

**Office of the City Controller**  
**Audit Division Project Status**  
**September 30, 2021**

Report/Project on 2022 Audit Plan	Source of Proposed Engagement	FY2022 Actual	Report/Project	Type of Audit	Completion Status
1	FY2022 Audit Plan		City-Wide Civilian Overtime	Performance / Compliance	Work has not begun
2	FY2022 Audit Plan		HEC - 911 Operations	Performance / Compliance	Work has not begun
3	FY2022 Audit Plan		HCDD - Rental Assistance Program	Performance / Compliance	Work has not begun
4	FY2022 Audit Plan		HHD - COVID-19 Response	Performance / Compliance	Work has not begun
5	FY2022 Audit Plan		HITS - IT Security	Performance / Compliance	Work has not begun
6	FY2022 Audit Plan		SWM - Collection	Performance / Compliance	Work has not begun
<b>Carryover from FY2021</b>					
7	FY2020 Audit Plan	✓	City-Wide Take Home Vehicles	Performance / Compliance	<b>Fieldwork Phase</b>
8	FY2020 Audit Plan	✓	FIN - Procurement Process	Performance / Compliance	<b>Report issued as 2022-04</b>
9	FY2019 Audit Plan	✓	GSD Comfort Systems USA	Performance / Contract Compliance	<b>Reporting Phase</b>
10	FY2021 Audit Plan	✓	GSD - Building Security	Performance / Compliance	<b>Planning Phase</b>
11	FY2020 Audit Plan	✓	HCDD - Homeowner Assistance Program	Performance / Compliance	<b>Fieldwork Phase</b>
12	FY2021 Audit Plan	✓	HPD Property/Evidence Room	Performance / Compliance	<b>Fieldwork Phase</b>
13	FY2020 Audit Plan	✓	HPW - Permitting	Performance / Compliance	<b>Planning Phase</b>
14	FY2020 Audit Plan	✓	OBO - Contract Compliance	Performance / Compliance	<b>Fieldwork Phase</b>
<b>Alternative/ Optional</b>					
16	FY2021 Audit Plan		ARA - Payroll Application Security Controls Review	Performance / Compliance	Open
17	FY2021 Audit Plan		GSD - Electrical Services Contract Audit	Performance / Compliance	Open
18	FY2021 Audit Plan		HHD - Public Health Services Process Review	Process Review	Open
19	FY2021 Audit Plan		HITS - Telecom Expenses	Performance / Compliance	Open
<b>Recurring &amp; Special Projects</b>					
20	Audit Plan		City-Wide Harvey Recovery		Open
21	Audit Plan / Required	✓	FY 2022 Enterprise Risk Assessment Update	Required	Open
22	Audit Plan / Required	✓	Follow-up Audits	Performance / Required	<b>HITS-Report issued as 2022-02</b>
23	Audit Plan / Required	✓	Fraud Consideration/Reporting/Hotline	Required	<b>Ongoing</b>
24	Audit Plan / Required	✓	Quality Assurance	Required	<b>Ongoing</b>