

Office of the City Controller
Audit Division Project Status
June 30, 2019

Report/Project on 2019 Audit Plan	Source of Proposed Engagement	FY2018 Actual	Report/Project	Type of Audit	Completion Status
1	FY2019 Audit Plan		City-Wide Take Home Vehicles	Performance / Compliance	Work has not begun
2	FY2019 Audit Plan		FIN - Procurement Process	Performance / Compliance	Work has not begun
3	FY2019 Audit Plan	✓	GSD Comfort Systems USA	Performance / Contract Compliance	Planning Phase
4	FY2019 Audit Plan	✓	HFD - Arson Bureau	Performance / Compliance	Reporting Phase
5	FY2019 Audit Plan	✓	Houston First Corporation	Performance / Contract Compliance	Reporting Phase
6	FY2019 Audit Plan	✓	HPD Asset Forfeiture	Performance / Compliance	Planning Phase
7	FY2019 Audit Plan		HPD Auto Dealers Detail	Performance / Compliance	Work has not begun
8	FY2019 Audit Plan	✓	HR Temporary Services	Performance / Contract Compliance	Report issued as 2019-08
9	FY2019 Audit Plan		OBO - Certification	Performance / Compliance	Work has not begun
10	FY2019 Audit Plan		PWE - Automation Nation	Performance / Contract Compliance	Work has not begun
11	FY2019 Audit Plan	✓	PWE - Rebuild Houston	Performance / Compliance	Report issued as 2019-09
Carryover from FY2018					
12	FY2018 Audit Plan	✓	ARA - BARC Procurement Compliance	Performance / Compliance	Report issued as 2019-07
13	FY2018 Audit Plan	✓	Special Revenue Funds	Performance / Process Review	ARA Report issued as 2019-02; PD Report issued as 2019-06; HPW in reporting phase; FIN in reporting phase
14	FY2017 Audit Plan	✓	HPL Cash Handling	Performance / Compliance	Report being issued as 2020-01
15	FY2017 Audit Plan	✓	CSMART Application and Cash Management	Performance / Compliance	Report issued as 2019-03
16	FY2016 Audit Plan	✓	OBO Pay or Play	Performance / Compliance	Reporting Phase
Alternative/ Optional					
17			ARA - Asset Disposition	Performance / Compliance	Open
18			ARA - Payroll Application Security Controls Review	Performance / Compliance	Open
19			HPD - Property/Evidence Room	Performance / Compliance	Open
20			PD - Historic Property Designation	Performance / Compliance	Open
Recurring & Special Projects					
21			Citizen Centric Reporting (CCR) Update	Annual Reporting	In Progress
22	Audit Plan		City-Wide Harvey Recovery		Open
23	Audit Plan / Required		FY 2018 Enterprise Risk Assessment Update	Required	ERA-2018 - Report issued as 2019-04; ERA 2019 - Report issued as 2019-11
24	Audit Plan / Required		Follow-up Audits	Performance / Required	FMD Report issued as 2019-05, FIN - Reporting Phase, HR - Report issued as 2019-10, HPLF - Report issued as 2019-12
25	Audit Plan / Required		Fraud Consideration/Reporting/Hotline	Required	Ongoing
26	Audit Plan / Required		Quality Assurance	Required	FY2018 complete, FY2019 In progress