Rates



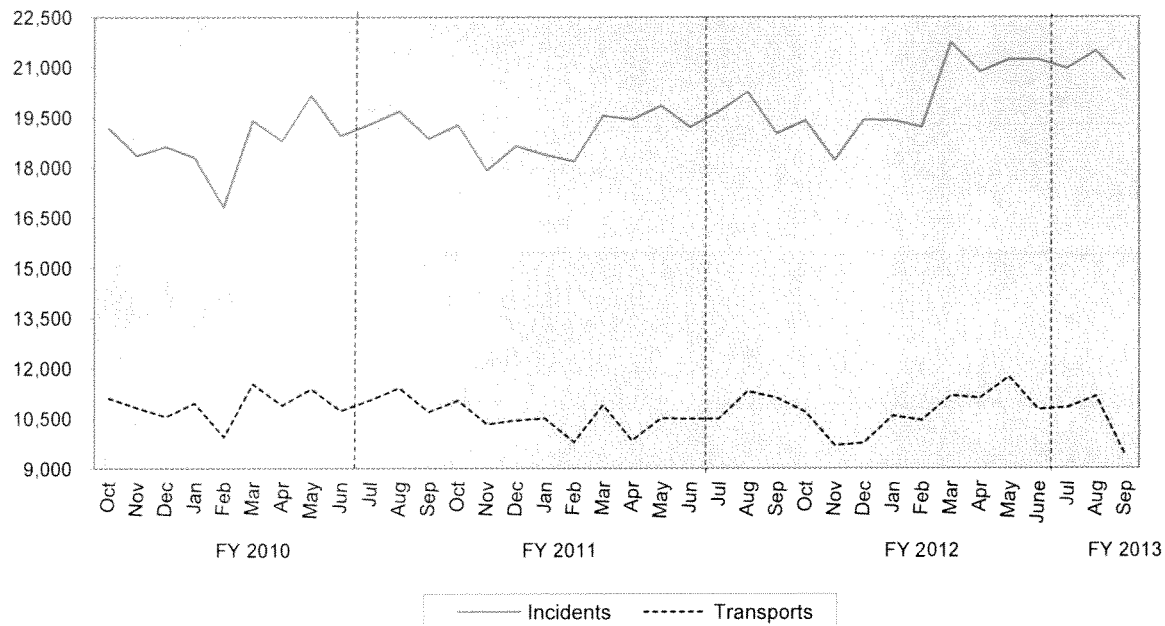
*Excludes Delinquent Parking Collections

TREND INDICATORS - ADMINISTRATION AND REGULATORY AFFAIRS

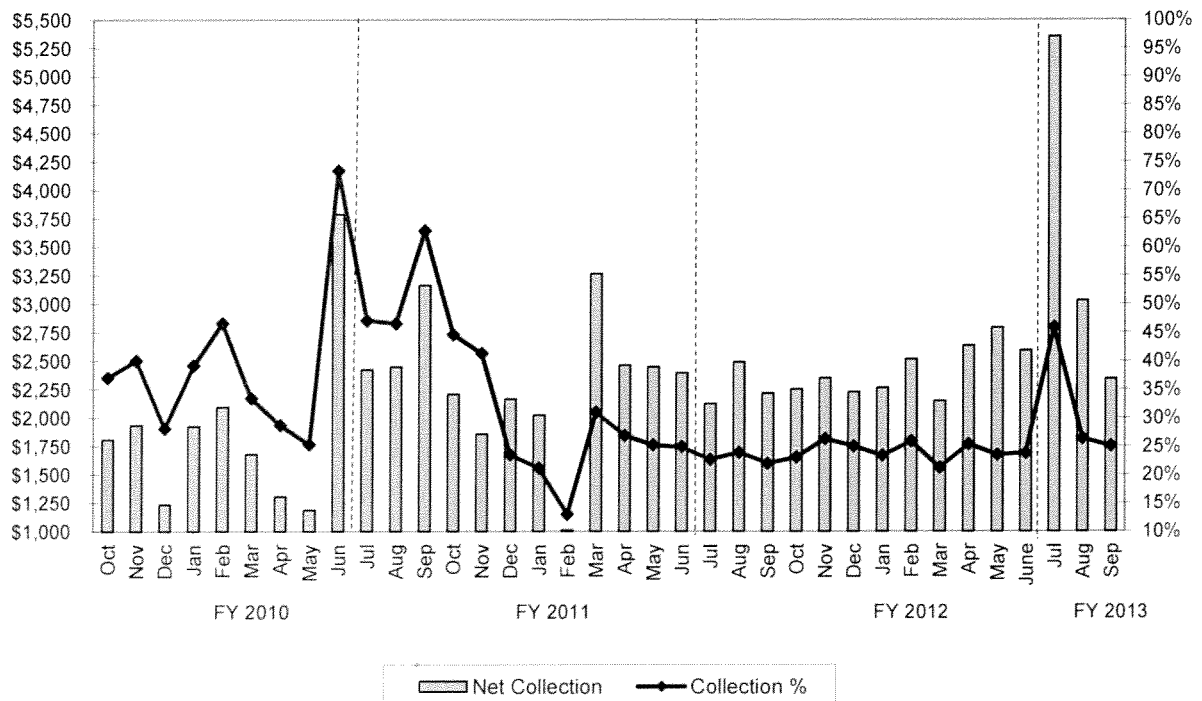


TREND INDICATORS - AMBULANCE SERVICES

EMS Incidents and Transports

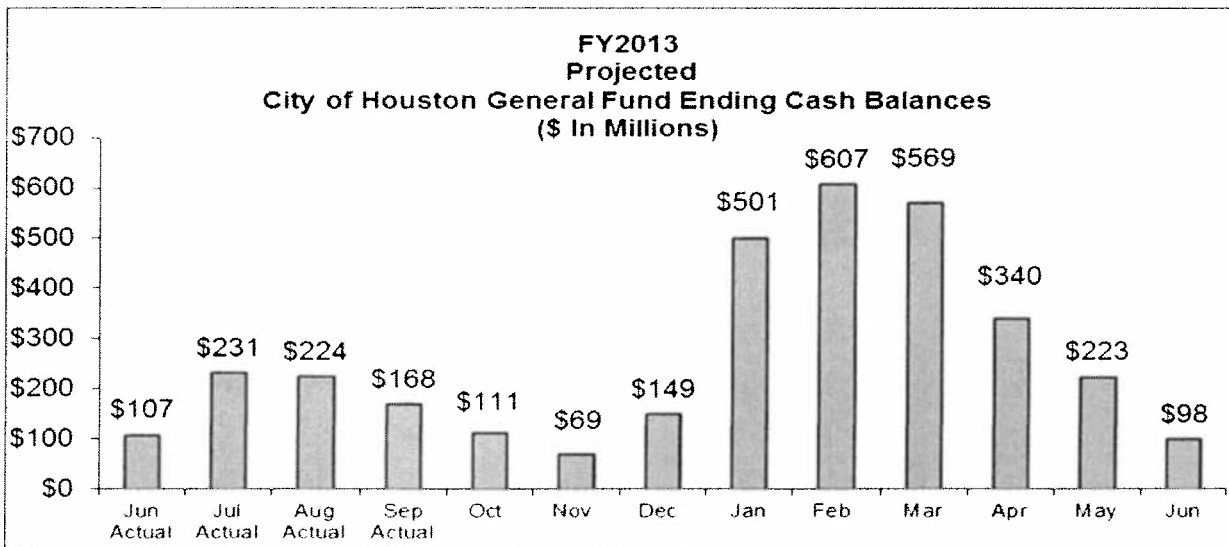
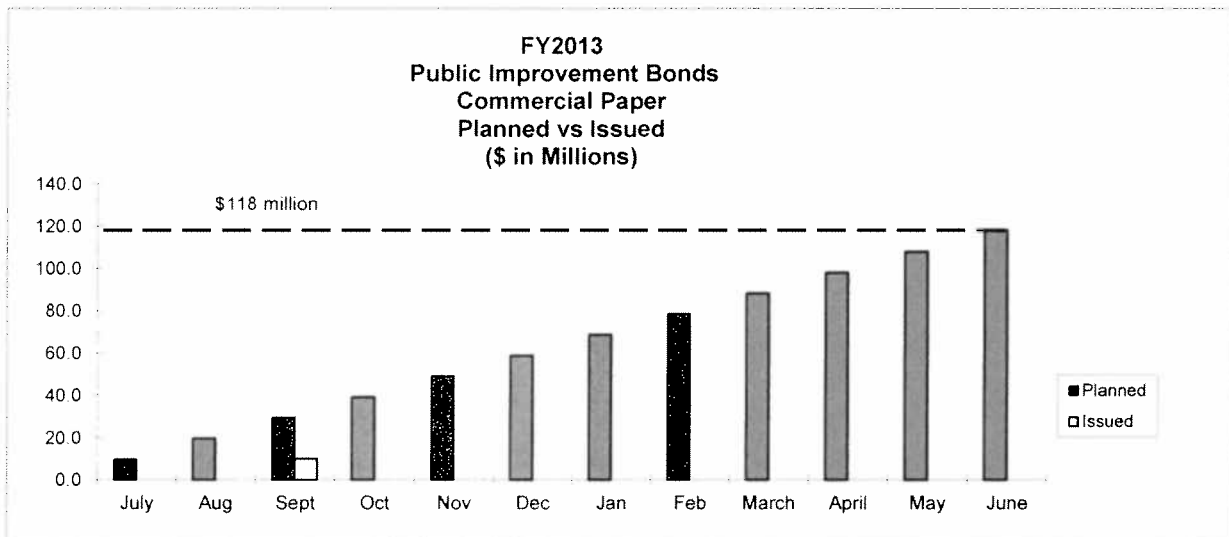
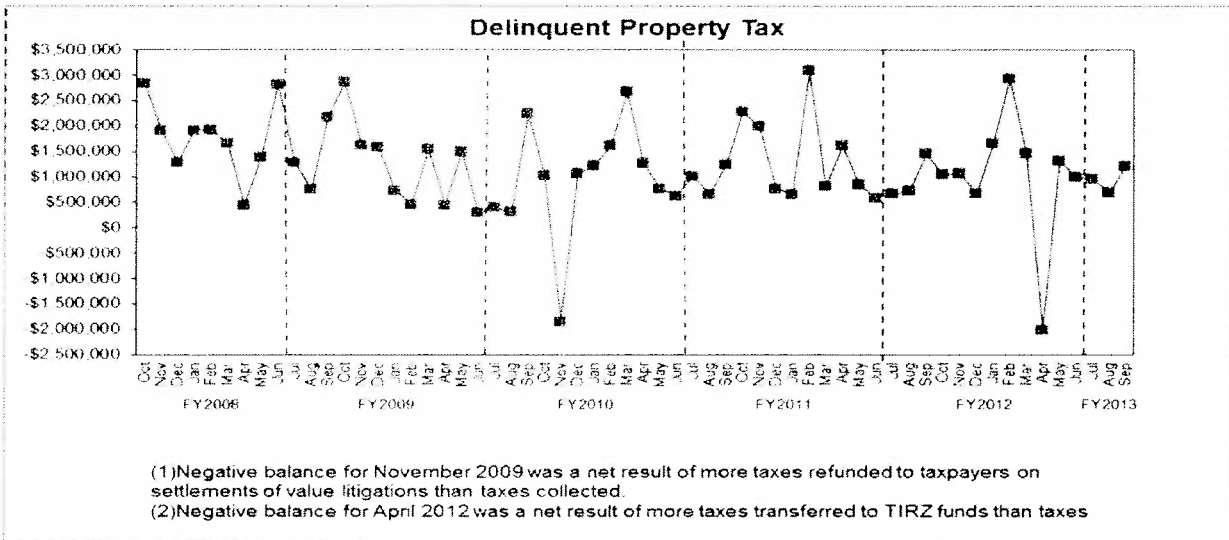


EMS Net Collections & Collection Percentage

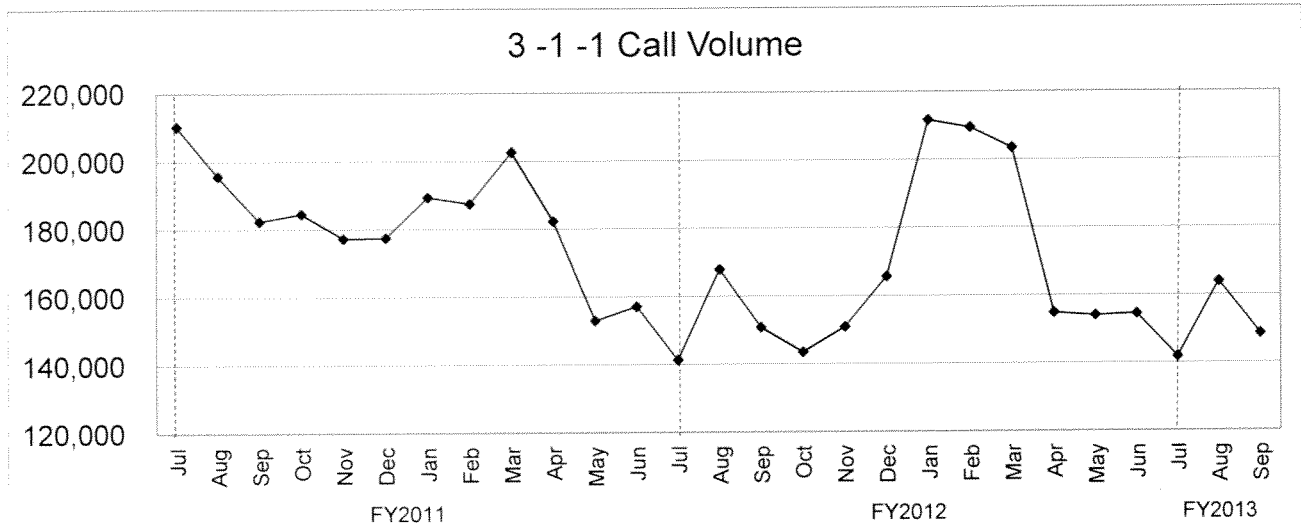


* Reprocessing of denied Traditional Medicaid claims(due to removal of mileage fee) for periods December 2010 through May 2012; claims paid in July 2012 approximately \$2.33M

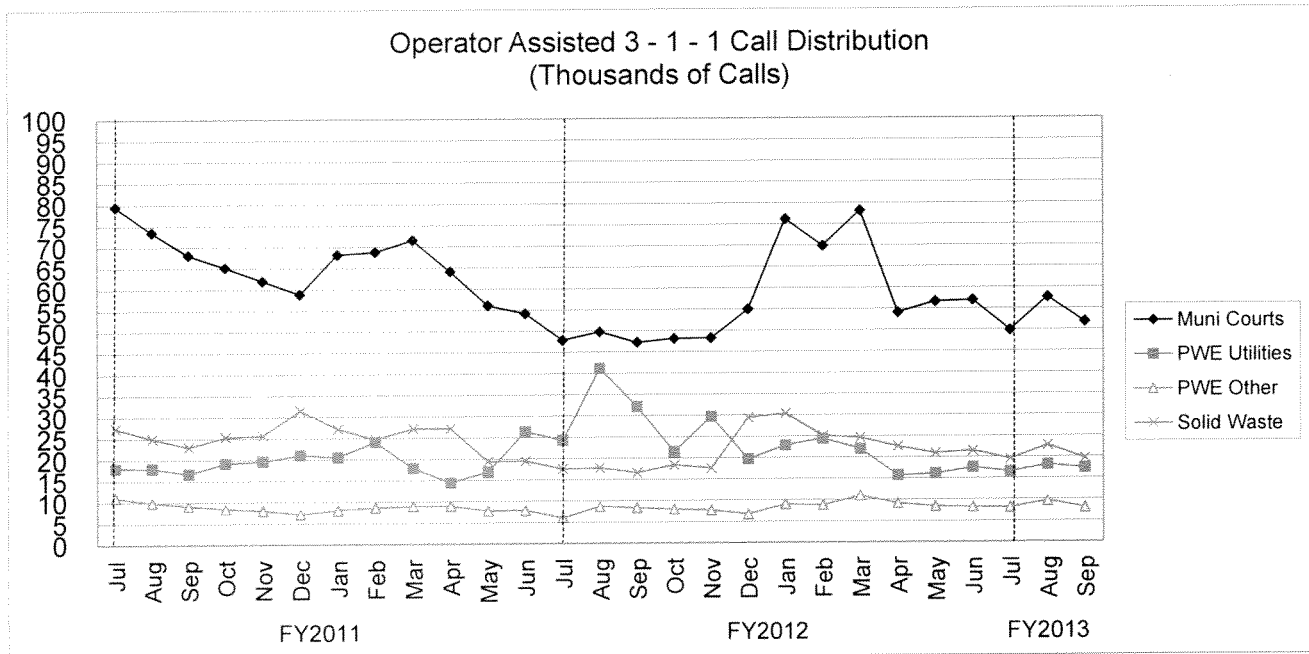
TREND INDICATORS - MISCELLANEOUS



TREND INDICATORS - MISCELLANEOUS



3-1-1 became fully operational in August 2001



4 largest users of operator assisted 3-1-1 calls.