

Monthly Financial and Operations Report
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* Monthly Financial and Operations Report total may reflect slight variances due to rounding.



OFFICE OF THE CITY CONTROLLER
CITY OF HOUSTON
TEXAS

CHRIS B. BROWN

To: Mayor Sylvester Turner
City Council Members

From: Chris B. Brown
City Controller

Date: October 25, 2019

Subject: September 2019
Financial Report

Attached is the Monthly Financial Report for the period ending September 30, 2019.

GENERAL FUND

The Controller's Office is projecting an ending fund balance of \$179 million for FY2020. This is \$22 million lower than the projection of the Finance Department. The difference is due to a \$22 million lower revenue projection from the Finance Department. Based on our current projections, the fund balance will be \$19 million above the City's target of holding 7.5% of total expenditures, excluding debt service and Pay As You Go (PAYGO), in reserve. The FY2020 beginning fund balance is \$4.6 million lower than the FY2019 ending fund balance as reported in the June 30, 2019 financial report. This is due to year-end adjustments to revenues and expenditures that will not be final until the FY2019 Comprehensive Annual Financial Report is published.

Our revenue projections are unchanged from the August report. Expenditure projections were increased by \$2.7 million from the August report. This is \$4.2 million higher than the Adopted Budget. The major increases are due to \$1.3 million in City Council for prior year unutilized Council District Service funds, \$790,000 in Solid Waste Management to reflect the recycling collection services and \$413,000 in various departments to reflect funding allocation in unspent City Council funds from prior year to support core services of the City.

ENTERPRISE FUNDS

We are projecting no changes from the Proposed Budget amounts in the Aviation Operating Fund, the Convention and Entertainment Operating Fund, the Combined Utility System Fund, the Storm Water Fund, and the Dedicated Drainage & Street Renewal Fund this month.

**Mayor Sylvester Turner
City Council Members
September Monthly Financial Report**

COMMERCIAL PAPER AND BONDS

The City's practice has been to maintain no more than 20% of the total outstanding debt for each type of debt in a variable rate structure. As of September 30, 2019, the ratio of unhedged variable rate debt for each type of outstanding debt was:

General Obligation	1.29%
Combined Utility System	0.00%
Aviation	5.03%
Convention and Entertainment	5.32%

Respectfully submitted,



Chris B. Brown
City Controller

City of Houston, Texas
Quarterly Swap Agreements Disclosure
September 30, 2019

Combined Utility System Swaps

General Terms:

Objective. The objective of the swaps is to hedge against the potential of rising interest rates associated with the Bonds and to achieve a lower fixed rate than the market rate for traditional fixed rate debt at time of issuance.

Credit risk. As of this date, the City was not exposed to credit risk because the swaps had a negative fair value. However, should interest rates change and the fair value of the swaps become positive, the City would be exposed to credit risk on the swaps in the amount of its fair value. If a counterparty's credit rating falls below rating thresholds established by the agreements, collateral must be posted in varying amounts depending on the credit rating and swap fair value. No collateral has been required to date.

Basis risk. The City will be exposed to basis risk on the swap because the variable payment received is based on a taxable index other than the tax-exempt SIFMA based rate paid by the City on the bonds. In the future, if tax-exempt rates move to convergence with the taxable LIBOR index (because of reductions in tax rates, for example), the expected cost savings may not be realized, resulting in a higher synthetic rate.

Termination risk. The City may terminate for any reason. A counterparty may terminate a swap if the City fails to perform under the terms of the contract. The City's ongoing payment obligations under the swap (and to a limited extent, its termination payment obligations) are insured, and counterparties cannot terminate so long as the insurer does not fail to perform. If a swap is terminated, the associated variable-rate bonds would no longer carry synthetic fixed interest rates. Also, if at the time of the termination the swap has a negative fair value, the City would be liable to the counterparty for a payment equal to the swap's fair value.

Remarketing risk. The City faces a risk that the remarketing agent will not be able to sell the variable rate demand bonds at a competitive rate each week.

A. Combined Utility System Synthetic Fixed Rate Swap

On September 10, 2004, the City entered into three pay-fixed, receive-variable rate swap agreements ("the 2004B Swaps") related to the Combined Utility System 2004B auction rate variable interest bonds ("the 2004B Bonds"). The City pre-qualified six firms to submit competitive bids on the swaps. The three firms selected all matched the lowest fixed rate bid of 3.78%. As of August 15, 2012, the City had converted all the 2004B bonds from auction rate to variable rate demand bonds ("the 2004B bonds") and SIFMA-Index notes ("the 2012A and 2012B Refunding Bonds"), collectively referred to herein as the "Bonds." On June 1, 2017, the City remarketed the 2012B Bonds changing the index from SIFMA-Index to 70% of One-Month US Dollar Libor plus 48.5 basis points. On June 27, 2018, due to tax reform, the City remarketed the 2012B Bonds to variable rate demand bonds.

Terms. The notional amounts of the swap agreements total \$653.3 million, the principal amount of the associated Bonds. The City's swap agreements contain scheduled reductions to outstanding notional amounts that follow anticipated payments of principal of the Bonds in varying amounts during the years 2028 to 2034.

Under the terms of the swaps, the City will pay a fixed rate of 3.78% and receive a floating rate equal to 57.6% of One-Month US Dollar LIBOR plus 37 basis points. All agreements were effective September 10, 2004 - the original date of issuance of the Bonds. The termination date is May 15, 2034.

On November 15, 2018, the City amended the floating rate received to 58.55% of the ten-year USD-CMS rate.

On November 7, 2016, UBS AG novated \$150,000,000 notional amount to Wells Fargo Bank, N.A.

Receipts and Payments. For the three-month period ending September 30, 2019, the City received \$1,865,505 in swap revenue for these swaps and paid \$2,275,537 of interest on the underlying securities. The contractual rate for the City’s swap payment is 3.78%. The average effective rate for the 2004B bonds, including interest for the Series 2004B bonds, the City’s swap payments, and its dealer and liquidity fees, reduced by swap receipts, was 4.42%. In contrast, the comparable fixed rate the City paid on its Combined Utility System Series 2004A bonds was 5.08%.

Fair value. Because interest rates have changed, the swaps had an estimated negative fair value of \$202.9 million on September 30, 2019. This value was calculated using the zero-coupon method.

Counterparty	Notional Amount	Fair Value	Counterparty Credit Rating (Moody's/S&P/Fitch)
Goldman Sachs Capital Markets Inc.	\$ 353,325,000	\$ (109,402,814)	A1 /A+ /A+
JP Morgan Chase	150,000,000	(46,445,686)	Aa2/ A+/AA
Wells Fargo	150,000,000	(47,120,135)	Aa2 /A+/AA-
	\$ 653,325,000	\$ (202,968,635)	

B. Combined Utility System Forward Rate Lock/Synthetic Fixed Rate Swap

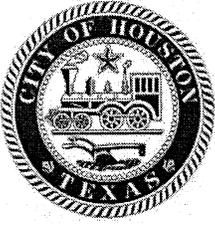
On November 1, 2005, the City priced a floating to fixed interest rate exchange agreement swap with Royal Bank of Canada (“RBC”) on a forward basis. The City pre-qualified eight firms to submit competitive bids, and RBC submitted the lowest bid of 3.761%. The addition of the SIFMA-Indexed Notes diversifies the System’s variable rate debt portfolio. This swap was previously assigned to the 2008A variable rate demand bonds and the 2010B SIFMA Indexed Notes. Later, 2012C SIFMA Indexed Notes refunded 2010 SIFMA Indexed Notes. On August 1, 2016, the City refunded 2012C SIFMA Index Notes to CUS 2016C Libor Index. On August 1, 2018, the City refunded 2016C to CUS 2018C. The new rate on the note is calculated at 70% of One-Month US Dollar LIBOR plus 36 basis points.

Terms. The notional amount of the swap is \$249.1 million with the underlying bonds being the Series 2018C Notes. The swap agreement contains scheduled reductions to the outstanding notional amount during the years 2028 to 2034.

Under terms of the swap, the City pays a fixed rate of 3.761% and receives a floating rate equal to 70% of One-Month US Dollar LIBOR. The agreement became effective March 3, 2007, with a termination date of May 15, 2034. On September 19, 2015, Royal Bank of Canada (RBC) novated \$249,075,000 notional amount to Wells Fargo. On November 15, 2018, the City amended the floating rate received to 58.55% of the ten-year USD-CMS rate.

Receipts and Payments. For the three-month period ending September 30, 2019, the City received \$711,209 in swap revenue for its 2018C swap and paid \$1,192,091 on the underlying notes. The contractual rate for the City’s swap payment is 3.761%. The average effective rate for the bonds, including the City’s swap payments and a fixed component, reduced by swap receipts was 4.21%.

Fair value. Because interest rates have changed, the swap had an estimated negative fair value of \$77.7 million on September 30, 2019. This value was calculated using the zero-coupon method.



CITY OF HOUSTON
Finance Department

Sylvester Turner

Mayor

Finance Department
P.O. Box 1562
Houston, Texas 77251-1562

T. 832-393-9051
F. 832-393-9116
www.houstontx.gov

To: Mayor Sylvester Turner
City Council Members

Date: October 27, 2019

Subject: 3+9 Financial Report

Attached is the 3+9 Financial Report for the period ending September 30, 2019. Fiscal Year 2020 projections are based on three months of actual results and nine months of projections.

General Fund

Our revenue projection remains unchanged from the Adopted Budget and the 2+10 Report.

General Fund Revenues (amounts expressed in thousands)

Category	Unaudited Preliminary FY2019	FY20 Adopted Budget	FY20 Current Projection	FY20 Variance Over/(Under)
Property Tax	\$1,190,243	\$1,215,687	\$1,215,687	-
Sales Tax	692,271	694,567	694,567	-
Franchise Fees	179,640	158,411	158,411	-
Other	391,494	349,315	349,315	-
Total	\$2,453,648	\$2,417,980	\$2,417,980	-

Our expenditure projection is \$4.2 million higher than the Adopted Budget and \$2.7 million higher than the 2+10 Report. The variance is primarily due to:

- \$1.3 million increase in City Council for prior year unutilized Council District Service Funds,
- \$790,000 increase in Solid Waste Management to reflect the recycling collection services and
- \$413,000 increase in various departments to reflect funding allocation for unspent City Council funds from prior year to support core services of the City.

General Fund Expenditures (amounts expressed in thousands)

Category	Unaudited Preliminary FY2019	FY20 Adopted Budget	FY20 Current Projection	FY20 Variance Over/(Under)
Police	\$861,927	\$899,879	\$901,464	1,585
Fire	523,617	507,076	507,255	179
Other Departments	687,022	724,936	727,326	2,390
Debt Service/PAYGO	389,627	399,203	399,203	-
Total	\$2,462,193	\$2,531,094	\$2,535,248	4,154

We are currently projecting an ending fund balance of \$201.2 million, which is \$3.4 million lower than the 2+10 Report and 9.4% of estimated expenditures less debt service and pay-as-you-go (PAYGO).

Fund Balance (amounts expressed in thousands)

Category	Unaudited Preliminary FY2019	FY20 Adopted Budget*	FY20 Current Projection	FY20 Variance Over/(Under)
Fund Balance - Beginning of Year	\$328,347	\$299,212	\$318,911	19,699
Changes to Designated Fund Balance	-	(452)	(452)	-
Budgeted Increase/(Decrease) in Fund Balance	(8,545)	(113,114)	(117,268)	(4,154)
Change in Inventory/Prepaid Items/Imprest Cash	(891)	-	-	-
Fund Balance, End of Year	\$318,911	\$185,646	\$201,191	\$15,545
% of Expenditures Less Debt Service and PAYGO	15.4%	8.7%	9.4%	0.7%

* The estimated beginning fund balance at the time of budget adoption.

A summary of all variances from the Adopted Budget that have been reported year-to-date is included in the attachment to this letter.

Enterprise, Special Revenue and Other Funds

We are projecting no forecast changes in the Enterprise Funds, Special Revenue Funds and other funds from the 2+10 Report.

Houston Economy

Energy – The average oil price of \$56.95 for the month of September 2019 was 3.9% higher than prior month’s average price of \$54.81. Comparing from the same period last year, the price has decreased by 18.9%. The average oil rig count of 726 for the month of September 2019 was 4.5% lower than prior month’s count. The rig count year-over-year comparison for the month of September decreased by 16.0%.

Employment – According to the Bureau of Labor Statistics, the preliminary total nonfarm employment in the Houston-The Woodlands-Sugar Land Metropolitan Statistical Area stood at 3,166,900 in August 2019, up approximately 2.7% year-over-year. As stated by Greater Houston Partnership in October’s Economy at a Glance publication, Houston added 81,900 jobs in 12 months ending in August 2019.

The professional, scientific and technical services, manufacturing, transportation, warehousing, utilities and health care accounted for the increase in Houston's gain.

Home Sales – The latest report prepared by the Houston Association of Realtors (HAR) for the month of September 2019, shows the total single-family home sales of 7,035 were 9.5% higher compared to September 2018 of 6,427. That marks the second largest one-month sales volume of the year. The median price rose by 4.7% to \$244,000 and the average price increased by 1.5% to \$298,947. Single-family inventory increased from 4.0 months' supply to 4.1 months year-over-year.

Sincerely,

A handwritten signature in black ink, appearing to read "Tantri Emo". The signature is fluid and cursive, with a long horizontal stroke at the end.

Tantri Emo

Director



General Fund (Fund 1000)
 (amounts expressed in thousands)

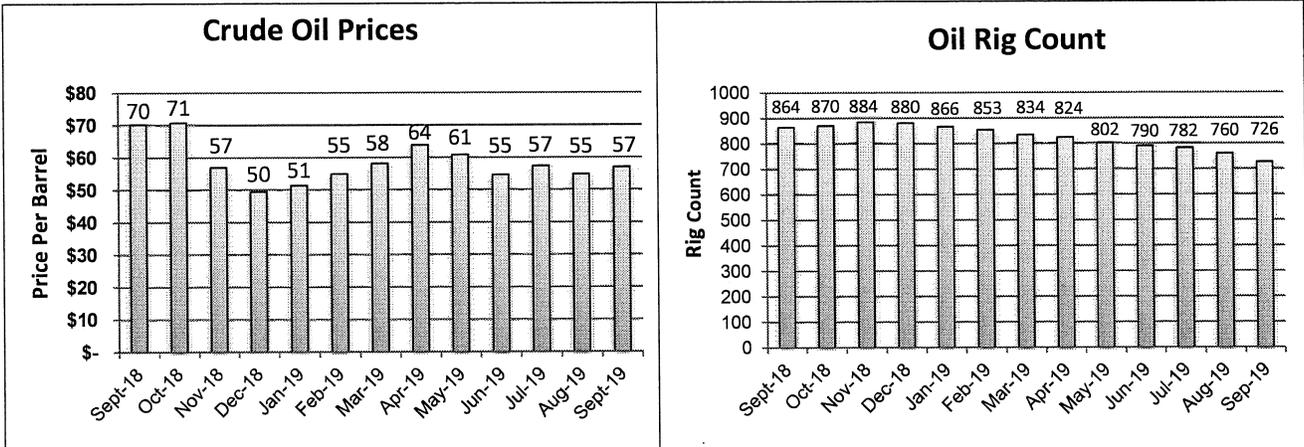
Finance - Major Variances from Adopted Budget

<u>Revenues</u>		Variance Over/(Under)*
Month Reported	Revenue Detail	
	Fund Balance	
	Additional Beginning Fund Balance	19,699
	Total Financial Resources	19,699
<u>Expenditures</u>		
Month Reported	Expenditure Detail	
July	Public Safety	
	Increase in Police overtime to enhance public safety	1,500
	Total Public Safety	1,500
September	Other Adjustments	
	Increase in City Council to reflect prior year unutilized Council District Service Fund	1,252
	Increase in Solid Waste Department to reflect recycling collection services	790
	Increase in General Government to reflect consultants for managed competition	200
	Increase in various departments to reflect funding allocation for unspent City Council funds from prior year	413
	Total Expenditures	4,155

*Total may reflect slight variances due to rounding.

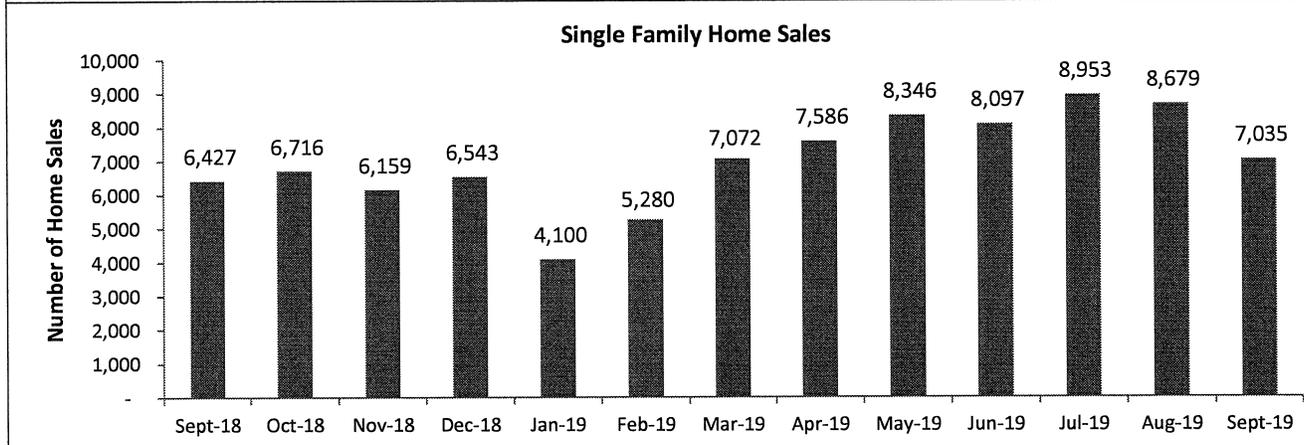


Key Economic Indicators



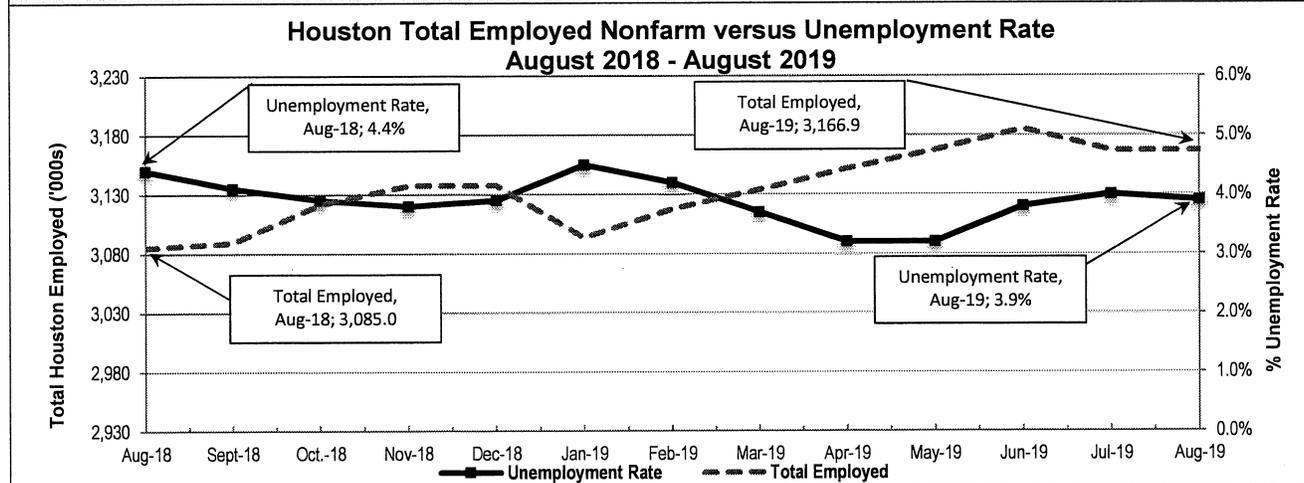
Oil prices show an increase of 3.9% in September from August. Compared to September 2018, oil prices are down by 18.9%. Rig counts show a decrease of 4.5% in September from August. Compared to September 2018, rig counts are down by 16.0%.

Source: US Energy Information Administration & Baker Hughes Rig Counts



Single-family home sales showed a decrease of 18.9% in September from August. According to HAR, single-family home sales totaled 7,035 units compared to 6,427 units a year earlier, an increase of 9.5%.

Source: HAR.COM



The Total Employed shows a slight increase from August 2018 of 3,085 to August 2019 of 3,167.

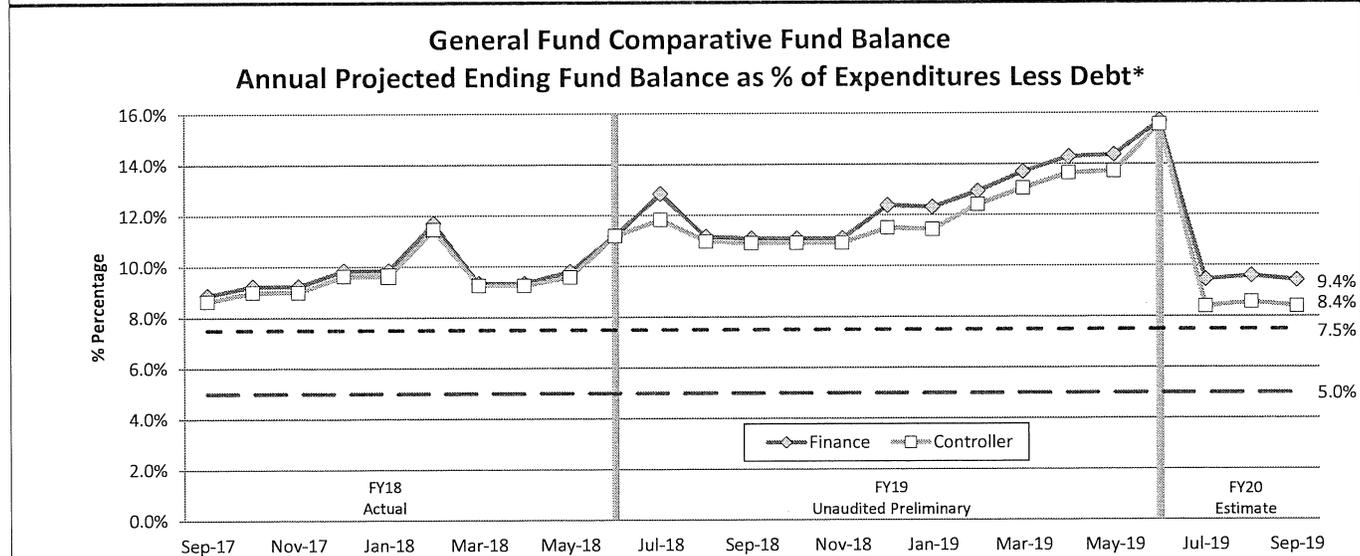
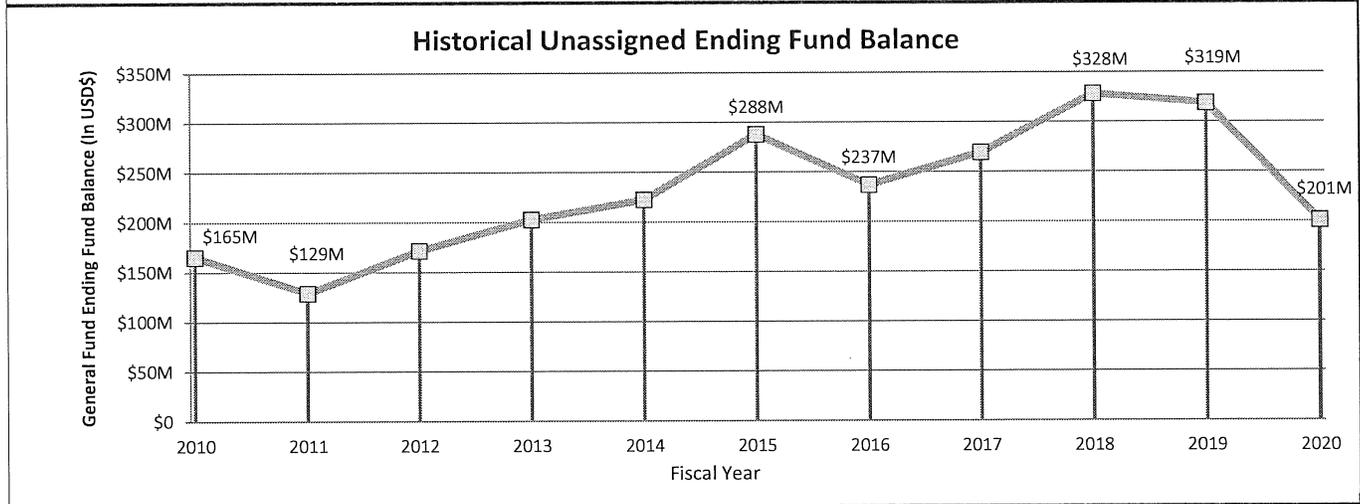
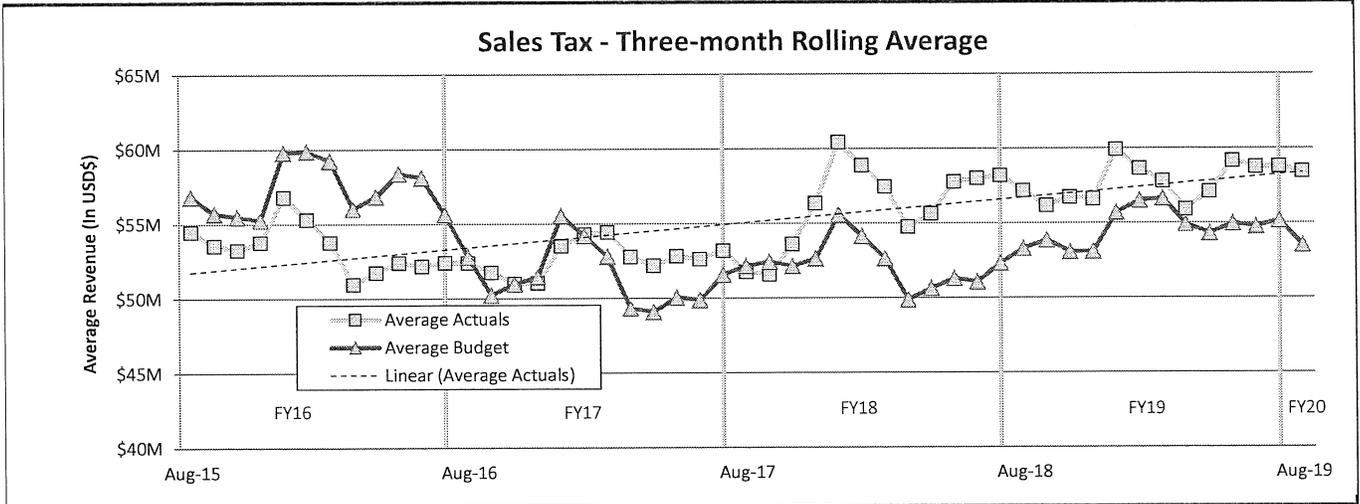
The Unemployment rate shows a decrease from August 2018 of 4.4% to August 2019 of 3.9%.

Source: Bureau of Labor Statistics



General Fund (Fund 1000)

Sales Tax Growth and Comparative Fund Balance



*According to the financial policies, Ordinance 2014-1078, the City is required to maintain an ending fund balance equal to 7.5% of expenditures before debt service and pay-as-you-go (PAYGO).



General Fund (Fund 1000)

For the period ended September 30, 2019
(amounts expressed in thousands)

Actual YTD
Current Budget

	FY2020						Controller - Finance Variance	Controller - Finance	Controller
	Unaudited Preliminary FY2019	Adopted Budget	Current Budget	Controller's Projection	Finance Projection	Actual YTD			
Revenues									
General Property Taxes	1,190,243	1,215,687	1,215,687	1,215,745	1,215,687	5,861	58		✓
Industrial Assessments	19,755	19,550	19,550	19,000	19,550	-	(550)		✓
Sales Tax	692,271	694,567	694,567	680,100	694,567	170,514	(14,467)		✓
Other Taxes	18,248	19,024	19,024	18,750	19,024	-	(274)		✓
Electric Franchise	100,590	100,774	100,774	100,450	100,774	24,931	(324)		✓
Telephone Franchise	37,501	25,220	25,220	23,050	25,220	6,306	(2,170)		✓
Gas Franchise	12,324	12,386	12,386	12,000	12,386	3,096	(386)		✓
Other Franchise	29,225	20,031	20,031	17,665	20,031	5,026	(2,366)		✓
Licenses and Permits	35,301	33,969	33,969	33,969	33,969	7,544	-		✓
Intergovernmental	60,205	61,051	61,051	61,051	61,051	9,236	-		✓
Charges for Services	62,031	58,352	58,352	58,059	58,352	16,633	(293)		✓
Direct Interfund Services	62,214	63,249	63,249	63,249	63,249	14,342	-		✓
Indirect Interfund Services	26,603	27,691	27,691	27,691	27,691	6,661	-		✓
Municipal Courts Fines and Forfeits	21,702	22,572	22,572	22,100	22,572	4,443	(472)		✓
Other Fines and Forfeits	3,933	3,965	3,965	3,500	3,965	513	(465)		✓
Interest	11,802	9,011	9,011	9,011	9,011	1,677	-		✓
Miscellaneous/Other	23,639	12,998	12,998	12,750	12,998	1,858	(248)		✓
Total Revenues	2,407,587	2,400,097	2,400,097	2,378,140	2,400,097	278,641	(21,957)		✓
Expenditures									
Administration & Regulatory Affairs	28,378	29,671	29,671	29,671	29,671	4,380	-		✓
City Council	9,904	10,694	10,694	11,946	11,946	1,898	-		✓
City Secretary	824	957	957	957	957	231	-		✓
Controller	7,862	8,467	8,467	8,467	8,467	1,764	-		✓
Finance	16,524	18,881	18,881	18,888	18,888	3,829	-		✓
Fire	523,617	507,076	507,076	507,255	507,255	120,154	-		✓
General Services	41,976	45,197	45,197	45,197	45,197	7,948	-		✓
Housing and Community Development	612	516	516	516	516	91	-		✓
Houston Emergency Center	9,762	9,617	9,617	9,617	9,617	2,404	-		✓
Houston Health Department	58,526	58,540	58,540	58,540	58,540	19,249	-		✓
Houston Public Works	30,914	32,083	32,083	32,083	32,083	4,942	-		✓
Human Resources	2,590	2,566	2,566	2,566	2,566	555	-		✓
Information Technology	16,084	6,779	6,779	6,779	6,779	1,396	-		✓
Legal	14,493	15,455	15,455	15,455	15,455	3,948	-		✓
Library	40,545	42,048	42,048	42,048	42,048	9,047	-		✓
Mayor's Office	7,341	7,434	7,434	7,434	7,434	1,930	-		✓
Municipal Courts	28,464	29,832	29,832	29,832	29,832	6,650	-		✓
Neighborhoods	10,909	11,209	11,209	11,252	11,252	2,317	-		✓
Office of Business Opportunity	2,942	3,562	3,562	3,562	3,562	867	-		✓
Parks and Recreation	74,956	78,557	78,557	78,615	78,615	17,175	-		✓
Planning and Development	3,366	4,337	4,337	4,337	4,337	1,011	-		✓
Police	861,927	899,879	899,879	901,464	901,464	216,499	-		✓
Solid Waste Management	87,714	84,957	84,957	85,787	85,787	20,387	-		✓
Total Departmental Expenditures	1,880,230	1,908,312	1,908,312	1,912,266	1,912,266	448,672	-		✓
General Government	192,336	223,579	223,579	223,779	223,779	44,890	-		✓
Total Expenditures Other Than Debt	2,072,566	2,131,891	2,131,891	2,136,045	2,136,045	493,562	-		✓
Other Adjustments*	(3,384)	(5,200)	(5,200)	(5,200)	(5,200)	-	-		✓
Captured Revenue Transfer to DDSRF	47,422	47,103	47,103	47,103	47,103	-	-		✓
Debt Service Transfer	345,589	357,300	357,300	357,300	357,300	-	-		✓
Total Expenditures and Other Uses	2,462,193	2,531,094	2,531,094	2,535,248	2,535,248	493,562	-		✓
Net Current Activity	(54,606)	(130,997)	(130,997)	(157,108)	(135,151)	(214,921)	(21,957)		✓
Other Financing Sources (Uses)									
Transfers from Other Funds	20,039	15,707	15,707	15,707	15,707	3,647	-		✓
Pension Bond Proceeds	-	-	-	-	-	-	-		✓
Sale of Capital Assets	26,022	2,176	2,176	2,176	2,176	994	-		✓
Total Other Financing Sources (Uses)	46,061	17,883	17,883	17,883	17,883	4,642	-		✓
Fund Balances									
Fund Balance - Beginning of Year	328,347	318,911	318,911	318,911	318,911	318,911	-		✓
Changes to Designated Fund Balance**	-	(452)	(452)	(452)	(452)	-	-		✓
Budgeted Increase/(Decrease) in Fund Balance	(8,545)	(113,113)	(113,113)	(113,113)	(113,113)	(210,279)	-		✓
Change in Inventory/Prepaid Items/Imprest Cash	(891)	-	-	-	-	-	-		✓
(Budgeted Gap)/Increase in Fund Balance***	-	-	-	(26,111)	(4,154)	-	(21,957)		✓
Fund Balance, End of Year****	318,911	205,346	205,346	179,234	201,191	108,632	(21,957)		✓

*Adjustments includes debt prepayment from Building Inspection Fund.

**The total designation for the Budget Stabilization Fund is approximately \$7.3 million. \$20 million was transferred to the Disaster Recovery Fund in FY2018. \$14 million remains in the Disaster Recovery Fund.

***A negative number in the Controller or Finance projections represents a gap between sources and uses of funds that was not included in the adopted budget. If this gap was not addressed by decreasing uses or increasing the sources of funds, it would require a drawdown of fund balance in order to balance the budget. A positive number represents a projected increase in fund balance.

****According to the financial policies, Ordinance 2014-1078, the City is required to maintain an ending fund balance equal to 7.5% of expenditures less Debt Service and Pay-As-You-Go (PAYGO) which is \$160,203 based on current projections. The City will be \$19,031 above 7.5% based on the Controller's Projections for FY2020.

† Indicates projection exceeds 5% or \$5M of budget expenditures or projected revenues are 5% or \$5M less than current budget.

Total may reflect slight variances due to rounding.

Harvey - CDBG Disaster Recovery
For the period ended September 30, 2019
(in thousands)

	Unaudited Inception through FY2019	FY2020		Inception To Date Actual	Projection ¹	
		MTD Actual	YTD Actual		Current Fiscal Year	Total Projection
Cash Inflows						
Homeowner Assistance Program (HoAP)	\$ -	\$ -	\$ -	\$ -	\$ 56,562	\$ 392,729
Single Family Development Program	-	-	-	-	6,440	204,000
Multifamily Rental Program	-	-	-	-	52,974	321,279
Small Rental Program	-	-	-	-	1,252	61,205
Homebuyer Assistance Program	-	-	-	-	7,645	21,741
Buyout Program	-	-	-	-	766	40,800
Public Services	-	-	-	-	608	60,000
Economic Revitalization Program	-	-	-	-	300	30,265
Housing Administration ³	-	-	-	-	4,668	20,835
Planning ³	-	-	-	-	294	23,100
Other Cash Inflows						
Program Income	-	-	-	-	-	-
Transfers from Other Funds	-	-	-	-	-	-
Total Inflows⁴	\$ -	\$ -	\$ -	\$ -	\$ 131,509	\$ 1,175,954
Cash Outflows²						
Homeowner Assistance Program	\$ 3,339	\$ 348	\$ 565	\$ 3,904	\$ 78,975	\$ 392,729
Single Family Development Program	53	19	60	113	11,891	204,000
Multifamily Rental Program	77	65	144	221	79,757	321,279
Small Rental Program	54	5	13	68	3,173	61,205
Homebuyer Assistance Program	1	255	1,024	1,024	9,417	21,741
Buyout Program	1	2	4	5	718	40,800
Public Services	2	0	2	4	957	60,000
Economic Revitalization Program	18	1	3	21	319	30,265
Housing Administration ³	3,161	710	1,934	5,096	5,096	20,835
Planning ³	1,093	12	14	1,106	600	23,100
Other Cash Outflows						
Transfers to Other Funds	-	-	-	-	-	-
Total Outflows	\$ 7,799	\$ 1,417	\$ 3,762	\$ 11,562	\$ 190,903	\$ 1,175,954
Net Current Flows⁵	\$ (7,799)	\$ (1,417)	\$ (3,762)	\$ (11,562)		

Notes

- 1 This analysis tracks inflows and outflows based on entries in SAP, reconciliations between funds are not presented here.
- 2 Total projections are based on overall CDBG DR-Harvey program budget and duration of contract with GLO.
- 3 Planning and Housing Administration lines reflect overall project management costs. Individual program-specific costs also include project delivery costs that are specific to each program.
- 4 There are additional funds of \$100M that are pending approval by GLO. This would bring the total program budget to \$1.276B.
- 5 Negative Net Current Flow is due to expenditures pending reimbursement from Funder.

Harvey - Disaster Recovery Funds (5303, 5304, 8044, 8386) ^(1,2)
 For the period ended September 30, 2019
 (in thousands)

	Unaudited Inception through FY2019	MTD Actual	YTD Actual	Inception to- date Actual	Projection		City Share	Total Projection
					Current Fiscal Year	Inception To-date		
Cash Inflows ⁽⁶⁾								
FEMA Cash Advance Category A	\$ 63,892	\$ -	\$ -	\$ 63,892	\$ -	\$ 63,892		
FEMA Cash Advance Category B ^(4,9)	-	-	-	-	-	-		
FEMA Reimbursements ⁽¹³⁾	132,244	5	48,903	181,147	48,903	364,095		
State Assistance	50,000	-	-	50,000	-	50,000		
Transfer from Budget Stabilization Fund ⁽⁵⁾	20,000	-	-	20,000	-	20,000		
Transfer from Other Funds ⁽¹²⁾	5,000	-	-	5,000	-	5,000		
Insurance Advance ⁽⁸⁾	102,673	-	-	102,673	-	102,673		
Total Inflows	\$ 373,809	\$ 5	\$ 48,903	\$ 422,712	\$ 48,903	\$ 605,660		
Cash Outflows ⁽⁶⁾								
					FEMA Share			
Debris Removal (Category A) ^(9,7)	79,510	2	3,051	82,561	233,513	233,513	25,946	259,459
Emergency Protective Measures (Category B)* ^(3,10)	73,613	-	37,846	111,459	190,000	190,000	TBD	190,000
Roads and Bridges (Category C) * ⁽³⁾	-	-	-	-	TBD	TBD	100	1,000
Water Control Facilities (Category D)* ⁽³⁾	-	-	-	-	TBD	TBD	1,000	10,000
Buildings and Equipment (Category E)* ⁽³⁾	565	227	307	872	11,815	11,815	62,500	625,000
Utilities (Category F)* ⁽³⁾	-	-	-	-	TBD	TBD	115,626	1,156,257
Parks Recreational Areas, and Other Facilities (Category G)* ⁽³⁾	-	-	85	85	TBD	TBD	4,000	40,000
Direct/Indirect Administrative Cost	20,309	1,868	1,931	22,240	TBD	TBD	TBD	TBD
Insurance Premium	24,674	-	-	24,674	TBD	TBD	TBD	24,674
Transfer to Other Funds	-	-	-	-	NA	NA	NA	TBD
Transfer to Budget Stabilization Fund ⁽⁵⁾	5,000	-	1,000	6,000	NA	NA	NA	TBD
Insurance Proceeds Allocations ⁽¹¹⁾	32,279	-	-	32,279	NA	NA	NA	102,628
Total Outflows	\$ 235,950	\$ 2,096	\$ 44,219	\$ 280,169	\$ 435,328	\$ 435,328	\$ 209,172	\$ 2,409,018
Net Current Flows	\$ 137,859	\$ (2,091)	\$ 4,684	\$ 142,543				

*These figures represent currently estimated disaster related expenditures. Damage assessments are ongoing and these estimates are highly fluid.

Notes:

- DR 4332 - Disaster Incident Period: August 23, 2017 through September 15, 2017.
- FEMA Disaster Declaration made August 25, 2017.
- Disaster cost shares: All categories A-G are Federal 90% COH 10%. Category B is Federal 100% for the first 30 days (08/23/17 to 09/22/17).
- Emergency Purchase Orders (EPOs) and Congregate Sheltering costs are included in Cash Advance Category B projections.
- In FY2018, \$20M was transferred to the Disaster Recovery Fund for Hurricane Harvey. Per the financial policies, the Budget Stabilization Fund must be replenished by the end of FY2020. A total of \$6M was replenished to Budget Stabilization Fund.
- This analysis tracks inflows, outflows based on entries into SAP by the spending departments, however, it is not tracked by FEMA Categories.
- Category A Debris Removal cash flows can be updated quarterly, whereas updates for the other categories will not be available until the projects progress further.
- Received the maximum payout of \$100M for City's flooding policies, wind driven maximum payout of \$2.5M, and other damages maximum payout of \$128K.
- Projections include reimbursements for City properties managed by Local Government Corporations (LGC) such as Houston First.
- Includes \$65K of the Houston Airport System's Harvey expenses, which may not be reimbursable by FEMA.
- Insurance proceeds have been allocated pursuant to the lease agreement amendment approved by City Council on 05/22/2018.
Pursuant to Promissory Note \$12.5M loan was disbursed to HFC.
- Amount transferred in February 2018 from Airport operating fund for to the Airport Disaster Fund.
- Includes reimbursements of HPW project worksheet for Emergency Protective Measures (CAT-B).

Harvey - General Government Disaster Recovery Fund 5303 ^(1,2)
 For the period ended September 30, 2019
 (in thousands)

	Unaudited Inception through FY2019	MTD Actual	YTD Actual	Inception to-date Actual	Projection		City Share	Total Projection
					Current Fiscal Year	Inception To-date		
Cash Inflows ⁽⁶⁾								
FEMA Cash Advance Category A ^(4,9)	\$ 63,892	\$ -	\$ -	\$ 63,892	\$ -	\$ 63,892		
FEMA Cash Advance Category B	-	-	-	-	-	-		
FEMA Reimbursements	127,151	5	48,903	176,054	48,903	364,095		
State Assistance	50,000	-	-	50,000	-	50,000		
Transfer from Budget Stabilization Fund ⁽⁵⁾	20,000	-	-	20,000	-	20,000		
Transfer from Other Funds	-	-	-	-	-	-		
Insurance Advance ⁽⁸⁾	102,673	-	-	102,673	-	102,673		
Total Inflows	\$ 363,716	\$ 5	\$ 48,903	\$ 412,619	\$ 48,903	\$ 600,660		
Cash Outflows ⁽⁶⁾					FEMA Share			
Debris Removal (Category A) ^(9,7)	79,507	2	3,051	82,558	233,513	233,513	25,946	259,459
Emergency Protective Measures (Category B)* ⁽³⁾	64,780	-	37,846	102,626	190,000	190,000	TBD	190,000
Roads and Bridges (Category C) * ⁽³⁾	-	-	-	-	TBD	TBD	100	1,000
Water Control Facilities (Category D)* ⁽³⁾	-	-	-	-	-	-	-	-
Buildings and Equipment (Category E)* ⁽³⁾	-	-	-	-	11,815	11,815	62,087	620,871
Utilities (Category F)* ⁽³⁾	-	-	-	-	-	-	-	-
Parks Recreational Areas, and Other Facilities (Category G)* ⁽³⁾	-	-	85	85	TBD	TBD	4,000	40,000
Direct/Indirect Administrative Cost	14,436	1,868	1,931	16,367	TBD	TBD	TBD	TBD
Insurance Premium	24,674	-	-	24,674	TBD	TBD	TBD	24,674
Transfer to Other Funds	-	-	-	-	NA	NA	NA	TBD
Transfer to Budget Stabilization Fund ⁽⁵⁾	5,000	-	1,000	6,000	NA	NA	NA	TBD
Insurance Proceeds Allocations ⁽¹⁰⁾	32,279	-	-	32,279	NA	NA	NA	102,628
Total Outflows	\$ 220,676	\$ 1,870	\$ 43,913	\$ 264,589	\$ 435,328	\$ 435,328	\$ 92,133	\$ 1,238,632
Net Current Flows	\$ 143,040	\$ (1,865)	\$ 4,990	\$ 148,030				

**These figures represent currently estimated disaster related expenditures. Damage assessments are ongoing and these estimates are highly fluid.*

Notes:

- DR 4332 - Disaster Incident Period: August 23, 2017 through September 15, 2017.
- FEMA Disaster Declaration made August 25, 2017.
- Disaster cost shares: All categories A-G are Federal 90% COH 10%. Category B is Federal 100% for the first 30 days (08/23/17 to 09/22/17).
- Emergency Purchase Orders (EPOs) and Congregate Sheltering costs are included in Cash Advance Category B projections.
- In FY2018, \$20M was transferred to the Disaster Recovery Fund for Hurricane Harvey. Per the financial policies, the Budget Stabilization Fund must be replenished by the end of FY2020. A total of \$6M was replenished to Budget Stabilization Fund.
- This analysis tracks inflows, outflows based on entries into SAP by the spending departments, however, it is not tracked by FEMA Categories.
- Category A Debris Removal cash flows can be updated quarterly, whereas updates for the other categories will not be available until the projects progress further.
- Received the maximum payout of \$100M for City's flooding policies, wind driven maximum payout of \$2.5M, and other damages maximum payout of \$128K.
- Projections include reimbursements for City properties managed by Local Government Corporations (LGC) such as Houston First (HFC).
- Insurance proceeds have been allocated pursuant to the lease agreement amendment approved by City Council on 05/22/2018. Pursuant to Promissory Note \$12.5M loan was disbursed to HFC.

Harvey - Storm Water Disaster Recovery Fund 5304 ^(1,2)
 For the period ended September 30, 2019
 (in thousands)

	Unaudited Inception through FY2019	MTD Actual	YTD Actual	Inception to- date Actual	Projection			
					Current Fiscal Year	Inception To-date	City Share	Total Projection
Cash Inflows ⁽⁴⁾								
FEMA Cash Advance Category A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	-
FEMA Cash Advance Category B	-	-	-	-	-	-	-	-
FEMA Reimbursements	-	-	-	-	-	-	-	-
Insurance Reimbursements	-	-	-	-	-	-	-	-
Transfer from Budget Stabilization Fund	-	-	-	-	-	-	-	-
Transfer from Other Funds	-	-	-	-	-	-	-	-
Insurance Advance	-	-	-	-	TBD	TBD	-	-
Total Inflows	\$ -	\$ -	\$ -	\$ -	TBD	TBD		
Cash Outflows ⁽⁴⁾								
					FEMA Share			
Debris Removal (Category A) ^(3,5)	-	-	-	-	-	-	-	-
Emergency Protective Measures (Category B)* ⁽³⁾	-	-	-	-	TBD	TBD	TBD	TBD
Roads and Bridges (Category C)* ⁽³⁾	-	-	-	-	-	-	-	-
Water Control Facilities (Category D)* ⁽³⁾	-	-	-	-	-	-	-	-
Buildings and Equipment (Category E)* ⁽³⁾	-	-	-	-	-	-	-	-
Utilities (Category F)* ⁽³⁾	-	-	-	-	-	-	-	-
Parks Recreational Areas, and Other Facilities (Category G)* ⁽³⁾	-	-	-	-	-	-	-	-
Direct/Indirect Administrative Cost	-	-	-	-	-	-	-	-
Insurance Premium	-	-	-	-	-	-	-	-
Total Outflows	\$ -	\$ -	\$ -	\$ -	TBD	TBD	TBD	TBD
Net Current Flows	\$ -	\$ -	\$ -	\$ -				

**These figures represent currently estimated disaster related expenditures. Damage assessments are ongoing and these estimates are highly fluid.*

Notes:

1. DR 4332 - Disaster Incident Period: August 23, 2017 through September 15, 2017.
2. FEMA Disaster Declaration made August 25, 2017.
3. Disaster cost shares: All categories A-G are Federal 90% COH 10%. Category B is Federal 100% for the first 30 days (08/23/17 to 09/22/17).
4. This analysis tracks inflows, outflows based on entries into SAP by the spending departments, however, it is not tracked by FEMA Categories.
5. Category A Debris Removal cash flows can be updated quarterly, whereas updates for the other categories will not be available until the projects progress further.

Harvey - Aviation Disaster Recovery O&M Fund 8044 ^(1,2)
 For the period ended September 30, 2019
 (in thousands)

	Unaudited Inception through FY2019	MTD Actual	YTD Actual	Inception to- date Actual	Projection		City Share	Total Projection
					Current Fiscal Year	Inception To-date		
Cash Inflows ⁽⁴⁾								
FEMA Cash Advance Category A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
FEMA Cash Advance Category B	-	-	-	-	-	-		
FEMA Reimbursements	-	-	-	-	-	-		
Insurance Reimbursements	-	-	-	-	-	-		
Transfer from Budget Stabilization Fund	-	-	-	-	-	-		
Transfer from Other Funds ⁽⁷⁾	5,000	-	-	5,000	-	5,000		
Insurance Advance	-	-	-	-	TBD	TBD		
Total Inflows	\$ 5,000	\$ -	\$ -	\$ 5,000	\$ -	\$ 5,000		
Cash Outflows ⁽⁴⁾					FEMA Share			
Debris Removal (Category A) ^(3,5)	3	-	-	3	TBD	TBD	TBD	TBD
Emergency Protective Measures (Category B)* ^(3,6)	83	-	-	83	TBD	TBD	TBD	TBD
Roads and Bridges (Category C) * ⁽³⁾	-	-	-	-	-	-	-	-
Water Control Facilities (Category D)* ⁽³⁾	-	-	-	-	-	-	-	-
Buildings and Equipment (Category E)* ⁽³⁾	277	-	-	277	TBD	TBD	413	4,129
Utilities (Category F)* ⁽³⁾	-	-	-	-	-	-	-	-
Parks Recreational Areas, and Other Facilities (Category G)* ⁽³⁾	-	-	-	-	-	-	-	-
Direct/Indirect Administrative Cost	-	-	-	-	-	-	-	-
Insurance Premium	-	-	-	-	-	-	-	-
Total Outflows	\$ 363	\$ -	\$ -	\$ 363	TBD	TBD	\$ 413	\$ 4,129
Net Current Flows	\$ 4,637	\$ -	\$ -	\$ 4,637	TBD	TBD		

**These figures represent currently estimated disaster related expenditures. Damage assessments are ongoing and these estimates are highly fluid.*

Notes:

1. DR 4332 - Disaster Incident Period: August 23, 2017 through September 15, 2017.
2. FEMA Disaster Declaration made August 25, 2017.
3. Disaster cost shares: All categories A-G are Federal 90% COH 10%. Category B is Federal 100% for the first 30 days (08/23/17 to 09/22/17).
4. This analysis tracks inflows, outflows based on entries into SAP by the spending departments, however, it is not tracked by FEMA Categories.
5. Category A Debris Removal cash flows can be updated quarterly, whereas updates for the other categories will not be available until the projects progress further.
6. Includes \$65K of the Houston Airport System's Harvey expenses, which may not be reimbursable by FEMA.
7. Amount transferred in February 2018 from Airport operating fund for to the Airport Disaster Fund.

Harvey - Combined Utility System Disaster Recovery Fund 8386 ^(1,2)
 For the period ended September 30, 2019
 (in thousands)

	Unaudited Inception through FY2019	MTD Actual	YTD Actual	Inception to-date Actual	Projection		City Share	Total Projection
					Current Fiscal Year	Inception To-date		
Cash Inflows ⁽⁴⁾								
FEMA Cash Advance Category A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
FEMA Cash Advance Category B								
FEMA Reimbursements	5,093			5,093				
Insurance Reimbursements								
Transfer from Budget Stabilization Fund								
Transfer from Other Funds								
Insurance Advance					TBD	TBD		
Total Inflows	\$ 5,093	\$ -	\$ -	\$ 5,093	TBD	TBD		
Cash Outflows ⁽⁴⁾								
					FEMA Share			
Debris Removal (Category A) ^(3,5)	-	-	-	-	-	-	-	-
Emergency Protective Measures (Category B) ⁽³⁾	8,750			8,750	TBD	TBD	TBD	TBD
Roads and Bridges (Category C) ⁽³⁾					-	-	-	-
Water Control Facilities (Category D) ⁽³⁾					TBD	TBD	1,000	10,000
Buildings and Equipment (Category E) ⁽³⁾	288	227	307	595	-	-	-	-
Utilities (Category F) ⁽³⁾					TBD	TBD	115,626	1,156,257
Parks Recreational Areas, and Other Facilities (Category G) ⁽³⁾					-	-	-	-
Direct/Indirect Administrative Cost	5,873			5,873	TBD	TBD	TBD	TBD
Insurance Premium					-	-	-	-
Total Outflows	\$ 14,911	\$ 227	\$ 307	\$ 15,218	TBD	TBD	\$ 116,626	\$ 1,166,257
Net Current Flows	\$ (9,818)	\$ (227)	\$ (307)	\$ (10,125)				
<i>*These figures represent currently estimated disaster related expenditures. Damage assessments are ongoing and these estimates are highly fluid.</i>								

Notes:

1. DR 4332 - Disaster Incident Period: August 23, 2017 through September 15, 2017.
2. FEMA Disaster Declaration made August 25, 2017.
3. Disaster cost shares: All categories A-G are Federal 90% COH 10%. Category B is Federal 100% for the first 30 days (08/23/17 to 09/22/17).
4. This analysis tracks inflows, outflows based on entries into SAP by the spending departments, however, it is not tracked by FEMA Categories.
5. Category A Debris Removal cash flows can be updated quarterly, whereas updates for the other categories will not be available until the projects progress further.

City of Houston
Quarterly Financial Report
Flood Disaster Event(s)
September 30, 2019
(in thousands)

	TOTALS FOR PROJECT - ALL FUNDS					
	Disaster Summary Outline (DSO) Projected Damage		1st Qtr. Only		Unaudited Inception through FY 2019	Inception of Project to September 30, 2019
	DR 4223	DR 4269	DR 4223	DR 4269		
REVENUES - Governmental						
Recoveries and Refund						
FEMA/Insurance Reimbursements			\$ -	\$ 1,917	\$ 8,503	\$ 10,420
Unreimbursed FEMA Obligations			\$ -	\$ -	\$ -	\$ -
Insurance Advance			\$ -	\$ -	\$ -	\$ -
0.5% Indirect Mgmt. Fee			\$ -	\$ -	\$ -	\$ -
Other			\$ -	\$ -	\$ 86	\$ 86
Interest Earned			\$ -	\$ 966	\$ 2,188	\$ 3,154
Subtotal Revenues - Governmental			\$ -	\$ 2,883	\$ 10,777	\$ 13,660
REVENUES - Stormwater						
Recoveries and Refund						
FEMA/Insurance Reimbursements			\$ -	\$ -	\$ -	\$ -
Unreimbursed FEMA Obligations			\$ -	\$ -	\$ -	\$ -
Insurance Advance			\$ -	\$ -	\$ -	\$ -
0.5% Indirect Mgmt. Fee			\$ -	\$ -	\$ -	\$ -
Other			\$ -	\$ -	\$ -	\$ -
Interest Earned			\$ -	\$ -	\$ 0	\$ 0
Subtotal Revenues - Stormwater			\$ -	\$ -	\$ 0	\$ 0
REVENUES - CUS						
Recoveries and Refund						
FEMA/Insurance Reimbursements			\$ -	\$ -	\$ 3,571	\$ 3,571
Unreimbursed FEMA Obligations			\$ -	\$ -	\$ -	\$ -
Insurance Advance			\$ -	\$ -	\$ -	\$ -
0.5% Indirect Mgmt. Fee			\$ -	\$ -	\$ -	\$ -
Interest Earned			\$ -	\$ -	\$ -	\$ -
Subtotal Revenues - CUS			\$ -	\$ -	\$ 3,571	\$ 3,571
Total Revenues			\$ -	\$ 2,883	\$ 14,348	\$ 17,231
EXPENDITURES - Governmental						
Personnel	\$ 4,200	\$ 2,520	\$ -	\$ -	\$ 2,083	\$ 2,083
Materials & Supplies	\$ -	\$ -	\$ -	\$ -	\$ 14	\$ 14
Contracts	\$ 9,000	\$ 375	\$ -	\$ -	\$ 8,960	\$ 8,960
Equipment	\$ 3,100	\$ 162	\$ -	\$ -	\$ (119)	\$ (119)
Other	\$ -	\$ -	\$ -	\$ -	\$ 17	\$ 17
Subtotal Expenditures - Governmental	\$ 16,300	\$ 3,056	\$ -	\$ -	\$ 10,955	\$ 10,955
EXPENDITURES - CUS						
Personnel	\$ 1,200	\$ -	\$ -	\$ -	\$ 183	\$ 183
Materials & Supplies	\$ 1,000	\$ -	\$ -	\$ -	\$ 124	\$ 124
Contracts	\$ 22,000	\$ -	\$ -	\$ -	\$ 2,023	\$ 2,023
Equipment	\$ 800	\$ -	\$ -	\$ -	\$ 2	\$ 2
Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal Expenditures - CUS	\$ 25,000	\$ -	\$ -	\$ -	\$ 2,332	\$ 2,332
EXPENDITURES - Stormwater						
Personnel			\$ -	\$ -	\$ 110	\$ 110
Materials & Supplies			\$ -	\$ -	\$ -	\$ -
Contracts			\$ -	\$ -	\$ -	\$ -
Equipment			\$ -	\$ -	\$ -	\$ -
Other			\$ -	\$ -	\$ -	\$ -
Subtotal Expenditures - Stormwater	\$ -	\$ -	\$ -	\$ -	\$ 110	\$ 110
Total Expenditures	\$ 41,300	\$ 3,056	\$ -	\$ -	\$ 13,397	\$ 13,397
NET CURRENT ACTIVITY			\$ -	\$ 2,883	\$ 951	\$ 3,834
OTHER FINANCIAL ACTIVITY						
LOAN IN / (OUT)						
General Fund			\$ -	\$ -	\$ -	\$ -
Budget Stabilization Fund			\$ -	\$ -	\$ -	\$ -
TRANSFER FROM GENERAL FUND			\$ -	\$ -	\$ -	\$ 8,000
Total Other Financial Activity			\$ -	\$ -	\$ -	\$ 8,000
Excess (deficiency) of revenues and other financing sources over expenditures and other uses			\$ -	\$ 2,883	\$ 951	\$ 11,834

Key Facts:

1. DR 4223 - Disaster Incident Period was from May 4, 2015 through June 22, 2015.
2. DR 4269 - Disaster Incident Period was from April 17, 2016 through April 30, 2016.
3. FEMA Disaster Declarations were on May 29, 2015 & April 25, 2016, respectively.
4. City of Houston was declared for all categories for Public Assistance.
5. Disaster cost share : Federal 75% COH 25%.

Aviation Operating Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Landing Area	\$ 87,767	\$ 94,586	\$ 94,586	\$ 23,896	\$ 94,586	\$ 94,586
Bldg and Ground Area	211,323	227,753	227,753	56,360	227,753	227,753
Parking and Concession	193,251	195,535	195,535	51,050	195,535	195,535
Other	6,122	6,036	6,036	1,528	6,036	6,036
Total Operating Revenues	<u>498,463</u>	<u>523,910</u>	<u>523,910</u>	<u>132,834</u>	<u>523,910</u>	<u>523,910</u>
Operating Expenses						
Personnel	103,703	110,153	110,153	26,639	110,153	110,153
Supplies	8,390	9,419	9,408	1,960	9,408	9,408
Services	185,550	212,213	212,224	44,582	212,224	212,224
Non-Capital Outlay	1,097	3,286	3,286	162	3,286	3,286
Total Operating Expenses	<u>298,740</u>	<u>335,071</u>	<u>335,071</u>	<u>73,343</u>	<u>335,071</u>	<u>335,071</u>
Operating Income (Loss)	<u>199,723</u>	<u>188,839</u>	<u>188,839</u>	<u>59,491</u>	<u>188,839</u>	<u>188,839</u>
Non-Operating Revenues (Expenses)						
Interest Income	19,681	21,500	21,500	5,039	21,500	21,500
Other	567	0	0	(8)	0	0
Total Non-Operating Rev (Exp)	<u>20,248</u>	<u>21,500</u>	<u>21,500</u>	<u>5,031</u>	<u>21,500</u>	<u>21,500</u>
Income (Loss) Before Operating Transfers	<u>219,971</u>	<u>210,339</u>	<u>210,339</u>	<u>64,522</u>	<u>210,339</u>	<u>210,339</u>
Operating Transfers						
Interfund Transfer - Oper Reserve	3,159	1,000	1,000	0	1,000	1,000
Debt Service Principal	49,629	60,346	60,346	15,130	60,346	60,346
Debt Service Interest	65,320	65,886	65,886	16,312	65,886	65,886
Renewal and Replacement	13,500	10,000	10,000	0	10,000	10,000
Capital Improvement	28,520	73,107	73,107	9,962	73,107	73,107
Total Operating Transfers	<u>160,128</u>	<u>210,339</u>	<u>210,339</u>	<u>41,404</u>	<u>210,339</u>	<u>210,339</u>
Net Income (Loss)						
Operating Fund Only	\$ <u>59,843</u>	\$ <u>0</u>	\$ <u>0</u>	\$ <u>23,118</u>	\$ <u>0</u>	\$ <u>0</u>

About the Fund:

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport and Ellington Airport. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies and the airlines and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited	FY2020				
	Preliminary FY2019	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Facility Rentals	\$ 1,449	\$ 1,449	\$ 1,449	\$ 1,449	\$ 1,449	\$ 1,449
Parking	9,206	9,961	9,961	2,617	9,961	9,961
Contract Cleaning	0	0	0	0	0	0
Total Operating Revenues	<u>10,655</u>	<u>11,410</u>	<u>11,410</u>	<u>4,066</u>	<u>11,410</u>	<u>11,410</u>
Operating Expenses						
Personnel	222	195	195	61	195	195
Supplies	0	0	0	0	0	0
Services	98	93	93	1	93	93
Total Operating Expenses	<u>320</u>	<u>288</u>	<u>288</u>	<u>62</u>	<u>288</u>	<u>288</u>
Operating Income (Loss)	<u>10,335</u>	<u>11,122</u>	<u>11,122</u>	<u>4,004</u>	<u>11,122</u>	<u>11,122</u>
Non-Operating Revenues (Expenses)						
Hotel Occupancy Tax						
Current	84,397	87,000	87,000	22,387	87,000	87,000
Delinquent	1,681	1,500	1,500	398	1,500	1,500
Net Hotel Occupancy Tax	<u>86,078</u>	<u>88,500</u>	<u>88,500</u>	<u>22,785</u>	<u>88,500</u>	<u>88,500</u>
Interest Income	340	405	405	113	405	405
Capital Outlay	0	0	0	0	0	0
Other Interest	(2,255)	(223)	(223)	(108)	(223)	(223)
Other	292	292	292	292	292	292
Total Non-Operating Rev (Exp)	<u>84,454</u>	<u>88,974</u>	<u>88,974</u>	<u>23,082</u>	<u>88,974</u>	<u>88,974</u>
Income (Loss) Before Operating Transfers	<u>94,789</u>	<u>100,096</u>	<u>100,096</u>	<u>27,086</u>	<u>100,096</u>	<u>100,096</u>
Operating Transfers						
Transfers for Interest	14,681	14,471	14,471	3,651	14,471	14,471
Transfers for Principal	12,904	13,185	13,185	3,275	13,185	13,185
Transfer to Component Unit	70,079	75,399	75,399	18,476	75,399	75,399
Transfers to General Fund	1,449	1,449	1,449	1,449	1,449	1,449
Transfers to Debt Service	0	90	90	0	90	90
Total Operating Transfers	<u>99,112</u>	<u>104,594</u>	<u>104,594</u>	<u>26,851</u>	<u>104,594</u>	<u>104,594</u>
Net Income (Loss)						
Operating Fund Only	<u>\$ (4,323)</u>	<u>\$ (4,498)</u>	<u>\$ (4,498)</u>	<u>\$ 235</u>	<u>\$ (4,498)</u>	<u>\$ (4,498)</u>

About the Fund:

The Convention and Entertainment Facilities operating fund is an enterprise fund that accounts for the operation of the City's five major entertainment centers and City-owned parking garages: Jesse H. Jones Hall, Bayou Place, Gus S. Wortham Center, George R. Brown Convention Center, and Theater District Garage.

Combined Utility System Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Water Sales	\$ 544,732	\$ 586,805	\$ 586,805	\$ 169,681	\$ 586,805	\$ 586,805
Sewer Sales	476,813	514,361	514,361	136,315	514,361	514,361
Penalties	11,375	15,000	15,000	3,104	15,000	15,000
Other	14,114	11,158	11,158	2,969	11,158	11,158
Total Operating Revenues	<u>1,047,035</u>	<u>1,127,325</u>	<u>1,127,325</u>	<u>312,069</u>	<u>1,127,325</u>	<u>1,127,325</u>
Operating Expenses						
Personnel	184,138	205,224	205,224	48,144	205,224	205,224
Supplies	48,601	49,875	50,475	9,478	49,875	49,875
Electricity and Gas	47,215	51,191	51,191	6,786	51,191	51,191
Contracts & Other Payments	174,104	195,376	195,776	19,938	195,376	195,376
Non-Capital Equipment	2,074	2,436	2,436	62	2,436	2,436
Total Operating Expenses	<u>456,132</u>	<u>504,102</u>	<u>505,102</u>	<u>84,409</u>	<u>504,102</u>	<u>504,102</u>
Operating Income (Loss)	<u>590,902</u>	<u>623,223</u>	<u>622,223</u>	<u>227,660</u>	<u>623,223</u>	<u>623,223</u>
Non-Operating Revenues (Expenses)						
Interest on Investments	17,606	15,458	15,458	4,741	15,458	15,458
Sale of Property, Mains & Scrap	1,090	2,325	2,325	23	2,325	2,325
Other	26,415	17,789	17,789	4,282	17,789	17,789
Impact Fees	26,726	22,000	22,000	14,504	22,000	22,000
CWA & TRA Contracts (Prin.&Int.)	(6,378)	(6,382)	(6,382)	(2,443)	(6,382)	(6,382)
Total Non-Operating Rev (Exp)	<u>65,459</u>	<u>51,190</u>	<u>51,190</u>	<u>21,107</u>	<u>51,190</u>	<u>51,190</u>
Income (Loss) Before Operating Transfers	<u>656,362</u>	<u>674,413</u>	<u>673,413</u>	<u>248,767</u>	<u>674,413</u>	<u>674,413</u>
Operating Transfers						
System Debt Service Transfer	493,795	521,683	521,683	17,827	521,683	521,683
CWA & TRA Contracts (P & I) Luce Bayou	21,336	4,606	4,606	(91)	4,606	4,606
Transfer to PIB - Water & Sewer	7,775	5,578	5,578	570	5,578	5,578
Transfer to Capital Project Fund	70,000	70,000	70,000	70,000	70,000	70,000
Pension Liability Prin & Int	5,104	6,596	6,596	841	6,596	6,596
Equipment Acquisition	22,305	55,037	54,037	7,751	55,037	55,037
Transfer to Storm Water	50,128	58,835	58,835	12,786	58,835	58,835
Total Operating Transfers	<u>670,443</u>	<u>722,334</u>	<u>721,334</u>	<u>109,685</u>	<u>722,334</u>	<u>722,334</u>
Net Current Activity						
Operating Fund Only	<u>\$ (14,082)</u>	<u>\$ (47,921)</u>	<u>\$ (47,921)</u>	<u>\$ 139,082</u>	<u>\$ (47,921)</u>	<u>\$ (47,921)</u>

About the Fund:

The Combined Utility System Fund, which includes Fund 8300, Fund 8301, and Fund 8305, is an enterprise fund which accounts for operation of the City's water and wastewater facilities. The fund provides for the operation of the City's treated and untreated water, as well as, receives and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers.

Storm Water Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited	FY2020				
	Preliminary FY2019	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Other Interfund Services	\$ 0	\$ 60	\$ 60	\$ 0	\$ 60	\$ 60
Miscellaneous	89	45	45	0	45	45
Total Revenues	<u>89</u>	<u>105</u>	<u>105</u>	<u>0</u>	<u>105</u>	<u>105</u>
Expenditures						
Personnel	21,554	25,087	25,087	5,754	25,087	25,087
Supplies	1,316	1,825	1,825	251	1,825	1,825
Other Services	16,387	18,867	18,867	2,665	18,867	18,867
Capital Outlay	2,315	9,180	9,180	10	9,180	9,180
Total Expenditures	<u>41,572</u>	<u>54,959</u>	<u>54,959</u>	<u>8,680</u>	<u>54,959</u>	<u>54,959</u>
Net Current Activity	(41,483)	(54,854)	(54,854)	(8,680)	(54,854)	(54,854)
Other Financing Sources (Uses)						
Interest Income	120	80	80	35	80	80
Transfers In - General Fund	0	0	0	0	0	0
Transfers In - CUS	50,128	58,834	58,834	12,787	58,834	58,834
Transfers In - DD&SRF	5,500	7,000	7,000	7,000	7,000	7,000
Pension Bond Obligation Proceeds	0	0	0	0	0	0
Transfer Out - Pension Liability Interest	0	0	0	0	0	0
Transfer Out -Capital Project	0	0	0	0	0	0
Transfer Out -Special Revenue	0	0	0	0	0	0
Debit Service Principal	(903)	(908)	(908)	(353)	(908)	(908)
Transfer Out -Discretionary Debt	<u>(13,985)</u>	<u>(16,251)</u>	<u>(16,251)</u>	<u>(3,956)</u>	<u>(16,251)</u>	<u>(16,251)</u>
Total Other Financing Sources (Uses)	<u>40,860</u>	<u>48,755</u>	<u>48,755</u>	<u>15,513</u>	<u>48,755</u>	<u>48,755</u>
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)						
	(623)	(6,099)	(6,099)	6,833	(6,099)	(6,099)
Fund Balance, Beginning of Year	<u>7,227</u>	<u>6,604</u>	<u>6,604</u>	<u>6,604</u>	<u>6,604</u>	<u>6,604</u>
Fund Balance, End of Year	<u>\$ 6,604</u>	<u>\$ 505</u>	<u>\$ 505</u>	<u>\$ 13,437</u>	<u>\$ 505</u>	<u>\$ 505</u>

Note: The Storm Water Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Dedicated Drainage & Street Renewal Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited		FY2020			
	Preliminary FY2019	Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Drainage Charge Revenue ⁽¹⁾	\$ 104,131	\$ 104,557	\$ 104,557	\$ 25,372	\$ 104,557	\$ 104,557
Interfund Drainage Fee	7,135	7,121	7,121	1,784	7,121	7,121
Charges for Services	654	490	490	36	490	490
Licenses & Permits	1,344	2,003	2,003	453	2,003	2,003
Street Milling and Sales Earnings	752	760	760	0	760	760
Metro Intergovernmental Revenue	55,080	58,079	58,079	0	58,079	58,079
Operating Recoveries & Refunds	28	62	62	0	62	62
Miscellaneous/Other	187	135	135	5	135	135
Total Revenues	169,311	173,207	173,207	27,650	173,207	173,207
Expenditures						
Personnel	36,311	40,973	40,973	9,539	40,973	40,973
Supplies	11,354	11,970	11,970	2,184	11,970	11,970
Other Services	2,307	33,185	33,185	5,174	33,185	33,185
Capital Outlay	32,965	15,131	15,131	985	15,131	15,131
Total Expenditures	82,937	101,259	101,259	17,882	101,259	101,259
Net Current Activity	86,374	71,948	71,948	9,768	71,948	71,948
Other Financing Sources (Uses)						
Interest Income	1,382	800	800	528	800	800
Transfers In - General Fund	47,422	47,103	47,103	0	47,103	47,103
Pension Bond Proceeds	0	0	0	0	0	0
Debt Service Principal	0	0	0	0	0	0
Debt Service Interest	0	(349)	(349)	0	(349)	(349)
Transfers In - Special Revenue	0	0	0	0	0	0
Transfers Out - Comm'l Paper Agent Fees	(766)	(811)	(811)	(33)	(811)	(811)
Transfers Out - Capital Projects	(122,467)	(145,000)	(145,000)	(11,791)	(145,000)	(145,000)
Transfers Out - To Storm Water	(5,500)	(7,000)	(7,000)	(7,000)	(7,000)	(7,000)
Transfers Out Ch380 Trans Other Fund	(3,095)	(4,100)	(4,100)	(29)	(4,100)	(4,100)
Total Other Financing Sources (Uses)	(83,024)	(109,357)	(109,357)	(18,325)	(109,357)	(109,357)
Excess (Deficiency) of Revenues and Other Financing Sources Over Expenditures and Other Financing (Uses)	3,350	(37,409)	(37,409)	(8,557)	(37,409)	(37,409)
Bad Debt Expense	0	0	0	0	0	0
Fund Balance, Beginning of Year	65,388	68,738	68,738	68,738	68,738	68,738
Fund Balance, End of Year	\$ 68,738	\$ 31,329	\$ 31,329	\$ 60,181	\$ 31,329	\$ 31,329

Note:

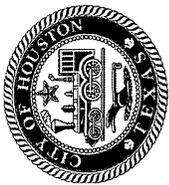
- The Drainage Charge Revenue YTD includes all amounts billed. There is typically a 21 day lag between the billed and collected amounts.
- This amount is based on the Captured Ad Valorem Tax Revenue as calculated below:

	FY2020		
	Adopted Budget	Projection	Year to Date Actual
Property Tax Revenue - General Fund (\$0.118 equivalent of City's Ad Valorem Tax Levy)	\$ 202,988	\$ 202,988	\$ 0
Less Street & Drainage Debt Service (General Fund)	(155,885)	(155,885)	0
Captured Revenues ⁽²⁾	\$ 47,103	\$ 47,103	\$ 0
(to be transferred to Dedicated Drainage & Street Renewal Fund)			

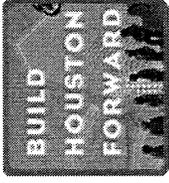
Note:

Ordinance 2010-879 requires funding in the amount equivalent to proceeds from \$0.118 of the City's ad valorem tax levy minus an amount equal to debt service for drainage and streets to the Dedicated Drainage & Street Renewal Fund. Total outstanding debt payable from ad valorem taxes (as of September 30) is \$3.889 billion. The portion of the debt associated with drainage and street improvements is estimated at \$1.025 billion.

The Dedicated Drainage and Street Renewal Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.



FY2020 Build Houston Forward Financial Summary
Dedicated Drainage & Street Renewal Fund Group
For the period ended September 30, 2019
(Amounts expressed in thousands)



Drainage Utility Fees	Developer Impact Fees	Ad Valorem Taxes (Dedicated Property Taxes net of debt service)	Third-party Funds (Metro, TxDOT, Federal Grants)
Revenues	Revenues	Revenues	Revenues/Deferred Revenues
Drainage Fees \$ 25,372	Developer Impact Fees \$ 147	Ad Valorem Taxes \$ -	METRO GMP for Capital Projects ⁴ \$ 26,318
City Drainage Fees 1,784	Interest Income 4	Interest Income 153	Advance Payments
Interest Income 473			METRO GMP O&M ⁴
			O&M
			Capital
			TxDOT Revenues
			Federal Grants
			Contributed Capital
			Other 493
Total Revenues¹ \$ 27,630	Total Revenues \$ 151	Total Revenues \$ 153	Total Revenues/Deferred Revenues \$ 26,811
Expenses	Expenses	Expenses	Expenses
Capital Projects (CIP) \$ 11,310	Drainage Projects \$ -	Capital Projects (CIP) \$ 386	Capital Projects (CIP) \$ 7,168
O&M for drainage infrastructure 7,000		O&M for Streets and Traffic 5,060	O&M for Streets and Traffic 6,603
Administration (includes Commercial Paper Fees) 721		Administration 48	Administration 62
		Permanent Improvement 2,352	Permanent Improvement 3,069
		380 Agreements 29	
Total Expenses \$ 19,031	Total Expenses \$ -	Total Expenses \$ 7,875	Total Expenses \$ 16,902

Notes:

- 1) Based on billings thru September 30, 2019.
- 2) The DDSRF Funds Group includes Special Revenue, CIP, and Grant Funds.
- 3) This report can be found at www.rebuildhouston.org
- 4) GMP is the General Mobility Program.

Health Benefits Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
City Medical Plans	\$ 364,305	\$ 383,119	\$ 383,119	\$ 93,421	\$ 383,119	\$ 383,119
City Dental Plans	11,414	11,561	11,561	2,887	11,561	11,561
City Life Insurance Plans	6,780	7,186	7,186	1,723	7,186	7,186
Vision	3,543	3,588	3,588	886	3,588	3,588
Health Flexible Spending Account	4,482	5,200	5,200	1,091	5,200	5,200
Dependent Care Reimbursement	381	435	435	84	435	435
Operating Revenues	<u>390,905</u>	<u>411,089</u>	<u>411,089</u>	<u>100,092</u>	<u>411,089</u>	<u>411,089</u>
Operating Expenses						
Medicare Advantage	30,639	34,600	34,600	8,082	34,600	34,600
City Medical Plan Claims - Cigna	321,531	339,876	339,876	80,217	339,876	339,876
City Dental Plan Claims	11,414	11,561	11,561	2,888	11,561	11,561
Vision	3,543	3,588	3,588	886	3,588	3,588
City Life Insurance Plans	6,780	7,186	7,186	1,723	7,186	7,186
Administrative Costs	6,593	8,009	8,009	1,569	8,009	8,009
Health Flexible Spending Account	4,649	5,380	5,380	1,117	5,380	5,380
Dependent Care	381	435	435	84	435	435
Operating Expenses	<u>385,530</u>	<u>410,635</u>	<u>410,635</u>	<u>96,566</u>	<u>410,635</u>	<u>410,635</u>
Operating Income (Loss)	5,375	454	454	3,526	454	454
Non-Operating Revenues (Expenses)						
Interest Income	1,507	700	700	417	700	700
Prior Year Expense Recovery	7	0	0	0	0	0
Miscellaneous Revenue	988	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>2,502</u>	<u>700</u>	<u>700</u>	<u>417</u>	<u>700</u>	<u>700</u>
Net Income (Loss)	7,877	1,154	1,154	3,943	1,154	1,154
Net Assets, Beginning of Year	<u>29,229</u>	<u>37,106</u>	<u>37,106</u>	<u>37,106</u>	<u>37,106</u>	<u>37,106</u>
Net Assets, End of Year	<u>\$ 37,106</u>	<u>\$ 38,260</u>	<u>\$ 38,260</u>	<u>\$ 41,049</u>	<u>\$ 38,260</u>	<u>\$ 38,260</u>

About the Fund:

The Health Benefits Fund, an Internal Service Fund administered by the Human Resources Department, was established in 1984 to centralize the financial transactions for the City's benefit plans.

Effective May 1, 2011, the City elected to be substantially self-insured and awarded CIGNA a three year contract with two (2) one-year renewal options for 4 new health plans. The new health benefits model is composed of four (4) plans, all of which have heavy emphasis on a wellness component, and includes; 1) a limited network HMO-type plan, 2) an open access PPO-type plan with no out-of-network coverage, 3) a consumer driven high deductible Health Plan (CDHP), partnered with a health reimbursement account, and 4) a specific plan for retirees, mostly those under age 65, who live outside the limited network service area but who live in Texas. Effective 08/01/11 all 65+ Medicare eligible retirees must enroll in the 6 MA plans or opt out. These plans are supported by contributions from the city and participants.

The Fund also includes a vision plan, two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. All three plans are supported exclusively by participants.

Long-Term Disability Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Contributions	\$ 91	\$ 1,298	\$ 1,298	\$ 318	\$ 1,298	\$ 1,298
Operating Revenues	<u>91</u>	<u>1,298</u>	<u>1,298</u>	<u>318</u>	<u>1,298</u>	<u>1,298</u>
Operating Expenses						
Management Consulting Services	25	30	30	0	30	30
Claims Payment Services	132	160	160	10	160	160
Employee Medical Claims	<u>1,687</u>	<u>1,200</u>	<u>1,200</u>	<u>302</u>	<u>1,200</u>	<u>1,200</u>
Operating Expenses	<u>1,844</u>	<u>1,390</u>	<u>1,390</u>	<u>312</u>	<u>1,390</u>	<u>1,390</u>
Operating Income (Loss)	(1,753)	(92)	(92)	6	(92)	(92)
Non-Operating Revenues (Expenses)						
Interest Income	198	150	150	49	150	150
Miscellaneous Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>2</u>	<u>0</u>	<u>0</u>
Non-Operating Revenues (Expenses)	<u>198</u>	<u>150</u>	<u>150</u>	<u>51</u>	<u>150</u>	<u>150</u>
Net Income (Loss)	(1,555)	58	58	57	58	58
Net Assets, Beginning of Year	<u>4,090</u>	<u>2,535</u>	<u>2,535</u>	<u>2,535</u>	<u>2,535</u>	<u>2,535</u>
Net Assets, End of Year	<u>\$ 2,535</u>	<u>\$ 2,593</u>	<u>\$ 2,593</u>	<u>\$ 2,592</u>	<u>\$ 2,593</u>	<u>\$ 2,593</u>

About the Fund:

The Long Term Disability (LTD) Plan is a self-insured program accounted for as an internal service fund. Established in 1985 and revised in 1996 as part of the Income Protection Plan (IPP) (renamed the Compensable Sick Leave Plan (CSL) in October 1996), the plan provides paid long-term sick leave for City employees.

Property and Casualty Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Operating Revenues						
Interfund Legal Services	\$ 30,529	\$ 49,750	\$ 49,750	\$ 1,105	\$ 49,750	\$ 49,750
Operating Revenues	<u>30,529</u>	<u>49,750</u>	<u>49,750</u>	<u>1,105</u>	<u>49,750</u>	<u>49,750</u>
Operating Expenses						
Personnel	7,607	8,772	8,772	2,042	8,772	8,772
Supplies	132	180	180	9	180	180
Services:						
Insurance Fees/Adm.	15,620	22,100	22,100	874	22,100	22,100
Claims and Judgments	3,667	10,275	10,275	470	10,275	10,275
Other Services	3,430	8,423	8,423	703	8,423	8,423
Capital Outlay	0	0	0	0	0	0
Operating Expenses	<u>30,456</u>	<u>49,750</u>	<u>49,750</u>	<u>4,098</u>	<u>49,750</u>	<u>49,750</u>
Nonoperating Revenues (Expenses)						
Interest Income	0	0	0	0	0	0
Transfer Out	(82)	0	0	0	0	0
Nonoperating Revenues (Expenses)	<u>(82)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Income (Loss)	(9)	0	0	(2,993)	0	0
Net Assets, Beginning of Year	82	73	73	73	73	73
Net Assets, End of Year	<u>\$ 73</u>	<u>\$ 73</u>	<u>\$ 73</u>	<u>\$ (2,920)</u>	<u>\$ 73</u>	<u>\$ 73</u>

About the Fund:

The Property and Casualty Fund was established to monitor the financial requirements of the Property and Risk Management Programs. This activity is primarily self-funded. The revenue is generated from assessed premiums to the other funds. These premiums are based upon projected expenditures. All risk to the City's assets (except Workers Compensation, Life, Health Benefits, and Long Term Disability) fall within the scope of this fund.

Workers' Compensation Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Operating Revenues						
Contributions	\$ 28,734	\$ 31,600	\$ 31,600	\$ 7,082	\$ 31,600	\$ 31,600
Operating Revenues	<u>28,734</u>	<u>31,600</u>	<u>31,600</u>	<u>7,082</u>	<u>31,600</u>	<u>31,600</u>
Operating Expenses						
Personnel	5,220	6,662	6,662	1,260	6,662	6,662
Supplies	124	182	182	5	182	182
Current Year Claims	22,340	23,432	23,432	5,889	23,432	23,432
Services	1,106	1,255	1,255	152	1,255	1,255
Capital Outlay	77	80	80	0	80	80
Non-Capital Outlay	3	17	17	0	17	17
Operating Expenses	<u>28,870</u>	<u>31,628</u>	<u>31,628</u>	<u>7,306</u>	<u>31,628</u>	<u>31,628</u>
Operating Income (Loss)	(136)	(28)	(28)	(224)	(28)	(28)
Non-Operating Revenues (Expenses)						
Interest Income	59	28	28	4	28	28
Prior Year Recoveries	0	0	0	0	0	0
Other	77	0	0	0	0	0
Non-Operating Revenues (Expenses)	<u>136</u>	<u>28</u>	<u>28</u>	<u>4</u>	<u>28</u>	<u>28</u>
Net Income (Loss)	0	0	0	(220)	0	0
Net Assets, Beginning of Year	0	0	0	0	0	0
Net Assets, End of Year	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ (220)</u>	<u>\$ 0</u>	<u>\$ 0</u>

About the Fund:

The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Accident Prevention, Loss Control and Workers' Compensation.

Asset Forfeiture Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Confiscations	\$ 6,964	\$ 4,890	\$ 4,890	\$ 1,239	\$ 4,856	\$ 4,856
Interest Income	139	14	14	39	48	48
Other	1	0	0	0	0	0
Total Revenues	<u>7,104</u>	<u>4,904</u>	<u>4,904</u>	<u>1,278</u>	<u>4,904</u>	<u>4,904</u>
Expenditures						
Personnel	3,266	4,400	4,400	624	4,400	4,400
Supplies	931	1,280	2,045	356	2,045	2,045
Other Services	1,081	1,376	1,376	481	1,376	1,376
Capital Purchases	413	0	492	0	552	552
Non-Capital Purchases	185	1,894	637	(5)	577	577
Total Expenditures	<u>5,876</u>	<u>8,950</u>	<u>8,950</u>	<u>1,456</u>	<u>8,950</u>	<u>8,950</u>
Net Current Activity	1,228	(4,046)	(4,046)	(178)	(4,046)	(4,046)
Fund Balance, Beginning of Year	<u>6,321</u>	<u>7,549</u>	<u>7,549</u>	<u>7,549</u>	<u>7,549</u>	<u>7,549</u>
Fund Balance, End of Year	<u>\$ 7,549</u>	<u>\$ 3,503</u>	<u>\$ 3,503</u>	<u>\$ 7,371</u>	<u>\$ 3,503</u>	<u>\$ 3,503</u>

Auto Dealers Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Auto Dealers Licenses	\$ 2,839	\$ 2,718	\$ 2,718	\$ 567	\$ 2,718	\$ 2,718
Vehicle Storage Notification	388	440	440	103	440	440
Vehicle Auction Fees	329	350	350	84	350	350
Interest Income	57	45	45	15	45	45
Other	4,404	4,100	4,100	897	4,100	4,100
Total Revenues	<u>8,017</u>	<u>7,653</u>	<u>7,653</u>	<u>1,666</u>	<u>7,653</u>	<u>7,653</u>
Expenditures						
Personnel	4,129	4,414	4,414	1,074	4,414	4,414
Supplies	323	420	420	295	420	420
Other Services	1,882	1,885	1,885	387	1,885	1,885
Capital Purchases	0	245	245	0	245	245
Non-Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>6,334</u>	<u>6,964</u>	<u>6,964</u>	<u>1,756</u>	<u>6,964</u>	<u>6,964</u>
Other Financing Sources (Uses)						
Transfers Out	(1,650)	(1,650)	(1,650)	(448)	(1,650)	(1,650)
Total Other Financing Sources (Uses)	<u>(1,650)</u>	<u>(1,650)</u>	<u>(1,650)</u>	<u>(448)</u>	<u>(1,650)</u>	<u>(1,650)</u>
Net Current Activity	33	(961)	(961)	(538)	(961)	(961)
Fund Balance, Beginning of Year	<u>2,072</u>	<u>2,105</u>	<u>2,105</u>	<u>2,105</u>	<u>2,105</u>	<u>2,105</u>
Fund Balance, End of Year	<u>\$ 2,105</u>	<u>\$ 1,144</u>	<u>\$ 1,144</u>	<u>\$ 1,567</u>	<u>\$ 1,144</u>	<u>\$ 1,144</u>

BARC Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Licenses & Fees	\$ 1,519	\$ 1,525	\$ 1,525	\$ 370	\$ 1,525	\$ 1,525
Interest	35	32	32	12	32	32
Animal Adoption	148	150	150	43	150	150
Contributions	187	130	130	51	129	129
Recoveries and Refund	0	0	0	0	0	0
Other Revenue	2	0	0	1	1	1
Total Revenues	<u>1,891</u>	<u>1,837</u>	<u>1,837</u>	<u>476</u>	<u>1,837</u>	<u>1,837</u>
Expenditures						
Personnel	7,367	8,702	8,702	1,968	8,702	8,702
Supplies	1,061	1,664	1,664	326	1,666	1,666
Other Services	2,583	3,115	3,115	489	3,113	3,113
Capital Outlay	37	0	0	0	0	0
Non-Capital Outlay	7	0	0	0	0	0
Total Expenditures	<u>11,055</u>	<u>13,481</u>	<u>13,481</u>	<u>2,783</u>	<u>13,481</u>	<u>13,481</u>
Net Current Activity	<u>(9,164)</u>	<u>(11,644)</u>	<u>(11,644)</u>	<u>(2,307)</u>	<u>(11,644)</u>	<u>(11,644)</u>
Other Financing Sources (Uses)						
Operating Transfers - In	9,524	9,524	9,524	0	9,524	9,524
Operating Transfers - Out	(79)	0	0	0	0	0
Total Other Financing Sources (Uses)	<u>9,445</u>	<u>9,524</u>	<u>9,524</u>	<u>0</u>	<u>9,524</u>	<u>9,524</u>
Net Current Activity	281	(2,120)	(2,120)	(2,307)	(2,120)	(2,120)
Fund Balance, Beginning of Year	<u>2,825</u>	<u>3,106</u>	<u>3,106</u>	<u>3,106</u>	<u>3,106</u>	<u>3,106</u>
Fund Balance, End of Year	<u>\$ 3,106</u>	<u>\$ 986</u>	<u>\$ 986</u>	<u>\$ 799</u>	<u>\$ 986</u>	<u>\$ 986</u>

Bayou Greenway 2020 Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Charges for Services	\$ 1,328	\$ 1,365	\$ 1,365	\$ 302	\$ 1,365	\$ 1,365
Other Revenue	88	0	0	0	0	0
Interest	19	16	16	5	16	16
Total Revenues	<u>1,435</u>	<u>1,381</u>	<u>1,381</u>	<u>307</u>	<u>1,381</u>	<u>1,381</u>
Expenditures						
Personnel	849	1,031	1,031	222	1,031	1,031
Supplies	12	42	42	0	42	42
Other Services	24	48	48	5	48	48
Capital Outlay	0	302	302	0	302	302
Non-Capital Outlay	0	0	0	0	0	0
Total Expenditures	<u>885</u>	<u>1,423</u>	<u>1,423</u>	<u>227</u>	<u>1,423</u>	<u>1,423</u>
Operating Transfer						
Operating Transfer Out	<u>(210)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(210)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	340	(42)	(42)	80	(42)	(42)
Fund Balance, Beginning of Year	<u>698</u>	<u>1,038</u>	<u>1,038</u>	<u>1,038</u>	<u>1,038</u>	<u>1,038</u>
Fund Balance, End of Year	<u>\$ 1,038</u>	<u>\$ 996</u>	<u>\$ 996</u>	<u>\$ 1,118</u>	<u>\$ 996</u>	<u>\$ 996</u>

Building Inspection Special Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Permits and Licenses	\$ 68,355	\$ 65,498	\$ 65,498	\$ 18,013	\$ 65,498	\$ 65,498
Charges for Services	17,492	18,805	18,805	4,491	18,805	18,805
Other	2,683	2,687	2,687	613	2,687	2,687
Interest Income	792	727	727	220	727	727
Total Revenues	<u>89,322</u>	<u>87,717</u>	<u>87,717</u>	<u>23,337</u>	<u>87,717</u>	<u>87,717</u>
Expenditures						
Personnel	59,485	65,160	65,065	15,152	65,160	65,160
Supplies	760	1,007	1,007	153	1,007	1,007
Other Services	13,669	20,172	20,470	2,778	20,172	20,172
Capital Outlay	4,766	18,400	18,165	384	18,400	18,400
Non-Capital Outlay	385	770	802	33	770	770
Total Expenditures	<u>79,065</u>	<u>105,509</u>	<u>105,509</u>	<u>18,500</u>	<u>105,509</u>	<u>105,509</u>
Net Current Activity	<u>10,257</u>	<u>(17,792)</u>	<u>(17,792)</u>	<u>4,837</u>	<u>(17,792)</u>	<u>(17,792)</u>
Other Financing Sources (Uses)						
Transfer to Capital Project	0	(557)	(557)	0	(557)	(557)
Operating Transfers Out	(9,315)	(4,098)	(4,098)	(593)	(4,098)	(4,098)
Operating Transfers In	57	0	0	0	0	0
Total Other Financing Sources (Uses)	<u>(9,258)</u>	<u>(4,655)</u>	<u>(4,655)</u>	<u>(593)</u>	<u>(4,655)</u>	<u>(4,655)</u>
Excess (deficiency) of revenues and other financing sources over expenditures and other financing (uses)	999	(22,447)	(22,447)	4,244	(22,447)	(22,447)
Fund Balance, Beginning of Year	<u>33,729</u>	<u>34,728</u>	<u>34,728</u>	<u>34,728</u>	<u>34,728</u>	<u>34,728</u>
Fund Balance, End of Year	<u>\$ 34,728</u>	<u>\$ 12,281</u>	<u>\$ 12,281</u>	<u>\$ 38,972</u>	<u>\$ 12,281</u>	<u>\$ 12,281</u>

Cable Television Special Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 5,020	\$ 4,833	\$ 4,833	\$ 22	\$ 4,833	\$ 4,833
Total Revenues	<u>5,020</u>	<u>4,833</u>	<u>4,833</u>	<u>22</u>	<u>4,833</u>	<u>4,833</u>
Expenditures						
Maintenance and Operations	3,877	3,949	3,949	512	3,949	3,949
Capital Purchases	102	1,000	1,000	0	1,000	1,000
Non - Capital Purchase	2	0	0	0	0	0
Total Expenditures	<u>3,981</u>	<u>4,949</u>	<u>4,949</u>	<u>512</u>	<u>4,949</u>	<u>4,949</u>
Net Current Activity	1,039	(116)	(116)	(491)	(116)	(116)
Fund Balance, Beginning of Year	<u>2,824</u>	<u>3,863</u>	<u>3,863</u>	<u>3,863</u>	<u>3,863</u>	<u>3,863</u>
Fund Balance, End of Year	<u>\$ 3,863</u>	<u>\$ 3,747</u>	<u>\$ 3,747</u>	<u>\$ 3,373</u>	<u>\$ 3,747</u>	<u>\$ 3,747</u>

Child Safety Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	Adopted Budget	Current Budget	FY2020		
				YTD	Controller's Projection	Finance Projection
Interest on Investments	\$ 44	\$ 20	\$ 20	\$ 9	\$ 20	\$ 20
Municipal Courts Collections	2,640	2,600	2,600	664	2,600	2,600
Harris County Collections	838	800	800	143	800	800
Total Revenues	<u>3,522</u>	<u>3,420</u>	<u>3,420</u>	<u>816</u>	<u>3,420</u>	<u>3,420</u>
Expenditures						
School Crossing Guard Program	3,556	3,417	3,417	(1,079)	3,417	3,417
Miscellaneous Parts and Supplies	0	3	3	0	3	3
Total Expenditures	<u>3,556</u>	<u>3,420</u>	<u>3,420</u>	<u>(1,079)</u>	<u>3,420</u>	<u>3,420</u>
Net Current Activity	(34)	0	0	1,895	0	0
Fund Balance, Beginning of Year	120	86	86	86	86	86
Fund Balance, End of Year	<u>\$ 86</u>	<u>\$ 86</u>	<u>\$ 86</u>	<u>\$ 1,981</u>	<u>\$ 86</u>	<u>\$ 86</u>

Contractor Responsibility Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	Adopted Budget	Current Budget	FY2020		
				YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 510	\$ 495	\$ 495	\$ 269	\$ 495	\$ 495
Total Revenues	<u>510</u>	<u>495</u>	<u>495</u>	<u>269</u>	<u>495</u>	<u>495</u>
Expenditures						
Personnel	78	168	168	19	168	168
Supplies	0	1	1	0	1	1
Other Services	404	1,093	1,093	0	1,093	1,093
Debt Services and Other Uses	630	630	630	0	630	630
Total Expenditures	<u>1,112</u>	<u>1,892</u>	<u>1,892</u>	<u>19</u>	<u>1,892</u>	<u>1,892</u>
Net Current Activity	(602)	(1,397)	(1,397)	250	(1,397)	(1,397)
Fund Balance, Beginning of Year	3,137	2,535	2,535	2,535	2,535	2,535
Fund Balance, End of Year	<u>\$ 2,535</u>	<u>\$ 1,138</u>	<u>\$ 1,138</u>	<u>\$ 2,785</u>	<u>\$ 1,138</u>	<u>\$ 1,138</u>

Essential Public Health Services Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Current Revenues	\$ 13,879	\$ 13,016	\$ 13,016	\$ 11,506	\$ 13,016	\$ 13,016
Total Revenues	<u>13,879</u>	<u>13,016</u>	<u>13,016</u>	<u>11,506</u>	<u>13,016</u>	<u>13,016</u>
Expenditures						
Personnel	15,781	14,016	14,016	3,289	14,016	14,016
Supplies	529	793	954	60	954	954
Other Services	6,282	5,086	4,955	719	4,955	4,955
Non-Capital Purchases	6	125	95	2	95	95
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>22,598</u>	<u>20,019</u>	<u>20,019</u>	<u>4,070</u>	<u>20,019</u>	<u>20,019</u>
Net Current Activity	(8,719)	(7,003)	(7,003)	7,436	(7,003)	(7,003)
Fund Balance, Beginning of Year	<u>15,781</u>	<u>7,062</u>	<u>7,062</u>	<u>7,062</u>	<u>7,062</u>	<u>7,062</u>
Fund Balance, End of Year	<u>\$ 7,062</u>	<u>\$ 59</u>	<u>\$ 59</u>	<u>\$ 14,498</u>	<u>\$ 59</u>	<u>\$ 59</u>

Forensic Transition Special Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Police Services	\$ 1,415	\$ 943	\$ 943	\$ 185	\$ 943	\$ 943
Interest Income	0	0	0	0	0	0
Unclaimed Fines & Forfeitures	0	0	0	0	0	0
Recoveries & Refunds	9	0	0	0	0	0
Transfer from General Fund	0	0	0	0	0	0
Total Revenues	<u>1,424</u>	<u>943</u>	<u>943</u>	<u>185</u>	<u>943</u>	<u>943</u>
Expenditures						
Personnel	1,385	928	928	176	928	928
Supplies	0	0	0	0	0	0
Other Services	18	15	15	3	15	15
Capital Purchases	0	0	0	0	0	0
Non-Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>1,403</u>	<u>943</u>	<u>943</u>	<u>179</u>	<u>943</u>	<u>943</u>
Net Current Activity	21	0	0	6	0	0
Fund Balance, Beginning of Year	<u>5</u>	<u>26</u>	<u>26</u>	<u>26</u>	<u>26</u>	<u>26</u>
Fund Balance, End of Year	<u>\$ 26</u>	<u>\$ 26</u>	<u>\$ 26</u>	<u>\$ 32</u>	<u>\$ 26</u>	<u>\$ 26</u>

Health Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 3,722	\$ 3,326	\$ 3,326	\$ 1,217	\$ 3,326	\$ 3,326
Total Revenues	<u>3,722</u>	<u>3,326</u>	<u>3,326</u>	<u>1,217</u>	<u>3,326</u>	<u>3,326</u>
Expenditures						
Personnel	2,259	2,837	2,837	672	2,837	2,837
Supplies	191	439	439	57	439	439
Other Services	1,580	2,409	2,339	283	2,339	2,339
Non-Capital Purchases	193	133	133	0	133	133
Capital Purchases	196	570	640	66	640	640
Total Expenditures	<u>4,419</u>	<u>6,389</u>	<u>6,389</u>	<u>1,077</u>	<u>6,389</u>	<u>6,389</u>
Operating Transfers						
Operating Transfers In (Out)	400	400	400	0	400	400
Total Operating Transfers	<u>400</u>	<u>400</u>	<u>400</u>	<u>0</u>	<u>400</u>	<u>400</u>
Net Current Activity	(297)	(2,663)	(2,663)	140	(2,663)	(2,663)
Fund Balance, Beginning of Year	<u>6,268</u>	<u>5,971</u>	<u>5,971</u>	<u>5,971</u>	<u>5,971</u>	<u>5,971</u>
Fund Balance, End of Year	<u>\$ 5,971</u>	<u>\$ 3,308</u>	<u>\$ 3,308</u>	<u>\$ 6,111</u>	<u>\$ 3,308</u>	<u>\$ 3,308</u>

Historic Preservation Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 37	\$ 36	\$ 36	\$ 10	\$ 36	\$ 36
Charges for Services	266	250	250	107	250	250
Other Interfund Services	0	0	0	0	0	0
Total Revenues	<u>303</u>	<u>286</u>	<u>286</u>	<u>117</u>	<u>286</u>	<u>286</u>
Expenditures						
Supplies & Other Services	237	572	572	35	572	572
Total Expenditures	<u>237</u>	<u>572</u>	<u>572</u>	<u>35</u>	<u>572</u>	<u>572</u>
Net Current Activity	66	(286)	(286)	82	(286)	(286)
Fund Balance, Beginning of Year	<u>1,821</u>	<u>1,887</u>	<u>1,887</u>	<u>1,887</u>	<u>1,887</u>	<u>1,887</u>
Fund Balance, End of Year	<u>\$ 1,887</u>	<u>\$ 1,601</u>	<u>\$ 1,601</u>	<u>\$ 1,969</u>	<u>\$ 1,601</u>	<u>\$ 1,601</u>

Houston Emergency Center Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Current Revenues	\$ 23,464	\$ 26,574	\$ 26,574	\$ 4,057	\$ 26,574	\$ 26,574
Total Revenues	<u>23,464</u>	<u>26,574</u>	<u>26,574</u>	<u>4,057</u>	<u>26,574</u>	<u>26,574</u>
Expenditures						
Maintenance and Operations	25,118	29,449	29,449	6,558	29,449	29,449
Total Expenditures	<u>25,118</u>	<u>29,449</u>	<u>29,449</u>	<u>6,558</u>	<u>29,449</u>	<u>29,449</u>
Net Current Activity	(1,654)	(2,875)	(2,875)	(2,501)	(2,875)	(2,875)
Fund Balance, Beginning of Year	<u>5,202</u>	<u>3,548</u>	<u>3,548</u>	<u>3,548</u>	<u>3,548</u>	<u>3,548</u>
Fund Balance, End of Year	<u>\$ 3,548</u>	<u>\$ 673</u>	<u>\$ 673</u>	<u>\$ 1,047</u>	<u>\$ 673</u>	<u>\$ 673</u>

Houston Transtar Center Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Other Grant Awards	\$ 2,255	\$ 2,151	\$ 2,151	\$ 0	\$ 2,151	\$ 2,151
Other Service Charges	884	902	902	267	902	902
Interest Income	41	30	30	14	30	30
Total Revenues	<u>3,180</u>	<u>3,083</u>	<u>3,083</u>	<u>281</u>	<u>3,083</u>	<u>3,083</u>
Expenditures						
Maintenance and Operations	2,049	3,261	3,261	517	3,261	3,261
Total Expenditures	<u>2,049</u>	<u>3,261</u>	<u>3,261</u>	<u>517</u>	<u>3,261</u>	<u>3,261</u>
Net Current Activity	1,131	(178)	(178)	(236)	(178)	(178)
Fund Balance, Beginning of Year	<u>1,574</u>	<u>2,705</u>	<u>2,705</u>	<u>2,705</u>	<u>2,705</u>	<u>2,705</u>
Fund Balance, End of Year	<u>\$ 2,705</u>	<u>\$ 2,527</u>	<u>\$ 2,527</u>	<u>\$ 2,469</u>	<u>\$ 2,527</u>	<u>\$ 2,527</u>

Juvenile Case Manager Fee Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Current Revenues	\$ 1,119	\$ 1,180	\$ 1,180	\$ 253	\$ 1,180	\$ 1,180
Total Revenues	<u>1,119</u>	<u>1,180</u>	<u>1,180</u>	<u>253</u>	<u>1,180</u>	<u>1,180</u>
Expenditures						
Personnel	744	1,342	1,342	177	1,342	1,342
Supplies	2	18	18	0	18	18
Other Services and Charges	78	108	108	12	108	108
Non Capital Purchases	0	5	5	0	5	5
Total Expenditures	<u>824</u>	<u>1,473</u>	<u>1,473</u>	<u>189</u>	<u>1,473</u>	<u>1,473</u>
Net Current Activity	295	(293)	(293)	64	(293)	(293)
Fund Balance, Beginning of Year	<u>662</u>	<u>957</u>	<u>957</u>	<u>957</u>	<u>957</u>	<u>957</u>
Fund Balance, End of Year	<u>\$ 957</u>	<u>\$ 664</u>	<u>\$ 664</u>	<u>\$ 1,021</u>	<u>\$ 664</u>	<u>\$ 664</u>

Laboratory Operations and Maintenance Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				Finance Projection
		Adopted Budget	Current Budget	YTD	Controller's Projection	
Revenues						
Current Revenues	\$ 416	\$ 525	\$ 525	\$ 118	\$ 525	\$ 525
Total Revenues	<u>416</u>	<u>525</u>	<u>525</u>	<u>118</u>	<u>525</u>	<u>525</u>
Expenditures						
Supplies	69	46	46	1	46	46
Other Services	390	523	523	83	523	523
Non-Capital Purchases	6	0	0	0	0	0
Capital Purchases	30	0	0	0	0	0
Total Expenditures	<u>495</u>	<u>569</u>	<u>569</u>	<u>84</u>	<u>569</u>	<u>569</u>
Net Current Activity	(79)	(44)	(44)	34	(44)	(44)
Fund Balance, Beginning of Year	<u>273</u>	<u>194</u>	<u>194</u>	<u>194</u>	<u>195</u>	<u>195</u>
Fund Balance, End of Year	<u>\$ 194</u>	<u>\$ 150</u>	<u>\$ 150</u>	<u>\$ 228</u>	<u>\$ 150</u>	<u>\$ 150</u>

Maintenance Renewal and Replacement Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Interest Income	\$ 124	\$ 39	\$ 39	\$ 51	\$ 39	\$ 39
Other Revenue	70	0	0	0	0	0
Total Revenues	<u>194</u>	<u>39</u>	<u>39</u>	<u>51</u>	<u>39</u>	<u>39</u>
Expenses						
Personnel	5,999	7,394	7,394	1,430	7,394	7,394
Supplies	979	2,590	2,590	150	2,590	2,590
Other Services	13,063	14,839	14,742	936	14,742	14,742
Capital Purchases	3	0	68	68	68	68
Non-Capital Purchases	3	5	34	29	33	33
Total Expenses	<u>20,047</u>	<u>24,828</u>	<u>24,828</u>	<u>2,613</u>	<u>24,828</u>	<u>24,828</u>
Operating Transfers						
Operating Transfers In	20,922	24,422	24,422	0	24,422	24,422
Operating Transfers Out	0	0	0	0	0	0
Total Operating Transfers	<u>20,922</u>	<u>24,422</u>	<u>24,422</u>	<u>0</u>	<u>24,422</u>	<u>24,422</u>
Net Current Activity	1,069	(367)	(367)	(2,563)	(367)	(367)
Fund Balance, Beginning of Year	<u>7,166</u>	<u>8,235</u>	<u>8,235</u>	<u>8,235</u>	<u>8,235</u>	<u>8,235</u>
Fund Balance, End of Year	<u>\$ 8,235</u>	<u>\$ 7,868</u>	<u>\$ 7,868</u>	<u>\$ 5,673</u>	<u>\$ 7,868</u>	<u>\$ 7,868</u>

Municipal Court Building Security Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 564	\$ 597	\$ 597	\$ 127	\$ 597	\$ 597
Total Revenues	<u>564</u>	<u>597</u>	<u>597</u>	<u>127</u>	<u>597</u>	<u>597</u>
Expenditures						
Personnel	0	0	0	1	4	4
Supplies	0	0	0	0	0	0
Other Services	590	660	660	17	656	656
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>590</u>	<u>660</u>	<u>660</u>	<u>18</u>	<u>660</u>	<u>660</u>
Net Current Activity	(26)	(63)	(63)	109	(63)	(63)
Fund Balance, Beginning of Year	<u>119</u>	<u>93</u>	<u>93</u>	<u>93</u>	<u>93</u>	<u>93</u>
Fund Balance, End of Year	<u>\$ 93</u>	<u>\$ 30</u>	<u>\$ 30</u>	<u>\$ 202</u>	<u>\$ 30</u>	<u>\$ 30</u>

Municipal Court Technology Fee Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Municipal Court Fines	\$ 749	\$ 794	\$ 794	\$ 168	\$ 794	\$ 794
Interest Income	39	33	33	12	33	33
Misc.	300	300	300	0	300	300
Total Revenues	<u>1,088</u>	<u>1,127</u>	<u>1,127</u>	<u>180</u>	<u>1,127</u>	<u>1,127</u>
Expenditures						
Personnel	211	218	218	55	218	218
Supplies	0	10	10	0	10	10
Other Services	553	876	876	376	876	876
Capital Purchases	0	115	115	0	115	115
Total Expenditures	<u>764</u>	<u>1,219</u>	<u>1,219</u>	<u>431</u>	<u>1,219</u>	<u>1,219</u>
Net Current Activity	324	(92)	(92)	(251)	(92)	(92)
Fund Balance, Beginning of Year	<u>2,005</u>	<u>2,329</u>	<u>2,329</u>	<u>2,329</u>	<u>2,329</u>	<u>2,329</u>
Fund Balance, End of Year	<u>\$ 2,329</u>	<u>\$ 2,237</u>	<u>\$ 2,237</u>	<u>\$ 2,078</u>	<u>\$ 2,237</u>	<u>\$ 2,237</u>

Parking Management Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Parking Violations	\$ 9,924	\$ 9,529	\$ 9,529	\$ 1,711	\$ 9,529	\$ 9,529
Parking Fees	10,700	11,019	11,019	2,186	10,957	10,957
Permit Fees	318	342	342	64	342	342
Other Revenue	13	27	27	58	89	89
Interest Income	94	68	68	25	68	68
Total Revenues	<u>21,049</u>	<u>20,985</u>	<u>20,985</u>	<u>4,044</u>	<u>20,985</u>	<u>20,985</u>
Expenses						
Personnel	5,041	5,980	5,980	1,343	5,981	5,981
Supplies	315	572	572	40	572	572
Other Services	5,142	6,706	6,706	1,653	6,706	6,706
Capital Outlay	358	482	482	73	482	482
Non-Capital Outlay	2	130	130	0	130	130
Total Expenses	<u>10,858</u>	<u>13,870</u>	<u>13,870</u>	<u>3,109</u>	<u>13,870</u>	<u>13,870</u>
Net Current Activity	<u>10,191</u>	<u>7,115</u>	<u>7,115</u>	<u>935</u>	<u>7,115</u>	<u>7,115</u>
Other Financing Sources (Uses)						
Operating Transfers - In (Out)	(9,121)	(7,000)	(7,000)	(1,750)	(7,000)	(7,000)
Transfers for Interest	(1,068)	(1,068)	(1,068)	0	(1,068)	(1,068)
Total Other Financing Sources (Uses)	<u>(10,189)</u>	<u>(8,068)</u>	<u>(8,068)</u>	<u>(1,750)</u>	<u>(8,068)</u>	<u>(8,068)</u>
Net Current Activity	2	(953)	(953)	(815)	(953)	(953)
Fund Balance, Beginning of Year	<u>2,110</u>	<u>2,112</u>	<u>2,112</u>	<u>2,112</u>	<u>2,112</u>	<u>2,112</u>
Fund Balance, End of Year	<u>\$ 2,112</u>	<u>\$ 1,159</u>	<u>\$ 1,159</u>	<u>\$ 1,297</u>	<u>\$ 1,159</u>	<u>\$ 1,159</u>

Parks Golf Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Premilinary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 1,705	\$ 2,179	\$ 2,179	\$ 227	\$ 2,179	\$ 2,179
Rental of Property	555	748	748	95	748	748
Interest Income	23	17	17	3	17	17
Golf	1,723	2,400	2,400	225	2,400	2,400
Other	226	38	38	5	38	38
Total Revenues	<u>4,232</u>	<u>5,382</u>	<u>5,382</u>	<u>555</u>	<u>5,382</u>	<u>5,382</u>
Expenses						
Personnel	3,532	4,021	4,021	914	4,021	4,021
Supplies	447	732	693	202	732	732
Other Services	802	881	920	288	881	881
Non-Capital Outlay	0	0	0	0	0	0
Total Expenses	<u>4,781</u>	<u>5,634</u>	<u>5,634</u>	<u>1,404</u>	<u>5,634</u>	<u>5,634</u>
Net Current Activity	(549)	(252)	(252)	(849)	(252)	(252)
Fund Balance, Beginning of Year	<u>1,275</u>	<u>726</u>	<u>726</u>	<u>726</u>	<u>726</u>	<u>726</u>
Fund Balance, End of Year	<u>\$ 726</u>	<u>\$ 474</u>	<u>\$ 474</u>	<u>\$ (123)</u>	<u>\$ 474</u>	<u>\$ 474</u>

Parks Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Premilinary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Concessions	\$ 430	\$ 416	\$ 416	\$ 145	\$ 425	\$ 425
Facility Admissions/User Fees	123	126	126	35	126	126
Program Fees	467	529	529	150	520	520
Rental of Property	546	603	603	136	603	603
Licenses and Permits	168	184	184	38	184	184
Interest Income	127	81	81	28	81	81
Tennis	201	188	188	55	188	188
Other	103	87	87	49	87	87
Total Revenues	<u>2,165</u>	<u>2,214</u>	<u>2,214</u>	<u>634</u>	<u>2,214</u>	<u>2,214</u>
Expenses						
Personnel	770	874	874	206	874	874
Supplies	326	718	718	91	718	718
Other Services	902	1,378	1,378	315	1,378	1,378
Capital Outlay	0	0	0	0	0	0
Non-Capital Purchases	38	32	32	0	32	32
Total Expenses	<u>2,036</u>	<u>3,002</u>	<u>3,002</u>	<u>612</u>	<u>3,002</u>	<u>3,002</u>
Operating Transfers						
Operating Transfers (Out)	(2,695)	0	0	0	0	0
Total Operating Transfers	<u>(2,695)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	(2,566)	(788)	(788)	23	(788)	(788)
Fund Balance, Beginning of Year	<u>6,916</u>	<u>4,350</u>	<u>4,350</u>	<u>4,350</u>	<u>4,350</u>	<u>4,350</u>
Fund Balance, End of Year	<u>\$ 4,350</u>	<u>\$ 3,562</u>	<u>\$ 3,562</u>	<u>\$ 4,373</u>	<u>\$ 3,562</u>	<u>\$ 3,562</u>

Planning & Development Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Tower Application Fees	\$ 10	\$ 7	\$ 7	\$ 0	\$ 7	\$ 7
Admin. Fees - License & Permits	403	343	343	103	343	343
Hazardous Materials Permit	0	1	1	0	1	1
Hotel & Motel Ordinance	10	11	11	1	11	11
Platting Fees	7,297	6,806	6,806	1,937	6,806	6,806
Interest Income	95	85	85	32	85	85
Other Service Charges	98	58	58	27	58	58
Total Revenues	<u>7,913</u>	<u>7,311</u>	<u>7,311</u>	<u>2,100</u>	<u>7,311</u>	<u>7,311</u>
Expenditures						
Personnel	3,992	5,591	5,591	1,001	5,591	5,591
Supplies	60	123	123	14	123	123
Other Services	2,007	3,292	3,292	63	3,292	3,292
Capital Purchases	0	0	0	0	0	0
Non-Capital Purchases	9	0	0	0	0	0
Total Expenditures	<u>6,068</u>	<u>9,006</u>	<u>9,006</u>	<u>1,078</u>	<u>9,006</u>	<u>9,006</u>
Net Current Activity	1,845	(1,695)	(1,695)	1,022	(1,695)	(1,695)
Fund Balance, Beginning of Year	<u>3,775</u>	<u>5,620</u>	<u>5,620</u>	<u>5,620</u>	<u>5,620</u>	<u>5,620</u>
Fund Balance, End of Year	<u>\$ 5,620</u>	<u>\$ 3,925</u>	<u>\$ 3,925</u>	<u>\$ 6,642</u>	<u>\$ 3,925</u>	<u>\$ 3,925</u>

Police Special Services Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Police Fees	\$ 2,785	\$ 3,926	\$ 3,926	\$ 269	\$ 3,926	\$ 3,926
Interest Income	127	110	110	35	110	110
Other	5,416	2,460	2,460	1,033	2,460	2,460
Interfund Transfers	1,210	1,210	1,210	0	1,210	1,210
Total Revenues	<u>9,538</u>	<u>7,706</u>	<u>7,706</u>	<u>1,337</u>	<u>7,706</u>	<u>7,706</u>
Expenditures						
Personnel	5,428	6,303	6,078	930	6,078	6,078
Supplies	46	3,611	3,653	61	3,634	3,634
Other Services	1,892	2,351	2,310	180	2,298	2,298
Capital Purchases	496	0	224	0	224	224
Non-Capital Purchases	29	28	28	0	59	59
Interfund Transfers	0	0	0	0	0	0
Total Expenditures	<u>7,891</u>	<u>12,293</u>	<u>12,293</u>	<u>1,171</u>	<u>12,293</u>	<u>12,293</u>
Net Current Activity	1,647	(4,587)	(4,587)	166	(4,587)	(4,587)
Fund Balance, Beginning of Year	<u>4,782</u>	<u>6,429</u>	<u>6,429</u>	<u>6,429</u>	<u>6,429</u>	<u>6,429</u>
Fund Balance, End of Year	<u>\$ 6,429</u>	<u>\$ 1,842</u>	<u>\$ 1,842</u>	<u>\$ 6,595</u>	<u>\$ 1,842</u>	<u>\$ 1,842</u>

Recycling Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Premilinary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 279	\$ 324	\$ 324	\$ 52	\$ 324	\$ 324
Interest Income	33	30	30	5	30	30
Miscellaneous	79	100	100	4	100	100
Total Revenues	<u>391</u>	<u>454</u>	<u>454</u>	<u>61</u>	<u>454</u>	<u>454</u>
Expenditures						
Personnel	264	270	270	69	270	270
Supplies	1	5	5	0	5	5
Other Services	1,940	1,515	1,515	90	1,515	1,515
Capital/Non-Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>2,205</u>	<u>1,790</u>	<u>1,790</u>	<u>159</u>	<u>1,790</u>	<u>1,790</u>
Operating Transfers						
Operating Transfers In	3,794	3,794	3,794	0	3,794	3,794
Operating Transfers (Out)	(2,643)	(2,643)	(2,643)	0	(2,643)	(2,643)
Total Operating Transfers	<u>1,151</u>	<u>1,151</u>	<u>1,151</u>	<u>0</u>	<u>1,151</u>	<u>1,151</u>
Net Current Activity	(663)	(185)	(185)	(98)	(185)	(185)
Fund Balance, Beginning of Year	<u>1,527</u>	<u>864</u>	<u>864</u>	<u>864</u>	<u>864</u>	<u>864</u>
Fund Balance, End of Year	<u>\$ 864</u>	<u>\$ 679</u>	<u>\$ 679</u>	<u>\$ 766</u>	<u>\$ 679</u>	<u>\$ 679</u>

Special Waste Transportation and Inspection Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Premilinary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 3,275	\$ 3,296	\$ 3,296	\$ 665	\$ 3,296	\$ 3,296
Other Interfund Services	1,200	1,200	1,200	0	1,200	1,200
Total Revenues	<u>4,475</u>	<u>4,496</u>	<u>4,496</u>	<u>665</u>	<u>4,496</u>	<u>4,496</u>
Expenditures						
Personnel	4,489	4,889	4,889	1,084	4,889	4,889
Supplies	65	95	95	22	95	95
Other Services	171	348	348	38	348	348
Non-Capital Purchases	43	27	27	0	27	27
Capital Purchases	456	0	0	22	0	0
Total Expenditures	<u>5,224</u>	<u>5,359</u>	<u>5,359</u>	<u>1,166</u>	<u>5,359</u>	<u>5,359</u>
Operating Transfers						
Operating Transfers In (Out)	0	0	0	0	0	0
Total Operating Transfers	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Net Current Activity	(749)	(863)	(863)	(501)	(863)	(863)
Fund Balance, Beginning of Year	<u>2,706</u>	<u>1,957</u>	<u>1,957</u>	<u>1,957</u>	<u>1,957</u>	<u>1,957</u>
Fund Balance, End of Year	<u>\$ 1,957</u>	<u>\$ 1,094</u>	<u>\$ 1,094</u>	<u>\$ 1,456</u>	<u>\$ 1,094</u>	<u>\$ 1,094</u>

Swimming Pool Safety Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 1,325	\$ 1,279	\$ 1,279	\$ 313	\$ 1,279	\$ 1,279
Total Revenues	<u>1,325</u>	<u>1,279</u>	<u>1,279</u>	<u>313</u>	<u>1,279</u>	<u>1,279</u>
Expenditures						
Personnel	1,172	1,320	1,320	293	1,320	1,320
Supplies	15	17	17	4	17	17
Other Services	70	127	127	19	127	127
Non-Capital Purchases	0	10	10	0	10	10
Capital Purchases	50	0	0	22	0	0
Total Expenditures	<u>1,307</u>	<u>1,475</u>	<u>1,475</u>	<u>339</u>	<u>1,475</u>	<u>1,475</u>
Net Current Activity	18	(196)	(196)	(26)	(196)	(196)
Fund Balance, Beginning of Year	<u>796</u>	<u>814</u>	<u>814</u>	<u>814</u>	<u>814</u>	<u>814</u>
Fund Balance, End of Year	<u>\$ 814</u>	<u>\$ 618</u>	<u>\$ 618</u>	<u>\$ 788</u>	<u>\$ 618</u>	<u>\$ 618</u>

Tourism Promotion Special Revenue Fund
For the period ended September 30, 2019
(amounts expressed in thousands)

	Unaudited Preliminary FY2019	FY2020				
		Adopted Budget	Current Budget	YTD	Controller's Projection	Finance Projection
Revenues						
Current Revenues	\$ 41	\$ 38	\$ 38	\$ 14	\$ 35	\$ 35
Interest Income	54	6	6	9	9	9
Miscellaneous Revenue	1	0	0	0	0	0
Total Revenues	<u>96</u>	<u>44</u>	<u>44</u>	<u>22</u>	<u>44</u>	<u>44</u>
Expenditures						
Personnel	2,510	2,627	2,627	563	2,627	2,627
Supplies	89	151	151	26	151	151
Other Services	16,785	17,027	17,027	112	17,027	17,027
Non-Capital Purchases	0	0	0	0	0	0
Capital Purchases	0	0	0	0	0	0
Total Expenditures	<u>19,384</u>	<u>19,805</u>	<u>19,805</u>	<u>701</u>	<u>19,805</u>	<u>19,805</u>
Operating Transfers						
Operating Transfers In	19,391	2,294	2,294	449	2,294	2,294
Operating Transfers (Out)	(300)	(300)	(300)	0	(300)	(300)
Transfer from Component Unit	0	17,676	17,676	-	17,676	17,676
Total Operating Transfers	<u>19,091</u>	<u>19,670</u>	<u>19,670</u>	<u>449</u>	<u>19,670</u>	<u>19,670</u>
Net Current Activity	(197)	(91)	(91)	(230)	(91)	(91)
Fund Balance, Beginning of Year	<u>2,592</u>	<u>2,395</u>	<u>2,395</u>	<u>2,395</u>	<u>2,395</u>	<u>2,395</u>
Fund Balance, End of Year	<u>\$ 2,395</u>	<u>\$ 2,304</u>	<u>\$ 2,304</u>	<u>\$ 2,165</u>	<u>\$ 2,304</u>	<u>\$ 2,304</u>

City of Houston, Texas
Commercial Paper Issued and Available
Fiscal Year 2020
For the period end September 30, 2019
(amounts expressed in millions)

COMMERCIAL PAPER	Draws FY20	Draws Month	Refunded FY20	Amount Available to be Drawn	Amount Outstanding
General Obligation					
<i><u>Voter Authorized 2001 & 2006 & 2012 Election</u></i>					
Series G-1	0.00	0.00	0.00	75.00	0.00
Series G-2	5.00	0.00	65.00	125.00	0.00
Series H-2	20.00	10.00	40.00	80.00	20.00
Series J	0.00	0.00	0.00	125.00	0.00
<i><u>Non-Voter Authorized</u></i>					
Series E1-Equipment & Capital	10.00	0.00	40.00	80.00	20.00
Series E2- Equipment & Capital	25.00	0.00	5.00	60.00	10.00
Series E2- Metro Street Projects	0.00	0.00	0.00	30.00	0.00
Series K-1	0.00	0.00	0.00	200.00	0.00
Series K-2	0.00	0.00	0.00	100.00	0.00
Total General Obligation	60.00	10.00	150.00	875.00	50.00
Combined Utility System					
Series B-1	0.00	0.00	40.00	100.00	0.00
Series B-2	0.00	0.00	0.00	75.00	0.00
Series B-3	0.00	0.00	60.00	75.00	0.00
Series B-4	0.00	0.00	85.00	100.00	0.00
Series B-5	0.00	0.00	0.00	250.00	0.00
Series B-6	85.00	0.00	85.00	100.00	0.00
Total Combined Utility System	85.00	0.00	270.00	700.00	0.00
Airport System					
Series A&B	7.00	0.00	0.00	94.53	55.47
Total Airport System	7.00	0.00	0.00	94.53	55.47
Convention & Entertainment					
Flexible Rate Notes Series A	0.00	0.00	43.00	0.00	32.00
Flexible Rate Notes Series B	0.00	0.00	0.00	50.00	0.00
Total Convention and Entertainment	0.00	0.00	43.00	50.00	32.00
Totals	\$152.00	\$10.00	\$463.00	\$1,719.53	\$137.47

City of Houston, Texas
Total Outstanding Debt
Fiscal Year 2020
For the period end September 30, 2019
(amounts expressed in thousands)

	<u>September 2019</u>	<u>September 2018</u>
Payable from Ad Valorem Taxes		
Public Improvement Bonds ^(a)	2,288,670	2,195,915
Commercial Paper Notes ^(b)	50,000	90,000
Pension Obligations	1,536,930	1,576,435
Certificates of Obligations	13,535	14,670
Subtotal Ad Valorem Taxes	3,889,135	3,877,020
Payable from Sources Other Than Ad Valorem Taxes		
Combined Utility System		
Combined Utility System Revenue Bonds	6,162,256	6,119,455
Combined Utility System Commercial Paper Notes ^(c)	-	-
Water and Sewer System Revenue Bonds ^(d)	181,859	172,269
Contract Revenue Obligations - CWA	60,710	64,300
Combined Utility System Subordinate Lien	441,895	276,070
Airport System		
Airport System Subordinate Lien	1,855,340	1,935,450
Airport System Sr. Lien Commercial Paper Notes ^(e)	55,470	27,473
Airport Special Facilities Revenue Bonds ^(f)	929,250	934,965
Hotel Occupancy Tax and Civic Parking		
Facilities Revenue Bonds ^(g)	602,063	570,448
Hotel Occupancy Tax And Parking Revenue		
Flexible Rate Notes, Series A&B (h)	32,000	75,000
Subtotal Sources Other Than Ad Valorem Taxes	10,320,843	10,175,430
Total Debt Payable by the City	\$14,209,978	\$14,052,450

- (a) In Nov 2001 voters authorized \$776 million in tax bonds. In Nov 2006 voters authorized \$625 million in tax bonds. In Nov 2012 voters authorized \$410 million in tax bonds. In Nov 2017 voters authorized \$495 million in tax bonds.
- (b) The City has authorized maximum issuance of General Obligation Commercial Paper Programs Series E-1: \$100 million, E-2: \$100 million, G-1: \$75 million, G-2: \$125 million, H-2: \$100 million, J: \$125 million, K1: \$200 million and K2: \$100 million.
- (c) The City has authorized \$700 million in Combined Utility System Commercial Paper Notes.
- (d) Includes \$181.9 million accreted value of capital appreciation bonds at this date and \$114.9 million last year.
- (e) City Council has authorized Airport Senior Lien Commercial Paper Notes Series A&B with \$150 million of appropriation capacity. In May 2016 the Airport Inferior Lien appropriation facilities was also increased from \$225 million to \$450 million.
- (f) The City of Houston is not legally obligated for payment of the debt service for the Special Facilitated Revenue Bonds. All Special Facility Revenue Bonds are secured solely from Special Facility Lease Revenues.
- (g) Includes \$155.7 million accreted value of capital appreciation bonds at this date and \$152.5 million last year.
- (h) The City authorized \$75 million of Subordinate Lien Hotel Occupancy Tax and Parking Revenue Flexible Rate Notes, Ser A in Oct. 2017, and authorized \$50 million of Subordinate Lien Hotel Occupancy Tax and Parking Revenue Flexible Rate Notes, Ser B in May 2019.

City of Houston, Texas
Voter-Authorized Obligations
Fiscal Year 2020
For the period end September 30, 2019
(amounts expressed in thousands)

<u>Purposes</u>	<u>Voter Authorized</u>	<u>Approved by City Council for Issuance as Commercial Paper Notes</u>	<u>Commercial Paper Issued ^(a)</u>	<u>Commercial Paper Notes Approved by City Council but Unissued</u>	<u>All Voter Authorized but Unissued</u>
November 2001 Election					
Streets, Bridges, Traffic Control	\$ 474,000	\$ 474,000	\$ 471,300	\$ 2,700	\$ 2,700
Parks and Recreation	80,000	80,000	80,000	-	-
Police and Fire Departments	82,000	82,000	82,000	-	-
Permanent and General Improvements ^(b)	80,000	80,000	80,000	-	-
Public Libraries	40,000	56,000	40,000	16,000	-
Low Income Housing	20,000	12,000	20,000	-	-
Total	776,000	784,000	773,300	18,700	2,700
November 2006 Election					
Streets, Bridges, Traffic Control	\$ 320,000	\$ 219,950	\$ 75,565	\$ 144,385	\$ 244,435
Parks and Recreation	55,000	55,000	55,000	-	-
Public Safety	135,000	135,000	135,000	-	-
Permanent and General Improvements ^(b)	60,000	60,000	60,000	-	-
Public Libraries	37,000	37,000	37,000	-	-
Low Income Housing	18,000	18,000	6,031	11,969	11,969
Total	\$ 625,000	\$ 524,950	\$ 368,596	\$ 156,354	\$ 256,404
November 2012 Election					
Streets, Bridges, Traffic Control	\$ -	\$ -	\$ -	\$ -	\$ -
Parks and Recreation	166,000	166,000	100,784	65,216	74,774
Public Safety	144,000	144,000	88,790	55,210	62,868
Permanent and General Improvements ^(b)	57,000	57,000	38,547	18,453	23,984
Public Libraries	28,000	28,000	27,509	491	491
Low Income Housing	15,000	6,188	1,888	4,300	14,992
Total	\$ 410,000	\$ 401,188	\$ 257,518	\$ 143,670	\$ 177,109
November 2017 Election					
Streets, Bridges, Traffic Control	\$ -	-	\$ -	\$ -	\$ -
Parks and Recreation	104,000	40,357	-	40,357	104,000
Public Safety	159,000	51,455	-	51,455	159,000
Permanent and General Improvements ^(b)	109,000	65,978	-	65,978	109,000
Public Libraries	123,000	42,503	-	42,503	123,000
Low Income Housing	-	-	-	-	\$ -
Total	\$ 495,000	\$ 200,293	\$ -	\$ 200,293	\$ 495,000
Combined Total (2001, 2006, 2012 and 2017 Elections)	\$ 2,306,000	\$ 1,910,431	\$ 1,399,414	\$ 519,017	\$ 931,213

(a) As of September 30, 2019

(b) Includes Public Health and Solid Waste Management

Note: This schedule sets forth the categories of bond authorization approved by the voters in elections held in November of 2001 (the "2001 Election"), and November of 2006 (the "2006 Election"), and November of 2012 (the "2012 Election"), and November of 2017 (the "2017 Election"). The amount of each such authorization approved by City Council for issuance as Commercial Paper Notes, the amount of commercial paper issued as of year-end, and the amount of commercial paper approved but unissued. The City has issued all bonds authorized at the election held in November of 1997.

CITY OF HOUSTON

OTHER POST EMPLOYMENT BENEFIT(OPEB) LIABILITIES

9/30/2019
(amounts expressed in millions)

	<u>Date of Most Recent Measurement</u>	<u>Present Value of Benefits ⁽²⁾</u>	<u>Total OPEB Liabilities</u>	<u>Annual OPEB Expense ⁽⁴⁾</u>
Entry Age Normal ⁽¹⁾	6/30/2017	\$3,766.4	\$2,449.6 ⁽³⁾	\$166.0

Note (1) Entry Age Normal is a cost method where the present value of the projected benefit for an individual is allocated on a level basis over the earnings or service of the individual between entry age and assumed exit age. Benefit included are health, prescriptions, dental, vision and life insurance.

Note (2) Present value is all future benefit payments for current retirees and active employees taking into account assumptions about demographics, turnover, mortality, disability, retirement, health care trends and other actuarial assumptions.

Note (3) The requirement for OPEB liability has changed under GASB 74 and 75 and is reported on pages 110 and 111 of FY 2018 CAFR.

Note (4) The Annual OPEB (Other Post Employment Benefits) expense is the cost of retiree medical benefits incurred in this fiscal year. By recognizing the actuarially calculated amount each year the City of Houston plans to incur all its OPEB costs, on average, by the time each employee retires. The City has paid **\$17.586 million** in the current fiscal year to date. In fiscal year 2019, the City paid \$51.125 million in retiree health insurance costs.

CITY OF HOUSTON

PENSION FUND PAYMENTS AND NET PENSION LIABILITY SUMMARY

9/30/2019

PAYMENTS

(amounts expressed in thousands)

	FY 2020				
	Payments FY 2019	City Payment Contribution ¹	Employee Payment Rate	Current Budget	Year to Date Actual
Firefighter Plan					
General Fd. & Other Fds.	\$ 89,903	32.34%	10.5%	\$ 83,652	\$ 20,939
Total Firefighter Plan	89,903			83,652	20,939
Police Plan					
General Fd. & Other Fds.	142,190	31.82%	10.5%	149,243	37,512
Total Police Plan	142,190			149,243	37,512
Municipal Plan					
General Fund	61,682	8.32% +	Group A: 8% Group B: 4%	65,127	15,380
Other Funds	111,719	\$130.95M	Group D ² : 3%	126,549	30,625
Total Municipal Plan	173,401			191,676	46,006
Total All Three Plans	\$ 405,493			\$ 424,572	\$ 104,457

NET PENSION LIABILITY AND FUNDED STATUS³

	Date of Most Recent Measurement	Net Pension Liability (\$ millions) ⁴	Net Position as % of Liabilities
Firefighters Plan	6/30/2018	644.7	86.6%
Police Plan	6/30/2018	1,258.8	81.3%
Municipal Plan	6/30/2018	2,124.5	58.5%

Note 1: City contribution rates are based on SB2190.

Note 2: In addition to the 2% employee contribution for Group D, beginning with the first full pay period after January 1, 2018, 1% is deducted for a cash balance plan.

Note 3: Reported per FY2018 CAFR.

Note 4: Net pension liability substantially decreased due to pension reforms.

City of Houston, Texas
Civic Art Program Appropriation
For the period ended September 30, 2019
(amounts expressed in thousands)

<u>Program</u>	<u>Appropriated</u>	<u>Pre-Encumbered</u>	<u>Encumbered</u>	<u>Expended</u>	<u>Available</u>
Public Improvements					
Police	\$ 294	\$ 130	\$ 77	\$ -	\$ 87
Fire	841	733	4	4	100
Houston Emergency Center	1	0	0	0	1
Public Works	73	47	25	0	1
Solid Waste	13	0	13	0	0
Library	776	46	33	0	697
Parks & Recreation	284	131	111	3	39
Health	524	476	12	0	36
Mayor	49	48	0	0	1
General Government	181	0	42	0	139
Total Public Improvements	<u>\$ 3,036</u>	<u>\$ 1,611</u>	<u>\$ 317</u>	<u>\$ 7</u>	<u>\$ 1,101</u>
Airport System	\$ 5,867	\$ 5,800	\$ 39	\$ 31	\$ (3)
Combined Utility System	687	371	5	0	311
Convention & Entertainment	5	0	0	5	0
Citywide Totals	<u><u>\$ 9,595</u></u>	<u><u>\$ 7,782</u></u>	<u><u>\$ 361</u></u>	<u><u>\$ 43</u></u>	<u><u>\$ 1,409</u></u>

FY2020 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours Per Year)

	FY2019 Actual	FY2020 Budget	FY2020 September	FY2020 (1) YTD AVG	Overtime FY2019 Actual	Overtime FY2020 Budget	Overtime (1) FY2020 YTD AVG
ENTERPRISE FUNDS							
Aviation	1,086.0	1,144.8	1,071.9	1,085.3	73.3	50.7	77.4
HPW - Combined Utility System	2,069.8	2,265.7	2,059.5	2,072.8	175.2	143.7	174.6
TOTAL ENTERPRISE FUNDS	3,155.8	3,410.5	3,131.4	3,158.1	248.5	194.4	252.0
GENERAL FUND							
GENERAL FUND MUNICIPAL							
Administration and Regulatory Affairs	183.2	186.3	176.5	177.0	1.6	1.2	2.0
City Secretary	9.8	10.0	10.5	10.2	0.0	0.0	0.1
Controller's Office	51.1	57.7	45.9	45.9	0.0	0.0	0.0
Council Office	71.8	83.3	71.1	71.4	0.0	0.0	0.0
Finance Department	101.1	108.7	98.5	98.3	0.0	0.0	0.0
Fire Department	104.4	97.1	98.6	99.3	2.5	0.8	0.6
General Services	142.0	154.4	136.9	138.0	2.3	5.1	1.6
Housing & Community Development	0.5	0.7	0.7	0.7	0.0	0.0	0.0
Houston Health Department	403.7	407.0	377.3	385.5	5.7	2.8	4.5
Houston Public Works	7.3	8.6	6.2	6.0	0.0	0.0	0.0
Human Resources	23.4	20.5	21.0	21.0	0.0	0.0	0.0
Information Technology	91.2	31.3	26.0	26.1	2.6	1.7	2.2
Legal	106.6	103.7	114.0	115.1	0.0	0.0	0.0
Library	455.7	439.2	436.8	434.9	0.0	0.0	0.0
Mayor's Office	43.4	38.5	44.6	44.7	0.0	0.0	0.0
Municipal Courts Department	257.8	260.2	248.6	250.2	0.0	0.0	0.0
Neighborhoods	94.9	96.4	84.7	85.6	0.5	0.4	1.1
Office of Business Opportunity	26.7	32.2	29.0	28.0	0.0	0.0	0.0
Parks & Recreation	640.5	698.2	625.1	686.8	7.5	10.1	17.0
Planning & Development	25.8	25.9	20.7	21.4	0.1	0.0	0.3
Police Department	949.9	975.6	855.9	862.0	36.9	9.8	26.9
Solid Waste Management	416.1	436.9	409.2	408.6	126.0	45.1	146.3
SUBTOTAL MUNICIPAL	4,206.9	4,272.4	3,937.8	4,016.7	185.7	77.0	202.6
GENERAL FUND CADETS							
Fire Department	86.5	0.0	0.0	0.0	0.0	0.0	0.0
Police Department	139.5	163.1	197.5	158.3	0.0	0.0	0.0
SUBTOTAL CADET	226.0	163.1	197.5	158.3	0.0	0.0	0.0
GENERAL FUND CLASSIFIED							

FY2020 FULL TIME EQUIVALENT (FTE) REPORT
(1 FTE Equals 2,088 Hours Per Year)

	FY2019 Actual	FY2020 Budget	FY2020 September	FY2020 (1) YTD AVG	Overtime FY2019 Actual	Overtime FY2020 Budget	Overtime (1) FY2020 YTD AVG
Fire Department	3,932.1 (4)	3,901.0	3,900.0 (4)	3,918.3 (4)	187.9	213.4	178.3
Police Department	5,128.0	5,256.0	5,159.3	5,145.3	223.0 (2)	64.6	229.3 (2)
SUBTOTAL CLASSIFIED	9,060.1	9,157.0	9,059.3	9,063.6	410.9	278.0	407.6
TOTAL GENERAL FUND	13,493.0	13,592.5	13,194.6	13,238.6	596.6	355.0	610.2
GRANTS & OTHER FUNDS (3)							
Administration and Regulatory Affairs	172.4	200.7	180.9	180.8	0.7	0.9	1.5
Finance Department	49.4	58.1	52.0	52.3	0.0	0.0	0.0
Fleet Management	358.9	383.4	350.7	348.9	41.9	40.1	51.4
General Services	127.7	144.4	117.9	118.3	1.9	4.0	1.6
Housing & Community Development	188.9	0.0	259.6	249.8	0.2	0.0	0.9
Houston Emergency Center	234.9	266.3	237.2	236.5	13.1	11.4	17.9
Houston Health Department	798.1	275.3	756.9	769.4	9.4	3.5	11.9
Houston Public Works	1,640.7	1,844.8	1,642.0	1,674.7	107.3	89.2	116.2
Human Resources	261.9	287.5	257.4	258.3	0.2	0.1	0.0
Information Technology	87.4	181.9	145.0	156.3	0.1	0.0	0.5
Legal	50.1	54.0	54.0	53.7	0.0	0.0	0.0
Library	6.0	0.0	7.7	7.6	0.0	0.0	0.0
Mayor's Office	55.9	42.5	53.5	53.3	1.0	0.7	0.6
Municipal Courts Department	10.5	17.0	10.0	10.0	0.0	0.0	0.0
Neighborhoods	33.0	0.0	29.8	28.9	0.2	0.0	0.5
Office of Business Opportunity	1.1	2.0	2.0	1.3	0.0	0.0	0.0
Parks & Recreation	98.4	115.0	96.5	95.8	1.7	2.3	4.3
Planning	50.4	66.0	48.2	48.6	0.7	0.0	1.4
Police Department - Cadet	23.0	0.0	0.0	0.0	0.0	0.0	0.0
Police Department - Classified	61.0	22.0	73.0	73.2	6.4 (2)	91.1	5.4 (2)
Police Department - Municipal	42.0	20.0	47.3	48.1	0.8	3.8	2.3
Solid Waste Management	4.0	3.0	3.5	2.9	0.4	0.0	0.2
TOTAL GRANTS & OTHER FUNDS	4,355.7	3,983.9	4,425.1	4,468.7	186.0	247.1	216.6
CITY-WIDE TOTAL	21,004.5	20,986.9	20,751.1	20,865.4	1,031.1	796.5	1,078.8

(1) YTD numbers measure the periods 07/01/2019 through 9/30/2019.

(2) Includes overtime hours from grants and special funds except Auto Dealers.

(3) FY2020 Budget does not include grants FTEs.

(4) Fire department FTEs do not include classified employees on phasedown.

Fund Descriptions

General Fund (1000)

General Revenues (i.e. property taxes, sales taxes, franchise fees, municipal courts fines, etc.) are budgeted and received in the General Fund for the support of most basic City services. Operations and services for public safety, financial services, libraries, solid waste management, health, most parks and recreation services, street traffic control, esplanade mowing and citywide administration are included in the General Fund.

Enterprise Funds

Aviation Operating Fund (8001)

The Aviation Operating Fund is an enterprise fund which accounts for operation of the City's airport system. The airport system is comprised of the George Bush Intercontinental Airport/Houston, William P. Hobby Airport, and Ellington Airport. Activities of the department include: operations, maintenance, planning and construction, public service and administration. The Department coordinates its activities with the Federal Aviation Administration (FAA), other federal and state agencies, the airlines, and tenants of the airport facilities.

Convention and Entertainment Facilities Operating Fund (8601)

The Convention and Entertainment Facilities Operating Fund is an enterprise fund that accounts for the operation of the City's five major entertainment centers and city-owned parking garages: Jesse H. Jones Hall, Bayou Place, Gus S. Wortham Center, George R. Brown Convention Center and Theater District Garage.

Combined Utility System Fund (8300, 8301, 8305)

The Combined Utility System is composed of three separate funds: the Water and Sewer System Operating Fund, the Combined Utility System Operating Fund and the Combined Utility System General Purpose Fund. The fund provides for the operation of the City's treated and untreated water, as well as, receives and process wastewater generated in a service area that includes the City, certain municipalities and unincorporated communities in the Houston metropolitan area. Some of the City's largest customers are other cities and water authorities which supply water to their own customers. This fund is administered by the Public Works & Engineering Department.

Dedicated Drainage & Street Renewal Fund (2310)

Ordinance 2010-879 requires funding in the amount equivalent to proceeds from \$0.118 of the City's ad valorem tax levy minus an amount equal to debt service for drainage and streets to the Dedicated Drainage & Street Renewal Fund. Total outstanding debt payable from ad valorem taxes (as of December 31, 2012) is \$3.47 billion. The portion of the debt associated with drainage and street improvements is estimated at \$1.69 billion. The Dedicated Drainage and Street Renewal Fund is not technically an enterprise fund, but is grouped with the Combined Utility System for clarity.

Storm Water Fund (2302)

This fund receives revenue from the Combined Utility System Fund and Dedicated Drainage and Street Renewal Fund. Funds are transferred to this fund to support storm water drainage operation and maintenance activities. The core purpose is to provide the best level of maintenance to the storm water infrastructure with the resources provided and to maintain flow of storm water free of debris and foreign objects that cause flooding.

Risk Management Funds

Health Benefits Fund (9000)

The Health Benefits Fund, an Internal Service Fund administered by the Human Resources Department, was established in 1984 to centralize the financial transactions for the City's benefit plans. Health Benefits Effective May 1, 2011, the City elected to be substantially self-insured and awarded CIGNA a three-year contract with two (2) one-year renewal options for 4 new health plans. The new health benefits model is composed of four (4) plans, all of which have heavy emphasis on a wellness component, and includes; 1) a limited network HMO-type plan, 2) an open access PPO-type plan with no out-of-network coverage, 3) a consumer driven high deductible Health Plan (CDHP), partnered with a health reimbursement account, and 4) a specific plan for retirees, mostly those under age 65, who live outside the limited network service area but who live in Texas. Effective 08/01/11, all 65+ Medicare eligible retirees must enroll in the 6 MA plans or opt out. These plans are supported by contributions from the city and participants. The Fund also includes two dental plans, a dental/health maintenance organization (DHMO) and a dental indemnity plan. Both plans are supported exclusively by participants.

Long Term Disability Fund (9001)

This fund is used to account for the long-term sick leave benefits for eligible City employees. The LTO Fund is funded solely by the City through premiums charged to the departments based on the number of employees covered by the plan. Such premiums cover the cost of all benefits (claims) to the employees and third party administrative fees. This fund is administered by the Human Resources Department.

Property and Casualty Fund (1004)

This fund records the transactions of the City's self-insurance program for lost and personnel action claims. The Administration and Regulatory Affairs Department oversees the insurance functions while the Legal Department administers the claims portion. Revenue is generated from premiums assessed to other funds; premiums are based on projected expenditures.

Workers' Compensation Fund (1011)

This fund was established to collect and report all costs of compliance to statutes related to Workers Compensation. The revenues are derived through charging the departments an administrative premium semi-monthly per employee plus all direct costs related to claim expenditures, which includes indemnity, medical and disability payments. This fund is administered by the Human Resources Department. The Workers' Compensation Fund is a revolving fund administered by the Human Resources Department. The Fund was established to centralize the financial transactions in the areas of Accident Prevention, Loss Control and Workers' Compensation.

Special Revenue Funds**Asset Forfeiture Fund (2202, 2203, 2204)**

This fund is administered by the Houston Police Department (HPD). The City receives forfeited funds resulting from HPD's role in drug-related seizures. This fund provides resources beyond HPD's General Fund budget for crime enforcement. Specifically, this fund is used to address the drug problem in a manner consistent with the department's Comprehensive Narcotics Plan.

Auto Dealers Special Revenue Fund (2200)

This fund is budgeted to collect the license fees paid by tow truck drivers and various automotive sales, repair, storage and salvage dealers to finance a portion of the Houston Police Department's enforcement efforts regarding privately owned storage lots. This fund is also administered by the Houston Police Department.

BARC Special Revenue Fund (2427)

BARC (Bureau of Animal Regulation and Care) is authorized to receive funds from any source for the purpose of supporting the maintenance and operation of the City's animal shelter facilities and programs. This fund is administered by the Administration and Regulatory Affairs Department.

Bayou Greenway 2020 Fund (2106)

This fund is administered by the Houston Parks and Recreation Department (HPARD). This fund was created to manage the HPARD's maintenance of the White Oak Bayou Trail based upon the Bayou Greenways 2020 initiative agreement entered between the City of Houston and the Houston Parks Board, Inc. in December 2013.

Building Inspection Special Fund (2301)

This fund includes all construction and building permit revenues and expenditures for inspections and permitting activities. Outdoor sign license fees are received in this fund for enforcement of the City's sign ordinance. This fund is administered by the Public Works and Engineering (PWE) Department.

Cable Television Special Fund (2401, 2428)

This fund, under certain cable television franchise agreements with the City, receives contributions on a subscriber basis. This fund is used for public access cable television programming and related costs. The Mayor's Office is responsible for administering this fund.

Child Safety Fund (2209)

This fund is used to account for monies received for public, parochial and private school crossing guard programs. Revenues to the fund come from an assessment of Municipal Court fees on non-criminal municipal violations and a portion of each vehicle registration authorized by Harris County. The Police Department administers this fund.

Contractor Responsibility Fund (2424)

This fund is managed by the Office of Business Opportunity (OBO) and governed by Executive Order 1-7. Funds are collected through the implementation of the Pay or Play Program, which is administered by OBO. The revenue collected in the Contractor Responsibility Fund is used to offset the cost of uninsured citizens in the Houston and Harris County area.

Essential Public Health Services Fund (2010)

This fund was created for the purpose of offsetting costs associated with the administration of Medicaid Transformation Waiver projects and to perform other essential public health services as defined by Chapter 121 of the Texas Health and Safety Code. This fund is administered by the Houston Health Department.

Forensic Transition Special Fund (2213)

The Forensic Transition Special Fund was created for the transition of forensic operations from the Houston Police Department to the independent Houston Forensics Science Local Government Corporation (LGC). The funds budget covers City of Houston employees that provide services to the Houston Forensic Science LGC.

Health Special Revenue Fund (2002)

This fund includes several programs that are supported by ordinances including ambulance permits, food and drug, vital statistics, international travel immunizations, Geriatric Dental Program and specific public health purposes. This fund is administered by the Houston Health Department.

Historic Preservation Fund (2306)

This fund is administered by the Planning and Development Department and the Houston Public Library. This fund was established in FY2010 to utilize funds set aside from the sale of historic fire stations by the City in FY2009. This fund is used to promote historic preservation programs. It utilizes City funds as seed money to encourage private investment, attract grant funds, and support educational programs that will further preservation efforts in Houston. This fund also provides future funding for the maintenance needs of the historic Julia Ideson Building.

Houston Emergency Center Fund (2205)

This fund consolidates the City's four separate emergency services (Police, Fire/EMS computer Aided Dispatch System, Radio System and Records Management Systems) into one state of the art facility. This fund is administered by the Houston Emergency Center Department.

Houston TranStar Center Fund (2402)

This fund was established for planning, design, operation and maintenance of transportation and emergency management operations within the greater Houston area. Funding is derived from revenue received from member agencies and is prorated based on occupancy and use of center facilities. This fund is administered by the Public Works and Engineering Department.

Juvenile Case Manager Fee Fund (2211)

This fund was established FY2009 and is administered by the Municipal Courts Department. This fund includes expenditures for the salary, benefits, and operational costs related to the Juvenile Case Manager staff. Revenue is generated by the collection of a court fee for each paid conviction as allowed by State law. The mission of the Juvenile Case Manager Fee Fund is to partner with school districts within the City of Houston in an effort to increase school attendance and reduce truancy through early identification, assessment and prevention services, to enhance the accountability of students and families, and to limit a juvenile's exposure to the criminal justice system.

Laboratory Operations and Maintenance Fund (2008)

This fund is solely designated for laboratory operations and maintenance for the retention of all revenue from laboratory fees. It pertains to Ordinance Amending Chapter 21 of the Code of Ordinance relating to laboratory fee. This fund is administered by the Houston Health Department.

Maintenance Renewal and Replacement Fund (MRR) (2105)

This fund is to provide funds needed to maintain and replace systems in buildings and assets as well as to protect the City of Houston's investments. This fund recognizes the shared responsibility of the City and its departments to maintain, upgrade, or replace building systems as they approach the end of their life cycle. This fund also addresses development and maintenance of the physical infrastructure of these facilities. This fund is administered, in partnership, by General Services Department and Parks and Recreation Department.

Municipal Court Building Security Fund (2206)

This Fund was established in FY1997 and is administered by the Municipal Courts Department. This fund includes all security related contractual expenditures and additional security enhancements for the courts. Revenue is generated by the collection of a court fee for each paid conviction as allowed by State law. The mission of the Municipal Court Building Security Fund is to protect the health and welfare of civilians and employees by ensuring that adequate equipment, procedures, and personnel are present at all court facilities.

Municipal Court Technology Fee Fund (2207)

This Fund was established in FY2001 and is administered by the Municipal Courts Department. Revenue is generated by the collection of a court fee for each paid conviction as allowed by State law. The purpose of the fund is to finance technological enhancements for the Municipal Courts and maintain systems to operate in an efficient manner.

Parking Management Fund (8700)

This fund is responsible for managing and providing on-street parking alternatives to the citizens in the Greater Houston area. This fund is administered by the Administration and Regulatory Affairs Department.

Parks Golf Special Revenue Fund (2104)

This fund was created to receive the revenues derived from all golf facilities, whether operated by the City or private entities, including all related concession fee revenues which are to be used exclusively for the maintenance, operation and improvement to any or all such golf courses.

Parks Special Revenue Fund (2100)

This is a Parks and Recreation Department fund for revenue from revenue generating activities and certain expenditures related to operations of the City's municipal tennis centers. As well as supplementing youth programs.

Planning and Development Special Revenue Fund (2308)

This fund was established in FY2016 to support development-related services such as: subdivision plat review, permit review and one half of the lot size/minimum building line program. The fund is administered by the Planning and Development Department.

Police Special Services Fund (2201)

This fund is used to account for activities that are not covered under the General Fund Budget. The activities include: joint police operations, security and traffic control, undercover support services and use of Houston Police Department facilities. The Houston Police Department administers this fund.

Recycling Revenue Fund (2305)

This fund was created to allocate dedicated funds to be used for the expansion and implementation of the City's Recycling Programs. Efforts include citywide tree waste recycling, additional neighborhood depository sites, curbside recycling and increased education and outreach. These efforts allow the City to improve its current landfill diversion rate. This fund is administered by the Solid Waste Management Department.

Special Waste Transportation and Inspection Fund (2423)

The purpose of this fund is to prevent the infiltration of fats, oils, and grease into the sanitary sewer system, and to assure that the City's infrastructure and health of the citizens are protected. All fees collected are expended only for the costs of permitting, inspecting, monitoring, controlling, educating and enforcing any violation pertaining to the management and disposal of the City-regulated waste. This fund is administered by the Houston Health Department.

Swimming Pool Safety Fund (2009)

This fund receives proceeds from enforcing municipal, state and federal pool and spa safety standards. State and federal pool and safety standards apply to all pools and spas serving more than two dwellings; in accordance with the requirements, operators of pool and spas at apartment or condominium projects are required to obtain permits and to comply with the standards. The fees collected in pursuant of swimming pool and spa safety are used for the purposes of activities related to permitting, inspecting, monitoring, abating, controlling, educating and enforcement of municipal, state and federal standards. This fund is administered by the Houston Health Department.

Tourism Promotion Special Revenue Fund (2429)

Previously known as the Houston Civic Events Fund, this fund is administered by the Mayor's Office. This fund was created to promote tourism through management and coordination of the civic celebration program, city's art and cultural plan, promote business travel and hotel occupancy in the City of Houston as well as protocol services.