

30th day

(Dist F.)



### AFFIDAVIT FOR CANDIDATE OR OFFICEHOLDER: ELECTRONIC FILING EXEMPTION

An exemption affidavit must be submitted with each paper report.

A candidate or officeholder who has accepted more than \$20,000 in political contributions or made more than \$20,000 in political expenditures in any calendar year must file all subsequent reports electronically.

OFFICE USE ONLY	
Date Received	<i>OCT 23 2013</i>
Date Hand-delivered or Date Postmarked	
Date Processed	
Date Imaged	

Filer name <b>RICHARD NGUYEN</b>	Account #
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1. I swear or affirm that I have not accepted more than \$20,000 in political contributions or made more than \$20,000 in political expenditures in a calendar year.
2. I further swear or affirm that I do not use computer equipment to keep current records of political contributions, political expenditures, or persons making political contributions to me.
3. I further swear or affirm that no person acting as my agent or consultant, and no person with whom I contract, uses computer equipment to keep current records of political contributions, political expenditures, or persons making political contributions to me.
4. I further swear or affirm that I understand that I am required to file my campaign finance reports electronically if I, my agent or consultant, or a person with whom I contract exceeds \$20,000 in political contributions or political expenditures in a calendar year, or uses computer equipment to keep current records of political contributions, political expenditures, or persons making political contributions to me.
5. I am filing this affidavit with the 30<sup>th</sup> day report report due on 10/28/2013. I understand that this affidavit is required to be filed with each campaign finance report for which I am claiming an exemption from electronic filing.

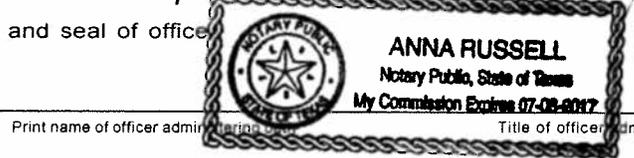
*[Signature]*  
Signature of Candidate or Officeholder

NOTARY STAMP / SEAL

Sworn to and subscribed before me by Richard Nguyen this the 28<sup>th</sup> day of October

2013, to certify which, witness my hand and seal of office.

*[Signature]*  
Signature of officer administering oath



Print name of officer administering oath Title of officer administering oath

**FILERS WHO ARE EXEMPT FROM THE ELECTRONIC FILING REQUIREMENT ARE STILL REQUIRED TO FILE CAMPAIGN FINANCE REPORTS ON PAPER**

# CANDIDATE / OFFICEHOLDER CAMPAIGN FINANCE REPORT

## FORM C/OH COVER SHEET PG 1

The C/OH Instruction Guide explains how to complete this form.

1 ACCOUNT #  
(Ethics Commission Filers)

2 Total pages filed:

2

3 CANDIDATE /  
OFFICEHOLDER  
NAME

MS / MRS / MR  FIRST MI  
NICKNAME LAST SUFFIX  
RICHARD A  
NGUYEN

OFFICE USE ONLY

Date Received  
**RECEIVED**  
OCT 09 2013  
Texas Ethics Commission

4 CANDIDATE /  
OFFICEHOLDER  
MAILING  
ADDRESS

ADDRESS / PO BOX, APT / SUITE #, CITY, STATE, ZIP CODE  
8115 RIPTIDE DR HOUSTON TX 77072

Date Hand-delivered or Postmarked

5 CANDIDATE/  
OFFICEHOLDER  
PHONE

AREA CODE PHONE NUMBER EXTENSION  
(281) 891-0579

Receipt # Amount

Date Processed

6 CAMPAIGN  
TREASURER  
NAME

MS / MRS / MR  FIRST MI  
NICKNAME LAST SUFFIX  
VINH V  
NGUYEN

Date Imaged

**RECEIVED**  
OCT 28 2013  
TEXAS ETHICS COMMISSION

7 CAMPAIGN  
TREASURER  
ADDRESS  
(residence or business)

STREET ADDRESS (NO PO BOX PLEASE), APT / SUITE #, CITY, STATE, ZIP CODE  
8115 RIPTIDE DR HOUSTON TX 77072

8 CAMPAIGN  
TREASURER  
PHONE

AREA CODE PHONE NUMBER EXTENSION  
(281) 736-8408

9 REPORT TYPE

January 15  30th day before election  Runoff  15th day after campaign treasurer appointment (officeholder only)  
 July 15  8th day before election  Exceeded \$500 limit  Final report (Attach C/OH - FR)

10 PERIOD  
COVERED

Month Day Year THROUGH Month Day Year  
01 / 01 / 13 THROUGH 06 / 30 / 13

11 ELECTION

ELECTION DATE ELECTION TYPE  
Month Day Year  Primary  Runoff  General  Special  
11 / 05 / 13

12 OFFICE

OFFICE HELD (if any)

13 OFFICE SOUGHT (if known)

CITY OF HOUSTON  
COUNCIL MEMBER - DIST. F

GO TO PAGE 2

# CANDIDATE / OFFICEHOLDER REPORT: SUPPORT & TOTALS

**FORM C/OH  
COVER SHEET PG 2**

**14 C/OH NAME** RICHARD A. NGUYEN **15 ACCOUNT # (Ethics Commission Filers)**

**16 NOTICE FROM POLITICAL COMMITTEE(S)**

THIS BOX IS FOR NOTICE OF POLITICAL CONTRIBUTIONS ACCEPTED OR POLITICAL EXPENDITURES MADE BY POLITICAL COMMITTEES TO SUPPORT THE CANDIDATE / OFFICEHOLDER. THESE EXPENDITURES MAY HAVE BEEN MADE WITHOUT THE CANDIDATE'S OR OFFICEHOLDER'S KNOWLEDGE OR CONSENT. CANDIDATES AND OFFICEHOLDERS ARE REQUIRED TO REPORT THIS INFORMATION ONLY IF THEY RECEIVE NOTICE OF SUCH EXPENDITURES.

COMMITTEE TYPE

GENERAL

SPECIFIC

COMMITTEE NAME  
N/A

COMMITTEE ADDRESS

COMMITTEE CAMPAIGN TREASURER NAME

COMMITTEE CAMPAIGN TREASURER ADDRESS

additional pages

<b>17 CONTRIBUTION TOTALS</b>	1. TOTAL POLITICAL CONTRIBUTIONS OF \$50 OR LESS (OTHER THAN PLEDGES, LOANS, OR GUARANTEES OF LOANS), UNLESS ITEMIZED	\$ 0
	2. TOTAL POLITICAL CONTRIBUTIONS (OTHER THAN PLEDGES, LOANS, OR GUARANTEES OF LOANS)	\$ 0
<b>EXPENDITURE TOTALS</b>	3. TOTAL POLITICAL EXPENDITURES OF \$100 OR LESS, UNLESS ITEMIZED	\$ 0
	4. TOTAL POLITICAL EXPENDITURES	\$ 0
<b>CONTRIBUTION BALANCE</b>	5. TOTAL POLITICAL CONTRIBUTIONS MAINTAINED AS OF THE LAST DAY OF REPORTING PERIOD	\$ 0
<b>OUTSTANDING LOAN TOTALS</b>	6. TOTAL PRINCIPAL AMOUNT OF ALL OUTSTANDING LOANS AS OF THE LAST DAY OF THE REPORTING PERIOD	\$ 0

**18 AFFIDAVIT**

I swear, or affirm, under penalty of perjury, that the accompanying report is true and correct and includes all information required to be reported by me under Title 15, Election Code.

  
 Signature of Candidate or Officeholder

AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me, by the said Candidate Richard A. Nguyen, this the 7th day of October, 2013, to certify which, witness my hand and seal of office.

  
 Signature of officer administering oath

Zaida M. Quintero  
 Printed name of officer administering oath

Notary Public  
 Title of officer administering oath

# TEXAS ETHICS COMMISSION

P.O. Box 12070, Capitol Station  
Austin, Texas 78711-2070

Jim Clancy  
Chair

Paul W. Hobby  
Vice Chair

David A. Reisman  
Executive Director



Commissioners

Hugh C. Akin  
Tom Harrison  
Bob Long  
Paula M. Mendoza  
Tom Ramsay  
Chase Untermeyer

October 16, 2013

Mr. Richard A. Nguyen  
8115 Riptide Drive  
Houston, Texas 77072

Dear Mr. Nguyen:

In the course of reviewing our files, we discovered you filed the enclosed campaign document with the Texas Ethics Commission by mistake. I attempted to contact you by telephone on October 9, 2013, but was unsuccessful. A candidate for a city office should file his or her campaign finance reports with the city clerk or city secretary, as applicable. The *Campaign Finance Guide For Candidates and Officeholders Who File With Local Filing Authorities* is available on our website for your reference.

Although you do not file your campaign finance reports with the Ethics Commission, we can answer any questions you may have about your filing requirements. Please contact our office at one of the numbers listed below and ask to speak to an attorney if you need further information.

Sincerely,

A handwritten signature in black ink that reads "Robert Mannas".

Robert Mannas  
Assistant General Counsel

Enclosure: Form C/OH for Mr. Nguyen

RM:ll

Come visit our home page at <http://www.ethics.state.tx.us> on the Internet.

(512) 463-5800 • FAX (512) 463-5777 • TDD 1-800-735-2989

The Texas Ethics Commission does not discriminate on the basis of race, color, national origin, sex, religion, age or disability in employment or the provision of services.