

Office of the City Controller
Audit Division Project Status
June 30, 2023

Report/Project on 2023 Audit Plan	Source of Proposed Engagement	FY2023 Actual	Report/Project	Type of Audit	Completion Status
1	FY2023 Audit Plan		City-Wide Civilian Overtime	Performance / Compliance	Work has not begun
2	FY2023 Audit Plan		ARA - Payroll Application Security Controls	Performance / Compliance	Planning Phase - On hold pending execution of Data Security Agreement
3	FY2023 Audit Plan		GSD - Contract Audit	Performance / Compliance	Work has not begun
4	FY2023 Audit Plan		HEC - 911 Operations	Performance / Compliance	Work has not begun
5	FY2023 Audit Plan		HITS - Data and Device Security	Performance / Compliance	Planning Phase
6	FY2023 Audit Plan		HPL - Cashless Operations	Performance / Compliance	On Hold
7	FY2023 Audit Plan		SWM - Inventory Management	Performance / Compliance	Planning Phase
Carryover from FY2022					
8	FY2020 Audit Plan	✓	City-Wide Take Home Vehicles	Performance / Compliance	Issued as Report #2023-02
9	FY2021 Audit Plan	✓	GSD - Building Security	Performance / Compliance	Issued as Report #2023-09
10	FY2020 Audit Plan	✓	HCDD - Homeowner Assistance Program	Performance / Compliance	Reporting Phase
11	FY2021 Audit Plan	✓	HPD Property/Evidence Room	Performance / Compliance	Issued as Report #2023-07
12	FY2020 Audit Plan	✓	HPW - Permitting	Performance / Compliance	Issued as Report #2023-04
13	FY2020 Audit Plan	✓	OBO - Contract Compliance	Performance / Compliance	Issued as Report #2023-03
Alternative/ Optional					
14	FY2023 Audit Plan		ARA - Parking Benefit District	Performance / Compliance	Open
15	FY2023 Audit Plan		HHD - Public Health Services Process Review	Performance / Compliance	Open
16	FY2023 Audit Plan		HITS - Telecom Expenses	Process Review	Open
17	FY2023 Audit Plan		HPARD - Revenue Collection	Performance / Compliance	Open
Recurring & Special Projects					
18	Audit Plan / Required		FY 2023 Enterprise Risk Assessment Update	Required	Issued as Report # 2023-08
19	Audit Plan / Required		Follow-up Audits	Performance / Required	HPD - Issued as Report #2023-05, DON- Issued as Report #2023-06, HPARD - Fieldwork Phase, HPL/HPLF - Fieldwork Phase
20	Audit Plan / Required		Fraud Consideration/Reporting/Hotline	Required	Ongoing
21	Audit Plan / Required		Ethics Report	Required	Reporting Phase
22	Audit Plan / Required		Quality Assurance	Required	Ongoing